

UNITED STATES EQUESTRIAN FEDERATION, INC.
ATHLETE SELECTION PROCEDURES
2020 Olympic Games
EVENTING
Tokyo, Japan
USOPC Review Date: August 7, 2019

I. SELECTION SYSTEM

A. Minimum eligibility requirements for an athlete to be considered for nomination to the Team:

1. Nationality/Passport Requirements: The athlete must be a national of the United States and in compliance with Federation Equestre Internationale (“FEI”) Regulations for Equestrian Events at the Olympic Games, 25th Edition, Article 606 (see www.fei.org) at the time of application, selection, and until the conclusion of the 2020 Olympic Games Eventing Competition (“the Event”). Athletes must hold a valid U.S. passport that does not expire for six months after the conclusion of the Games.
2. Minimum International Olympic Committee (IOC), International Paralympic Committee (IPC) standards for participation:
 - a. Any athlete in the Olympic, Paralympic, Pan American, or Parapan American Games must be a national of the country of the National Olympic Committee (NOC) or National Paralympic Committee (NPC) which is entering such athlete. For additional information regarding an athlete who is a national of two or more countries, has changed his or her nationality, or acquired a new nationality, refer to the IOC Charter (Rule 42), or the IPC Handbook (Section 2, Chapter 3.1).
3. Minimum International Federation (IF) Requirements:
 - a. All athletes and horses wishing to compete on the 2020 Olympic Games Eventing Team (“Team”) must earn a FEI Certificate of Capability between January 1, 2019 and June 2, 2020 by having achieved one qualifying result at a Concours Complet d’Equitation CCI5*-L, or one CCI4*-S AND one CCI4*-L, to be eligible to compete in the Event. The FEI qualifying result for the Olympic Games and the relevant details relating to approved selected events are outlined here: <https://inside.fei.org/fei/games/olympic/tokyo-2020>.
 - b. The athlete must have been born before December 31, 2002 (see the FEI Regulations for Equestrian Events at the Olympic Games, 24th Edition, Article 606);
 - c. To be eligible for participation in the Equestrian Events at the Olympic Games, athletes and horses must comply with all current FEI Regulations, as well as Rules 40 & 41 of the Olympic Charter and its By-Laws pertaining to the IOC Eligibility Code and Nationality [24th Edition, Effective for the 2020 Tokyo Olympic Games] Article 606;
 - d. Horses must be born on or before December 31, 2012 [eight (8) years of age]; see FEI Regulations for Equestrian Events at the Olympic Games, 24th Edition, Article 606.2.1;
 - e. Horses must be owned in accordance with FEI Regulations for Equestrian Events at the Olympic Games, 24th Edition, Article 606 2. Horses entered for the Equestrian Events at the Olympic Games must have been registered with the FEI as property of owners of the same nationality as the athlete, by 15 January 2020;
 - f. “The National Federation (NF) of a horse belonging to a partnership or a syndicate of owners must inform FEI by the date of the OCOG/IOC nominated entries for NOCs: 31 March 2020, of the name of one (1) owner to ensure that they are entered in the Olympic Accreditation system.” See FEI Regulations for Equestrian Events at the Olympic Games, 24th Edition, Article 620.2.
 - g. The athlete and horse must be registered with the FEI.

- h. No commercial prefix or suffix will be included with the name of horse on any Olympic participation/entry list, start list, or results. The FEI will reserve the right to amend any horse's name accordingly. Please see the FEI Regulations for Equestrian Events at the Olympic Games, 24th Edition, Article 606.2.5
 - i. The athlete and horse must comply with FEI Rules for the Event.
4. Other Requirements:
- a. The athlete must be an active member of the United States Equestrian Federation ("USEF").
 - b. The horse must be recorded with USEF pursuant to USEF GR1102.
 - c. Application: Athletes wishing to be considered for the Team must complete an online Application (Attachment A) with the appropriate application fee at www.usef.org. Applications received on or prior to February 14, 2020 at 11:59 p.m. EST will be charged \$70 per application. ALL APPLICATIONS ARE DUE ON February 14, 2020.
 - d. USEF Horse Loan Agreement: Owners of horses named to the FEI Nominated Entry, as described in B below, must sign the USEF Horse Loan Agreement (Attachment B). A horse owner(s)' failure to sign the USEF Horse Loan Agreement may result in the removal of the horse/athlete combination from the FEI Nominated Entry.
 - e. The athlete shall certify by signing the Application that he/she has received, read, and assumes responsibility for meeting all requirements of the Selection Procedures for the Event. The athlete and horse owner(s) must certify, by signing the Application (Attachment A), that they have received and read the Selection Procedures for the Team; the USEF Code of Conduct (Attachment C); the USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment D); and the USEF Safe Sport Policy, which includes the Background Check and Minor Athlete Abuse Prevention Policies (Attachment E), USOPC Code of Conduct and Grievances Procedures (Attachment K), and USOPC online registration, and that they understand those documents, and that they agree to be bound by them.
 - f. Athletes must have successfully completed all Games Registration requirements by the stated deadline, unless the athlete requests and is granted an extension of the deadline due to an extraordinary circumstance, prior to the stated deadline. Any extension must be approved by the USOPC.

B. Selection Trials

1. **Below are the event names, dates and locations of all Selection Trials, and/or Preparation Events to be used as part of the selection process:** Athlete/horse combinations wishing to be considered for the FEI Nominated Entry must complete, or must have already completed, at least one of the following Selection Trials. The 2019 and 2020 Selection Trials, as published to all potential 2020 Olympic Games applicants on April 1, 2019 (See Attachment F), include the following:

2019

Land Rover Kentucky Three-Day Event (USA)	CCI5*-L	April 24-29
Mitsubishi Motors Badminton Horse Trials (GBR)	CCI5*-L	May 1-5
Jersey Fresh International Three-Day Event (USA)	CCI4*-L	May 8-12
Tattersalls International Horse Trials (IRE)	CCI4*-L	May 29-31
Bromont CCI Three-Day Event (CAN)	CCI4*-L	June 6-9
Luhmühlen Horse Trials (GER)	CCI5*-L	June 13-16
Aachen CHIO (GER)	CCI4*-S	July 18-20
The Event at Rebecca Farm (USA)	CCI4*-L	July 25-28
Millstreet International Horse Trials (IRE)	CCI4*-L	August 22-25
Land Rover Burghley Horse Trials (GBR)	CCI5*-L	September 5-8

Military Boekelo-Enschede Horse Trials (NED)	CCI4*-L	October 10-13
The Dutta Corp Fair Hill International (USA)	CCI4*-L	October 16-20
Ocala Jockey Club International (USA)	CCI4*-L	November 13-17

2020

Land Rover Kentucky Three-Day Event (USA)	CCI5*-L	April 24-29
Badminton Horse Trials (GBR)	CCI5*-L	May 6-10
Jersey Fresh International Three-Day Event (USA)	CCI4*-L	May 8-12

Additional competitions may be identified as Selection Trials should it become necessary and where practical. Notification will be given at least 30 days prior to the start of the Selection Trial.

All of the athlete/horse combinations on the Team and those on the Reserve List (see I.C.1) will be required to demonstrate their continued preparation, soundness, and ability by competing at Preparation Event(s), except as explained below. By no later than May 15, 2020, all details regarding the Preparation Event(s) will be posted on the USEF website: www.usef.org. At present, it is anticipated that the Preparation Event(s) will be a CCCI4*-S, an Advanced Horse Trial(s), or Advanced Combined Test(s) to be held sometime after June 1, 2020.

In exceptional circumstances, the Selectors may grant a waiver for all or part of the requirements, except Section I.A., if it is in the perceived best interest of the results of the Team. The exceptional circumstances will be explained to other athletes contesting selection upon request.

- 2. Provided below are the event names, dates, locations and description of how athletes qualify for any “preliminary or qualifying” events or procedures that are prerequisites to attend any of the events listed above:** Athletes must qualify for the Selection Trials per the FEI Rules for Eventing 24th Edition. The FEI rules may be found at www.fei.org.

C. Provided below is a comprehensive, step-by-step description of the method that explains how athletes will go through the selection process to become team nominees (include maximum Team size).

1. By no later than June 1, 2020 (23:59 CET) (FEI Nominated Entry), the Selectors, in consultation with the Chef d'Equipe and the Team Veterinarian (and members of the Veterinary Advisory Group if requested), will recommend three (3) athlete/horse combinations to be named to the Team, along with the traveling reserve, and up to the maximum number of reserves able to be nominated on the nominated entry, to the USEF Eventing Sport Committee or its designees and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors. The selectors may name “Direct Reserve horse(s)” for an athlete named to the team. A Direct Reserve horse must meet all eligibility requirements as outlined in Section I.A.3 and I.A.4. A Direct Reserve horse would be an automatic replacement should the original horse on which an athlete was named have to be substituted. The Team named to the USOPC Final Entry will be the group named here unless a combination is removed for one of the reasons in Section III “Reasons for Removal.”

These combinations will make up the FEI Nominated Entry, which will be forwarded to the FEI by no later than June 1, 2020 (23:59 CET).

In addition to recommending the FEI Nominated Entry, the Selectors, in consultation with the Chef d'Equipe and the Team Veterinarian (and members of the Veterinary Advisory Group if

requested), may recommend applicants to serve as substitute (see Definitions on page 17) athlete/horse combinations for the FEI Nominated Entry to the USEF Eventing Sport Committee or its designees and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors.

The Selectors, in evaluating athlete/horse combinations to be named to the Team and the reserves, will base their evaluations on the following factors (“Factors”):

- a. The overall performance and soundness of the athlete/horse combination in those 2019/2020 competitions designated as Selection Trials (section I.B.1.) with emphasis on dressage scores, current fitness, cross country, and show jumping performance: jumping without faults and/or incurring time penalties, boldness, rideability, and speed;
- b. Additional international experience of the athlete/horse combination; preference may be given to athlete/horse combinations who have demonstrated ability to succeed in multiple international eventing competitions;
- c. Athlete’s fitness;
- d. The athlete/horse combination’s suitability to win an individual medal or contribute to a team medal at the Event;
- e. Willingness of the athlete to work within the confines of the 2020 Olympic Games/USOPC accreditation allocation and restrictions;
- f. Behavior of athlete and people associated with the athlete (including, without limitation, owners, coaches, and grooms) that may have an impact on creating a positive team environment. Athletes will be informed of any concerns regarding behavior prior to the Selectors making their selection decision.

Chef d’Equipe Permissions: Once athlete/horse combinations have been named to the Team, they will come under the direct management of the Chef d’Equipe through the conclusion of the Event. The athlete must discuss their training, competition, and coaching schedule with the Chef d’Equipe. The Chef d’Equipe will approve the schedule, and deviations must be communicated to and approved by the Chef d’Equipe. They shall not compete without the permission of the Chef d’Equipe.

2. **June 8, 2020: USOPC Final Entries:** Upon the closing date for the USOPC Final Entries for the Event, the athlete/horse combinations named to the Team and as the Traveling Reserve can only be replaced as outlined in Section III.A.
3. **Mandatory Training:** All Team and Reserve athlete/horse combinations may be required to participate in Mandatory Training, except as explained below. By no later than May 1, 2020, relevant details of the Mandatory Training session(s) will be circulated to all applicants (who have not withdrawn). At present, it is envisioned that a Mandatory Training session(s) will be held sometime after July 1, 2020 in the United States. It may be held in conjunction with Pre-Export Quarantine.

In exceptional circumstances, the Selectors may grant a waiver for all or part of the requirements, except Section I.A., if it is in the perceived best interest of the results of the Team. The exceptional circumstances will be explained to other athletes contesting selection upon request.

4. **Preparation Event(s):** All of the athlete/horse combinations on the Team (see I.C.1) will be required to demonstrate their continued preparation, soundness, and ability by competing at a Preparation Event(s), except as explained below. By no later than May 15, 2020 all details regarding the Preparation Event(s) will be posted on the USEF website: www.usef.org. At

present, it is anticipated that the Preparation Event(s) will be a CCI4*-S, an Advanced Horse Trial(s), or Advanced Combined Test(s) to be held sometime after June 1, 2020.

In exceptional circumstances, the Selectors may grant a waiver for all or part of the requirements, except Section I.A., if it is in the perceived best interest of the results of the Team.

- 5. Replacements/Substitutions:** The Last Date for Replacements is the last date at which an athlete or horse selected to travel to the Event can be subject to Pre-Competition Substitution with an athlete or horse not previously selected to travel. For the avoidance of doubt, only four horses can travel to the Event. The date will be based on when the U.S. horses fly to the Event, where they fly from, the number of athlete/horses taking part in Pre-Export Quarantine prior to departing to the Event and the availability of space on the Official Tokyo Horse flights departing at a later date, and the practicality of travelling a horse at a later date. The date will be decided by the USEF Director of Sport in consultation with the designated horse shipping company, Peden Bloodstock, the Managing Director of Eventing, Chef d'Equipe, and Team Veterinarian. The date will be published to all athletes selected to the FEI Nominated Entry List as soon as it can be decided upon. To clarify, horses will only be allowed to travel to the Event on the Official Horse flights as organized by the designated horse shipping company, Peden Bloodstock.
- 6. After June 8, 2020 and up to the Last Date of Replacements,** FEI Olympic Regulations 24th Edition Article 609 *Pre-Competition Change* applies. After acceptance of the USOPC Final Entries and until the Last Date for Replacements, USEF has the ability to recommend to the USOPC to replace athletes and/or horses on the USOPC Final Entries for reasons set forth in Section III. B. During this time period, athlete/horse combinations on the Team may be replaced with a Reserve athlete/horse combination or a horse on the FEI Nominated Entries; and the Reserve may be replaced with athletes and horses on the FEI Nominated Entries. Replacements may only be made by recommendation of the Chef d'Equipe, with approval of the Selectors, and in accordance with the FEI Regulations for Equestrian Events at the Olympic Games 24th Edition, Article 609 and/or for reasons set forth in Section III.B and subject to approval by the USEF Chef de Mission and the USOPC.

To fill openings, if they occur, the Chef d'Equipe, in consultation with the Selectors and Team Veterinarian, will evaluate the athletes and horses named to the Team, the Reserve, and the FEI Nominated Entries and may recommend that a replacement be made. In the event that there are Direct Reserve horses named for specific athletes, those are automatically substituted should the horse on which the athlete is originally named become unavailable. When evaluating athletes and horses, the Chef d'Equipe and Selectors will base their evaluations on the factors outlined in Section I.C.1.b. Any substitution for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must have the approval of the Veterinary Panel approved by the USEF International Disciplines Council. Any substitution for medical reasons must be documented by a physician(s) who is approved by the USEF or USOPC.

An athlete being unfit to compete is best described as "not being able to ride their horse in a manner which allows the athlete to produce the standard of performance at which they were selected."

- 7.** After the Last Date of Replacements and up to two (2) hours before the starting time of the Dressage Phase, the USEF has the ability to recommend to the USOPC to replace athletes and/or horses on the Team for reasons set forth in Section III.B.

In case of incompatibility, conflict, or divergence between the provisions of these FEI Olympic Regulations and the provisions of the IOC - Tokyo 2020 Late Athlete Replacement Policy (LAR), the LAR as approved by the IOC shall prevail unless otherwise specifically agreed by the IOC.

During this time period, Team members may only be replaced with the Reserve. However, the reason for a substitution must only be because either the athlete is unfit to compete **due to injury or illness**, or there is a strong veterinary case for substitution, or the performance of the Team member has dropped off to such an extent that for the success of the Team a substitution is vital. The latter situation will almost certainly occur as a result of a veterinary problem, which may or may not be possible to define given the time/resources available at the time. Replacements may only be made by recommendation of the Chef d'Equipe, with approval of the Eventing Team Leader and USEF Chef de Mission, and in accordance with the FEI Late Athlete Replacement Policy or for reasons set forth in Section III.B and subject to approval by the USOPC Chef de Mission. Any replacement for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must also have the approval of the Team Veterinarian. Any replacement for medical reasons must be documented by a physician(s) physically present at the Event venue who is approved by the USOPC.

However, the reason for the replacement must only be because either the athlete is unfit to compete due to injury or illness, or there is a strong veterinary case for replacement, or the performance of the Team member has dropped off to such an extent that for the success of the Team a replacement is vital. The latter situation will almost certainly occur as a result of a veterinary problem, which may or may not be possible to define given the time/resources available at the time.

An athlete being unfit to compete is best described as "not being able to ride their horse in a manner which allows the athlete to produce the standard of performance at which they were selected."

8. **July 30, 2020: Declaration of Starters:** The declaration of starters will be done in accordance with the FEI Regulations for Equestrian Events at the Olympic Games, 24th Edition, Article 627.4.1.
9. **Substitution: Substitutions can happen before or during any phase of the competition as per the FEI Olympic Regulations for Equestrian Events at the Olympic Games, 24th Edition Article 608.2.**

2.1 A "Substitution" is defined as any change of a team Athlete/Horse combination that is made after the relevant Pre-Competition Change Deadline pursuant to the process set out in article 609.2.3 below. Substitution of non-team Athletes is not permitted. An Athlete/Horse may only be substituted with the P Alternate Athlete/Reserve Horse.

2.2. Substitutions will only be allowed in the event of an accident or illness of an Athlete and/or Horse on the presentation of the appropriate medical/veterinary certificate. All substitutions must be validated by OCOG Competition Management, the FEI, and IOC according to the Late Replacement Policy.

2.3 For each discipline, each team may substitute a maximum of one (1) Athlete/Horse combination during the Event.

Reason for Substitution

Substitutions can only happen if either;

- a. The athlete agrees in writing to the substitution and the substitution is supported by the Chef d'Equipe, Team Leader, and either the Team Veterinarian and / or a USOPC approved Physician.
- b. The horse is unfit to continue competing. The reason for this must be documented and supported by the Team Veterinarian and by a member of the Veterinary Advisory Group or an OCOG appointed, FEI approved, Treating Veterinarian. The decision must be supported by the Chef d'Equipe and Team Leader.
- c. The athlete is unfit to compete. The decision must be supported by two USOPC approved Physicians, the Chef d'Equipe and Team Leader.

D. Provided below are the names of all committees/groups who oversee the selection process, including the name(s) and role(s) of their members: The USEF Eventing Selectors, USEF Eventing Sport Committee and Ad Hoc of the Eventing Sport Committee, and the USEF Eventing Chef d'Equipe will oversee the selection process. The process must be reviewed and approved by the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors. (See Attachment G for list of USEF committees, their roles, and list of members).

E. Other Considerations:

1. **Equine Drug Testing:** All applicant horses are subject to drug testing both in competition and out of competition.

In-Competition. All horses entered to compete in any FEI or USEF competition are subject to drug testing at any time from when the competition begins until the competition ends or beginning when the horse arrives for the competition until the competition ends, whichever beginning occurs first. Blood and/or urine samples may be collected and tested for substances prohibited or forbidden under the subject competition rules. National competitions may invoke GR 409 whereby samples will be tested for FEI prohibited substances, including metabolites and markers.

Positive Finding. At any time during the selection period that the presence of a prohibited or forbidden substance, or a metabolite or marker, is detected in the sample collected in-competition, the results, including the level detected for controlled medications, will be reported to the USEF Chief Executive Officer and General Counsel, who will notify the Director of Sport or Discipline Director for dissemination of information as appropriate. In such instance, the positive finding may result in the elimination of the horse and/or athlete from the Selection Process. Before elimination occurs, the owner and athlete will be afforded a hearing by a panel ("Selection Hearing Panel") comprised of at least one member of the applicable discipline Sport Committee along with at least two members of the Hearing Committee, one of which must be an Eligible Athlete. The Chairman of the USEF Veterinarian Committee, or his designee, may serve ex officio and a member of the Veterinarian Panel selected for the Event may serve ex officio.

Out-of-Competition. Blood and/or urine samples may be collected out-of-competition at any time throughout the selection period, which is defined as commencing on June 1, 2020, following notification to the applicant at least 24 hours prior to collection. Samples collected from horses out-of-competition will be tested for the presence of substances prohibited by the FEI, including metabolites and markers. Failure to make the horse available for collection of samples may result

in the elimination of the horse and/or athlete from the Selection Process. Before elimination occurs, the owner and athlete will be afforded a hearing by the Selection Hearing Panel.

Positive Finding. At any time during the selection period that the presence of a prohibited or forbidden substance, or a metabolite or marker, is detected in the sample, the results, including the level detected for controlled medications, will be reported to the USEF Chief Executive Officer and General Counsel, who will notify the Director of Sport or Discipline Director for dissemination of information as appropriate. In such instance, the positive finding may result in the elimination of the horse and/or athlete from the Selection Process unless the Veterinarian Panel appointed for selection of the Event certifies that the substance detected has been approved or is legitimate and appropriate under the circumstances. Before elimination occurs, the owner and athlete will be afforded a hearing by the Selection Hearing Panel.

This provision does not abrogate the enforcement of applicable equine anti-doping rules at Federation licensed competitions, including competitions where GR 409 is invoked whereby samples will be tested for FEI prohibited substances, including metabolites and markers.

Also see the section on Veterinarian Treatment, a violation of which may result in elimination under this provision. Before elimination occurs in either instance, the owner and athlete will be afforded a hearing by the Selection Hearing Panel.

USEF GR409.2 Equine Drugs and Medications, Prohibited Substance Provisions

No horse and/or pony competing in a Breed or Discipline designated as (or part of) a No Prohibited Substance Group is to be shown in any class at a competition licensed by the Federation if it has been administered in any manner, or otherwise contained in its tissues, body fluids or excret, a prohibited substance as defined in the FEI Equine Anti-Doping and Controlled Medication Regulations, which can be found at www.fei.org.

- 2. Soundness:** Horses that are candidates for selection for the Event may be examined at any time during the selection process, which is defined as commencing on June 1, 2020, for soundness by a member of the Team Veterinarian (and members of the Veterinary Advisory Group if requested).

Following the Selection Trial in which they compete and again following the Preparation Event(s), all horses considered for selection will be examined for soundness by the Team Veterinarian (or a member of the Veterinary Advisory Group). Athletes may have their own veterinarian present at their own expense. Horses found unsound by the Team Veterinarian (or a member of the Veterinary Advisory Group) may result in the elimination of the horse/athlete combination from the Selection Process. Such elimination is determined by the unanimous approval of the Selectors as well as the Chef d'Equipe and subject to the approval of the USEF Eventing Sport Committee or its designees, the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

In addition, all horses selected to the Team will be examined for soundness by the Team Veterinarian (and members of the Veterinary Advisory Group if requested) prior to entering Pre Export Quarantine and prior to traveling to the Event. Horses found unsound by the Team Veterinarian (or a member of the Veterinary Advisory Group) may result in the elimination of the horse/athlete combination. Such elimination is determined by the unanimous approval of the Selectors as well as the Chef d'Equipe and subject to approval by the USEF Eventing Sport

Committee or its designees, the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

3. **Team Veterinarian Request:** Applicants, *at their own expense*, must make available, upon the request of the Team Veterinarian, 24 months of complete veterinary records of horses considered for selection. In addition, applicants, *at their own expense*, are responsible for supplying any follow-up diagnostic information requested by the Team Veterinarian for horses considered for selection. Applicants, *at their own expense*, will also be responsible for completing any treatment prescribed by the Team Veterinarian for horses considered for selection. Failure to comply with any request of the Team Veterinarian (or a member of the Veterinary Advisory Group) may result in the removal of the horse/athlete combination from the selection process by the Selectors upon the recommendation of the Team Veterinarian (and members of the Veterinary Advisory Group if requested) and with the approval of the USEF Eventing Sport Committee or its designees and the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.
4. **Veterinary Treatment:** Once the Team is announced, by no later than June 1, 2020, and prior to the departure of horses to the Olympic Games venue for purposes of competing in the Event, athletes on the Team or those named as reserves to the Team will be required to obtain written permission from the Team Veterinarian prior to Team horses or those named as reserves to the Team obtaining treatment by any person other than a member of the Team Veterinarian (or a member of the Veterinary Advisory Group). This permission must be obtained in writing. All USEF veterinary logbooks must be kept up-to-date and made available to the Team Veterinarian on request.

Failure to adhere to this policy may result in the removal of the horse/athlete combination from the selection process by the Selectors upon the recommendation of the Team Veterinarian (and members of the Veterinary Advisory Group if requested) and with the approval of the USEF Eventing Sport Committee or its designees and the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

5. **Medical Request:** In the event of illness or injury, applicants may be required to submit to a medical examination by physicians(s) approved by the USEF International Disciplines Council at any time during the selection process for the purposes of determining the applicant's ability to compete at the Event that is the subject of the selection. Failure to submit to such examination may result in the removal of the applicant from the selection process by the Selectors upon the recommendation of the USEF approved physician(s) and with the approval of the USEF Eventing Sport Committee or its designees and the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors. Following the examination, should the USEF physician(s) find the applicant to be unfit to compete, the applicant will be removed from the selection process with the approval of the USEF Eventing Sport Committee or its designees and the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

II. DISCRETIONARY SELECTION (if applicable)

A. Rationale for utilizing discretionary selection (if any): The option of discretionary selection is used to field the best team possible for the Event.

B. Discretionary criteria and explain how it will be used: See Section I.C.1.

C. Discretionary Selection Committee

1. **Names of the committee(s) that will be responsible for discretionary selection:**
 - USEF Eventing Selectors

- USEF Eventing Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee
 - USEF Eventing Chef d'Equipe
 - USEF Board of Directors or an Ad Hoc Selection Group
 - USEF Eventing Team Veterinarian and members of the Veterinary Advisory Group
2. **Complete list of the members of the committee(s), their titles and their NGB role that qualifies them to serve in this capacity:** See Attachment G for list of USEF committees, their roles, and list of members. See Attachment H for list of USEF Team Veterinarian and the Veterinary Advisory Group.

Selectors: Up to five (5) individuals (the "Selectors") will be appointed by the USEF International Disciplines Council upon the recommendation of the USEF Eventing Sport Committee or an Ad Hoc. Selectors are subject to the USEF Code of Conduct and Code of Ethics and Conflict of Interest policies of the USEF (Attachment C). These Selectors are members of the Eventing community who are knowledgeable and experienced in Eventing at the international level, and are senior members in good standing with USEF, including one Eventing Eligible Athlete Representative as defined by the USOPC (see Definitions on page 19) but who is not an applicant for the 2020 Olympic Games Eventing Team (the "Eligible Athlete Representative Selector"). In making their decision, the Selectors will consult with the USEF Chef d'Equipe and the Team Veterinarian by the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee and approved by the USEF International Disciplines Council.

Ad Hoc Selection Group: The Ad Hoc selection Group approved by the Board of Directors. One member must be an Eligible Athlete.

3. **Specify the process that will be used to identify and handle any potential conflicts of interest involving a member of the committee:** Any member of the Selection Committee that has a possible conflict of interest must disclose it. If such conflict exists, the Selection Committee member must recuse himself/herself from committee discussions and voting. Further, the committee member should not otherwise influence other members of the committee in the nomination process. However, a committee member who recused himself/herself, but who has relevant and necessary information with respect to athlete performance, for example a national team coach or high performance director, may, if requested by the Selection Committee, provide such information to the committee so long as such information is provided in a fair and unbiased manner and the committee member who declared the conflict of interest does not vote toward the final decision.

III. REMOVAL OF ATHLETES

A. Prior to the acceptance of the nomination by the USOPC, USEF has jurisdiction over potential nominees. An athlete who is to be nominated to the Team by the NGB/HPMO may be removed as a nominee for any of the following reasons, as determined by the NGB/HPMO:

- Voluntary withdrawal. Athlete must submit a written letter to the USEF CEO.
- Injury or illness as certified by a USEF approved physician (or medical staff). If an athlete refuses verification of their illness or injury by a USEF approved physician (or medical staff), their injury will be assumed to be disabling and they may be removed.
- Violation of the USEF Code of Conduct (Attachment C).
- Violation of the USOPC Code of Conduct and Grievance Procedures (Attachment K).
- Failure of an owner to sign the Horse Loan Agreement (Attachment B).

- Injury or illness of the horse as determined by the Team Veterinarian (and members of the Veterinary Advisory Group if requested). If an athlete and/or horse owner(s) refuses to have the horse examined by the Team Veterinarian (and members of the Veterinary Advisory Group if requested), the injury or illness will be assumed to be disabling and the horse may be removed.
- Exceptional circumstances having a direct bearing upon obtaining the best qualified team for the Event, but only with the recommendation of the Chef d'Equipe and Selectors.
- Behavior of the athlete and people associated with the athlete (including, without limitation, accredited owners, coaches and grooms) that may have an impact on creating a positive team environment that will affect (either positively or negatively) the ability to win a team medal.
- Detection of a prohibited substance in the horse.
- Failure to comply with the USEF Safe Sport Policy (Attachment E) and U.S. Center for SafeSport Code.
- Failure to adhere to all WADA, FEI, USEF, and/or USADA anti-doping protocols, policies, and procedures, including participation in the out-of-competition testing as required by WADA, FEI, USEF, and USADA Rules.
- Failure to comply with any request of the Team Veterinarian (or a member of the Veterinary Advisory Group).
- Failure to obtain written permission from the Team Veterinarian or a member of the Veterinary Advisory Group prior to the horse receiving veterinary treatment by any veterinarian who is not the Team Veterinarian or a member of the Veterinary Advisory Group.
- Failure to submit a written request not to attend the Mandatory Training session(s) prior to the start of the Mandatory Training session(s), if possible, but no later than the conclusion of the training session, to the Selectors.
- Failure to submit a written request not to attend the Preparation Event(s) prior to the start of the Preparation Event, if possible, but no later than the conclusion of the Preparation Event, to the Selectors.
- Failure to train or prepare the horse for the Event as directed by the Chef d'Equipe.
- Loss of form or failure to show readiness in or out of competition, as determined by the majority decision of the Selectors and with the approval of the Chef d'Equipe. Loss of form is defined as: deterioration in performance, demonstrated inability to produce results at the level at which the combination was performing at the time at which they were selected. Failure to show readiness is defined as: the athlete's performance is not at the level necessary to remain on the Team.

In these procedures, the removal of an athlete is closely related to the process by which they will be substituted. Therefore, the reasons for which an athlete may be removed are thoroughly addressed in Section IV.

An athlete removed from the FEI Nominated Entry or Team pursuant to this provision has the right to a hearing under the USEF's Bylaws, Article 703 and USEF General Rules, Chapter 6, GR 605 or USOPC Bylaw, Section 9.

B. After acceptance of nomination by the USOPC: The USOPC has jurisdiction over the Team under the USOPC Code of Conduct and Grievance Procedures (Attachment K). This occurs no earlier than 45 days and later than 30 days prior to the Opening Ceremony of the Games (unless expressly waived by the USOPC).

An athlete may be removed from the USOPC Final Entries or Team at any time for the following reasons:

- Voluntary withdrawal. An athlete must submit a written letter to the USEF CEO.

- Violation of WADA, FEI, USEF, and USADA anti-doping protocol, policies, and procedures. In such instances, the adjudication process will be managed through the United States Anti-Doping Agency.
- Injury or illness as certified by a USEF approved physician (or medical staff).
- Injury or illness of the horse as determined by the Team Veterinarian (and supported by a member of the Veterinary Advisory Group).
- Violation of the USEF Code of Conduct (Attachment C).
- Violation of the USOPC Code of Conduct and Grievance Procedures (Attachment K).
- Failure to comply with the USEF Safe Sport Policy (Attachment E) and U.S. Center for SafeSport Code.

C. An athlete may be removed from the FEI Nominated Entries, FEI Definite Entries, Team, or USOPC Final Entry at any time for violation of IOC, WADA, FEI, USEF, and/or USADA anti-doping protocol, policies, and procedures. In such instances, the adjudication process will be managed through USADA.

IV. REPLACEMENT OR SUBSTITUTION OF HORSE/ATHLETE COMBINATIONS

A. Following is the process by which the substitute/replacement pool of athletes will be identified:

The list of athletes on the FEI Nominated Entry will comprise the pool of substitute athlete/horse combinations. NOCs will be required to nominate to Tokyo 2020 the long list of all Athletes who could possibly be entered for the Olympic Games by the Accreditation Deadline on 10 April 2020. Any substitution Pre-Competition Change made according to these Rules must be made with an Athlete entered on this list. Refer to FEI Olympic Regulations Article 609.1.3.

B. Replacement athletes and horses will be selected, should a vacancy occur, according to the following method:

1. **Prior to acceptance of the Final Entries by the USOPC:** Once the Team for the Event has been announced and prior to the submission of the Nominated entries (by no later than June 8, 2020), Team Members and replacements may be replaced with replacement horse/athlete combinations for any of the reasons outlined in III.A (reasons for removal). If an athlete on the team has been named with a Direct Reserve horse and the horse on which they were initially named to the team has to be substituted, the horse will be replaced by the Direct Reserve horse. Any replacements for veterinary reasons must also have the approval of the Team Veterinarian (and members of the Veterinary Advisory Group if requested). Any substitutions for medical reasons must also be documented by a physician(s) approved by the USEF International Disciplines Council.
2. **After the acceptance of the USOPC Final Entries and until the Last Date for Replacements (see Section I.C(5)):** FEI Olympic Regulations 24th Edition Article 609 *Pre-Competition Change* applies. After acceptance of the USOPC Final Entries and until the Last Date for Replacements, USEF has the ability to recommend to the USOPC to replace athletes and/or horses on the USOPC Final Entries for reasons set forth in Section III.B. During this time period, athlete/horse combinations on the Team may be replaced with a Reserve athlete/horse combination or a horse on the FEI Nominated Entries; and the Reserve may be replaced with athletes and horses on the FEI Nominated Entries. Replacements may only be made by recommendation of the Chef d'Equipe, with approval of the Selectors, and in accordance with the FEI Regulations for Equestrian Events at the Olympic Games 24th Edition, Article 609 and/or for reasons set forth in Section III.B and subject to approval by the USEF Chef de Mission and the USOPC. To fill openings, if they occur, the Chef d'Equipe, in consultation with the Selectors and Team Veterinarian, will evaluate the athletes and horses named to the Team, the Reserve, and the FEI Nominated Entries and may recommend that a replacement be made. In the event that there are Direct Reserve horses named for specific athletes, those are automatically substituted should the

- horse on which the athlete is originally named become unavailable. The Chef d'Equipe and Selectors when evaluating athletes and horses will base their evaluations on the factors outlined in Section I.C.1.b. Any substitution for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must also have the approval of the Veterinary Panel approved by the USEF International Disciplines Council. Any substitution for medical reasons must be documented by a physician(s) who is approved by the USEF or USOPC.
- 3. Last Date for Replacements:** The Last Date for Replacements is the last date at which an athlete or horse selected to travel to the Event can be subject to a Pre-Competition Change with an athlete or horse not previously selected to travel. For the avoidance of doubt, only four horses can travel to the Event. The date will be based on when the U.S. horses fly to the Event, where they fly from, the number of athlete/horses taking part in Pre Export Quarantine prior to departing to the Event and the availability of space on the Official Tokyo Horse flights departing at a later date and the practicality of travelling a horse at a later date. The date will be decided by the USEF Director of Sport in consultation with the designated horse shipping company, Peden Bloodstock, the Managing Director of Eventing, Chef d'Equipe, and Team Veterinarian. The date will be published to all athletes selected to the FEI Nominated Entry List as soon as it can be decided upon. To clarify, horses will only be allowed to travel to the Event on the Official Horse flights as organized by the designated horse shipping company, Peden Bloodstock.
 - 4. After Last Date for Replacements and until two hours before the scheduled starting time of the Dressage test:** During this time period, Team members may only be replaced with the Reserve as outlined in FEI Regulations for Equestrian Events at the Olympic Games 24th Edition, Article 609 – Pre-Competition Changes. However, the reason for a substitution must only be because either the athlete is unfit to compete **due to injury or illness**, or there is a strong veterinary case for substitution, or the performance of the Team member has dropped off to such an extent that for the success of the Team a substitution is vital. The latter situation will almost certainly occur as a result of a veterinary problem, which may or may not be possible to define given the time/resources available at the time. Replacements may only be made by recommendation of the Chef d'Equipe, with approval of the Eventing Team Leader and USEF Chef de Mission, and in accordance with the FEI Regulations for Equestrian Events at the Olympic Games 24th Edition, Article 609 or for reasons set forth in Section III.B and subject to approval by the USOPC Chef de Mission. Any replacement for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must also have the approval of the Team Veterinarian. Any replacement for medical reasons must be documented by a physician(s) physically present at the Event venue approved by the USOPC. Any replacement for veterinary reason must also be approved by the Team Veterinarian. Any replacement for medical reasons must also be documented by a physician(s) approved by the USOPC.
 - 5. Declaration of Starters:** Will be done in accordance with Article 624 of the FEI Regulations for Equestrian Events at the Olympic Games. At this point, the Chef d'Equipe will declare the starting order within the Team.
 - 6. After the Declaration of Starters and up to two (2) hours before the scheduled starting time of the first Dressage Test (pending approval of The Late Athlete Policy approved for the 2020 Tokyo Olympic Games by the FEI Bureau):** After the Declaration of Starters and at the following times during the competition:

 - After the Dressage Test and up to two (2) hours before the start of the Cross Country Test; or
 - After the Cross Country Test up to two (2) hours before the start of the Team Jumping Test.

The USEF has the ability to substitute athletes and/or horses as follows:

Substitution can only happen if either:

- a. The athlete agrees in writing to the substitution and the substitution is supported by the Chef d'Equipe, Team Leader, and either the Team Veterinarian and / or a USOPC approved Physician.
- b. The horse is unfit to continue competing. The reason for this must be documented and supported by the Team Veterinarian and by a member of the Veterinary Advisory Group or an OCOG appointed, FEI approved, Treating Veterinarian. The decision must be supported by the Chef d'Equipe and Team Leader.
- c. The athlete is unfit to compete. The decision must be supported by two USOPC approved Physicians, the Chef d'Equipe and Team Leader.

C. Group or committee that will be responsible for making athlete substitution determinations:

1. **Group or committee who determines the substitution/replacement pool:** USEF Eventing Selectors, USEF Team Veterinarian, USEF Eventing Sport Committee or its designees, USEF Board of Directors or Ad Hoc Selection Group approved by the Board of Directors.
2. **Group or committee who determines a substitution/replacement to the team:**
 - a. **Prior to acceptance of the USOPC Final Entries:** USEF Eventing Selectors, USEF Team Veterinarian, USEF Eventing Sport Committee or its designees, and the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.
 - b. **After the acceptance of the USOPC Final Entries and until the Last Date for Replacements:** By the USOPC upon recommendation of the USEF Chef de Mission, Chef d'Equipe, USEF Eventing Selectors, and USEF Eventing Team Veterinarian.
 - c. **After the Last Date for Replacements and until two (2) hours before the start of the Dressage Phase:** By the USOPC upon recommendation of the USEF Chef de Mission, Eventing Team Leader, Chef d'Equipe, and USEF Eventing Team Veterinarian.

V. SUPPORTING DOCUMENTS: USEF will retain all supporting documents, including evaluation forms and data from the selection process, for six months past the date of the Closing Ceremonies of the 2020 Olympic Games.

VI. REQUIRED DOCUMENTS: The following documents are required to be properly executed by applicants and horse owners as a condition of nomination for the Event and are included as attachments:

- Application (Attachment A)
- Horse Loan Agreement (Attachment B)
- USEF Code of Conduct (C)
- USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment D)
- USOPC Code of Conduct and Grievance Procedure (Attachment K)

Applicants and horse owners will be required to certify that they have received and read a copy of the USEF Code of Conduct and USEF Safe Sport Policy and that they understand them and agree to be bound by them. Once the nominated athlete/horse combinations have been approved by the USOPC, athletes are under the jurisdiction of the USOPC Code of Conduct and Grievance Procedures.

In addition, all persons participating in any decision made pursuant to the Selection Procedures, including but not limited to the Selectors, the Team Veterinarian, and members of the Veterinary Advisory Group, Physicians, members of the USEF Eventing Sport Committee or its designees, the International Disciplines Council, and the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors, will be required to abide by the USEF Code of Ethics and Conflict of Interest policies (Attachment J).

Reference is made to the attached USEF Code of Ethics and Conflict of Interest Policies for a full and accurate statement of the requirements of those policies. In particular, however, no individual may participate in a particular phase of the selection process if, after full disclosure, the individual is found by the applicable committee to have a disqualifying conflict of interest in the particular phase of the selection process in question per the attached USEF Code of Ethics and Conflict of Interest policies.

VII. ADDITIONAL DOCUMENTS: Applicants will be required to read the USEF Athlete Agreement (Attachment I) and are requested to sign this document.

VIII. PUBLICITY/DISTRIBUTION OF PROCEDURES: The USEF Board of Directors approved Selection Procedures (complete and unaltered) will be posted/published by USEF in the following locations and will include the USEF approval date when known:

- USEF website: www.usef.org – These procedures will be posted as soon as possible, but not more than 5 business days following notice of approval by the USEF Board of Directors.
- Other: The Selection Procedures and Application Packet will also be available on USEF’s website at www.usef.org.

IX. DATE OF NOMINATION: The Nomination of Athletes form, including replacements, will be submitted to the USOPC no later than June 8, 2020.

X. MANDATORY TRAINING AND/OR COMPETITION: Location, schedule, and duration of mandatory competition/outing: The location, schedule and duration of the Preparation Event will be posted on the USEF website no later than April 15, 2020. The details of the Mandatory Training will be communicated directly to the athletes.

XI. ANTI-DOPING REQUIREMENTS: Athletes must adhere to all WADA, FEI, USEF, and USADA protocols, policies, and procedures. This includes participation in out of competition testing as required by WADA, FEI, USEF, and/or USADA rules, if applicable.

XII. DEVELOPMENT OF SELECTION PROCEDURES: The following committees/groups were responsible for creating these Selection Procedures: USEF Eventing Eligible Athletes Committee, USEF Eventing Sport Committee or its designees, the International Disciplines Council, and the USEF Board of Directors. See Attachment G for list of USEF committees, their roles, and list of members.

XIII. USEF BYLAWS AND GRIEVANCE PROCEDURES: The USEF Bylaws and Grievance Procedures can be found on the USEF’s website: www.usef.org.

XIV. INTERNATIONAL DISCLAIMER: These procedures are based on FEI rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in FEI rules and regulations will be distributed to the affected athletes immediately. The selection criteria are based on the latest information available to USEF. However, the selections are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

If any force of nature, or force majeure, should cause the alteration or cancellation of any of the selection events listed in this document, these selection procedures will be revised, pursuant to their resubmission to the USEF.

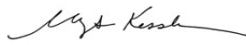

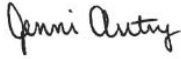
XV. ATHLETE OMBUDSMAN: Athletes who have questions regarding their opportunity to compete that are not answered by USEF may contact the USOPC Athlete Ombudsman's Office by:

- Telephone at (719) 866-5000
- Email at athlete.ombudsman@usoc.org
- Kacie Wallace, Athlete Ombudsman (Kacie.Wallace@usoc.org)
- <http://www.teamusa.org/For-Athletes/Athlete-Ombudsman.aspx>

XVI. FUNDING DISCLAIMER: Selection to the Team does not guarantee that funds will be available to send a team.

XVII. DISCLAIMER: See Attachment D – USEF Release, Assumption of Risk, Waiver and Indemnification.

XVIII. NGB SIGNATURES: I certify that I have read, understand and incorporated our IF standards/criteria into our Selection Procedures and that the information provided herein regarding Athlete Selection Procedures represents the method approved by the United States Equestrian Federation.

Position	Print Name	Signature	Date
USEF President	Murray Kessler		8/12/2019
USOPC Athlete's Advisory Council Representative*	Allison Brock		09/10/2019
Managing Director, Eventing	Jenni Autry		08/12/2019

Any questions regarding these Selection Procedures should be addressed to Jenni Autry, USEF Managing Director, Eventing, United States Equestrian Federation, 4001 Wing Commander Way, Lexington, KY 40511; (859) 225-2052 or by email: jautry@usef.org.

Approved by the USEF Eventing Sport Committee on: August 7, 2019

Approved by the USEF Board of Directors on: August 9, 2019

DEFINITIONS

BOLDNESS – Whether the horse/athlete combination moves forward with confidence, aggression, and vigor demonstrating a positive attitude. When presented with a question (any situation that the horse/athlete must answer to successfully negotiate the test, e.g., an obstacle, change of terrain, handling crowds, jumping into water, etc.), whether the horse/athlete combination answer the question with the same positive attitude.

EVENTING ATHLETE REPRESENTATIVE – An athlete will be considered an Eventing Athlete Representative as defined by the USEF in Part IV-Athletes, Bylaw 401 of its Bylaws and the USOPC in Article XVII.17.7, B.3 of its bylaws as eligible for membership on a “designated committee” if he/she has:

- i) Within the past ten (10) years represented the United States in the Olympic or Pan American Games, or an Operation Gold event, or a World Championship recognized by the FEI for which a competitive selection process was administered by the USEF

and/or

- ii) Within the past twenty-four (24) months demonstrated that they are actively engaged in amateur athletic competition by finishing in the top half of the NGB’s national championship or a team selection competition for the events specified in paragraph (i) above.

FITNESS – whether the horse competed at a high level more frequently than is consistent with delivering a peak performance at the Event for which selection is being made. Whether the horse demonstrated in training the modicum of preparation necessary for preparedness for the rigors of the Event for which selection is being made.

INTERNATIONAL EXPERIENCE – a competitive record over the past two (2) to three (3) years that indicates that the horse/athlete combination has successfully met the challenges of FEI international events, demonstrating an ability to perform under pressure consistently.

LOSS OF FORM – deterioration in performance, demonstrated inability to produce results at the level at which the combination was performing at the time at which they were selected.

RIDEABILITY – whether the horse exhibits in all three tests – dressage, cross country, and show jumping – that it responds readily to the aids (directions) of the athlete as a result of his training; whether he moves forward, slows down, turns, and jumps any obstacle with composure, obedience, and confidence in himself and the athlete.

SPEED – evidence that in the international record of the horse/athlete combination, and in the final trials for the Team, that the horse/athlete combination shows the ability to complete the Event distances at the speed demanded.

SUBSTITUTE – replacing a horse/athlete combination after the FEI Definite Entries have been submitted.