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CHAPTER 1 DEFINITIONS

SUBCHAPTER 1-A DEFINITIONS

GR101 Adult or Senior (Individual)

1. An individual who has reached their 18th birthday as of December 1 of the current competition year.
   a. For competition purposes, in the Dressage division, competitors shall compete as Adults from the beginning of the calendar year in which they reach the age of 22.
   b. For the Eventing Division, see EV108.
   c. For Paso Fino Division, see PF106.1.
   d. For the Arabian Division, see AR110.
   e. For the AMHA Medal Classes, see MO165.
   f. For the Endurance Division, see EN102.

GR102 Age (of Equine)

1. For competition purposes any horse is considered to be one year old on the first day of January following the actual date of foaling.
   a. Exception: In the Paso Fino Horse Division, For purposes of determining the appropriate class for competition at a specific horse show, a horse's age is determined in months from the foaling date to the last day of the month preceding the month of the show.
   b. Some breed/disciplines may, for purposes of eligibility to compete, use the actual age of the animal (of foaling date).
2. A mature horse is one that is over four years of age.
3. A junior horse is one that is four years of age or younger. Exceptions:
   a. Junior Breeding or In-Hand classes are open to horses two years old and under. Junior Horse performance classes held in the Arabian, Friesian, National Show Horse, and Western Division(s) are open to horses five years old and under. Senior Breeding or In-Hand classes are open to horses three years old and over.
   b. For Andalusian/Lusitano, see division rules.

GR103 Age (of Individual)

1. The age of an individual on December 1 will be maintained throughout the entire competition year.
2. Persons born on December 1 will assume the greater age on that date.
3. If a competition is in progress on any November 30, an individual's age at the start of the competition will be maintained throughout the competition.
4. Exceptions: see GR150, EV104, DC912, DR119.3, EN102, RN104 and PF106.1-.4.

GR104 Agent

Any adult or adults, including but not limited to any groom(s), veterinarian(s), coach(es) or other persons who act on behalf of an exhibitor, owner or lessee of a horse, trainer, rider, driver or handler at or in connection with a Licensed Competition.

GR105 Amateur

See GR1306 and GR1307.

GR106 Amateur Classes

1. Every contestant must hold amateur status. See GR1306 and GR1307.

2. Amateur classes may be restricted to riders, drivers, or handlers who are no longer eligible to compete as a junior exhibitor. In the Dressage Division, individuals are only eligible to compete as amateurs from the beginning of the calendar year in which they reach age 22. See DR119.3.

GR107 Clients

1. As used in GR1304, GR1006 and GR1041, "client" and "clients" of a judge, steward or technical delegate shall include:
   a. Any person who has received, or is going to receive, or who has a member of their family who has received, or is going to receive, horse training or instruction in riding, driving, vaulting, or showing in hand or in halter from the judge, steward or technical delegate or from said official's employee, whether or not remuneration has been given or received, or is going to receive, and whether or not such training or instruction took place at a Licensed Competition;
   b. Any person whose relationship has not been terminated at least thirty (30) days prior to the start of a competition;
   c. Any persons who pay horse board (excluding stud fees and broodmare board) to the judge, steward, or technical delegate, or to a member of their family; and
   d. Any persons entered in a Licensed Competition as rider, driver, vaulter, handler, exhibitor, owner or lessee, and members of the family of the foregoing, on an entry blank signed in any capacity by the judge, steward or technical delegate or their agent, employee or member of their family, whether or not remuneration has been given or received.
2. The conducting of clinics or assistance in group activities will not be considered as instruction, coaching, or tutoring. Private instruction may be given in clinic settings, so long as the relationship between clinician and participant is limited to the clinic and will not extend beyond the clinic so as to form a Trainer/Client relationship.
   a. For purposes of these rules, a "clinic" is an equestrian educational opportunity between a professional and a rider/driver/vaulter/handler where the relationship between the clinician and the participant is limited in time to the clinic and is not ongoing, outside of future clinic participation, whether the education is provided in a group or individual setting.
   b. Exception: Individuals competing in a Federation licensed dressage competition are not permitted to participate in a clinic (group or private) within 30 days prior to a competition where the judge/clinician is officiating a class where the clinic participate competes.

   BOD 6/20/23 Effective 12/1/23

GR108 Coach

For purposes of these rules, a coach is defined as any adult or adults on the competition grounds who has or shares the responsibility for instructing, teaching, schooling, or advising a rider, driver, handler, or vaulter in equestrian skills. See also GR908.

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GR109 Commencement and Completion of Classes

1. Refer to GR829.
2. In classes where horses compete collectively, a warning is issued and the in-gate must be closed two minutes after the first horse enters the ring.
   a. Judging must not commence until the gate is closed or at the end of the two-minute call. An official timer must be appointed to enforce this rule.
3. Exception: Dressage or Western Dressage division.
4. For Paso Fino, see PF102.7.
5. In a class where horses compete individually, a class is considered completed when all horses have completed the class routine as designated by the rules.

6. In classes where horses compete collectively, a class is considered completed when the class has been judged in accordance with the rules and the judge(s) submit their cards to the ringmaster or announcer.
7. None of the above applies to the Jumper division. Refer to Chapter JP.

GR110 Comparable Dates

1. For competitions with a start date of December 1, 2008 or later, the following provisions will be in effect:
   a. For the 2009 competition year and thereafter, comparable dates will be based on competition's 2006 dates using the Memorial Day Date Rotation Axis. Under the Memorial Day Date Rotation Axis, all Federation competition dates rotate in conjunction with Memorial Day.
   b. For the 2009 competition year, any competition that did not hold a license for the 2006 competition year, but received a license for the 2007 and/or 2008 competition year, will have priority over new competitions requesting dates. However, competitions with licenses for the 2006 competition year will have priority over any of the above mentioned competitions.
   c. For purposes of determining mileage between competitions, quarters will be defined as follows: first quarter - December through February; second quarter March through May; third quarter - June through August; fourth quarter - September November. The first day of a competition is the date used to determine the mileage applied to the entire competition.

GR111 Competition Dates

A competition or competition date begins at 12:01 am on the calendar date on which the first class (or Horse Inspection, if applicable) is scheduled and ends at midnight on the calendar date on which the last class is scheduled.

GR112 Competition Staff

Includes and refers to the following persons: Gate Attendants, Ring Clerks, Farriers, Timekeepers, Announcers, Ringmasters and other persons engaged directly by the competition.

GR113 Competition Officials

Includes and refers to the following persons: Directors, Officers, Chairman of the Show Committee, Manager, Secretary, Licensed Officials, and Veterinarians.

GR114 Competition Terminology

1. For the purposes of Federation rules, the following definitions apply to Federation Licensed Competitions.
   a. Rated class - any class held on a Licensed Competition date that counts towards the division or section rating. (See Chapter 3).
   b. Unrated class - any class held on a Licensed Competition date that does not count towards the division or section rating, or any class held at an unrated Licensed Competition. (See GR307.3)
   c. Recognized class - any class held on a Licensed Competition date for which there are Federation division rules. (See GR301 or GR902.3).
   d. Unrecognized class - any class held on a Licensed Competition date in accordance with the requirements of GR301.1 or GR902.3. (See GR902.2).
   e. Division - group of rules pertaining to a specific breed or discipline (i.e. Morgan, Jumping).
   f. Section - group of rules within a specific breed or discipline division that pertains to a type of class (i.e. Working Western Section within the Arabian Division).

GR115 Competition Year

1. For the purposes of these rules, the competition year is defined as starting December 1 and ending November 30.

a. The Paso Fino competition year is defined as starting September 1and ending August 31.
b. A USHJA Hunter or Jumper Zone may define its competition year as starting October 1 and ending September 30, providing it makes this determination prior to June 1 of the year preceding the new competition year. If a Zone elects to change its competition year, it must keep that change for a minimum of three (3) years.

GR116 Competitor

The horse, rider (handler, driver) or horse/rider combination being judged depending on the judging specifications for a particular competition.

GR117 Disqualification

1. To exclude a competitor or horse, for cause, from participation in a given class, division or competition.
2. Competitors may be disqualified by the Licensed Competition.
3. If a competitor is disqualified following the completion of a class, for the purpose of determining the number of horses which have been entered, shown, and judged, said competitor's performance shall count. If the competitor received an award, the award must be forfeited. A competitor disqualified in this manner may not use this class as a qualifying class for a Championship (see also GR809.2.).

GR118 Elimination

1. See also GR1305 and specific division rules.
2. To exclude a competitor or horse, for cause, from judging consideration in a class.
3. A competitor who is eliminated is ineligible to receive an award regardless of the number of competitors in the class.
4. If an eliminated competitor completes a class, in accordance with GR116 and GR117, for the purpose of determining the number of horses which have been entered, shown, and judged, said competitor's performance shall count.
5. None of the above applies to the Jumper division. Refer to Chapter JP.

GR119 Excused

1. To have a judge(s) grant permission to, or request that a competitor leave the class.
2. A competitor who is excused is ineligible to receive an award.
3. None of the above applies to the Jumper division. Refer to Chapter JP.

GR120 Exhibition (Class or Demonstration)

1. An Exhibition may be held as a recognized but unrated class or demonstration, as required by division rules. See DR136 for rules pertaining to exhibition classes and demonstrations at Dressage Competitions. See HJ139 for rules pertaining to exhibition classes and demonstrations at Hunter, Jumper or Hunter/Jumper Licensed Competitions.
2. Exhibition classes must be advertised in the prize list and may be judged and ranked. At any Federation Licensed Competition, Exhibition classes may not be restricted to Friesians.
   a. However, results may not be counted for high score awards or championships.
   b. If published, the rankings report must be clearly separated from competition results and noted as being from an exhibition.
   c. Judges for breed or Western exhibition classes held at Licensed Competitions are not required to be licensed by Federation.
   d. Judges for Western Dressage exhibition classes must be licensed as described in WD124.1.
3. Exhibition demonstrations may also be held using horses individually, in groups or in entertainment acts. Such demonstrations cannot be held as a class.

4. Horses used in exhibitions, demonstrations, and retirement ceremonies are subject to the provisions of GR839, but are exempt from the dress and saddlery rules of the competition.

GR121 Exhibitor

1. The owner or lessee of a horse when entered in a class where only the merits of the horse or horse/rider combination are to be considered. In Equitation Classes, Exhibitor refers to the rider.

GR122 Falls

1. A rider is considered to have fallen when they are separated from their horse, that has not fallen, in such a way as to necessitate remounting or vaulting into the saddle.
2. A horse is considered to have fallen when the shoulder and haunch on the same side have touched the ground or an obstacle and the ground. Exception: see EV142.4, EV150.9 and RN103.5.l.

GR123 Family

For competition purposes, the term family includes spouse, parent, step-parent, child, brother, step-child, sister, half brother and sister, aunt, uncle, niece, nephew, grandmother, grandfather, grandchildren, and in-laws of the same relation as stated above.

GR124 Farm/Business

1. Life Recorded Farm/Business. A Life Recorded Farm/Business is any farm, ranch, syndicate, partnership, corporation, business that attains Life Recording upon a single payment of the fee established by the Federation. A Life Recorded Farm/Business shall have all the rights and privileges of membership and be subject to all liabilities and penalties, which may be imposed upon Members. They shall be exempt from annual dues.
2. Annual Recorded Farm/Business. An Annual Recorded Farm/Business is any farm, ranch, syndicate, partnership, corporation, business that attains Annual Recording through annual dues.

GR125 Gender

Wherever the context shall require, the singular pronoun “they” and its derivative forms (e.g. “them” and “their”) shall be construed to include people of all genders.

GR126 Hand

1. The height of all animals is stated in hands. A hand is 4”.
2. Horses must be over 14.2 hands. Exceptions:
   a. Registered Arabians, Half or Anglo Arabians, Connemaras, English Pleasure entries, Morgans, Paso Finos, and Welsh Cobs.
   b. Dressage.
3. Ponies are 14.2 hands and under. Exceptions:
   a. Dressage.
   b. Combined Driving, see DC930.

GR127 Horse

1. The term “horse” as used in these rules denotes either a horse or pony.
2. In all levels of all Federation licensed Driving and Endurance Competitions and in the case of any other Federation Rule as it relates to the Driving or Endurance disciplines as the context permits it, the term “horse” shall also include a mule. See DC Annex 9, EN102.1.

3. Mules are also eligible to compete in jumper and dressage classes with the exception of the following dressage classes:
   a. USEF Championships, USEF qualifying and selection trials, and observation classes;
   b. any other classes designated as qualifying or selection classes for international or international high performance competition, and championships where such participation is prohibited in the championship selection procedures. See DR119.1.
4. When the term “Horse” or “Pony” is used or intended in prize lists and catalogues of Licensed Competitions where height is one of the qualifications of the class, the word Horse denotes animals over 14.2 hands. Exceptions:
   a. Registered Arabians, Half or Anglo Arabians, Connemaras, English Pleasure entries, Friesian, Icelandics, Morgans, Paso Finos, Welsh Cobs, and Dressage.
   b. In the Dressage Division, a Horse is an animal over 148 cm without shoes, and 149 cm with shoes.
   c. For Combined Driving, see DC930.

GR128 Junior

1. An individual who has not reached their 18th birthday as of December 1 of the current competition year.
2. The age of an individual on December 1 will be maintained throughout the entire competition year.
3. Persons born on December 1 assume the greater age on that date.
4. If a competition is in progress on any November 30, junior status at the start of the competition will be maintained throughout that competition.
5. Exceptions: Eventing, Dressage, Reining, Arabian and Paso Fino Competitions, see EV108.2, RN104.c, PF106.1-3, DR119.3 and AR110.

GR129 Ladies’ Classes

1. Ladies’ classes may be restricted to riders, drivers, or handlers who are no longer eligible to compete as a junior exhibitor.
2. Stallions are not permitted unless division rules allow their use for ladies.

GR130 Lessee

See GR1108.

GR131 License Rights

Granting of a license by the Federation does not give the Licensee property rights or perpetual rights but constitutes merely revocable licenses which are venue specific and which are governed by the terms and conditions of the license agreement and the rules, policies, and procedures of the Federation as the same may change from time to time. Approval of all competitions at all times remains a prerogative and property interest of the Federation, and licenses may not be relocated, transferred, sold, assigned, revised, perpetuated, limited, expanded, or otherwise affected without the Federation’s advance knowledge and written consent. By applying for and accepting the license agreement, all applicants and their agents, licensees, employees, officers, representatives, and successors in interest are deemed to agree to and be bound by the foregoing, and agree to hold the Federation harmless in all respects.

GR132 Licensed Competition

A competition subject to a license agreement for a fixed term. The parties to the agreement will be the Federation and the licensee.

GR133 Licensee

A Licensee is a person or legal entity who has obtained legal permission from the Federation to conduct a Licensed Competition.

GR134 Local Classes

1. Entry is restricted by management as to a territory of reasonable size and character and which is so described in the prize list and catalogue.
2. Local classes are not considered in reckoning Competition Championships awarded on points nor do they count toward Horse of the Year Awards.
3. Classes restricted to members of a club are considered Local unless membership is open to and easily obtainable by all exhibitors.
4. In classes which restrict entries by state such as Kentucky Bred, the word bred is defined as foaled in the state (not necessarily mated).
   a. For competition purposes bred means foaled.
   b. NOTE: American Bred classes are limited to horses foaled within the borders of the United States. These classes are not considered Local.
5. For Dressage Sport Horse Breeding (DSHB), see DR203.12.

GR135 Longeur

For purposes of these rules, a longeur is the individual responsible for the control of the horse within the vaulting arena during a vaulting competition.

GR136 Maiden, Novice, and Limit Classes

1. Maiden, Novice, and Limit classes are open to horses which have not won one/ three/six first place ribbons respectively, at Regular and Local Competitions of the Federation or Equestrian Canada in the particular performance division or level in which they are shown. See DR137 for all rules related to Maiden, Novice, and Limit classes in Dressage Competitions. See RD109.4 for all rules related to Maiden, Novice, and Limit classes in Roadster Competitions. See HK105.3 and HK142.5 for all rules related to Maiden, Novice, and Limit classes in Hackney competitions.
   a. Exception: Combined Driving Novice divisions are not limited by their winnings. See DC 908 for division eligibility requirements.
2. The Maiden, Novice, or Limit status of both riders and drivers is affected by winnings at Federation Regular and Local Competitions.
   a. Exception: Combined Driving Novice divisions are not limited by their winnings. See DC 908 for division eligibility requirements.
   b. Arabian - see AR110.8
   c. American Saddlebred - see SB103.12
   d. Roadster-see RD109.5 for rules related to Novice/Limit drivers.
3. Ribbons won in one-horse classes do not count in reckoning the maiden, novice, or limit status of either horse and/or rider/driver in any division.
4. The status of Maiden, Novice, or Limit entries is as of the closing date of entries for any particular Licensed Competition.
5. A Maiden, Novice, or Limit Pair is one which has not won more than the specified number of ribbons as a pair.
6. Winnings in Four-In-Hands, Tandems, Teams, Unicorns and Pairs and winnings in Combination, Local, Model, Breeding, and Futurity classes are not considered in reckoning the status of Maiden, Novice, or Limit horses.
7. Ponies or horses which lose their Maiden, Novice, Limit or other classification in any division or section will not regain those classifications when shown in the Hunter Pony division or Junior Hunter division. Ponies or horses shown in the Hunter Pony division or Junior Hunter division which lose their classification will not regain them when shown in any other divisions or sections, unless specific regulations are set forth (e.g. Jumper division).

8. In the Andalusian/Lusitano, Arabian, Friesian, Morgan,and Western divisions, ribbons won within one section do not count in the reckoning of Maiden, Novice, or Limit status if the animal competes in a different section (e.g., Arabian Park to Arabian Western Pleasure, Saddle Horse English Country Pleasure to Saddle Horse Hunter Country Pleasure, Western Pleasure to Trail, or Morgan English [Park or Pleasure] to Morgan Western or Morgan Harness [Park or Pleasure] and vice versa).
9. In the Hackney division, ribbons won within those sections (i.e. Hackney Harness, Hackney Pleasure) do not count in the reckoning of Maiden, Novice, or Limit status if the pony competes in another section of the Hackney division. However, ribbons won in the Roadster Pony section of the Roadster Division will count towards the maiden, novice, or limit status of Hackney Roadster ponies.
10. In all other divisions, ribbons won within one section or division do count in the reckoning of Maiden, Novice, or Limit status if horses and ponies compete in a different section or division.
11. In a change of status from horse to pony, or vice versa, ribbons won in one height classification do not count in reckoning the Maiden, Novice, or Limit status of an entry.
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GR137 Not in Good Standing

1. Any person referenced in GR701 who has been expelled or suspended by the Federation.
2. Any licensee suspended pursuant to GR703 or GR707 or who is indebted to the Federation pursuant to GR303.

GR138 Open Classes

1. An Open class is open to all horses and ponies of any age, size, or sex, regardless of previous awards received, in accordance with division rules.
2. There is no qualification for the rider, driver, or handler except as specified in division rules for the particular category or level of classes.

GR139 Opportunity Classes

1. Unrated, grass roots level classes held at breed restricted, multi-breed, Carriage Pleasure Driving, Western competitions, Western Dressage, or Hunter and/or Hunter Jumper competitions with no FEI recognized classes. Opportunity Classes cannot be held at FEI discipline competitions (exception: Dressage Competition Levels 1-3, per GR821). At any Federation Licensed Competition, Opportunity Classes may not be restricted to Friesians.
2. For further information, reference GR821, GR407.1 and GR901.9.

GR140 Owned by Them

1. For purposes of applying suspension only, the phrase “owned by them” with regard to a horse shall include any individual who is one of the following: an owner, a partial owner, a lessor (pursuant to GR703.1c, a lessee may apply for the release from suspension of a leased horse), a lessee, a holder of a partnership interest in a horse, or an owner of shares in a corporation, limited liability company, syndicate or any similar entity which owns or leases a horse either directly or indirectly, in whole or in part, and spouses or domestic partners of such persons. See GR703.1c, GR1301.4a, GR1302.2c, GR1308.2b, and GR913.1.
2. This rule is not applicable in determining ownership for any other purposes in the rules.

GR141 Owner

See GR1105 and GR1106.

GR142 Owner's Classes

1. Every competitor must be an amateur and the owner, or an amateur member of the owner's family, unless the prize list states otherwise. Exception: Paso Fino Division.
2. Owners' classes may be restricted to riders, drivers, or handlers who are no longer eligible to compete as a junior exhibitor.
3. Combined ownership is not permitted in Owner's or Amateur Owner's classes unless all owners are members of the same family. Exception: Paso Fino.
4. In the Arabian Division, combined ownership is permitted in Owner's or Amateur Owner's classes, per AR110.
5. Leased horses are not eligible.

GR143 Para-Equestrian

Para-Equestrian (PE) is a competition, division, or section that provides equestrian competition opportunities for eligible individuals with a diagnosed permanent, physical disability as determined by the Federation/FEI Classification, which is based on the FEI Profile System for Para-Equestrian. (See GR1311.)

GR144 Pony

1. Ponies are animals that do not exceed 14.2 hands.
   a. Exceptions: for Combined Driving, see DC930;
   b. In the Dressage Division, a Pony is an animal that does not exceed 148 cm without shoes, and 149 cm with shoes.

GR145 Professional

See GR1306.

GR146 Procedural Review

When a representative or committee of the Federation makes or recommends a determination that addresses an application or other request of a member, or makes a determination of any fine, fee, or other penalty under Federation rules, the aggrieved member may request a procedural review by the Co-Chairs of the Hearing Committee or their designees, provided that the request is made in writing to the Federation within 30 days of the determination, specifying the grounds for the procedural review.

GR147 Shown and Judged

1. To be shown and judged in any class in which horses compete together, an animal must perform at all required gaits both ways of the ring in the original workout and must remain in the ring until excused by the judge. Exceptions:
   a. Roadster Division, see RD104.
   b. Paso Fino Division, see PF102.5.

GR148 Trainer

1. Any adult, or adults who has the responsibility for the care, training, custody, or performance of a horse.
2. Said person must sign the entry blank of any Licensed Competition whether said person be an owner, rider, agent and/or coach as well as trainer.
3. Where a minor exhibitor has no trainer, a parent or guardian must sign and assume responsibility of trainer.
4. The name of the trainer must be designated as such on the entry blank. See also GR404.

GR149 Veterinarian

A graduate of an accredited veterinary school.

GR150 Young Rider

In the International Disciplines individuals are eligible as Young Riders or Young Drivers from the beginning of the calendar year in which they reach the age of 16 until the end of the calendar year in which they reach the age of 21.

SUBCHAPTER 1-B ADOPTION AND AMENDMENT OF FEDERATION RULES

GR151 Rules

1. In the event a division or section rule makes a clear exception to a general rule, or clearly departs from a general rule, the division or section rule shall govern; in all other instances, General Rules Chapters 1-13 shall take precedence.
2. The rules of the Federation take precedence over the rules of any other Association. (Exception: See GR915.2.) All divisions and sections for which rules are provided herein must be conducted accordingly and cannot be held under rules that are not in agreement.
   a. FEI rules take precedence as to international classes and events over Federation rules at all FEI Sanctioned Competitions.
   b. Federation rules take precedence as to national classes and events which are not FEI Sanctioned at FEI Sanctioned Competitions.
   c. In connection with Endurance Riding Events, The Federation shall nationally enforce the prohibition of the gastric ulcer medications ranitidine and omeprazole, in accordance with GR409.1.
   d. At FEI Sanctioned Competitions which include no national classes, a licensee is not required to have a Federation steward or technical delegate.
3. Any question not covered by the rules of the Federation shall be decided by the Directors of the competition at which it arises. Such decisions by a Show Committee or Competition Management may be reviewable by the Federation. Show Committees and Competition Management are cautioned not to make use of the authority here granted unless completely certain that the Book does not cover the points in question.
4. Standard rule changes become effective December 1 of the year approved, unless otherwise specified, and supersede prior rules. All competitions are governed by the rules in effect on the first day of the competition.
5. Every Licensed Competition and every person participating at the competition including exhibitor, owner, lessee, manager, agent, rider, driver, handler, judge, steward or technical delegate, competition official or employee is subject to the Bylaws and Rules of the Federation and to the local rules of the competition.

GR152 Rule Change Procedures

These rules may be added to, altered or amended at any meeting of the Board of Directors by a majority vote of those present as provided herein below. The Federation Legislative Committee is responsible for review, analysis, and oversight of the Federation's rule change process, subject to review and approval by the Board of Directors. Rule Change Categories: Standard, Clarification, Extraordinary, and FEI.

Federation Senior Staff, Federation Committees, Recognized Affiliate Associations (Recognized Associations and International Discipline Associations), and eligible Individual Federation members may propose rule changes. Individual Federation members are eligible so long as the member is a Lifetime member or has held a Federation Senior Active membership for at least five (5) consecutive years. If an Individual Federation member is ineligible to submit a rule change due to these requirements, the member may contact the appropriate Recognized Affiliate Association or Federation committee and request that either one consider proposing their desired rule change.

1. Standard Rule Changes.
   a. Standard rule changes must be submitted to the Federation on the Federation proposed rule change system within the deadlines posted on the Federation website in order to be considered within the current rule change year.

b. The proponent's name must be clearly listed on the official rule change form. When the proponent is other than an individual, upon request, such submissions shall be accompanied by minutes or certification signed by the Committee Chair or Recognized Affiliate Association's President that document the review and action taken at a meeting.
c. The Legislative Committee may reject a proposal for:
    1. failure to comply with the requirements of the official form;
    2. lateness;
    3. incompleteness;
    4. inexact or confusing language;
    5. a proposal that was disapproved the prior year;
    6. attempts to amend a rule or rules in effect for less than a year;
    7. proposing to change a rule that is solely within the Federation's purview
    8. any other specified reason in the Committee's discretion.
d. In the event the Legislative Committee rejects a proposal, the proponent must be notified in writing. Proponents of a rejected proposal may revise and resubmit a proposal. Any decision to reject a proposal made by the Legislative Committee shall prevail subject to review by the Board of Directors.
e. The Legislative Committee will designate review of the proposed rule change by any and all Federation Committees and each assigned entity deemed appropriate.

2. Rule Clarifications:
    a. Contradictory language, missing or incorrect phrasing, and other wording or punctuation errors that cause inconsistent interpretations of the intent of a rule, may be clarified via a Federation Official Rule Clarification form. This form will be submitted to the Rule Change Coordinator, who will obtain comments from relevant Federation Departments and Committees.
    b. The General Counsel, with the approval of the Legislative Committee, may approve clarifications and post them on the Federation website and include them in the next available Federation Rulebook. The General Counsel may immediately correct misspelled words, minor punctuation errors, missing or incorrect references and other similar clarifications deemed minor.
3. Extraordinary Rule Changes.
    a. An Extraordinary rule change is defined as: (i) one that, unless expedited, would create or continue a severe hardship or a gross unfairness to the Federation, its members or their horses, its Licensed Competitions, or its Recognized Affiliate Associations; or (ii) one that is certified by a Recognized Affiliate Association Board or Executive Committee by a formal vote that without passage would disadvantage the membership of the Recognized Affiliate Association. The rule change proposal form must contain a statement describing clearly how the proposed change meets the above criteria. The Rule Change Coordinator must then get certification that the proposed extraordinary rule change in fact, does meet the necessary criteria to bypass the normal rule change process. Such certification will come from the Legislative Committee in conjunction with the General Counsel, or at least two Federation Officers.
    b. Once the proposal is certified, and with a required minimum five business days notice, the Board of Directors at any meeting may entertain and take immediate action on such an Extraordinary Rule Change. However, the five-day notice requirement may be waived upon the affirmative vote of a majority of the Board present with the reasons for the waiver stated in their meeting minutes.
    c. Extraordinary Rule Changes shall be effective as specified by the Board of Directors and if not specified, shall be effective immediately upon passage.
    d. Following adoption of any Extraordinary Rule Change, prompt written notice must be given via the Federation's web site.
4. FEI Rule Changes. In order to comply in a timely manner with changes in FEI rules, which may be published by the FEI outside the Federation's normal rule change schedule, certification is waived and the Board of Directors may consider and act to conform to any FEI rule change as deemed necessary.

GR153 Presidential Modifications

1. Modifications may be made in the application of the Federation Rules under special circumstances (see Bylaw 332.1f). Requests should be submitted to the office of the President and will be forwarded to appropriate committee chairmen for their recommendations before being submitted to the President for consideration.

a. Senior Active Members of the Federation may submit a request for Presidential Modification to the Rules with supporting documentation and a non-refundable processing fee.
b. The President has the authority to waive the processing fee.
c. When a horse has a chronic condition and has previously been granted at least one annual Presidential Modification to Dressage or Eventing rules related to the condition, an application can be submitted for approval of a special three-year Presidential Modification related to the same condition. The application must be accompanied by sufficient supporting documentation that the condition is unlikely to improve during the three-year period.

CHAPTER 2 MEMBERSHIP CATEGORIES AND REQUIREMENTS

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CHAPTER 2 MEMBERSHIP CATEGORIES AND REQUIREMENTS

SUBCHAPTER 2-A MEMBERSHIPS

GR201 Membership

1. Competing Membership(s)
   Competing members are those individuals who desire to participate in Federation Licensed Competitions as Life or Active members as defined in Bylaw 212.
   a. Life Member. Life Members are those persons who have made a single payment of the fee, established by the Federation. They shall be exempt from annual dues.
   b. Active Member. Active Members are those Members who have paid the requisite annual dues. For purposes of these rules, 'Senior Active Members' are those Active or Life Members who have reached their 18th birthday. 'Junior Active Members' are those Active or Life Members who have not reached their 18th birthday, as provided for in GR128.
2. Non-competing Membership(s)
   Non-competing members are those individuals who do not desire to participate in Federation Licensed Competitions. Non-competing members are not eligible to participate as a licensed official, rider, driver, handler, vaulter, longeur, owner, lessee, agent, trainer, coach, competition manager, or competition secretary. The non-competing membership options are described on the membership application form.

GR202 Membership Requirements

1. To be eligible to participate as a rider, driver, handler, vaulter, longeur, owner, lessee, agent, coach, or trainer at Federation Licensed Competitions, persons must be Members of the Federation as provided in Bylaw 212. No organization, other than the Federation and its Recognized Breed and Discipline Affiliates, may require mandatory membership or a non-member fee as a condition of participation in a Federation Licensed Competition. (See GR1210.12 for further information regarding the collection of mandatory participation fees at Federation Licensed competitions).
2. All Competing Members of the Federation must designate a primary breed/discipline affiliation upon joining or must do so annually upon renewing. A member will be deemed to continue their primary designation unless prior to the record date (November 30 of each year) such Life Member notifies the Federation in writing of change. This shall be considered the member's affiliation for the purpose of allocating Voting Director seats as provided in Bylaw 211.
3. Lessees are considered owners in connection with this membership requirement. If a horse(s) is owned by multiple individuals, only one owner need be a Member or pay a Show Pass fee.
4. If a horse(s) is owned by a farm or any other entity, at least one of the horse's owners, either Farm/Business or individual, must also obtain an exhibitor registration pursuant to GR1106.
5. Non-member riders, drivers, handlers, vaulters, longeurs, owners, lessees, and agents must pay a Show Pass fee. Eligible exhibitors are prohibited from obtaining more than one (1) Show Pass per competition year.
6. Payment of Show Pass fees for purposes of competing does not entitle the individual to any other privileges of Federation membership.
7. To be eligible to compete at Federation Licensed Competitions, coaches, trainers, and legal guardians signing as coaches or trainers on behalf of their minors must be Senior Active Members in good standing, as defined herein.

GR203 Effective Date of Membership

1. Effective Date of Memberships
   a. Membership is effective the date the correct application and fees are received in the Federation office, with the following exceptions:
      1. For applications submitted at Licensed Dressage Competitions, applications are considered effective on the date the application and dues are received by the Competition Secretary provided the application is signed and dated by the Competition Secretary on that same day.

2. Applications completed online at any Dressage competition are effective the date the application is submitted.
3. For applications submitted at all Competitions other than Dressage Competitions, applications are considered effective on the start date of said Competition provided the application and dues are received by the Competition Secretary and the application is signed and dated by the Competition Secretary during the period of the Competition.
4. Applications completed online at any competition other than Dressage are effective, for points and eligibility to compete only, the start date of the Competition.

SUBCHAPTER 2-B AFFILIATED ASSOCIATIONS

GR204 Affiliated Associations

1. Recognized Affiliate Associations
   Recognized Affiliate Associations consist of corporations, organizations, and associations in good standing that have been approved by the Board of Directors of the Federation. See Bylaw 222.

   BOD 6/20/23 Effective 12/1/23

SUBCHAPTER 2-C NON-MEMBERS

GR205 Participation

A non-member, who wishes to participate as a rider, driver, handler, vaulter, longeur, owner, lessee or agent, at Federation Licensed Competitions, must pay a Show Pass fee for each competition in which competing. Lessees are considered owners in connection with this membership requirement. In the event of an entry under multiple ownership, only one owner need be a Member or pay a Show Pass fee. Eligible exhibitors are prohibited from obtaining more than one (1) Show pass fee per competition year. The competition is responsible for listing either the active member or the owner that paid the Show Pass fee in the results. Payment of Show Pass fees for purposes of competing does not entitle the individual to any other privileges of Federation membership.

SUBCHAPTER 2-D FEDERATION FEES AND DUES

GR206 Show Pass Fee

1. Federation Show Pass fee. The amount of the Show Pass fee will be established annually by the Federation. Eligible exhibitors are prohibited from obtaining more than one (1) Show Pass per competition year.
2. Recognized Affiliate Association non-member fee. A Federation Recognized Affiliate Association as defined under Bylaw 222, Section 1(a) and 1(b) may establish a non-member fee for their respective breed or discipline. If established, the amount of the non-member fee will be determined annually by the applicable Recognized Affiliate Association. This fee must be collected by all appropriate Licensed Competitions and remitted to the Recognized Affiliate Association (for exceptions see GR901.9).

GR207 High Performance Fees

1. International High Performance
   a. In order for an individual to be eligible to compete as a rider, driver, vaulter, or longeur, in competitions as defined herein, said individual must be a member of the Federation and must pay an International High Performance (IHP) Fee as noted below: (Exception: GR207.1k)
   b. The IHP fee is charged per entry at defined competitions in the United States as noted below for each discipline. The fee is capped per competition year. Please refer to the Federation website for policy and instructions on requesting reimbursement for payments over the cap in the same competition year.
      1. For purposes of this rule, an entry is defined as each horse, horse/vaulter combination, vaulting team, or driving single, pair or team.

    2. Competition secretaries are responsible for collecting the fees and sending them to Federation with the post-competition report.
  c. Dressage
    1. FEI Recognized Events [CDIO/CDI's, including the North American Junior and Young Rider Championships (NAJYRC)].
  d. Eventing
    1. FEI Recognized Events [CCIO and CCI competitions, including the North American Youth Championships (NAYC)].
  e. Jumping
    1. FEI Recognized Events [CSIO/CSI's, including for Children, Young Riders, Seniors, and the North American Junior and Young Rider Championships (NAJYRC)].
    2. Federation recognized Grand Prixes included on the USEF Show Jumping Ranking List (Maximum one fee per horse per competition).
  f. Driving
    1. FEI Recognized Events (CAIO/CAI's).
  g. Endurance
    1. FEI Recognized Events (CEIO/CEI's).
  h. Para-Equestrian
    1. FEI Recognized events (CPEDIO/CPEDI's) at the 3* level or above.
  i. Reining
    1. FEI Recognized Events [CRIO/CRI's, including the North American Junior and Young Rider Championships (NAJYRC)].
  j. Vaulting
    1. FEI Recognized Events(CVIO/CVI's)
  k. In order to compete in competitions in the US as defined above, foreign competitors must be a member in good standing of their National Federation and are required to pay the IHP per entry fee.
2. All Senior Active Members who receive funding from the Federation for High Performance training or competitions, if called upon by the Federation President, shall personally donate at least two days of service for each calendar year in which they have received funding. However, such service is not required to be given during the same calendar year in which funding is received. Such service may be, at the President's discretion, given to either the Federation and/or the members' respective affiliate. In person participation at Board and Committee meetings shall be credited toward fulfilling this service requirement. Such requested service shall not affect a member's amateur status. A member's competition schedules and prior commitments shall be taken into serious consideration by the Federation President when calling upon members to fulfill this requirement. An administrative penalty may be levied by the President for non-compliance at the recommendation of the Director for Sport Programs, and after consultation with the Regulation Director.

GR208 Competition Fees

1. For every horse participating in any competition licensed by the Federation, a Federation fee will be collected (a portion of the fee shall be an Equine Drugs and Medication fee to provide for research, inspection, and enforcement of rules regarding use of medications and drugs; see GR407.1, .2 and .3). Exception: Horses entered in classes exempted from the Equine Drugs and Medication fee are also exempt from the balance of the Federation fee.
2. The following fees may be paid by competitors when applying for membership at a competition: any fees as provided in GR206, GR207, GR208.1, and GR1307.3.

CHAPTER 3 COMPETITION LICENSING

SUBCHAPTER 3-A COMPETITION LICENSE APPLICATIONS

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GR302 License Application Policies and Procedures

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GR314 Mileage

SUBCHAPTER 3-D MILEAGE EXEMPTION AND COMPETITION LICENSE DISPUTES

GR315 Mileage Exemption

GR 316 License Application Disputes and Resolution

Preamble

The licensing authority, licensing decisions, and calendar management rest solely with the Federation. The Federation seeks to provide a competition environment that is in the best interest of the sport of Equestrian, provides sufficient opportunity for the development of equine and human athletes, and provides for viable competitions to meet the needs of the sport at all levels within a geographic area.

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CHAPTER 3 COMPETITION LICENSING

SUBCHAPTER 3-A COMPETITION LICENSE APPLICATIONS

For Eventing competitions occurring on December 1, 2022 and thereafter, if there is a conflict between these rules and the USEF FEI Eventing Calendar Policies and Procedures, the latter shall prevail. It should be noted that nationally rated Eventing competitions hosted with a FEI Eventing competition are subject to the USEF FEI Eventing Calendar Policies and Procedures. Exception: For Eventing competitions consisting exclusively of Showcases or Arena Eventing, occurring on December 1, 2022 and thereafter, the following rules apply regardless of level.

GR301 Agreement

1. All applications for a Federation license are accepted with the explicit agreement of competition Licensee that all classes (rated or unrated) to be held on a Federation licensed date must be recognized by the Federation and are governed by all applicable Federation rules, and that no unrecognized classes will be held on any date for which Federation recognition is requested, except that:
   a. Horse Trials at Eventing Competitions below the Modified Level.
   b. Eventing Tests at all levels.
   c. Draft Horses classes.
   d. Miniature Horse classes.
   e. Non-affiliated National Breed or discipline association classes.
   f. Vaulting levels/classes below A-Teams, B-Teams, C-Teams, Senior Teams, Junior Teams, Gold, Silver, Bronze, Senior and Junior Individuals, and Open Pas de Deux, Senior Pas de Deux and Junior Pas de Deux.
   g. Academy classes.
   h. Qualifying classes for Youth Reining classes or Reining classes at USA Reining and NRHA approved competitions.
   i. Exhibitions for which there are no breed or division rules.
   j. Hunter/Jumper competitions designated “Outreach” competitions by USHJA and limited to either one competition day or multiple competition days with a maximum of two competition rings (one hunter ring and one jumper ring) per day.
   k. Unrecognized classes may be held in conjunction with or during a Federation Licensed Paso Fino competition.
   l. These above named classes/levels can be held as unrecognized only provided a separate entry blank is used and the prize list and/or Omnibus clearly states that the classes are not recognized by the Federation.
   m. Exception: FEI rules take precedence as to international classes and events over Federation rules at all FEI Sanctioned Competitions. Federation rules take precedence as to national classes and events which are not FEI Sanctioned at FEI Sanctioned Competitions.

GR302 License Application Policies and Procedures

1. General Provisions.
   a. License Duration. The duration of the License agreements will be one (1) year. All Licensed Competitions are subject to a Competition Evaluation.
   b. Discretion to Approve or Deny Application. The approval of a new or renewal License Application shall not be unreasonably withheld. However, the Federation may reject a new or renewal License Application, if the Chief Executive Officer determines that the Licensee is unsuitable to host a Federation competition based on any of the following factors or any other factors that may be prejudicial to the best interest of the Federation or equestrian sport:
      1. Financial viability concerns;
      2. Safety matters;
      3. Failure to comply with any Federation rules;
      4. Breach of any Federation competition license agreement;

5. Information that the Licensee has a relationship with a third-party to host the competition for a fee or remuneration to the Licensee, or otherwise transfers benefits and obligations under the Federation License Agreement to another party for remuneration; or
6. Licensee is not actively engaged in hosting the competition.

c. Submission of Competition License Applications. Complete License Applications must be accompanied by all appropriate fees. Applications should be sent to the Federation using a method that provides delivery confirmation. The Federation is not responsible for undelivered applications. Applications must be received by the date specified in the policies. Applications for competitions, which are not made in accordance with the preceding requirements, will not be considered. The Federation shall provide written acknowledgement to all license applicants within 14 working days of receiving a completed license application and the applicable fees.

d. Holding of License Applications. License applications for competitions unable to be approved for any reason, excluding mileage conflicts and competitions that are awarded through a bid process, will be sent written notification by the Competitions Department and held for a period of 30 calendar days from the date of that notification to allow the competition in question to submit the information required, seek alternative dates, or make other license modifications. At the end of the 30-day period, if the application has not been completed or the 30-day period has not been extended by the Competition Licensing Department following the submission of a written request, the application will be considered to have been withdrawn and the dues will be refunded less a withdrawal fee pursuant.
   1. Except as provided herein, there will be no holding of applications or dues, and applicants must reapply annually. Applications that seek approval for a License to conduct a competition on a date that has been open for more than the web posting period will be accepted at any time with respect to the deadlines outlined in GR302. All applications for dates that have been open for more than the open date web posting period will be considered in the order received.

e. Competition Inspection. The Federation shall determine when a competition venue requires inspection(s). If the competition facility is not complete at the time of inspection, the Licensee must submit evidence that the facility will be complete by the competition start date to the satisfaction of the Federation. Any Federation required inspection(s) of a competition venue will be conducted at the applicant's expense.

f. Requests for Additional Information. The Federation may, at its discretion, request additional information from an applicant. Applicants are expected to supply all relevant information with their application. The license will define the obligations of both the Federation and the Licensee and are subject to nonrenewal or termination by either party.

g. Competition Calendar. Existing licensed dates and locations are posted on the Federation website. Competitions listed on the FEI calendar remain subject to Federation approval and may be removed from the FEI calendar if approval is not granted. When a date that has been licensed becomes available (New Open Date), it will be posted on the Federation website for 30 calendar days. Reasons a date may become available include, but are not limited to:
   1. A renewal license application and/or payment not being received by the Federation in accordance with the application requirements;
   2. Failure of the existing competition to achieve a satisfactory Competition Evaluation;
   3. Licensee is not in "good standing" with the Federation, or any other issues that may be prejudicial to the best interest of the sport;
   4. A cancellation, withdrawal, or revocation of a license.

h. License Modification. Any changes to the License agreement including location, dates, rating, level, or prize money, will require a license modification request and payment of a fee. Any modifications resulting in a conflict will result in the loss of Priority Date Holder status. Any modification not resulting in a conflict shall comply with the provisions set forth below in order to retain Priority Date Holder status. The Federation may or may not approve the requested changes. Exception: Eventing competitions offering intermediate level and below without mileage conflict requesting changes outlined in GR302.1h5 must follow the Eventing competition modification process outlined in GR302.2g
   1. Holding a competition on a date(s) other than that approved shall constitute a violation of the rules unless a request to change the date(s) is received in the Federation's office at least 30 calendar days prior to the competition and permission is duly given. Exception: Eventing competitions.
      a. For Eventing competitions, requests for change of competition date(s) that add or drop a day from the competition license must be received at least 10 calendar days prior to the first day of the competition.

b. For eventing competitions, requests for change of competition date(s) where the competition is requesting to move off the licensed or comparable week, must be received at least 120 calendar days prior to the first day of the competition.

2. Holding a competition at a location other than as stated on the date application shall constitute a violation of the rules unless a request to change the location is received in the Federation's office at least 60 calendar days prior to the competition date and permission is duly given. Exception: Eventing Competitions. For eventing competitions, requests for change of location must be received at least 120 calendar days prior to the first day of the competition.
3. Failure to obtain the permission of the Federation at least 30 calendar days prior to the competition to add a division, not offer an approved division, or change the rating of an approved division, constitutes a violation of the rules. Exception: Eventing Competitions.
   a. For Eventing competitions offering Intermediate level and below, requests to add a level above the highest level currently licensed, must be received at least 120 calendar days prior to the first day of the competition. Requests to cancel a level must be received at least 10 calendar days prior to the first day of competition.
4. A Licensee requesting a license modification involving a change of location that results in a mileage conflict may request to maintain Priority Date Holder status at the new location. Competitions that would be impacted by the change of location shall have the opportunity to submit written comments to the Federation prior to a determination. If a Licensee's request to maintain Priority Date Holder Status is denied, the Licensee may still request a mileage exemption.
5. For Eventing competitions, offering Intermediate level and below, any substantive change to the competition license (including request to add a level above the highest level currently licensed, change in location, change in comparable or licensed week) without mileage conflict shall follow the Eventing competition modification process outlined in GR302.2g.

i. Competitions that are not conducted in accordance with the terms of the license agreement will have breached the terms of the license agreement. Such breach may result in cancellation or nonrenewal of the license agreement, the Licensee may be ineligible for a license in the future, or other penalties under the provisions of GR707.

j. A licensee may advertise a new or renewing competition prior to receiving a license provided that the advertising clearly and prominently states that the competition is pending Federation approval.

2. New Competitions Without Mileage Conflicts.
   a. License applications for new competitions will be accepted beginning December 1 of each year for the subsequent competition year.
   b. A competition year begins on December 1 of the prior calendar year.
   c. Applicants should refer to the Federation Competitions Calendar for open dates.
   d. Applications for a new competition license agreement must be made on the form provided by the Federation and received by the Federation at least 60 calendar days prior to the start date of the competition. The Federation may accept applications for a new competition license agreement, subject to applicable late fees, between 60 and 30 calendar days prior to the start date of the competition. Exception: Eventing competitions, see GR302.2g.
   e. In the event that an applicant competition has a mileage conflict with an existing competition(s), an applicant may seek a mileage exemption in accordance with GR315.
   f. License Applications for new competitions that the Federation disapproves for any reason, including mileage conflicts, will be sent written notification by the Federation.
   g. Eventing competitions offering Intermediate level and below without mileage conflict:
      1. Eventing competitions offering Intermediate level and below without mileage conflict must submit a competition application or a request for modification to an existing competition or license, to the Federation no later than 120 calendar days prior to the start of competition. Eventing competitions offering Intermediate level and below with mileage conflicts are bound by the application timelines outlined in GR315 Mileage Exemption.
      2. Within 30 calendar days of receiving a new Eventing competition application or request for modification, the Federation's Competitions Department will notify the USEA of the application or request.
      3. Within 30 calendar days of receiving the application(s) and/or request(s) for modification from the Federation, the USEA shall provide the Federation with its recommendations regarding endorsement or licensure for all provided applications/requests.

    4. Within 15 calendar days of receipt of written comments from the USEA, the Federation CEO, or their designee, will convene with the Competitions Department to review all materials submitted with the competition application, and to provide a decision on the application.
    5. Within 7 calendar days of the decision, the Federation's Competitions Department will issue a decision letter to the applicant.
3. Renewing Competitions.
   a. License renewals, including the applicable fees, must be received within 60 calendar days of the last day of the licensed competition.
      1. License renewal applications received more than 60 calendar days after the last day of the applicable competition under an existing license will be considered as a new application consistent with GR302. A Licensee may request to renew their competition license by completing the applicable information on the post competition report providing that the Licensee signs this report and submits the applicable fee.
      2. The Federation shall notify a Licensee in writing as soon as possible, but no more than 90 days from receipt of a renewal request, if there are conflicts or other issues preventing renewal.
      3. The Federation shall provide a License Agreement to a Licensee as soon as possible, but not later than 90 days from receipt of a renewal request, if there are no conflicts or other issues preventing renewal.
   b. A competition will be eligible for renewal on comparable dates at the same location as long as the following conditions are met:
      1. The renewal and applicable fee is submitted within 60 calendar days after the last day of the current year's competition;
      2. The competition has a satisfactory Competition Evaluation;
      3. The Licensee is in good standing and does not have any outstanding dues, fines or fees owed to the Federation;
      4. A renewal is subject in all respects to the mileage rule and all other applicable rules, policies, and procedures in existence at the time of renewal.
   c. Competition Evaluation
      1. To the extent appropriate, additional information on the applicable Competition Evaluation process and procedures will be referenced in the License agreement. It is the responsibility of the Licensee to comply with all applicable published or otherwise noticed requirements for Licensed Competitions.
      2. Federation Licensed Competitions will be subject to a Competition Evaluation. The Evaluation is utilized to assist the Federation in determining if renewal of a license and the continued use of Federation dates are in the best interest of the sport of equestrian.
      3. Competition Evaluations will be conducted in accordance with Federation competition evaluation processes and procedures in addition to Competition Evaluation Reports, reports from Federation assigned Stewards and Technical Delegates, and Recognized Affiliate evaluation reports.
   d. Date Rotation. For competitions beginning December 1, 2008 or later, the following provisions will be in effect:
      1. For the 2009 competition year and thereafter, comparable dates will be based on a competition's 2006 dates using the Memorial Day Date Rotation Axis. Under the Memorial Day Date Rotation Axis, all Federation competition dates rotate in conjunction with Memorial Day.
      2. For the 2009 competition year, any competition that did not hold a license for the 2006 competition year, but received a license for the 2007 and/or 2008 competition year, will have priority over new competitions requesting dates. However, competitions with licenses for the 2006 competition year will have priority over any of the above mentioned competitions.
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GR303 Competition Fees, Account Review, and Insurance

1. Competition Fees. Competition fees will be posted to the Federation website and noted on applicable competition forms. Any changes to competition fees will be posted on the Federation website and will apply to the next competition year.
2. No competition dates will be licensed for a Licensee that has outstanding past due fees, fines, or other obligations of 60 days or more owing to the Federation with respect to any past Licensed Competitions.

3. Any Licensee who fails to pay sums owed to the Federation, or who makes payment for fees to the Federation which is not negotiable, will be notified by the Federation of its indebtedness and subject to the applicable policies of the Federation. The Federation will provide Licensees with online access to review their entire competition accounts.
   a. If any Licensee affected by GR303.3 disputes that the amounts in question are owed or unpaid, the Licensee may request to have a procedural review by the Co-Chairs of the Hearing Committee or their designees, provided their written statement specifying the grounds for such review is received at the Federation's office, along with a fee, in accordance with the applicable policies. The fee will be refunded if the dispute is settled in favor of the Licensee.
   b. In the event a Licensee makes non-negotiable payment for fees to the Federation on three or more occasions, said Licensee is subject to further disciplinary action.
4. A Licensee may request an account review from the Federation. The request must be submitted in writing and a fee for each competition and each requested account review year will be charged. An account review is an investigation into a Licensee's financial dealings with the Federation. If material staff error is discovered, the fee will be refunded.
5. A Licensee may request a research of Federation records concerning the history of a competition date. The request must be submitted in writing and a fee will be charged per each request. The fee must accompany the request. If material staff error is discovered, the fee will be refunded.
6. Competition Licensees must provide the Federation with a valid certificate of insurance, which names the United States Equestrian Federation, Inc. as an additional insured for each day of the competition, including set-up and take-down days, with at least $1,000,000.00 coverage for third party general liability and $50,000.00 coverage for equipment and property. Such certificate of insurance must be received by the Federation at least 15 days prior to the first day of the competition.

   Noncompliance with this rule will result in a fine and/or termination of the Competition License Agreement and cancellation of the competition pursuant to GR304, in accordance with the following:

   (a) Fine. A Competition Licensee will be issued a fine when a certificate of insurance is not received at least 15 days prior to the start of the competition, or a deficiency is not cured at least 10 days prior to the first day of the competition.
   (b) Termination of the License Agreement and cancellation of the competition pursuant to GR304 will occur when a certificate of insurance is not received by the Federation at least 10 days prior to the start of the competition, or deficiencies are not cured at least 10 days prior to the start of the competition. If this occurs, but the competition still goes forward, then the Competition Licensee must notify all competition participants, in writing, that the competition is not a Federation Licensed Competition.

7. Any competition that states in the prize list that the competition is licensed before the competition has been granted licensing by the Federation may be subject to a penalty.

GR304 Competition Sale and Issuance of a New License

1. A Federation Competition License is the Federation document giving a Licensee permission to conduct a Federation event on a given date, at a given venue, and at a given rating and/or level.
2. Competition Sale. A Licensee does not own the Competition License. A Federation Competition License is a governance tool and not a commodity. As such, a sale of a competition should be contingent on Federation approval and issuance of a new license.
3. Issuance of a New Competition License. The granting of a new license to a Purchaser Licensee will not be unreasonably denied, subject to certain conditions or criteria including date, venue, rating, and/or level of the competition and completion of applicable forms and submission of a fee. The Purchaser Licensee will retain the applicable Priority Date Holder protections of the Seller Licensee. The Issuance of a new Competition License is subject to the following terms and conditions:
   a. The issuance of a new Competition License due to a competition sale is subject to the approval of the Federation, following consultation with the applicable Recognized Affiliate;
   b. The final decision to approve or deny the issuance of a new Competition License is at the sole discretion of the Federation;

   c. In considering the approval or disapproval of the issuance of a new Competition License, the Federation shall consider the best interest of the sport including consideration of the growth and development of the sport. The Federation may consider concentration of dates in the control of a single Licensee or group of related Licensees when determining the appropriateness of the issuance of a new competition license;
   d. The Purchaser Licensee must have demonstrated an ability to comply with rules, requirements, and standards that are in effect or established for the Competition Rating and/or Level at the time the issuance of a new Competition License;
   e. Both the Seller and the Purchaser of the competition must disclose all proposed terms of the competition sale and must apply and obtain Federation approval before the issuance of a new license can be completed. All information will be considered confidential. Prior to any such approval or denial by the Federation, the Recognized National or FEI Affiliate, if any, may be consulted in regard to the request for issuance of a new license. The Federation may share, on a confidential basis, with the Recognized National or FEI Affiliate all of the terms or conditions of the issuance of a new Competition License, and all special conditions or other considerations. Such approval or denial by the Federation shall not subject the Federation to any liability or obligate it to any third party. Both Seller and Purchaser of the competition must agree to indemnify and hold harmless both the Federation and the Recognized National or FEI Affiliate from any liability or legal expense arising from approval or denial of the agreement. Failure to completely disclose terms and conditions of a competition sale may result in termination of the License by the Federation and any sanctions, penalties, or other remedies available to the Federation.
4. The Seller Licensee shall remain financially responsible until the following conditions are met:
   a. Payment of the applicable fees;
   b. The Federation issues written approval of the issuance of a new competition license; and
   c. Seller has met all other financial obligations to the Federation.
5. The Purchaser Licensee will have the right to apply for renewal of comparable dates upon issuance of a new competition license.

GR305 Cancellation of Competitions

1. A Licensee may cancel an existing Licensed Competition by notifying the Federation of the cancellation and returning the License to the Federation not less than 300 calendar days prior to next competition date under the License.
   a. When a Cancellation is received more than 90 calendar days but less than 300 calendar days prior to the first day of the competition date under the License, 75% of competition dues will be refunded, subject to a minimum processing fee.
   b. If written notice of a cancellation is received less than 90 calendar days prior to the first day of the competition, the Licensee will forfeit the application fee and will also be assessed a processing fee equal to that of the minimum competition dues, unless the cancellation is due to an Act of God under GR305.4.
2. If a Licensed Competition is cancelled for two consecutive years for a reason other than an act of God, or due to extenuating circumstances approved by the CEO or their Designee, the applicable license will not be eligible for renewal or application for a new license by the same licensee or any entity associated with the same licensee for a period of two years. If the licensee is a business entity, this provision includes all persons listed as principals of the business entity.
3. Cancellation of 50% or more of Open Dressage classes as listed in the prize list by any Recognized competition for two consecutive years for any reason other than Acts of God shall constitute relinquishment of comparable dates and loss of priority date status.
4. Competitions declaring cancellation due to an Act of God must provide written documentation, such as newspaper articles or photographs of the extenuating circumstances. Absent severe and unusual circumstances, such as hurricane winds, floods, tornadoes, or blizzards, weather conditions shall not be considered Acts of God. The CEO or their designee shall decide whether a cancellation is due to an Act of God. Any Licensee that cancels three or more competitions in a competition year shall not have priority for comparable dates for the cancelled competitions for the following competition year. However, this provision shall not be applicable to a competition cancelled due to an Act of God, loss of a facility, or due to extenuating circumstances, based upon a review by the CEO or their designee.

GR306 Inactive Competitions

1. Any Licensed Competition held the previous year that notifies the Federation at least 120 days prior to its competition date that it will not hold a competition that year, may retain Inactive status for a fee in addition to the application and/or competition fees. In such cases, the renewal license application will be subject to the current fees.
2. An Inactive Competition will be listed as “Inactive” on the Federation website and other Federation competition lists, and will retain its date priority for the following year, provided it meets the applicable date and competition requirements of the Federation.
3. If an Inactive Competition cancels the following year, that cancellation will constitute a second cancellation in a row per GR305, and the applicable license will be revoked and not eligible for renewal or application for a new license by the same licensee or any entity associated with the same licensee for a period of two years. If the licensee is a business entity, this provision includes all persons listed as principals of the business entity. This provision shall not be applicable to a competition cancelled due to an Act of God or due to extenuating circumstances approved by the CEO or their designee.

SUBCHAPTER 3-B COMPETITION CLASSIFICATION

GR307 Classification

1. Licensed Competitions may be classified as Regular Competitions, Local Competitions, Eventing Competitions, Dressage Competitions, Driving Competitions, Endurance Competitions, Reining Competitions, and Vaulting Competitions.
2. Divisions and sections of Regular Competitions are classified as follows for the purpose of reckoning points toward the Federation Annual Horse of the Year Awards. (Exception: Jumper Division, see GR1133):
   a. A, B, or C; or Premier(“AA”), National (“A”), or Regional (“B”), hunter, or
   b. To include any of the following, individually or combined:
      1. Andalusian/Lusitano;
      2. Arabian;
      3. Friesian;
      4. Hackney;
      5. National Show Horse;
      6. Morgan;
      7. Roadster;
      8. American Saddlebred;
      9. Shetland;
      10. English Pleasure.
3. Divisions and sections of Local, Dressage, Driving Competitions, Endurance Competitions, Reining Competitions, Vaulting Competitions, and Eventing Competitions are not rated. However, Dressage Competitions are categorized by levels.
4. Hunter/Jumping Seat Equitation classes must be held at a competition that holds at a minimum, a Regional Hunter Rating. Competitions choosing to offer only Hunter and/or Jumping Seat Equitation classes must obtain a Regional Hunter License, and the Regional mileage will apply (see GR314 Mileage).
5. Federation Endorsed Competitions, Divisions, or Levels or those events receiving approval pursuant to the Federation Bylaws.
   a. Eventing competitions may be eligible for either licensure or endorsed designation. The Federation may endorse Eventing competitions at the Training Level and below. The Federation may license Eventing competitions at the Modified Level and above. An Eventing Licensed Competition and an Eventing Endorsed Competition may run concurrently.
6. Heritage Designations
   a. Heritage designations are reserved for those competitions within the sport of equestrian that have been established for a long period of time and that exemplify the best characteristics of equestrian sport, uphold high standards at their designated rating or level, demonstrate sustainability and value within the community, and continue to contribute toward the development and promotion of the sport of equestrian, both within the sport and as well as within the broader community, by achieving, maintaining, and promoting the equestrian ideals of sportsmanship and competition.

b. Approval for all Heritage Designations requires:
   1. Documented recommendation by the Federation Recognized Affiliate Association primarily represented by the competition;
   2. Approval by the Federation CEO;
   3. Heritage Designation will be granted for a period of five years. In order to maintain the designation, each competition must successfully undergo a review process every five years thereafter.
c. Heritage designation may be removed by a two-thirds vote of the Federation Board of Directors if the competition no longer fulfills the requirements when applying for redesignation.
d. Eligibility for Heritage Designation requires:
   1. Minimum of 25 consecutive years of operation with a Federation license excluding any Act of God and a clean compliance record with no major compliance issues;
   2. Invitation from the representing affiliate to the license holder to apply for Heritage status;
   3. The Competition must be in good standing with both the Federation and the representing affiliate;
   4. Significant contribution to and support from the community where the competition is held. The application should include evidence of a mutually supportive relationship by documenting how the community is benefitted, and how the community supports the competition. A symbiotic relationship is to be demonstrated through submission of letters from community leaders displaying community support, and feedback collected from competitors that have attended the competition in the past.
   5. Significant contribution to the ideals of equestrian sport such as sportsmanship, horsemanship, and uniting the equine community;
   6. Recognition by the equestrian community as a competition that exemplifies the best characteristics of sport at its designated rating or level, upholds high standards, and demonstrates sustainability with high quality and unique character.

e. Heritage competitions are permitted and encouraged to advertise their status as Heritage competitions. The Federation will support Heritage competitions through promotional and marketing materials.
   BOD 6/20/23 Effective 12/1/23

GR308 National Championships

The Federation shall have the exclusive right to designate national championships in the disciplines for which the Federation is designated as the National Governing Body by the United States Olympic and Paralympic Committee and in the disciplines for which the Federation is designated as the National Federation by the Federation Equestre Internationale (Dressage, Driving, Endurance, Reining, Show Jumping, Three-Day Eventing, Para-Equestrian and Vaulting). The allocation of national championships in the foregoing disciplines, including issuance of date approvals, licensing of officials, approval of name and all copyright, trademark, trade name, television, video and other broadcast rights and all sponsorship matters shall be solely reserved to the CEO acting upon the advice and recommendation of the relevant Council. All persons or organizations, including affiliate organizations, organizing committees and/or competitions managements, wishing to receive Federation approval to hold a national championship in the foregoing divisions must apply to the Federation in writing on appropriate form(s) provided by the Federation and received by the Federation's office by the applicable deadline. The CEO shall be entitled to condition the Federation's designation and granting of approval for a national championship in any manner that they deem appropriate in their discretion.

GR309 Special Competitions

For the purposes of this rule, the term "Special" relates to the type of competition license and is not associated with the merits or quality of the competition.

1. The Federation Board of Directors, in its sole discretion, may approve or deny an application for Special Competition status in accordance with Federation rules, policy and procedure. If approved, a Special Competition will be added to the USEF Competition Calendar without regard for existing mileage rules and conflicts and will receive no mileage protection from new or existing competitions. A Special Competition may be held as a stand-alone event or in conjunction with an existing Federation Licensed Competition. A Special Competition may not fully meet the requirements to obtain a Federation Competition License.

2. Special Competitions are categorized in the following manner and shall be reviewed in accordance with Section 4.
   a. Category I – FEI-named Competitions and FEI Championships which are part of a competitive bid process, including but not limited to Olympic Games or Trials; Pan Am Games or Trials; World Equestrian Games or Trials; World Cup Finals or Qualifiers; Nations Cup Finals or Qualifiers; and Nations Cup CIOs. These competitions may or may not include additional FEI-only classes.
   b. Category II - Federation National Finals, Federation National Championships and Federation Mandatory Outings, which do not fully meet the requirements to obtain a Federation Competition License.
   c. Category III - Federation Recognized Affiliate Organization Championships or Finals which do not fully meet the requirements to obtain a Federation Competition License.
   d. Category IV - A competition for which Federation breed or discipline rules do not exist that an applicant wishes to have approved by the Federation and which the Board of Directors, in their sole discretion, deems to be in the best interest of the sport.
3. Application Process.
   a. An application for consideration of a Special Competition must be submitted to the Federation a minimum of 180 days in advance of the start date of the proposed competition. Generally, an application should not be submitted more than 365 days prior to the start date of the proposed competition. However, unusual circumstances may require an earlier submission. Such circumstances must be included on the application and will be considered in the review process.
   b. An application for a Special Competition will not be considered complete and will not be processed until all applicable fees have been paid, except when the application is part of a competitive application or bid process (e.g. FEI World Cup Qualifiers, certain USEF National Championships, etc.). When an application is part of a competitive application or bid process, the fee will not be required until a determination is made regarding the awarding of the competition.
   c. An application for a Special Competition must include the following:
      1. All classes, sections, demonstrations, and exhibitions to be held during the proposed competition dates, including all prize money, bonus money, or other awards;
      2. The basis for competition's inability to meet Federation rules regarding competition licensing; and
      3. The basis for competition's inability to meet Federation rules regarding specific breed/discipline rules.
   d. The inability to meet Federation rules under section (ii) or (iii) above shall not result from a need to obtain an exception to existing Federation rules, or program requirements, or from circumstances within the applicant's control. However, the Federation recognizes that situations may arise whereby an applicant cannot meet Federation rules due to the actions of the FEI or the Federation and such actions may qualify as permissible explanation.
   e. Special Competitions are not intended as a means of circumventing the Federation's licensing rules in GR Chapter 3. If an application for a Special Competition includes an FEI competition not identified in Section 2(a) above, a national competition, or a combination of both which creates a mileage conflict, that portion of the application will be required to utilize the Mileage Exemption process for approval. In the event of a need for a mileage exemption, the Federation may alter the timelines set forth in GR315.
   f. For licensing purposes, all jumper prize money from FEI and national competitions will be combined and counted in determining a competition's Jumper Level.
   g. If a Special Competition is combined with an existing priority date holder competition, the priority date holder competition will retain priority status at its original rating and/or level.
4. Application Review Process.
   Depending on the category of a Special Competition, the application may be reviewed by one or more entities of the Federation and its Recognized Affiliates before being submitted to the Board of Directors for their consideration and final decision. The Board of Directors reserves the right to appoint an Ad Hoc Committee to review applications and render a final decision.
   a. Applications for a Category I competition shall be reviewed by the applicable Federation Councils.
   b. Applications for a Category II or III competition shall be reviewed by the applicable Federation Committees and Councils.
   c. Applications for a Category IV competition shall be reviewed by the applicable Federation Recognized Affiliates, Committees and Councils.
5. Modifications or Amendments to an Approved Special Competition.
   Modifications or amendments to an approved Special Competition are not permitted unless approved by the Federation in writing. A modification to an approved Special Competition must be approved in writing by the

Federation after review by the CEO or their designee, following consultation with the Director of Competition Services or their designee and the Director of Sport or their designee(s) for the applicable breed or discipline. Modifications to an approved Special Competition must be received by the Federation at least 30 days prior to the start of competition.

GR310 Restrictions on Local Competitions

1. Unless otherwise approved by the Federation no later than 60 days prior to the start of the competition, the total cash prizes shall not exceed five hundred dollars ($500.00), except Open Western Division (see GR310.5), 100% sweepstakes, and Reining Competitions; not including value of trophies offered. For Hunter and/or Jumper Lite competitions, the value of any in-kind gifts and awards shall count toward the total amount of prize money offered and unless otherwise approved by the Federation no later than 60 days prior to the start of the competition, cannot exceed five hundred dollars ($500.00) total for the competition.
2. The designation Local Competition must be stated on the cover of the prize list.
3. The Federation and applicable Federation Recognized Affiliate Association non-member/Show Pass fee will not apply.
4. Local Competitions benefit from all the general rules of the Federation and must abide by applicable division rules unless class specifications are printed otherwise in the prize list.
5. Western Division competitions may retain Local Competition status regardless of the amount of prize money offered.
6. Hunter Division competitions or competitions restricted to Hunter/Jumping Seat Equitation must obtain a Regular Competition License or a Lite competition license. Competitions offering any Hunter/Jumping Seat Equitation Medal classes with a National Year-End Final are not eligible for Lite competition status and must obtain a Regular competition license.
7. Lite. Beginning December 1, 2022, Lite Competitions are a subtype of Local Licenses and are to be conducted in accordance with the General Chapters and applicable breed/discipline Chapter, except as stated otherwise below (Exception: Open Dressage classes are not permitted to be held at Lite Competitions):

    1. License requirements
        a. Eligibility Requirements. The following competitions are eligible to be licensed as Lite:
            1. A first-time competition;
            2. A competition that has only ever been run as a Lite competition in the past three (3) years;
            3. A competition that has not been Federation Licensed in the past three (3) years; or
            4. A competition that meets the criteria listed on the Federation website.
            5. A competition that does not meet the above criteria may submit a request to be licensed as Lite. The licensing request will be reviewed and a decision will be rendered in the Federation's Chief Executive Officer's sole discretion.
        b. Licensing Requirements.
            1. License applications and a copy of the prize list must be submitted thirty (30) days prior to the first day of competition.
            2. Competitions may operate under a Lite license for no more than three (3) consecutive years. Exception: competitions that meet the criteria listed on the Federation website.
            3. The licensees of (i) Lite Licensed Competitions that have operated for three (3) consecutive years or (ii) competitions that have operated under a regular competition license in any of the previous three (3) year(s) are prohibited from submitting a new application for comparable dates in an attempt to downgrade to a Lite license by the same licensee or any entity associated with the licensee for a period of two (2) years. Exception: competitions that meet the criteria listed on the Federation website. If the licensee is a business entity, this provision includes all persons listed as principals of the business entity.
    2. Membership Requirements

a. Any individual signing an entry blank as Trainer or Coach, must be an Active Competing Member of the Federation.

3. Safe Sport Requirements
   a. Any individual signing an entry blank as Trainer or Coach, must have completed the Safe Sport Training prior to the first day of competition.
   b. Competition Manager(s), Secretary, and any person acting in the role of a licensed official arebound by all requirements of the USEF Safe Sport Policy.
4. Steward and Technical Delegate requirements
   a. Competitions must have at least one Steward or Technical Delegate who meets the followingcriteria:
      1. Enrolled in and pursuing a Federation Steward or Technical Delegate license and have completed the Designated Applicant Training; or
      2. Licensed Federation Steward or Technical Delegate.
5. Federation Horse of The Year (HOTY) Points
   a. For HOTY Points Associated with the Horse. Lite Competitions will award half-value points to all USEF Recorded horses, whose owner is a Federation Active Competing Member and meets any applicable breed/discipline required memberships. Exception: No Federation National or USHJA Zone HOTY points will be awarded at Hunter and/or Jumper Lite Competitions.
   b. For HOTY Points Associated with the Rider. Lite Competitions will award half-value points to all riders who are Federation Active Competing Members and meet any applicable breed/discipline required memberships. Exception: No Federation National or USHJA Zone HOTY points will be awarded at Hunter and/or Jumper Lite Competitions.

6. Prize List requirements
   a. A Prize List is required to be submitted to the Federation for review thirty (30) days prior to the start of the competition.
   b. Prize Lists are required to include all statements listed under GR901
      1. Exceptions: GR901.9 and 901.12

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GR311 Awards Rating Classifications

1. For the purpose of equalizing competitions for the National Horse of the Year Awards, divisions and sections of Regular Competitions fall into one of three classifications: “A”, “B,” or “C” rated or Premier, National or Regional Hunter.
2. In the Hunter division, points for ribbons won in any rated section will be awarded in two awards channels using the applicable point chart. Channel I includes Premier and National rated hunter competitions and Channel II includes Regional rated hunter competitions. Points earned in a channel are exclusive to that award channel.
   a. In the Green Hunter 3’0”, 3’3”, 3’6” and 3’9”, Young Hunter 5 and under, Young Hunter 6 and under, Young Hunter 7 and under, High Performance Hunter, Performance Hunter 3’3” and 3’6”, Green Conformation Hunter 3’6” and High Performance Conformation Hunter, standings for the National Horse of the Year Awards based on money won will be determined by dollars won in the horses respective sections. See GR1132.

GR312 Determining Ratings

1. A division or section rating or classification is determined from the number of classes, amount of cash premiums offered in these classes and the holding of required classes in certain divisions. A competition may not offer the minimum requirements for a rating higher than the rating approved by the Federation. Exception: Hunter competitions. See Ratings Charts.
2. A competition may qualify for several different ratings. Some competitions will earn an “A” or “B” rating in all divisions and sections; others may earn a combination of ratings. Exception: Hunter competitions will qualify

for one rating, Regional, National or Premier. If more than the minimum number of classes is offered, prize money should be increased proportionately.

3. The Paso Fino Division is rated “C” regardless of the number of classes or amount of prize money offered. A competition may apply for an “A” rating if offering a minimum of $2,000 and prior year competition had more than 250 horses. The PFHA National show shall be an “A” rated Federation Licensed Competition as per PF155.1i. Exception: the Paso Fino Division may be offered at Local Competitions.
4. In Stake classes in “A” and “B” divisions or sections or at Premier and National hunter competitions, competitions must guarantee amount offered as prize money except where the monies offered in other classes are sufficient to meet the Horse of the Year Award minimum requirements. In such cases, Stake classes may run as sweepstakes.
5. The Connemara and Half-bred Connemara is “C” rated, regardless of the number of classes or amount of prize money offered. Exception: the Connemara and Half-bred Connemara Division may be offered at Local Competitions.
6. Rated Hunter Section & Classes

HUNTER SECTION RATINGS AND CLASSES	Premier/National (“AA”/”A”) Min-Max Required Classes	Premier/National (“AA”/”A”) Min Money	Regional (“B”) Multi-Day Comp Min/Max Required Classes	Regional (“B”) One Day Comp Min/Max Required Classes	Regional (“B”) Min
Open Hunter Sections					
Green Conformation Hunter	4-6*	500	3-5***	3-4***	0
High Performance Conformation Hunter	4-6*	500	3-5***	3-4***	0
High Performance Hunter	3-5	750	3-5***	3-4***	0
Performance Hunter 3’3”	3-5	400	3-5***	3-4***	0
Performance Hunter 3’6”	3-5	500	3-5***	3-4***	0
Green Hunter Sections					
Green Hunter 3’0”	4-6	500	3-5***	3-4***	0
Green Hunter 3’3”	4-6	500	3-5***	3-4***	0
Green Hunter 3’6”	4-6	500	3-5***	3-4***	0
Green Hunter 3’9”	4-6	500	3-5***	3-4***	0
Young Hunter Sections					
Young Hunter 5 and under	3-5	0	3-5***	3-4***	0
Young Hunter 6 and under	3-5	0	3-5***	3-4***	0
Young Hunter 7 and under	3-5	0	3-5***	3-4***	0
Amateur Owner Hunter Sections					
Amateur Owner Hunter 3’6” 18-35	4-6*	500	3-5***	3-4***	0
Amateur Owner Hunter 3’6” 36 & over	4-6*	500	3-5***	3-4***	0
Amateur Owner Hunter 3’3” 18-35	4-5	500	3-5***	3-4***	0

Amateur Owner Hunter 3’3” 36 & over	4-5	500	3-5***	3-4***	0
Junior Hunter Sections					
Small Junior Hunter 3’6” 15 & under	4-5*	500	3-5***	3-4***	0
Small Junior Hunter 3’6” 16-17	4-5*	500	3-5***	3-4***	0
Large Junior Hunter 3’6” 15 & under	4-5*	500	3-5***	3-4***	0
Large Junior Hunter 3’6” 16-17	4-5*	500	3-5***	3-4***	0
Small Junior Hunter 3’3” 15 & under	4-5*	500	3-5***	3-4***	0
Small Junior Hunter 3’3” 16-17	4-5*	500	3-5***	3-4***	0
Large Junior Hunter 3’3” 15 & under	4-5*	500	3-5***	3-4***	0
Large Junior Hunter 3’3” 16-17	4-5*	500	3-5***	3-4***	0
Hunter & Green Hunter Pony Section					
Small Pony Hunter	4-5*	400	3-5***	3-4***	0
Medium Pony Hunter	4-5*	400	3-5***	3-4***	0
Large Pony Hunter	4-5*	400	3-5***	3-4***	0
Green Small Pony Hunter	4-5*	250	3-5***	3-4***	0
Green Medium Pony Hunter	4-5*	250	3-5***	3-4***	0
Green Large Pony Hunter	4-5*	250	3-5***	3-4***	0
Children’s Hunter Sections+					
Children’s Hunter Younger	4-6/3-5	0	3-5***	3-4***	0
Children’s Hunter Older	4-6/3-5	0	3-5***	3-4***	0
Children’s Hunter Pony Small/Medium	4-6/3-5	0	3-5***	3-4***	0
Children’s Hunter Pony Large	4-6/3-5	0	3-5***	3-4***	0
Low Children’s Hunter Horse	4-6/3-5	0	3-5***	3-4***	0
Low Children’s Hunter Pony	4-6/3-5	0	3-5***	3-4***	0
Adult Amateur Hunter Sections+					
Adult Amateur Hunter Younger	4-6/3-5	0	3-5***	3-4***	0
Adult Amateur Hunter Middle	4-6/3-5	0	3-5***	3-4***	0
Adult Amateur Hunter Older	4-6/3-5	0	3-5***	3-4***	0
Low Adult Amateur Hunter	4-6/3-5	0	3-5***	3-4***	0
Ladies Side Saddle	4-6/3-5	0	3-5***	3-4***	0

Hunter Breeding	4-6/3-5	0	3-5***	3-4***	0
Small Hunter	4-6/3-5	0	3-5***	3-4***	0
Thoroughbred Hunter	4-6/3-5	0	3-5***	3-4***	0
USHJA Hunter Sections					
USHJA Hunter 2’0”	3-5	0	3-5***	3-4***	0
USHJA Hunter 2’3”	3-5	0	3-5***	3-4***	0
USHJA Hunter 2’6”	3-5	0	3-5***	3-4***	0
USHJA Hunter 2’9”	3-5	0	3-5***	3-4***	0
USHJA Hunter 3’0”	3-5	0	3-5***	3-4***	0
Other Rated Classes					
USHJA International Hunter Derby					
USHJA International Hunter Derby Welcome					
USHJA National Hunter Derby					
USHJA Green Hunter Incentive					
USHJA Green Hunter Challenge					
USHJA Pony Hunter Derby					
Hunter Classics					

(+ Denotes sections which may have USHJA Zone Specifications that govern number of classes and prize money)
(*See HU163.3, HU117 regarding Model Classes, and other class requirements).
See HU154.2 for requirements regarding minimum number of classes to award a championship.
***No more than two (2) one-day Regional competitions or one (1) multi-day Regional competition may be held by the same licensee or any entity associated with the same licensee during any calendar week (Monday through Sunday). If the licensee is a business entity, this provision includes all persons listed as principals of the business entity. (See HJ112 and HJ113).

7. All classes offered in a licensed Open Western Division shall be conducted in accordance with GR818, unless the competition has applied for and received a rating. (See ratings chart GR312.)
8. Any breed-restricted division, or combination of breed-restricted divisions, may be offered at Local Regular Competitions, in accordance with GR310.
9. IMPORTANT: See Chapter HU and HJ. There are special conditions and requirements for determining the ratings of Hunter sections. Management’s attention is directed to these conditions in particular, HU131 and HJ109 – HJ114.

Section. If a section is divided in any way (by age: Jr and Adult or divided by section: A/B, C/D, Half/Part) each split section must meet the minimum requirements for prize money and number of classes.	"A" Rating Requirements minimum number classes	"A" Rating Requirements minimum prize money
Welsh		
Welsh English Pleasure Sec. A & B, 12.2 & Under (junior to ride)	3	$50
Welsh English Pleasure Sec B, over 12.2 & up to 14.2 (junior to ride)	3	$50
Welsh English Pleasure Sec C & D (junior/adult to ride)	3	$50
Welsh English Pleasure Sec A & B (adult to ride)	3	$50
Half/Part-Bred Welsh English Pleasure (junior/ adult to ride)	3	$50
Welsh Pleasure Driving Sec A & B (junior/adult to drive)	3	$50
Welsh Hunter Sec A & B (junior to ride)	3	$50
Welsh Hunter Sec C & D (junior/adult to ride)	3	$50
Welsh Hunter Sec A & B (adult to ride)	3	$50
Half/Part-Bred Welsh Hunter (junior/adult to ride)	3	$50
Welsh Western Pleasure Sections A, B, C, D and Half/Part-Bred Welsh (junior/ adult to ride)	3	$50

Section. If a section is divided in any way (by age: Jr and Adult or divided by section: A/B, C/D, Half/Part) each split section must meet the minimum requirements for prize money and number of classes.	"A" Rating Requirements minimum number classes	"A" Rating Requirements minimum prize money
Western **		
Reining	2	$100
Trail	2	$100
Pleasure	2	$100

Any class or section not meeting the requirements shown in this chart will be rated "C."
*Western Division competitions may retain Local Competition status regardless of the amount of prize money offered.

GR313 Special Conditions

1. Monies offered to classes restricted to established futurity/maturity programs, local restricted jackpots, sweepstakes, or other breed specific programs offered by a recognized breed affiliate or by the National Reining Horse Association will not be included in tabulation of competition dues or in determining division ratings.
2. The following classes do not count toward the minimum number of classes nor toward the minimum prize money required for any division or section rating; these following classes do not count toward HOTY awards unless included in the specific division award rules:
   a. Breeding;
   b. Classes restricted as to area;

c. Classes, such as Maiden, Novice, Limit and other such rider restricted classes, which restrict the number of ribbons won by any rider, handler or driver, e.g., except for Select, Choice, and Elite classes in the Arabian Division.
d. Owners, except in Amateur Owner sections and Paso Fino Division;
e. Classes that do not count toward a Hunter or Jumper Championship;
f. Bareback, Grooms, Consolation, Races, Parades, Command, Cutting classes, and except in the Paso Fino Divisions, Costume classes, Calcutta classes;
g. Exhibitions;
h. Classes restricted to one breed (except in one breed divisions), type or color;
i. Classes restricted to horse or rider (e.g. age or sex, unless complementary classes are offered for other entries). Ladies classes will count toward the rating requirement even if complementary classes for Gentlemen are not offered;
j. Any class in which the judging specifications are not in accordance with the Federation;
k. Opportunity classes;
l. Academy classes;
m. Classes held as part of a USHJA Outreach competition.

SUBCHAPTER 3-C COMPETITION MILEAGE

GR314 Mileage

1. Determining Applicable Mileage.
   a. Mileage is applied Division by Division as defined in the Federation Rulebook.
   b. To determine a mile radius, the distance shall be measured using mapping software to measure the distance between the locations where the competitions are being conducted (i.e. address of the facility where each of the competitions will be held or the longitude and latitude if an exact address does not exist), except between Long Island and the mainland. Application of this process will not adversely affect the license rights of competitions already licensed.
   c. In any instance where the shortest road mileage distance between the competition facility locations is greater than one and one half (1 1/2) times the radial mileage between the competition facility locations, the required mileage distances between competitions shall be based upon the road mileage distance rather than radial mileage.
   d. Mileage between competitions within Zones or Regions utilizing different mileage will be subjected to the lower of the mileage requirements.
   e. Long Island, N.Y. The distances between competitions held on Long Island, NY, and competitions held on the mainland shall be determined by measuring a straight line distance from the point at which Interstate Highway 278 (across the Triborough Bridge) intersects the shore of Long Island, to the location where the Long Island competition is being conducted (i.e. address of the facility where each of the competitions will be held or the longitude and latitude if an exact address does not exist) and by measuring the mile radius from the bridge to the location where the other competition is being conducted (i.e. address of the facility where each of the competitions will be held or the longitude and latitude if an exact address does not exist). The application of this process will not adversely affect the license rights of competitions already licensed.
   f. If the mileage between competitions is less than the applicable distance specified by this rule, conflicting dates may be approved by the Federation in accordance with GR315 and providing all other requirements for recognition are met.
   g. The foregoing distance rules do not apply to events comprised exclusively of classes recognized by the FEI and the USOPC (example: Olympic Trials or Olympic Games). The National Championships for Dressage and Dressage Competitions offering Federation High Performance qualifying or selection trials, or observation classes and National classes held in conjunction with a CDI are exempted from the mileage rule. When a CDI is approved on one or more days where another competition has date priority, national classes can only be held on the day of the FEI Jog and on days where at least one CDI class is held or is not held due to no entries. When CDI classes are not held on all approved CDI dates (except due to no entries) the competition might not be granted approval for those dates in subsequent years. Certain competitions held in conjunction with events also holding FEI competitions and/or selection trials

for international competitions, at the discretion of the Board of Directors, may be exempted from the mileage rule.

h. Existing competition is a licensed competition that may or may not be within the mileage indicated of the new license applicant.

i. Proposals to change mileage rule or rules may be submitted for consideration by the Federation Board of Directors. Any such mileage rule change will have an effective date of December 1 of the following calendar year. In no event will changes to mileage become effective in less than 12 months from the date of the rule change approval. Proposals for mileage rule changes submitted by Affiliates may only be considered after they have been considered at the annual meeting of the appropriate recognized National Affiliate.

2. Mileage Boundaries. Mileage consideration may be applicable to more than one mileage provision.

a. The below divisions will be conducted under the following mileage:

1. Andalusian/Lusitano: 250 miles;
2. Arabian: 250 miles;
3. Friesian: 250 miles;
4. Hackney: 100 miles;
5. Morgan: 100 miles;
6. National Show Horse: 50 miles;
7. Roadster: 100 miles;
8. Shetland: 100 miles;
9. American Saddlebred: 100 miles;
10. Local Regular: Any breed competition restricted to one breed or multi-breed competition including any of the above listed breeds (1-9), regardless of number of classes offered: 50 miles;
11. Western Dressage: 100 miles.

b. Mileage Boundaries for Hunter and/or Jumper Sections.

1. Hunter and/or Jumper competitions are categorized by Rating and/or Level. Additional criteria required for each Rating and/or Level in the Hunter and Jumper Mileage Charts can be found on the Federation website at www.usef.org under Competitions.
2. Jumper Levels are based on the prize money offered. See JP104.
3. Hunter mileage shall be independent of the Jumper mileage and applied separately to each division of a competition
4. The distances between Licensed Competitions held in USHJA Zones 1 & 2 (ME, NH, VT, MA, CT, RI, NJ, NY, and PA) offering hunter or jumper divisions shall be in accordance with the mileage tables (H1 & J1) shown below effective 12-01-22.

Hunter Mileage Chart – H1 USHJA Zones 1&2				
Priority Date Holders	New Competitions w/ Same Rated Division			
		Premier (“AA”)	National (“A”)	Regional (“B”)
	Premier (“AA”)	125	125	0
	National (“A”)	125	125	0
	Regional (“B”)	0	0	50

Jumper Mileage Chart – J1 USHJA 1 & 2						
Priority Date Holders	New Competitions w/ Same Rated Division					
		Level 5 & 6	Level 4	Level 3	Level 2	Level 1
	Level 5 & 6	125	125	90	0	0
	Level 4	125	125	90	0	0
	Level 3	90	90	75	0	0

	Level 2	0	0	0	0	0
	Level 1	0	0	0	0	0

3. The distances between Licensed Competitions held in USHJA Zones 3 thru 10 and Canada [with the exception of Florida in the first trimester - December through March – see GR314.5] offering hunter or jumper divisions shall be in accordance with the mileage tables (H2 & J2) shown below effective 12-01-22.

Hunter Mileage Chart – H2 USHJA Zones 3 thru 12 and Canada (excluding FL in 1st trimester)				
Priority Date Holders	New Competitions w/ Same Rated Division			
		Premier (“AA”)	National (“A”)	Regional (“B”)
	Premier (“AA”)	250	250	0
	National (“A”)	250	250	0
	Regional (“B”)	0	0	50

Jumper Mileage Chart – J2 USHJA Zones 3 thru 12 and Canada (excluding FL in 1st trimester)						
Priority Date Holders	New Competitions w/ Same Rated Division					
		Level 5 & 6	Level 4	Level 3	Level 2	Level 1
	Level 5 & 6	250	250	100	0	0
	Level 4	250	250	100	0	0
	Level 3	200	200	100	0	0
	Level 2	0	0	0	0	0
	Level 1	0	0	0	0	0

4. The distances between Licensed Competitions held in Florida in the first trimester (December through March) offering hunter or jumper divisions shall be in accordance with the mileage tables (H3 & J3) shown below effective 12-01-08.

Hunter Mileage Chart – H3 (1st trimester Florida)				
Priority Date Holders	New Competitions w/ Same Rated Division			
		Premier (“AA”)	National (“A”)	Regional (“B”)
	Premier (“AA”)	225	200	0
	National (“A”)	200	200	0
	Regional (“B”)	0	0	50

Jumper Mileage Chart – J3 (1st trimester Florida)						
Priority Date	New Competitions w/ Same Rated Division					
		Level 5 & 6	Level 4	Level 3	Level 2	Level 1
	Level 5 & 6	225	200	100	0	0

	Level 4	200	200	100	0	0
	Level 3	200	200	100	0	0
	Level 2	0	0	0	0	0
	Level 1	0	0	0	0	0

a. Mileage Boundaries for Dressage Competitions.
   1. In the case of a Regular or Local Competition holding Open Dressage Division classes, the question of conflict shall be determined with reference only to those dates, inclusive, during which Open Dressage classes are to be held. See DR127.15 for a map of USDF regions.
   2. Applicable Mileage:
      a. A 75 mile radius shall apply to Dressage Competitions held in contiguous USDF regions, for which different distances are specified, in the case of Dressage Competitions, Regular Competitions, or Local Competitions holding “open” Dressage Division classes.
      b. A 50-mile radius shall apply for Dressage Competitions in USDF Regions 1, 2, 6, & 8 holding “open” Dressage classes (excluding competitions restricted to one breed).
      c. A 100-mile radius shall apply for Dressage Competitions in USDF Regions 3, 4, 5, 7 and 9 holding “open” Dressage classes (excluding competitions restricted to one breed).
   3. Two or more Dressage Competitions may not be held at the same or adjacent locations on the same days.
      a. Exception: Dressage Competitions that are limited to Dressage Sport Horse Breeding classes may be held at the same or adjacent location or within the applicable mileage radius as another Dressage Competition that does not offer Dressage Sport Horse Breeding classes.
   4. All dressage competitions are categorized by Level. See DR126 for criteria for each level.
b. Mileage Boundaries for Eventing Competitions.
   1. Eventing competitions are categorized by level offered, and mileage is applied to the applicant competition. Where an Eventing competition hosts more than one level, the highest applicable mileage radius will apply. Exception: For Eventing competitions occurring on December 1, 2022 and thereafter, the mileage rule will not apply to FEI CCI4-L, CCI4-S, CCI3-L, or Federation Advanced level competitions or any other level hosted therewith, e.g. the mileage rule will not apply to a CCI3-S hosted with an Advanced level competition.
   2. The USEA American Eventing Championship is not subject to the modification process, and is eligible for renewal at a new location as needed. For Eventing competitions occurring on December 1, 2022 and thereafter, the radius will be zero for CCI4-L, CCI4-S, Advanced, and CCI3-L levels and the mileage chart for remaining levels will apply only to those competitions not hosting FEI CCI4-L, CCI4-S, CCI3-L, or Federation Advanced levels:

Eventing Mileage Chart Areas 1, 2, 3, and 8	
Level	Radius
CCI4-L	600
CCI4-S	250
Advanced	200
CCI3-L	600
CCI3-S	200
Intermediate	200
CCI2-L	300
CCI2-S	100
Preliminary	100
CCI1	100

Eventing Mileage Chart Areas 4, 5, 6, 7, 9, and 10	
Level	Radius
CCI4-L	600
CCI4-S	400
Advanced	400
CCI3-L	600
CCI3-S	200
Intermediate	300
CCI2-L	300
CCI2-S	200
Preliminary	200
CCI1	200

Modified	100		Modified	200

SUBCHAPTER 3-D MILEAGE EXEMPTION AND COMPETITION LICENSE DISPUTES

GR315 Mileage Exemption

1. Mileage Exemption. When a competition license application is denied by the Federation due to a mileage conflict, the Applicant may seek a mileage exemption to allow the competition to occur. The decision to grant a mileage exemption is discretionary and made by the Federation Chief Executive Officer, or his designee, based on the criteria enumerated below.
2. The Federation may consider different criteria in determining whether a mileage exemption is warranted. The relative weight accorded to each such criteria is in the sole discretion of the Federation Chief Executive Officer, or their designee. These include, but are not limited to, the following:
   a. Competition Standards: Priority Date Holder's adherence to competition standards.
      1. Whether the Applicant competition may alleviate concerns about the safety and welfare of horses, competitors, and/or spectators for a given rating and/or level at a Priority Date Holder's competition;
      2. Whether the Applicant competition may enable a Priority Date Holder to better achieve the competition standards for a given rating and/or level;
      3. Whether the Applicant competition may alleviate overcrowding of horses and/or competitors in a given geographic area at a given venue.
   b. Competition and Calendar Factors:
      1. Whether the Applicant competition provides access to competitors that may need a choice based on a Priority Date Holder's costs to competitors or offered ratings and/or levels;
      2. Whether the Applicant competition serves a need that the Priority Date Holder does not serve as to the schedule of classes, sections, and divisions, which may be too limited;
      3. Whether the Applicant competition should be given an opportunity to enter the marketplace where a Priority Date Holder seemingly dominates the calendar in a given geographical area, which may create unilateral competition effects;
      4. Whether the Applicant competition alleviates the negative impact on competitors that may occur when the number of consecutive competitions of the same rating and/or level in a given geographic area at a given venue creates unilateral competition effects.
      5. For Eventing competitions; Federation Licensed Eventing Competitions occurring a minimum of two weeks prior to, and two weeks following the applicant competition, will be taken into consideration when determining the Applicant competition's impact on the competition calendar, regardless of mileage boundary.
   c. Sport Growth and Visibility:
      Whether the Applicant competition may create growth and visibility of the sport in one of the following ways, which is not exclusive:
      1. The Applicant competition is warranted due to community support and/or involvement;
      2. The Applicant competition may broaden access to competitors at all levels of the sport;
      3. The Applicant competition is unique and provides exceptional promotional benefits to the sport.
   d. In addition, the Federation will consider the following factors in determining whether a mileage exemption should be granted:
      1. Geographic location and time of year with regard to concentration and migration of competitors;
      2. Experience and expertise of competition management;
      3. Competitions outside of boundary mileage of Priority Date Holder and Applicant Competition, which may affect density and competitive level of competitors;
      4. Density and competitive level of competitors in a given geographic area at a given time of year; and
      5. Any other circumstances that the Federation may deem to support, further, promote, or advance the best interests of the sport.
3. Mileage Exemption Procedure

   An Applicant may first contact the Priority Date Holder(s) and seek cooperation in running the proposed event.

a. If the Priority Date Holder(s) agrees to the exemption request, then the terms and conditions of any agreement must be fully disclosed to the Federation in writing along with submission of the Mileage Exemption Response Form. Submission of these materials indicates that the parties acknowledge and agree that the Federation is not responsible for the enforcement or performance of the terms and conditions of the agreement and that the parties expressly waive any claim against the Federation for failure to perform.
b. If the Priority Date Holder(s) does not agree to the exemption request, then the Applicant shall submit the Mileage Exemption Request Form to the Federation in accordance with these rules. The Applicant shall submit the Mileage Exemption Request Form, fully completed, to the Federation no earlier than 360 calendar days and no later than 180 calendar days before the start date of the proposed competition. The fully completed Mileage Exemption Request Form must be accompanied with the non-refundable application fee. A mileage exemption request will not be accepted unless the Mileage Exemption Request Form is fully completed and the application fee is paid in full. Within 21 days of acceptance of a properly submitted request and application fee, the Federation will notify the Applicant and the Priority Date Holder(s) that the request is being processed. The notification will include a copy of the submitted Mileage Exemption Request Form and a Mileage Exemption Response Form for the Priority Date Holder(s) to complete. The Priority Date Holder(s) has 10 calendar days from the date of the Notification letter to submit to the Federation, with a copy to the Applicant, a fully completed Mileage Exemption Response Form. The Priority Date Holder(s) must provide written comments explaining the basis for their objection to the mileage exemption request, based on the criteria listed in paragraph 2 above.
c. In the case of mileage exemption renewal requests where an agreement has been reached with the affected competition(s), the following applies: Within 15 calendar days of receipt of a completed Mileage Exemption Response Form and the terms and conditions of an agreement, if such agreement was reached between the affected parties, the request will be reviewed by the Federation and a determination will be made whether to grant the renewal request.
d. In the case of first time mileage exemption requests or renewal requests where an agreement could not be reached with the affected competition(s) or the affected competition(s) failed to timely respond, the following applies: Within 10 calendar days of receipt of a completed Mileage Exemption Response Form from Priority Date Holder(s) objecting to the request, or the expiration of the 10 day response period if no completed Mileage Exemption Response Form is submitted, the Federation will notify the applicable Recognized Breed/Discipline Affiliate and provide all documentation received in the process from any party. Within the time prescribed by the Federation, the applicable Recognized Breed/Discipline Affiliate is invited to submit written feedback for consideration by the Federation in reaching a decision whether to approve or disapprove the request, including specific feedback on the criteria listed in paragraph 2 above. If the Federation learns that an individual with a conflict of interest was present when an Affiliate considers its recommendation under this Chapter, then such recommendation will be not be considered by the Federation.

4. Modifications or amendments to an approved exemption are not permitted unless approved by the Federation in writing.
5. Mileage Exemptions are granted for one year only. Approval in one year does not guarantee future approval of a mileage exemption request.
6. All Licensed Competitions operating under an approved mileage exemption shall have the applicable mileage protection against new competitions pursuant to these rules. Additionally, these competitions will have the applicable mileage protection for the following year's comparable dates, provided that the license application and applicable fees are received within 60 calendar days of the last day of the current year's competition. This does not preclude the Federation from granting additional mileage exemption requests for new competitions to be held within any mileage boundary.
7. Competitions with an approved mileage exemption which have been held for two or more consecutive years may apply for designation as a perpetual mileage exemption. If approved, the competition shall apply annually for renewal under GR 302.3. To be eligible for this designation, the competition must indicate this request on the application and pay the requisite mileage exemption fee. Any conditions associated with the approved mileage exemption shall remain in place. Upon receiving this designation, any changes to location, rating and/or level will result in loss of this designation and the competition will be required to apply for a mileage exemption. Designated perpetual mileage exemption competitions shall have the applicable mileage protection against new competitions. This does not preclude the Federation from granting additional mileage

exemption requests for new competitions to be held within any mileage boundary. The Federation may revoke this designation at any time.

8. The Federation will provide a written decision to the Applicant and Priority Date Holder(s) within 30 days of receipt of the Recognized Affiliate's recommendation. If the 30-day time period cannot be met, the parties will be notified in writing when they can expect to receive a decision.
9. Computing Time. For purposes of computing time under GR315, the following rules apply:
   a. exclude the day of the event that triggers the period;
   b. count every day, including intermediate Saturdays, Sundays, excluding legal holidays and week days that the Federation offices are closed;
   c. include the last day of the period, but if the last day is a Saturday, Sunday, or day that the Federation offices are closed, the period continues to run until the end of the next day that the office is open.
   BOD 6/20/23 Effective 12/1/23

GR 316 License Application Disputes and Resolution

1. Any competition license applicant may dispute the denial of a license application or renewal. In addition, a Mileage Exemption Request applicant and Priority Date Holder(s) may dispute a mileage exemption request decision. The initiation of a license dispute can be made by submitting a fully completed Federation Mileage Exemption Dispute Form to disputes@usef.org and to the Federation General Counsel within 10 calendar days of the date on the Federation notice of the approval or denial, along with the filing fee. The submission must include the basis for the appeal.
2. Upon notification by the Federation of a properly filed dispute, the affected parties will have 10 calendar days to file a substantive response. License disputes will be decided by the Federation Hearing Committee in accordance with the Federation rules and procedures. The Hearing Committee Panel may review the decision based upon the parties' written submissions and the record below. The parties may be represented by counsel. In its discretion, the Hearing Committee Panel may hold a hearing. Hearings will be conducted via videoconference or teleconference as directed by the Hearing Committee Panel. The Hearing Committee Panel shall only determine whether the challenged decision was made in accordance with the Federation rules. The Hearing Committee shall issue a written decision as soon as practicable. If the appealing party prevails, half of the fee shall be refunded.
3. The Hearing Committee's decision is final and not appealable within the Federation.

CHAPTER 4 DRUGS AND MEDICATIONS

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CHAPTER 4 DRUGS AND MEDICATIONS

GR401-408. Equine Drugs and Medications Provisions Applicable to All Breeds and/or Disciplines

GR401 Determining the Equine Drugs and Medications Designation for Each Breed or Discipline

1. The Board of Directors shall designate every Breed, Discipline, and/or Group competing under Federation Rules as either a Prohibited Substance Group or a Therapeutic Substance Group, as outlined herein below.
2. At each Annual Meeting, each Division Committee shall determine by a majority vote and shall indicate to the Chief Administrator of the Equine Drugs and Medications Program its preference for its Breed or Discipline to be designated as (or to be part of) either a Prohibited Substance Group or a Therapeutic Substance Group. In any instance where more than one Division Committee is responsible for a Breed and/or Discipline Group, after each committee has determined its preference by a majority vote, unanimity between and/or among the Division Committees of the Group shall be required to invoke a recommendation to be designated a Prohibited Substance Group. Absent such concurrence, the joint recommendation of the Division Committees of the Group shall be construed as a recommendation in favor of designation as a Therapeutic Substance Group.
3. Each Division Committee shall have responsibility to recommend for its division.
4. At its meeting at the Federation's Annual Meeting, the Veterinary Committee shall take into consideration these recommendations and the written recommendations of the respective Affiliate Associations in this regard, and it shall enact the designation for each Breed, Discipline, and/or Group. The effective dates of these designations shall coincide with the effective dates of the newly published Rule Book.
5. These designations shall be reviewed by each Division Committee at the subsequent Rule Change Convention.
6. Every horse and/or pony competing at Federation competitions and/or events shall be subject to either the Prohibited Substance Provisions (GR409) or the Therapeutic Substance Provisions (GR410-412), depending upon its Breed's, Discipline's, and/or Group's designation, and it shall be required to compete in compliance therewith, whether competing in unrated or rated classes and/or divisions.
7. Any horse and/or pony that competes in more than one Breed, Discipline, and/or Group at a competition, one of which is a Prohibited Substance Group, shall be required to be in compliance with the Prohibited Substance Provisions at all times while competing in any and/or all classes and/or divisions at that competition.

GR402 Testing

1. Horses and/or ponies competing at a Licensed Competition are subject to examination by a licensed veterinarian who must be appointed by the Administrator of the Equine Drugs and Medications Program. Said appointed veterinarian, with the approval of the Administrator, may appoint a technician to perform certain duties under this Rule. The examination may include physical, urine, blood tests and/or any other test or procedure at the discretion of said veterinarian necessary to effectuate the purposes of this rule. Said veterinarian may examine any or all horses and/or ponies in a class or all classes in a competition or any horses and/or ponies entered in any class, whether in competition or not, if on the competition grounds, or any horse and/or pony withdrawn by any exhibitor within 24 hours prior to a class for which it has been entered.
2. Whether a horse and/or pony is in competition or not, refusal to submit the horse and/or pony for examination or to cooperate with the veterinarian or their agents constitutes a violation and subjects the responsible person to penalties under GR406.
3. Trainers who are not able to accompany Federation drug testing personnel and the horse and/or pony to the location where sample collection is to take place, to act as witness to the collection and sealing of blood and urine samples, and to sign the drug collection documents in the appropriate places as witness, must appoint an agent to do so. The absence of such a witness shall constitute a waiver of any objection to the identification of the horse and/or pony tested and the manner of collection and sealing of the samples.

4. Upon the collection of a sufficient number of tubes of blood from the horse or pony, the tubes shall be divided into two groups. One group shall be labeled and identified as Blood Sample A and the other as Blood Sample B, and they shall be sealed accordingly. Upon the collection of a sufficient volume of urine from the horse or pony, a portion of the sample shall be poured into a second urine sample container. One container shall be labeled and identified as Urine Sample A and the other as Urine Sample B, and they shall be sealed accordingly. These procedures shall be performed whether or not the trainer or their appointed witness is present as provided for in Section 3 above.
5. In the event reasonable attempts at sample collections from the horse or pony do not provide a sufficient number of tubes of blood or a sufficient volume of urine to be divided, labeled, and identified as Samples A and B, as determined by the testing veterinarian and/or technician, the sample(s) obtained (if obtained) shall be labeled and identified as Sample(s) A only, and it shall be recorded in the records of the Equine Drugs and Medications Program that the corresponding Sample(s) B does (do) not exist, in which event the obtained Sample(s) shall be subject to testing.
6. A blood sample may be retested under these Rules at any time exclusively at the direction of the Federation. The retesting of a sample may lead to a violation only if the sample was retested within three (3) years from the sample collection date. In order to constitute a violation under these rules, the substance detected in the retested sample must (i) have been prohibited at the time of sample collection; and (ii) not a therapeutic substance, which for purposes of this rule includes only the Controlled Medications on the FEI Prohibited Substances List (available at http://www.fei.org/fei/cleansport) in effect on the sample collection date.
7. In the event that the retested sample proves positive, and the retest was conducted more than one (1) year since the date of collection, no prizes or awards will be required to be returned.

GR403 Cooperation

1. Cooperation with the veterinarian and/or their agent(s) includes:
   a. Taking the horse and/or pony and the veterinarian and/or their agent(s) immediately to the location selected by said veterinarian and/or their agent(s) for testing the horse and/or pony and presenting it for testing.
   b. Assisting the veterinarian and/or their agent(s) in procuring the sample promptly, including but not limited to removing equipment from the horse and/or pony, leaving it quietly in the stall and avoiding any distractions to it. Schooling, lengthy cooling out, bandaging and other delays of this type shall be construed as noncooperation.
   c. Polite attitude and actions toward the veterinarian and/or their agent(s).

GR404 Accountability of Trainers and Other Persons Responsible

1. Trainers and other Persons Responsible, in the absence of substantial evidence to the contrary, are responsible and accountable under the penalty provisions of these rules. The trainer and other Persons Responsible are not relieved from such responsibility as a result of the lack or insufficiency of stable security.
2. The Persons Responsible may include the individual who rides, vaults, or drives the horse and/or pony during a competition; the Owner; and/or Support Personnel.
3. Support Personnel is defined to include but is not limited to grooms, handlers, longeurs, and veterinarians may be regarded as additional Persons Responsible if they are present at the competition or have made a relevant decision about the horse and/or pony.
4. A trainer is defined as any adult or adults who has or shares the responsibility for the care, training, custody, condition, or performance of a horse and/or pony. Said person must sign the entry blank of any Licensed Competition whether said person be a trainer, owner, rider, agent and/or coach. Where a minor exhibitor has no trainer, then a parent, guardian or agent or representative thereof must sign the entry blank and assume responsibility as trainer. The name of the trainer must be designated as such on the entry blank. It is the responsibility of trainers as well as competition management to see that entry blanks contain all of the required information. The responsibilities of a trainer include, but are not limited to the following:
   a. for the condition of a horse or pony at a Licensed Competition (whether or not they have signed an entry blank),
   b. to guard each horse and/or pony at, and sufficiently prior to, a Licensed Competition such as to prevent the administration by anyone of, or its exposure to, any prohibited substance, and

c. to know all of the provisions of this Chapter 4 (including any advisories or interpretations published in equestrian) and all other rules and regulations of the Federation and the penalty provisions of said rules. For purposes of this rule, substantial evidence means affirmative evidence of such a clear and definite nature as to establish that said trainer, or any employee or agent of the trainer, was, in fact, not responsible or accountable for the condition of the horse and/or pony. If any trainer is prevented from performing their duties, including responsibility for the condition of the horses and/or ponies in their care, by illness or other cause, or is absent from any Licensed Competition where horses and/or ponies under their care are entered and stabled, the trainer must immediately notify the competition secretary and, at the same time, a substitute must be appointed by the trainer and such substitute must place their name on the entry blank forthwith. Such substitution does not relieve the regular trainer of their responsibility and accountability under this rule; however, the substitute trainer is equally responsible and accountable for the condition of such horses and/or ponies.

5. The trainer and owner acknowledge that the trainer represents the owner regarding horses and/or ponies being trained or managed, entries, scratches for any reason and any act performed on any horse and/or pony under the care and custody of the trainer.
6. In the case of a horse and/or pony competing under the Therapeutic Substance Provisions, any trainer and/or Persons Responsible subject to these rules who actually administers, attempts to administer, instructs, aids, conspires with another to administer or employs anyone who administers or attempts to administer a prohibited substance to a horse and/or pony which might affect the performance of said horse and/or pony at a competition licensed by the Federation without complying with GR411, is subject to the penalties provided in GR406.
7. Any trainer and/or Persons Responsible subject to these rules who administers, attempts to administer, instructs, aids, conspires with another to administer or employs anyone who administers or attempts to administer any substance to a horse and/or pony by injection or by any other route of administration, whether the substance is prohibited or permitted, in the competition ring of a competition licensed by the Federation during a scheduled class, is subject to the penalties provided in GR406.

GR405 Equine Drugs and Medications Testing in Connection with an Appeal Measurement

1. Each animal submitted for an appeal measurement is subject to the Drugs and Medications Chapter at the time of said measurement and/or concurrent examinations, and said animal must be in compliance therewith.
2. Each animal submitted for an appeal measurement must have drug testing samples collected at the time of said measurement and/or concurrent examinations. No sample is a drug testing sample unless it is collected by and/or under the direct supervision of Federation drug testing personnel, who must be appointed by the Administrator of the Equine Drugs and Medications Program to collect samples from the animal in question in connection with said measurement.
3. Each animal submitted for an appeal measurement must have both a urine sample and a blood sample collected at the time of said measurement and/or concurrent examinations. Both the urine sample and the blood sample must be of sufficient volume for drug testing purposes, as determined by the Administrator of the Equine Drugs and Medications Program. Said sample collections shall be conducted in accordance with procedures which are the sole prerogative of the Federation drug testing personnel. As deemed necessary by the Federation testing veterinarian, the animal shall be administered furosemide to cause it to produce a urine sample in a timely manner.
4. Every blood sample and/or urine sample collected in connection with an appeal measurement and all portions thereof are the sole property of the Federation. Said samples and all portions thereof must remain in the sole custody of the Federation drug testing personnel at all times during said measurement and/or concurrent examinations, and subsequently they must be submitted to the Federation's designated laboratory for testing in accordance with the instructions of the Administrator of the Equine Drugs and Medications Program.
5. The entire cost of sample collections and testing conducted in connection with an appeal measurement, including the fees and expenses of Federation drug testing personnel, shipping costs for equipment and samples, laboratory charges, etc., as determined by the Administrator of the Equine Drugs and Medications Program, must be paid in full by the appellant within 30 days of the submission of an invoice, regardless of the outcome of said measurement, and regardless of the laboratory results. A deposit in cash or certified

check equal to the costs of sampling and testing, as estimated by the Administrator of the Equine Drugs and Medications Program, may be required prior to the measurement.

6. No appeal measurement is valid absent written affirmation of the CEO or their designee confirming the receipt of negative drug testing results from the Federation's designated laboratory, indicating that both the urine and blood sample collected from the animal in question in connection with said measurement and/or concurrent examinations were found to contain no prohibited substance, said results having been issued to the Administrator of the Equine Drugs and Medications Program. Any instance involving a finding of prohibited substance shall additionally result in a violation of Chapter 4 for adjudication by the Hearing Committee in accordance with the Federation Bylaws.

GR406 Results, Confirmatory Analysis, and Retest

1. Blood and urine samples labeled and identified as Samples A shall be subjected to chemical analysis by the Federation's designated laboratory. Blood and urine samples labeled and identified as Samples B shall be stored securely, unopened, at the Federation's designated laboratory, to be used in the event of a confirmatory analysis, or in the event of a future analysis. Samples collected by the Federation are the property of the Federation, and the Federation is entitled to determine all matters regarding access to and the analysis and disposal of such samples.
2. In the event the chemical analysis of Blood or Urine Sample A is negative, i.e., no prohibited substance or any metabolite or analogue thereof is found to be present in the sample, the corresponding Blood or Urine Sample B may be frozen and maintained, at the Federation's designated laboratory, for possible future chemical analysis.
3. In the event the chemical analysis of Blood or Urine Sample A is positive, i.e., a prohibited substance or any metabolite or analogue thereof is found to be present in the sample, this shall be prima facie evidence that the prohibited substance was administered in some manner to said horse or pony, whether intentionally or unintentionally, or otherwise was caused to be present in the tissues, body fluids or excreta of the horse or pony at the competition, whether intentionally or unintentionally, such that the trainer(s) deemed responsible and accountable for its condition is (are) liable under the provisions of GR404.
4. In the event the chemical analysis of Blood or Urine Sample A is positive, the Federation shall notify the Trainer, Persons Responsible (if applicable), and the Owner of the Horse of their right to promptly request the analysis of the B sample. They may waive analysis of the B sample in which case they shall be deemed to accept the A sample analytical results. If waived, the Federation may nonetheless elect to proceed with the B sample analysis at its own expense. The Trainer, Persons Responsible (if applicable), and the Owner of the Horse are deemed to have waived their right to a B Sample analysis if the Confirmatory Analysis Request Form is not received by the Federation within 10 calendar days from notification of the right to request such analysis. Upon receipt of the duly executed Confirmatory Analysis Request Form, the Federation shall make arrangements for analysis of the B sample without undue delay. The party requesting the B sample analysis must pay the costs associated therewith in advance, but if the B sample analysis does not substantially confirm the A sample analysis, such costs will be reimbursed by the Federation. If such costs required to be paid in advance are not paid to the Federation within five business days following the issuance of the invoice, the right to have the B sample analysis performed will be deemed waived.
5. The party requesting the confirmatory analysis may elect to have the B sample analyzed at a different laboratory than the one which performed the A sample analysis. If such election is made, the Federation will select the B sample laboratory. The choice of laboratory used for the confirmatory analysis of the corresponding Blood or Urine Sample B will be determined exclusively by the Federation from the Federation Equestre Internationale list of approved laboratories or a laboratory recognized by the agency appointed by the Horseracing Integrity and Safety Authority as meeting their laboratory standards. The Federation will inform the requesting party which laboratory it selected to analyze the corresponding B sample.
6. The party requesting the analysis of the B sample may send a representative (witness) to be present for the opening and identification of the B sample, unless the Federation determines that allowing such representative (witness) may present a threat to the integrity of the analysis process. Such person does not have any right to witness the analysis of the B sample. If the appointed representative (witness) claims that they are not available on the scheduled date indicated by the Federation, the Federation will liaise with the testing laboratory and propose two alternative dates. If the appointed representative (witness) claims not to be available on the alternative dates proposed, the Federation will instruct the testing laboratory to proceed without the representative (witness) present.

7. In the event that the Federation's designated laboratory conducts both the analysis of the Sample A and the confirmatory analysis of the Sample B, both the results of the analysis of Sample A (and supporting data) and the results of the confirmatory analysis of the corresponding Sample B, if any (and supporting data, if any), shall be admissible as evidence in any hearing or proceeding pertaining to this matter.
8. In the event the corresponding Blood or Urine Sample B does not exist, or is of insufficient volume to permit a confirmatory analysis, and there exists a remaining aliquot of Blood or Urine Sample A which is of sufficient volume to permit a retest, as determined by the Federation, the party who requests the retest of Blood or Urine Sample A must make the request in writing to the Federation and it must be received within 7 days of the determination that the corresponding Blood or Urine Sample B does not exist or is of insufficient volume to permit a confirmatory analysis. The party requesting the re-test must pay the costs associated therewith in advance, but if the re-test does not substantially confirm the A sample analysis, such costs will be reimbursed by the Federation. If such costs required to be paid in advance are not paid to the Federation within five business days following the issuance of the invoice, the right to have the re-test performed will be deemed waived.
9. Any requested re-test of the remaining aliquot of Blood or Urine Sample A, provided it is of sufficient volume to permit a retest, shall be performed by the Federation's designated laboratory.
10. The party requesting the re-test may send a representative (witness) to be present for the opening and identification of the remaining aliquot of Blood or Urine Sample A, unless the Federation determines that allowing such representative (witness) may present a threat to the integrity of the analysis process. Such person does not have any right to witness the analysis of the sample. If the appointed representative (witness) claims that they are not available on the scheduled date indicated by the Federation, the Federation will liaise with the testing laboratory and propose two alternative dates. If the appointed representative (witness) claims not to be available on the alternative dates proposed, the Federation will instruct the testing laboratory to proceed without the representative (witness) present.
11. After chemical analysis of the B sample, or in the absence of the B sample the re-test of the A sample, if the laboratory's confirmatory analysis:
    a. Does not substantially confirm the Federation's designated laboratory's findings, then any allegations that the substance in question was present at the time that the samples were collected shall be dismissed; or
    b. Substantially confirms the Federation's designated laboratory's findings, the finding shall be considered conclusive.
12. In the case of a horse and/or pony competing under the Therapeutic Substance Provisions, if the chemical analysis of the sample taken from such horse and/or pony indicates the presence of a prohibited substance or any metabolite or analogue thereof and all the requirements of GR411 have been fully complied with, the information contained in said Equine Drugs and Medications Report Form and any other relevant evidence will be considered by the Federation in determining whether a rule violation was committed by any person(s) responsible or accountable for the condition of the horse and/or pony under the provisions of this rule.
13. No trainer, responsible or accountable for the condition of said horse and/or pony, will be suspended, or a horse and/or pony barred from competition, until after an administrative penalty has been assessed or after the conclusion of a hearing and a written ruling thereon has been made.
14. The owner or owners of a horse and/or pony found to contain a prohibited substance or any metabolite or analogue thereof may be required to forfeit all prize money, sweepstakes, added money and any trophies, ribbons and "points" won at said competition by said horse and/or pony and the same will be redistributed accordingly. The owner must pay a fee to said competition. Points accumulated toward Horse of the Year Awards prior to said competition may be nullified and redistributed at the discretion of the Hearing Committee. If, prior to or at a hearing, the Federation as the charging party, determines that one or more persons, not previously charged as a trainer should also be charged as a trainer, then, upon application by the Federation, the Hearing Committee may, in its discretion, continue or adjourn the hearing, in whole or in part, to permit a new or amended Disciplinary Action Complaint to be issued (unless the person(s) to be charged waive notice).
15. A trainer of a horse and/or pony found to contain such prohibited substance or any metabolite or analogue thereof is subject to whatever penalty is assessed in accordance with the Federation Bylaws, rules, and published penalty guidelines.
16. If the Hearing Committee determines that any violation or attempted violation of this Rule was willful and/or intentional, there shall not be any limit to the period of a suspension, and the Hearing Committee may impose other and significantly greater penalties than it would have in the absence of such a determination.

17. A blood sample may be retested under these Rules at any time exclusively at the direction of the Federation. The retesting of a sample may lead to a violation only if the sample was retested within three (3) years from the sample collection date. In order to constitute a violation under these rules, the substance detected in the retested sample must (i) have been prohibited at the time of sample collection; and (ii) not a therapeutic substance, which for purposes of this rule includes only the Controlled Medications on the FEI Prohibited Substances List (available at http://www.fei.org/fei/cleansport) in effect on the sample collection date.
18. In the event that the retested sample proves positive, and the retest was conducted more than one (1) year since the date of collection, no prizes or awards will be required to be returned.

GR407 Management Procedures

1. To provide funds for research, inspection and enforcement of rules regarding use of medications and drugs, each Licensed Competition, except where prohibited by law, must assess the exhibitors a fee for each horse and/or pony entered in the competition. Participants in the following classes or competitions are exempted from payment:
   a. leadline
   b. exhibitions
   c. games and races,
   d. classes for 4-H members,
   e. Recognized Academy classes at Dressage competitions.
   f. Opportunity classes
   g. Classes at Regular or Local Competitions restricted to breeds or disciplines whose rules are not included in the USEF rulebook.
   h. Lite Competitions
   i. However, these classes or competitions are not exempt from the Drugs and Medications Chapter itself. Within 10 days after a competition, competition management must forward to the Federation a sum representing the above fee times the number of horses and/or ponies entered in the nonexempt classes of the competition plus the number of horses and/or ponies scratched where the fee is not refunded, such sum to be held by the Federation in a separate fund for use to accomplish the purpose set forth above.
2. It is a violation for a Licensee to assess and/or collect a drug enforcement fee in excess of or in addition to that specified and required by GR407.1 of these rules, unless said assessment is approved in writing by the Federation in advance, and then only under the terms and conditions set forth.
3. It is a violation for a Licensee to withhold from the Federation any or all of the drug fees collected in accordance with GR407.1, for any purpose, including to defray the expenses incurred providing stalls, passes, and other items to the Federation drug testing personnel, as required by GR407.4 and .5.
4. Each Licensed Competition shall, at its own cost and expense, set aside and make available to The Federation testing personnel upon request suitable facilities conveniently located for the veterinarian appointed by the Federation and their technicians to collect equine blood and urine samples. Suitable facilities means one or more stalls if available, as requested, that are well lit, clean, dry, freshly bedded, and having a door or gate that can be secured.
5. Each Licensed Competition, upon request, must furnish the veterinarian appointed by The Federation and/or the Administrator of the Equine Drugs and Medications Program by mail forthwith, with the requested number of official passes and parking passes for the veterinarians and technicians to have immediate and free access to all areas at said Licensed Competition.
6. Competition management must cooperate with and exhibit polite attitude and actions toward the veterinarian and/or his agents.

GR408 Interpretations of the Federation Equine Drugs and Medications Chapter and its Application to Particular Substances

Any questions regarding the interpretation of this Chapter, including the application of this Chapter to particular substances, should be directed to the office of the Federation Equine Drugs and Medications Program, 956 King Avenue, Columbus, Ohio 43212-2655. (800) 633-2472, (614) 299-7707, FAX (614) 299-7706. Trainers and/or owners who seek advice concerning the interpretation and application of this rule should not rely solely upon interpretations or advice by private or competition veterinarians, competition officials, competition personnel, or

other persons, but should also obtain verification of any such interpretations or advice from the Federation Equine Drugs and Medications Program office. Any trainer or owner who is uncertain about whether this rule applies in any given situation would be well advised to withdraw the affected horse and/or pony from competition until such time as the Federation Equine Drugs and Medications Program office has been consulted.

GR409 Equine Drugs and Medications, Prohibited Substance Provisions

1. This paragraph applies only to FEI Banned Substances and Methods.
   For all Federation Equestre Internationale (FEI) recognized disciplines, Articles 2 (what constitutes a violation), 3 [proof of violations (except 3.1 and 3.2.3)], 4 (banned substances and methods), and 8.2 (principles of fair hearing) of the FEI Equine Anti-Doping rules govern. Those Articles are incorporated by reference as if fully set out herein and can be found at www.fei.org or the Drugs & Medications tab at www.usef.org. For purposes of this rule, the designation of "Person Responsible" in the incorporated provisions of the FEI Equine Anti-Doping rules shall refer to the individual(s) found to be the trainer of the horse as defined by GR404.
2. No horse and/or pony competing in a Breed or Discipline designated as (or part of) a No Prohibited Substance Group is to be shown in any class at a competition licensed by the Federation if it has been administered in any manner or otherwise contained in its tissues, body fluids or excreta a prohibited substance as defined in the FEI Equine Anti-Doping and Controlled Medication Regulations, which can be found at www.fei.org.
3. EXHIBITORS, OWNERS, TRAINERS, AND VETERINARIANS ARE CAUTIONED AGAINST THE USE OF MEDICINAL PREPARATIONS, TONICS, PASTES, AND PRODUCTS OF ANY KIND, THE INGREDIENTS AND QUANTITATIVE ANALYSIS OF WHICH ARE NOT SPECIFICALLY KNOWN, AS MANY OF THEM NO DOUBT CONTAIN ONE OR MORE PROHIBITED SUBSTANCES.

GR410 Equine Drugs and Medications, The Therapeutic Substance Provisions

1. No horse and/or pony competing in a Breed or Discipline designated as (or part of) a Therapeutic Substance Group is to be shown in any class at a competition licensed by the Federation (see also GR402.1, last sentence) if it has been administered in any manner or otherwise contains in its tissues, body fluids or excreta a prohibited substance except as provided in GR411. Any horse and/or pony that competes in more than one Breed, Discipline, and/or Group at a competition, one of which is a Prohibited Substance Group, shall be required to be in compliance with the Prohibited Substance Provisions at all times while competing in any and/or all classes and/or divisions at that competition. For purposes of this rule, a prohibited substance is:
   a. Any stimulant, depressant, tranquilizer, local anesthetic, psychotropic (mood and/or behavior altering) substance, or drug which might affect the performance of a horse and/or pony (stimulants and/or depressants are defined as substances which stimulate or depress the cardiovascular, respiratory or central nervous systems), or any metabolite and/or analogue of any such substance or drug, except as expressly permitted by this rule.
   b. Any corticosteroid present in the plasma of the horse/pony other than dexamethasone (see GR410.5b).
   c. Any nonsteroidal anti-inflammatory drug in excess of one present in the plasma or urine of the horse/pony (GR411 does not apply); exception: salicylic acid.
   d. Any substance (or metabolite and/or analogue thereof) permitted by this rule in excess of the maximum limit or other restrictions prescribed herein.
   e. Any substance (or metabolite and/or analogue thereof), regardless of how harmless or innocuous it might be, which might interfere with the detection of any of the substances defined in (a), (b), (c) or (e) or quantification of substances permitted by this rule.
   f. Any anabolic steroid (GR411 below does not apply).
2. EXHIBITORS, OWNERS, TRAINERS, AND VETERINARIANS ARE CAUTIONED AGAINST THE USE OF MEDICINAL PREPARATIONS, TONICS, PASTES, AND PRODUCTS OF ANY KIND, THE INGREDIENTS AND QUANTITATIVE ANALYSIS OF WHICH ARE NOT SPECIFICALLY KNOWN, AS MANY OF THEM MAY CONTAIN A PROHIBITED SUBSTANCE.
3. The full use of modern therapeutic measures for the improvement and protection of the health of the horse and/or pony is permitted unless:

a. The substance administered is a stimulant, depressant, tranquilizer, local anesthetic, drug or drug metabolite which might affect the performance of a horse and/or pony or might interfere with the detection of prohibited substances or quantification of permitted substances; or
b. More than one nonsteroidal anti-inflammatory drugs are present in the plasma or urine of the horse/pony (GR411 does not apply); exception: salicylic acid; or
c. The presence of such substance in the blood or urine sample exceeds the maximum limit or other restrictions prescribed herein below.

4. Restrictions concerning the nonsteroidal anti-inflammatory drugs are as follows:
   a. The maximum permitted plasma concentration of diclofenac is 0.005 micrograms per milliliter.
   b. The maximum permitted plasma concentration of phenylbutazone is 15.0 micrograms per milliliter.
   c. The maximum permitted plasma concentration of flunixin is 1.0 micrograms per milliliter.
   d. The maximum permitted plasma concentration of ketoprofen is 40.0 nanograms per milliliter.
   e. The maximum permitted plasma concentration of meclofenamic acid is 2.5 micrograms per milliliter.
   f. The maximum permitted plasma concentration of naproxen is 40.0 micrograms per milliliter.
   g. The maximum permitted plasma concentration of firocoxib is 0.240 micrograms per milliliter.
   h. Not more than one of the substances listed in (a) through (g) are permitted to be present in the same plasma or urine sample (GR411 does not apply).
   i. Any nonsteroidal anti-inflammatory drug not listed in (a) through (g) above is prohibited from being present in the plasma or urine sample (GR411 does not apply); exception: salicylic acid.
   j. Any nonsteroidal anti-inflammatory drug that becomes approved for use in horses can be added to the list of those permitted, after the completion, review and approval of the needed research.
5. Restrictions concerning other therapeutic substances are as follows:
   a. The maximum permissible plasma concentration of methocarbamol is 0.5 micrograms per milliliter.
   b. The maximum permitted plasma concentration of dexamethasone is 0.5 nanograms per milliliter.
6. Thresholds for substances of possible dietary origin are as follows:
   a. The maximum permissible urine concentration of theobromine is 2.0 micrograms per milliliter.
7. Additional restrictions concerning particular classes and/or divisions (GR411 does not apply):
   a. In the breeding/in-hand classes for three-year-olds and under in the Arabian, Half Arabian, and Anglo Arabian Division, any anabolic steroid is prohibited. (See HOW LONG DRUGS REMAIN DETECTABLE in the current Drugs and Medications Rules Pamphlet for guidelines).

GR411 Conditions For Therapeutic Administrations of Prohibited Substances

1. A horse and/or pony exhibiting at a Licensed Competition pursuant to the Therapeutic Substance Provisions that receives any medication which contains a prohibited substance is not eligible for competition unless all of the following requirements have been met and the facts are furnished in writing on a timely-submitted official Equine Drugs and Medications Report Form online:
   a. The medication must be therapeutic and necessary for the diagnosis or treatment of an existing illness or injury. Administration of a prohibited substance for non-therapeutic or optional purposes (such as, by way of example only, shipping, clipping, training, turning out, routine floating or cleaning of teeth, non-diagnostic nerve blocking, uncasting, mane pulling or non-emergency shoeing) is not considered to be therapeutic. Any trainer who is uncertain about whether a particular purpose is considered to be therapeutic would be well advised to consult the Federation Equine Drugs and Medications Program office.
   b. The horse and/or pony must be withdrawn from competition for a period of not less than 24 hours after the medication is administered.
   c. The medication must be administered by a licensed veterinarian, or, if a veterinarian is unavailable, only by the trainer pursuant to the advice and direction of a veterinarian.
   d. Identification of medication—the amount, strength and mode of administration.
   e. Date and time of administration.
   f. Identification of horse and/or pony, its name, age, sex, color and entry number.
   g. Diagnosis and reason for administration.
   h. Statement signed by person administering medication.
   i. Equine Drugs and Medications Report Form filed with the Steward/Technical Delegate or Designated Competition Office Representative within one hour after administration or one hour after the Steward/Technical Delegate or Designated Competition Office Representative returns to duty if

administration is at a time other than during competition hours. If an online form cannot be submitted due to lack of internet or phone service, a paper form may be submitted. This option may only be used when submitting the online form is impossible.

j. The Steward, Technical Delegate, or Designated Competition Office Representative must sign and record the time of receipt on the paper Equine Drugs and Medications Report Forms.

k. At selection trials for World Championships, and/or Olympic and/or Pan American Games, the requirement of subsection (b) above, that the horse or pony must be withdrawn from competition for a period of not less than 24 hours after the medication is administered will not apply, provided that:
   1. the competition is conducted pursuant to the written selection procedures as approved by the Federation Board of Directors;
   2. the written selection procedures specifically allow for therapeutic administrations of medications by a USEF-appointed veterinary panel within 24 hours preceding competition, and the written selection procedures are in no case less stringent in this regard than the FEI Veterinary Regulations (Articles 1006.7 and 1006.8) and guidelines pursuant thereto;
   3. all requirements of the written selection procedures regarding therapeutic administrations of medications have been met;
   4. all requirements of this Rule have been met except subsection GR411.1(b); and all persons competing in the competition are eligible and competing for selection.

2. Where all the requirements of GR411 have been fully complied with, the information contained in said Equine Drugs and Medications Report Form and any other relevant evidence will be considered by the Federation in determining whether a rule violation was committed by any person(s) responsible or accountable for the condition of the horse and/or pony under the provisions of this rule.
   NOTE: The official Equine Drugs and Medications Report Form is available on the Federation website and from the officiating Steward/Technical Delegate and/or Competition Secretary. Paper Medication Report Forms may only be used when it is impossible to submit an online form. All required information must be included when filing a report. Failure to satisfy and follow all the requirements of this Rule and to supply all of the information required by such Equine Drugs and Medications Report Form is a violation of the rules. The Steward/Technical Delegate must report any known violations of this Rule to the Federation for such further action as may be deemed appropriate.
3. Flunixin, in addition to one other substance listed in GR410 (a) through (g), may be found in the same plasma and/or urine sample of a horse under the following conditions and for the treatment of colic or an ophthalmic emergency only: (i) must comply with GR411.1; (ii) the flunixin must have been administered by a veterinarian; (iii) the required medication report form must be signed by the administering veterinarian, submitted appropriately, and in accordance with GR411; and (iv) the horse must be withdrawn from competition for 24 hours following the administration.

GR412 Administrative Penalties

Repealed

GR413 Human Drug Testing

1. In accordance with the rules of the FEI and of the World Anti-Doping Agency (WADA), any Federation member shall comply with in-competition, no advance notice (NAN), and other out-of-competition drug testing conducted by the FEI, WADA, US Anti-Doping Agency (USADA) or by a WADA-authorized organization or USADA-authorized organization at any time without advanced notice. Failure to cooperate with such in-competition, NAN or other out-of-competition drug testing shall be a violation of Federation rules.
2. In conjunction with the above-described NAN or other out-of-competition drug testing, the Federation is required to submit the names, current addresses, telephone numbers, training times and training and competition locations for individuals and teams as requested by the FEI, WADA, or USADA to enable FEI, WADA, or USADA to conduct NAN or other out-of-competition drug testing. Notwithstanding the foregoing, compliance with anti-doping regulations rests with the individual subject to testing.
3. A finding of violation of human drug rules by USADA or WADA shall be deemed a violation of Federation rules, and the reciprocity provisions of the Federation's Bylaws shall be applied.

GR 414 Prohibited Practices

1. No injectable substances may be administered to any horse or pony within 12 hours prior to competing, with the following three exceptions subject to paragraph 2 below:
   a. Therapeutic fluids, which amount must consist of a minimum of 1L of polyionic fluids per 100lb of body weight; and which must be used in accordance with the manufacturer's recommendations and guidelines. The fluids must not be supplemented with concentrated electrolytes, such as magnesium.
   b. Antibiotics. Procaine penicillin G is prohibited under this exception.
   c. Dexamethasone. This is permitted only for the treatment of acute urticaria –(hives). The dose must not exceed 0.5 mg per 100 lb (5.0 mg for 1000 lb horse) if administered more than 6 hours and less than 12 hours prior to entering the competition ring, and must not exceed 1.0 mg per 100 lb (10.0 mg for 1000lb horse) within any 24 hour period.
2. The above exceptions are permitted only when (i) the substance is administered by a licensed veterinarian and no less than 6 hours prior to competing; and (ii) the "Trainer" as defined under General Rule 404 properly files, or causes to be properly filed, an Equine Drugs and Medications Report Form with the Steward/Technical Delegate or competition office representative within one hour after the administration of the substance or one hour after the Steward/Technical Delegate or competition office representative returns to duty if the administration occurs at a time outside competition hours. The Steward/Technical Delegate or competition office representative shall sign and record the time of receipt on the Equine Drugs and Medications Report Form.
3. No horse may be injected with any substance, prohibited or permitted, into an intra-synovial space (joint, tendon sheath, or bursa) within the 4 days preceding competition. No horse less than two years of age may be treated with intrasynovial injections within the 30 days preceding competition.
4. Shockwave Therapy may only be administered by or on the order of a licensed veterinarian. If sedation is required for Shockwave Therapy, only sedation performed by a licensed veterinarian and administered at the same time as the Shockwave Therapy will be considered therapeutic and GR411 will apply. No sedation associated with Shockwave Therapy will be considered therapeutic if administered within 24 hours prior to competition. No horse may be treated with Shockwave Therapy within the 3 days preceding competition with the following exception:
   a. Shockwave Therapy may be administered by a licensed veterinarian within the 3 day prohibited period, but no closer than 12 hours prior to competing, and is limited to application to the back and dorsal pelvis areas. No Shockwave Therapy is permitted within the 12 hours prior to competing. This exception is permitted only when the "Trainer" as defined under GR404 properly files, or causes to be properly filed, an Equine Drugs and Medications Report Form with the Steward/Technical Delegate or competition office representative within one hour after the administration of Shockwave Therapy or one hour after the Steward/Technical Delegate or competition office representative returns to duty if the administration occurs at a time outside competition hours. The Steward/Technical Delegate or competition office representative shall sign and record the time of receipt on the Equine Drugs and Medications Report Form.
5. No kinesiotape or self-adhesive patches may be used on any horse while mounted at any time during competition. Kinesiotape and self-adhesive patches are permitted exclusively while the horse is unmounted in the stabling area. Nasal strips are permitted unless prohibited by specific division rules.
6. It is a prohibited practice to administer bisphosphonates, except in horses four years of age or older and when using bisphosphonates that are FDA approved for use in horses. GR411 must be followed.
7. It is a prohibited practice to compete in Federation competitions with a tracheotomy/tracheostomy (i.e. surgical opening through the skin into the trachea).

CHAPTER 5 MEASUREMENT OF ENTRIES

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CHAPTER 5 MEASUREMENT OF ENTRIES

SUBCHAPTER 5-A CONDITIONS

GR501 Other Measurement Rules

For all measurements, references to the measurement form implies either the paper or electronic version of the form. The rules in Chapter 5 do not apply to measurement of entries in Hunter, Jumper, and Welsh Pony Divisions: refer to rules HJ126-138. For Combined Driving, see Appendix DC-A Measurement of Combined Driving Ponies. For Dressage, see DR135. For all other measurements, see GR502-518.

GR502 General

1. Measurements must be completed by a Federation licensed official that is certified to measure.
2. Competition Management shall not permit a pony to be shown in a performance class at a Federation Licensed Competition in any division or section that requires a measurement card unless: a) the owner or trainer is in possession of a measurement card issued by the Federation; b) the owner or trainer possesses a copy of a valid measurement form; or c) Competition Management confirms measurement electronically with the Federation Office; or d) For Shetlands the owner or trainer is in possession of a measurement card issued by ASPC. At Regular Competitions, Competition Management shall not give out an exhibitor's number for a pony showing in a division or section that requires a measurement card before the above requirements have been complied with. (See GR1302.2b)
3. Competition Management, the Federation CEO, or their designee may require the measurement of all ponies in any division in which height is a qualifying factor.
4. Ponies competing in any division or section that requires a measurement card are subject to measurement by a licensed veterinarian, steward who is certified to measure, or technical delegate who is certified to measure, and who is appointed by the Federation.
5. Measurements must take place at a Federation Licensed Competition in which the pony is entered to compete. The pony must be measured (height) by an officiating steward or technical delegate certified to measure and any one of the following competition officials: a veterinarian, a judge, another steward or technical delegate. Heel and toe measurements, where applicable, must be done by an officiating steward.
6. The officials appointed to measure ponies at a Federation Licensed Competition are responsible for their true measurement and must check the measurement devices for accuracy. The names of the measuring officials will be printed on the measurement card. All measuring officials may be subject to penalty under the provisions of the Federation Bylaws and Chapter 7 if it is determined that a measurement is incorrect.
7. Measurements are solely for the internal use of the Federation, its Licensed Competitions and its licensed officials in connection with competing for prizes, and do not constitute any representation or warranty regarding measurement information; accordingly, the Federation, its Licensed Competitions and its licensed officials make no representation and shall have no liability whatsoever for measurement errors.
8. Once a foal year has been submitted to the Federation office, the original date cannot be changed without a copy of the pony's breed registration papers or a signed statement from a veterinarian certifying the pony's age.
9. Prior to presenting the pony for measurement it is the responsibility of the owner to ensure that the pony is handled properly, accustomed to the application of a measuring stick, and correctly prepared for measurement.

GR503 Required Measurements and Re-measurements

1. All ponies must be measured annually until six years of age. Until six years of age, ponies must be issued a card designating the year measured. Ponies six years of age and older must be issued a measurement card which does not have to be renewed. Those ponies measured (and for which a valid measurement form is received by the Federation) in December immediately preceding the calendar year in which they turn six years of age must be issued a measurement card that does not have to be renewed. Measurement cards for ponies six years of age and older must not be re-issued unless the measurement is questioned, protested, or an appeal is made and an official measurement is performed.

2. In the event a pony has been officially measured and the owner does not have the measurement card or valid measurement form in their immediate possession and the pony's height cannot be confirmed with the Federation electronically, the pony must be re-measured for the purposes of competing in that particular Federation Licensed Competition only. Measurement forms are sent to the Federation office, and shall not be given to the owner. If an owner fails to present a valid measurement card at a Federation Licensed Competition, the owner shall have the pony re-measured at the competition. If an owner fails to present measurement card at more than one (1) Federation Licensed Competition, the owner will be fined for each subsequent re-measurement necessary.
3. If the shoeing status of a pony changes from that which is indicated on its measurement card a new card reflecting the new shoeing status may be issued provided the pony is re-measured in accordance with GR509 and the original measurement card is surrendered to the Federation.
4. Exception: GR503 does not apply to the Dressage Division. For Dressage and Dressage Sport Horse Breeding, see DR135.

SUBCHAPTER 5-B PROCEDURES

GR504 Membership Requirements

All persons presenting a pony for measurement shall first provide the owner's membership number, a signed statement signifying that membership has been applied for.

GR505 Completing the Measurement Form

1. The measurement form must be filled out completely and the number of the Measurement Stick must be on the form. Measurement cards will not be issued if the form is not completely filled out and legible. Exhibitors shall ensure the form is accurate and complete.
2. The form must include:
   a. The name and date of the competition, the height of the pony, height of heel (except for Arabian, Dressage and Dressage Sport Horse Breeding), name, color and markings, sex, year foaled which must be verified at time of measurement and designate whether the pony is shod or unshod.
   b. The name, email address, and Federation membership number of the owner must be given; if the horse/pony is leased, the lessor is considered the owner for measurement purposes.
   c. Name of the person furnishing the above information immediately before the pony is measured. The person providing the information must be 18 years or older and is responsible for the accuracy of such information.
   d. Signature of the measuring official.
3. The owner shall receive a copy of the measurement form which, when properly signed by the measuring official, is valid for 45 days from the time the pony is measured. Exception: Hackney, Roadster, and American Saddlebred ponies: the copy which owners retain for the remainder of the current competition year.

SUBCHAPTER 5-C MEASURING

GR506 Measurement Devices

The Federation Approved Measuring Stick shall be used. See DR135 for specific requirements regarding Measurement Devices at Dressage Competitions.

GR507 Measurement Surface

1. The measurement surface must be level. No pony shall be measured on dirt or gravel. A concrete slab or other paved surface is most desirable but, when not available, a sheet of heavy plywood can be used. Exception: plywood may not be used for Dressage or Dressage Sport Horse Breeding measurements. (GR507 does not apply to Dressage pony measurements. See DR135.)

2. If a suitable surface is not available at a competition, a pony without a measurement card or a valid measurement form must be measured to compete in that Federation Licensed Competition, but no measurement form shall be given to the owner. See GR1210.2 and GR1210.3.

GR508 Position of Animal

1. The pony must stand squarely on all four feet in such a position that the front legs are vertical to the ground and the back of the hocks are in a vertical line with the point of the pony's quarters. The head must be held low enough to reveal the highest point of the withers and no lower. The pony must be free of all appliances. Handlers must not interfere with the pony in any way that will prevent it from standing in this position; blinkers may be allowed.
2. For the Hackney Division: The pony should be standing with its front legs perpendicular to the ground. The pony's head should be lower than its withers. This easily locates the highest part of the withers where the measurement should take place. Handlers must not interfere with the pony in any way that will prevent it from standing in the correct position; blinkers may be allowed.

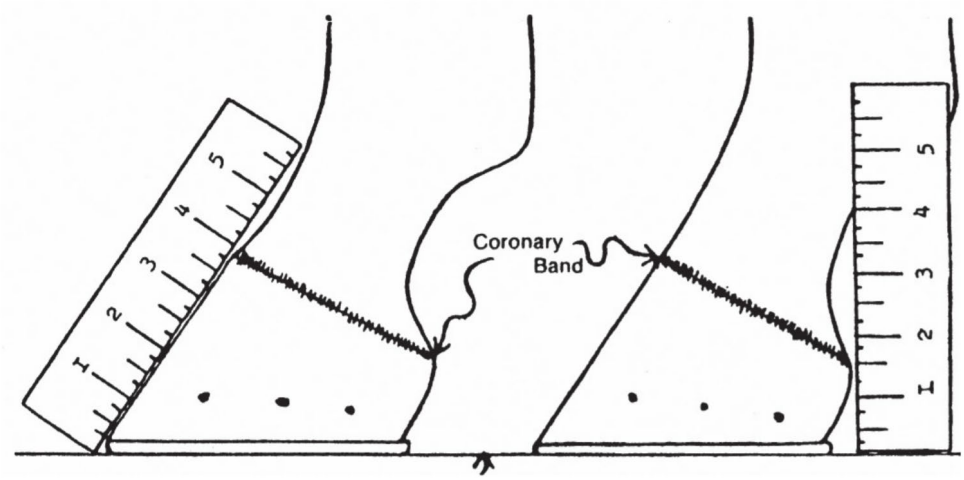
GR509 Method of Measurement

The measurement shall be from the vertical distance from the highest point of the withers to the ground. The cross-piece, arm or bar of the measuring device shall be placed over the highest point of the withers.

GR510 Method of Measuring Toe and Heel

Using a six (6) inch metal ruler, the length of the toe shall be determined by measuring from the front of the hoof, in the center, from the skin line on the lower side of the coronary band to the ground. The skin line on the lower side of the coronary band shall be defined by palpation. The height of heel shall be determined by measuring from the skin line on the lower side of the coronary band to the ground, with the ruler perpendicular to the ground (See illustration).

METHOD OF MEASURING TOE AND HEEL



Smooth Flat Surface

GR511 Exceptions for Breed Measurements

1. American Saddlebred-
   a. Ponies five years of age and under are eligible to compete in classes restricted to American Saddlebred-type ponies. Ponies must be presented annually for measurement unshod (note: for purposes of protection a 1/4" pad or plate may be nailed to the foot and then deducted from the official measurement).

b. In order to obtain a permanent Federation measurement card American Saddlebred-type ponies, six years of age and over must be presented for measurement unshod (note: for purposes of protection a 1/4" pad or plate may be nailed to the foot and then deducted from the official measurement).
c. If an American Saddlebred-type pony (regardless of age) measures up to 1 inch over 14.2 hands the pony will be issued a measurement card reflecting its height and permitted to compete in American Saddlebred-type pony classes restricted to ponies 14.2 hands and under.

2. Shetland- The pony may be presented with or without shoes regardless of how shown.
3. Arabian - heel measurements are not required.

SUBCHAPTER 5-D QUESTIONING AND PROTESTING

GR512 Height

1. An owner or trainer may protest the height of only one pony competing in a class in which the owner or trainer is also competing. For Dressage protests, see DR135.9. If a protest is filed the pony's heel must be measured by an officiating steward or technical delegate immediately upon exiting the arena so that no change can be made by re-shoeing or the pony must be accompanied by an official until the measurement procedure is completed. The heel measurement will be taken in accordance with GR510, recorded on the form and signed by the measuring official. The pony must be measured for height within one hour of the conclusion of the session and at an officially designated measurement location for that competition. If it is determined that the pony's shoeing has been changed between the time of the measurement of the heel and the official measurement of the pony, the protest will be upheld and the owner will forfeit entry fees and winnings for the entire competition and the pony is barred from competing for the balance of the competition year. All points accumulated for Horse of the Year Awards are nullified. (Exception: Heel measurements are not required for Arabians. The pony will be measured for height per Chapter 5, Subchapter 5-B and 5-C)
2. Ponies Five Years of Age or Under
   a. If it is determined that a pony five years of age or under is being shown with the same heel measurement as indicated on its measurement card or valid measurement form, or a lower heel measurement than as indicated on the measurement card or valid measurement form, said pony may continue to show at the height indicated and its height cannot be protested.
   b. If it is determined that the pony is being shown with a greater heel measurement than as indicated on its measurement card or valid measurement form, the pony must be measured in accordance with GR512.1
   c. (Exception: Heel measurements are not required for Arabians. The pony will be measured for height per Chapter 5, Subchapter 5-B and 5-C)

GR513 Toe Length

1. An owner or trainer may protest the length of toe of any pony competing in a class in which the owner or trainer is competing.
2. If the length of toe of any pony is protested, the Competition Management must have the official veterinarian and a judge or steward officiating in the competition measure the toe immediately so that no change can be made by reshoeing.
3. If the limit for length of toe is exceeded, the pony must be disqualified for the balance of the competition and the owner of the pony shall forfeit entry fees and winnings for the entire competition.

GR514 Results of Questioning or Protesting

1. The measurement card or valid measurement form of any pony that is required to transfer into another division or section must be surrendered to the competition steward or technical delegate who must forward it to the Federation office with their report.
2. The name of any pony barred from showing due to its height exceeding the limit for the pony's section by more than one half inch (1/2") is listed in equestrian.
3. The decision of competition measuring(s) officials is final for the competition where the protesting occurred. An appeal may be filed with the Federation to cover future and prior competitions.

SUBCHAPTER 5-E MEASUREMENT APPEAL

GR515 Conditions

An owner or trainer of an pony declared ineligible for a division or section on account of height may appeal a measurement. The pony is barred from competing in the particular height division or section for which it was declared ineligible until the measurement is performed.

GR516 Procedures

1. The appeal must be made to the Federation office in writing within seven (7) days of the measurement and must be accompanied by the required deposit.
2. All expenses including veterinary fees must be paid by the appellant who must make a deposit with the Federation as an advance on anticipated costs prior to the measurement.
3. The Federation will select a location and time for the appeal measurement as convenient as possible. The owner or trainer must deliver the pony to the location at their own expense within 45 days of filing the appeal.
4. The measurement must be performed by at least two persons appointed by the Federation which shall include one veterinarian who is a member of the American Association of Equine Practitioners and one steward or Technical Delegate certified to measure, as permitted by division rules. The officials whose measurement is being appealed cannot be part of the team conducting the measurement appeal.
5. Any pony submitted for an appeal measurement must show no evidence of lameness. If found to be lame by the examining veterinarian, the pony cannot be measured or remeasured for thirty (30) days and the appellant forfeits their deposit and any veterinary expenses incurred. Each pony submitted for an appeal measurement must be tested for drugs and medications in accordance with General Rules, Chapter 4, GR405.
6. If the appeal is not upheld or the appellant fails to submit the pony within 45 days, the pony is barred from showing for the balance of the competition year. The deposit is forfeited and all points accumulated for Horse of the Year Awards are nullified.
7. The measurement made under the terms of an appeal is final.

SUBCHAPTER 5-F OFFICIAL MEASUREMENT

GR517 Conditions

An owner or trainer may request an official measurement for a pony six (6) years or over provided: a) the pony has never been shown in a Licensed Competition; b) has never been declared ineligible for a division on account of height; or c) has had a bona fide transfer of ownership.

GR518 Procedures

1. The request for an official measurement must be made to the Federation in writing and accompanied by a non-refundable deposit.
2. All expenses including veterinary fees must be paid by the person requesting the measurement who shall make a deposit with the Federation, as an advance on anticipated costs, prior to the measurement. Both the owner and trainer are required to sign a waiver and consent on a form prepared by and acceptable to the Federation agreeing to the arrangements for the official measurement, agreeing to the administration of Lasix to the pony to aid in the collection of a urine sample for laboratory tests for Federation forbidden substances. (Exception: Hackney, Roadster, and American Saddlebred.)
3. The Federation will select a location and time for the official measurement as convenient as possible. The owner or trainer must deliver the pony to the location at their own expense.

4. The measurement must be performed by at least two persons appointed by the Federation which shall include one veterinarian who is a member of the American Association of Equine Practitioners and one

steward or technical delegate certified to measure. The officials whose measurement is being appealed cannot be part of the team conducting the measurement appeal.

5. Any pony submitted for an official measurement must show no evidence of lameness.
6. An official measurement is final.

CHAPTER 6 PROTESTS, CHARGES, ATHLETE GRIEVANCES, HEARINGS, ADMINISTRATIVE PENALTIES AND PLEA AGREEMENTS

Repealed. See Bylaws for complaint procedures.

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CHAPTER 7 VIOLATIONS AND PENALTIES

Subchapter 7-A INDIVIDUALS

GR701 General

GR702 Violations

GR703 Penalties

GR704 Regulations as to Suspended Persons

Subchapter 7-B LICENSED Competitions

GR705 General

GR706 Violations

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CHAPTER 7 VIOLATIONS AND PENALTIES

SUBCHAPTER 7-A INDIVIDUALS

GR701 General

The provisions of this rule apply in connection with any Licensed Competition to the following persons: owner, exhibitor, agent, trainer, manager, rider, driver, handler, competition official, competition staff (see GR112 and GR113), a veterinarian who, while on the competition grounds, prescribes, dispenses, or administers a prohibited substance to a horse and member of the family of the above, a member of the Federation or any person who acts in a manner in violation of the rules of the Federation or deemed prejudicial to the best interests of the sport and the Federation. Any act in connection with a Licensed Competition in violation of the Rules by a member of the family of a person participating in the competition who is described in the previous sentence, may be deemed to have been committed by such person and subject them to penalties.

GR702 Violations

1. A violation is any act prejudicial to the best interests of the Federation, including but not limited to the following:
   a. Violation of the Code of Conduct or any rules of the Federation.
   b. Disqualification by a Licensed Competition.
   c. Determination that disciplinary action has been taken by an administrative agency, arbitration or other tribunal body, humane society, other National Governing Body, or a court of law.
   d. Acting or inciting or permitting any other to act in a manner contrary to the rules of the Federation, or in a manner deemed improper, unethical, dishonest, unsportsmanlike or intemperate, or prejudicial to the best interests of the sport and the Federation.
   e. Any act committed or remark made in connection with the competition considered offensive and/or made with the intent to influence or cast aspersions on the character or integrity of the licensed officials, approaching a judge before or after a decision without first obtaining permission from the show committee or steward/technical delegate, inspecting a judge's card without the judge's permission, or public verbal abuse of competition officials.
   f. Physical assault upon a person and/or cruelty to a horse as defined in GR838.
   g. Failure to obey any penalty imposed by the Federation.
   h. Exhibiting any horse while in the care, training or custody of a suspended trainer.
   i. Riding, exhibiting, coaching or training for the benefit, credit, reputation or satisfaction of a suspended person.
   j. Failure to pay indebtedness to the Federation or indebtedness for hearing transcripts or other hearing expenses arranged through the Federation.
   k. Participating in any manner at a licensed competition while not in good standing or competing horses not in good standing at a licensed competition. (See GR137)
   l. Prescribing, dispensing, or administering a drug by a veterinarian which results in a finding of a prohibited substance. In the event a positive report is received by the Federation for a horse or pony to which a prohibited substance has been administered in any manner and the veterinarian is identified in any manner as the source of said prohibited substance, said violation will be addressed pursuant to GR412.
   m. Any action which is subject to Reciprocity by the Federation under the Federation Bylaws.
   n. Retaliation against a person for making an allegation of any rule violation, for supporting a reporting party or witness, or for providing information relevant to a potential violation. Retaliation may be considered any adverse action taken by an individual against a person participating in a USEF proceeding.

GR703 Penalties

1. If found guilty, the accused will be subject to such penalty as the Hearing Committee, or other individuals with authority to assess penalties may determine, including but not limited to the following. The penalties set forth below will be published on the Federation's web site.

a. CENSURE. A vote of Censure will be listed under the defendant's name in the Secretary's Record of Penalties. If found guilty of a further violation the defendant will be subject to a heavier penalty than for a first offense.
b. SUSPENSION of such person for any period from showing or having others show, exhibit, or train for them.
    1. A suspended person is forbidden for the time specified in the decision from the privilege of taking any part whatsoever in any Competition licensed or endorsed by the Federation and is excluded from all competition grounds during Competitions licensed or endorsed by the Federation, as an exhibitor, participant or spectator.
    2. In addition, a suspended person is forbidden from participating in all Federation affairs and activities, to hold or exercise office in the Federation or in any Competition licensed or endorsed by the Federation, to attend, observe or participate in any event, forum, meeting, program, clinic, task force, or committee of the Federation, sponsored by or conducted by the Federation, or held in connection with the Federation and any of its activities.
        a. Not withstanding the above, a Director may be removed from the Board of Directors only in accordance with the applicable provisions of the Bylaws.
        b. If the Hearing Committee deems it appropriate, it may send its findings concerning a Director to the Board for its consideration.
    3. Where practical and appropriate in the opinion of the Hearing Committee, suspension may include the comparable dates during which the violation occurred.
c. SUSPENSION for any period of the horse or horses, owned by them, or shown in any name or for their credit or reputation, whether such interest was held at the time of the alleged violation or acquired thereafter. The Board of Directors or the Hearing Committee may at a later date remove the suspension of said horse or horses if it is demonstrated to their satisfaction that a sale or transfer thereof was made by such person, partnership, or corporation in such as to be a bona fide transaction and not with the intention of relieving the suspended owner of penalty. See GR137.
d. SUSPENSION for any period of any volunteer or any employed person who rides or exhibits for the benefit, credit, reputation, or satisfaction of another suspended person.
e. EXPULSION from all Licensed Competitions.
f. EXPULSION or SUSPENSION from membership in the Federation.
g. PERMANENT INELIGIBILITY from Federation membership and all Federation related activities, including a prohibition from taking any part whatsoever in any Competition licensed or endorsed by the Federation and exclusion from all competition grounds during Competitions licensed or endorsed by the Federation in any capacity, including as an exhibitor, participant or spectator. Additionally, a permanently ineligible person is prohibited from attending, observing, or participating in any event, forum, meeting, program, clinic, task force, or committee of the Federation, sponsored by or conducted by the Federation, or held in connection with the Federation and any of its activities.
h. FORFEITURE of trophies, ribbons, prize money, and/or sweepstakes won in connection with the offense committed, which will be redistributed accordingly and payment of a fee to the competition in question. Federation points may be nullified and redistributed at the discretion of the Hearing Committee.
i. SUSPENSION from office as steward, technical delegate, judge, course designer or competition official.
j. REVOCATION of judge's, steward's, technical delegate's or course designer's license.
k. FINE.

2. Federation Affiliated Associations must honor all Federation penalties. See GR204.

GR704 Regulations as to Suspended Persons

This rule applies to all persons deemed ineligible to participate.

1. The purpose of this Rule shall be to prevent the avoidance by suspended exhibitors, trainers, coaches and other persons of the terms and conditions of their suspensions, or the penalties intended by the Hearing Committee as appurtenant to such suspensions. This Rule shall apply to the spouse of a suspended person as well as to any other persons or entities, including, without limitation, companions, family members, employers, employees, agents, partnerships, partners, corporations or other entities, whose relationship, whether financial or otherwise, with a suspended person would give the appearance that such other persons

are riding, exhibiting, coaching or training for the benefit, credit, reputation or satisfaction of the suspended person.

2. No suspended person's spouse or companion shall assume any of the suspended person's responsibilities whatsoever at Federation competitions during the term of said suspension. Companion shall be defined as any person who co-habits with, or otherwise shares living accommodations with, a suspended person.
3. No suspended person's spouse or companion may fill out any entry blanks for any of the suspended person's customers for Federation competitions during the term of the suspended person's suspension, except when the suspended person's spouse or companion is the parent or legal guardian of a minor entered to compete at a Competition licensed or endorsed by the Federation, or pay or advance entry fees on behalf of customers for Federation competitions during said period.
4. Any person who assumes the responsibility for the care, custody or control of an unsuspended horse completely or in part owned, leased, trained by or coached by a suspended person, must not:
   a. Be paid a salary directly or indirectly by or on behalf of the suspended person; or
   b. Receive a bonus or any other form of compensation in cash, property or other remuneration or consideration such as to make up for any such lost salary; or
   c. Make any payments of any kind, or give any remuneration or other compensation or consideration, to the suspended person, their spouse or companion, any corporation, partnership or other entity owned or controlled by said suspended person or to any other person for transfer to any of said individuals or entities for the right to ride, exhibit, coach or train for the suspended person or any of the suspended person's customers during Federation Licensed Competitions; or
   d. Use the farm or individual name of the suspended person.
5. An individual who takes over the horses of a suspended trainer or coach must:
   a. Bill customers directly on their own bill forms for any services rendered at or in connection with any Federation Licensed Competitions;
   b. Maintain a personal checking account totally separate from and independent of that of the suspended person for purposes of paying all expenses of and depositing all income from customers;
   c. Pay all their employees working at Federation competitions, none of whom may be employees, directly or indirectly, of the suspended person;
   d. Keep checks, books, employee records and make withholding of taxes and other regular deductions from their employees' paychecks;
   e. Pay all feed bills, motel, van bills, travel expenses, etc. from their separate and independent checking account and preserve, for six months after the date that said suspension is terminated, invoices for said bills;
   f. If such individual makes use of any equipment of a suspended trainer, the use of said equipment must be enumerated in detail in a written lease, the form and substance of which must be satisfactory to counsel for the Federation and shall be at the fair rental value for said equipment and said price must be included in said agreement;
   g. File such federal and state tax returns as will reflect as their income the income from said training or coaching responsibilities at Federation Licensed Competitions;
   h. Not borrow funds from a suspended trainer or coach, their spouse or companion, their families, corporations, partnerships, or any other entities owned or controlled by said suspended trainer or to any other person for the purpose of going into business for themselves at Federation Licensed Competitions during the period of said suspension, nor will they allow any of the above-named parties or entities to sign or guarantee any notes or any type of loans to enable them to go into business as described above.
6. Suspended trainers and coaches, and individuals taking over the horses or customers of a suspended trainer or coach may be requested to make their books, canceled checks, invoices, tax returns and other evidence available to Federation representatives to verify and affirm the details of any relationship between them and suspended trainer or coach.
7. This Rule is intended to provide guidance for suspended persons and anyone contemplating taking over the responsibility for the riding, exhibiting, coaching, or training, of an unsuspended horse from a suspended person. It is not intended to anticipate every potential circumstance in which the intent of a suspension may be frustrated, and the Hearing Committee shall have the power to determine whether the facts and circumstances peculiar to any particular case compel a finding that there was or is a violation of the rules prohibiting exhibiting any horse while in the care, training or custody of a suspended trainer, or riding, exhibiting, coaching or training for the benefit, credit, reputation or satisfaction of a suspended person (see GR702.1h and .i).

SUBCHAPTER 7-B LICENSED COMPETITIONS

GR705 General

The provisions of this Chapter apply to all Regular Competitions, Local Competitions, Eventing Competitions, Dressage, Driving, Endurance and Vaulting Competitions.

GR706 Violations

1. Any competition licensed or endorsed by the Federation is subject to penalty by the Hearing Committee or other individuals with authority to assess penalties for violation of the rules. Violations include, but are not limited to, the following. All penalties will be published on the Federation's web site.
   a. Failure to conduct a competition in accordance with the Federation rules and applicable specifications.
   b. Failure to pay its indebtedness to the Federation.
   c. Failure to pay premiums and other indebtedness within 30 days.
   d. Failure to report the disqualification of a person at the competition.
   e. Failure to honor written contracts with judges, stewards or other competition officials and employees.
   f. Failure to furnish the Federation with entry blanks, judge's cards, class sheets or any other documents it may request in connection with the competition.
   g. The use of judges not licensed in those divisions covered by Federation rules if due notice has been received from the Federation.
   h. The use of judges in divisions in which they have not been enrolled without obtaining in advance the required Special or Guest judge's card.
   i. The use of stewards, technical delegates, or where required, course designers who are not Federation licensed if due notice has been received from the Federation.
   j. The listing of a judge, steward, technical delegate or course designer in the prize list or catalogue before the invitation to serve has been accepted in writing by such licensed official.
   k. Permitting individuals, entities or horses that were placed on suspension at least seven days prior to the competition start date to be on the grounds and/or to participate in any manner.
   l. Permitting acts which are improper, intemperate, dishonest, unsportsmanlike or contrary to the rules of the Federation, or prejudicial to the best interests of the sport and the Federation.
   m. Acting in a manner prejudicial to the best interests of the sport and the Federation.
   n. Assessing and/or collecting a drug enforcement fee in excess of, or in addition to, that specified and required by GR407.1 of these rules, unless said assessment is approved in writing by the Federation in advance, and then only under the terms and conditions set forth.
   o. Withholding from the Federation any or all of the drug fees collected in accordance with GR407.1, for any purpose, including to defray the expenses incurred providing stalls, passes, and other items to the Federation drug testing personnel, as required by GR407.4 and .5.
   p. Using the name or title of a championship that has not been assigned to that Licensed Competition during the same competition year.

GR707 Penalties

1. A Licensed Competition found guilty of a violation will be subject to penalty including but not limited to the following:
   a. CENSURE. A vote of Censure will be listed under the defendant's name in the Secretary's Record of Penalties. If found guilty of a further violation, the defendant will be liable to a heavier penalty than for a first offense.
   b. SUSPENSION for any period from the list of Licensed Competitions.
   c. EXPULSION from membership in the Federation.
   d. FINE.

CHAPTER 8 CONDUCT OF LICENSED COMPETITIONS

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CHAPTER 8 CONDUCT OF LICENSED COMPETITIONS

SUBCHAPTER 8-A ATTIRE AND EQUIPMENT

GR801 Dress

1. It is the tradition of the competition ring that riders and drivers be correctly attired for the class in question, that attendants be neatly dressed, and horses be properly presented.
2. It is compulsory for all persons at Federation licensed hunter, jumper, or hunter/jumper competitions when mounted anywhere on the competition grounds, to wear properly fastened protective headgear in compliance with the accepted testing standards published on the Federation's website. Protective headgear must carry the applicable quality testing tag and/or label. It must be properly fitted with harness secured. Exception: In Hunter or Jumper classes, adults may be allowed to remove their headgear while accepting prizes and during the playing of the National Anthem only; they must refasten their headgear prior to the lap of honor. It is compulsory for riders in Paso Fino classes, both open and breed restricted including Hunter Hack, where jumping is required and when jumping anywhere on the competition grounds to wear properly fastened protective headgear. It must be properly fitted with harness secured. A Show Committee, Competition Management, and Licensed Officials must bar riders without protective headgear from entering the ring for classes in which protective headgear is required and may bar any entry or person from entering the ring if not suitably presented to appear before an audience.
3. Except as may otherwise be mandated by local law, all sub-junior exhibitors in the Paso Fino division, while riding or driving or while in the driving cart anywhere on the competition grounds, must wear properly fitting protective headgear. Harness must be secured and properly fitted. Any rider violating this rule at any time must immediately be prohibited from further riding until such headgear is properly in place. For all exhibitors competing in the hunter, jumper, or hunter/jumping seat equitation section, if a rider's chin strap becomes unfastened, the rider may stop, re-fasten the chin strap and continue their round without penalty or elimination. A judge may, but is not required to stop a rider and ask them to refasten a chin strap which has become unfastened, again without penalty to the rider. Members of the Armed Services or the Police may wear the Service Dress Uniform.
4. Any exhibitor may wear protective headgear and/or a protective vest either body protecting or inflatable, specifically designed for use in equestrian sport in any division or class without penalty from the judge. The Federation recommends that the vest pass or surpass the current ASTM standard F1937 or be certified by the Safety Equipment Institute. For Eventing, inflatable vests are permitted only when worn over a body protecting vest. Protective headgear must be in compliance with the accepted testing standards published on the Federation's website. Protective headgear must carry the applicable quality testing tag and/or label.
5. See DC928 for protective headgear requirement in Combined Driving.
6. See DR120 for protective headgear requirement in Dressage.
7. See EN102 for protective headgear requirement in Endurance.
8. Except as may otherwise be mandated by local law, the Federation strongly encourages all riders, while riding anywhere on the competition grounds, to wear protective headgear with harness secured. (Exception hunter, jumper, or hunter/jumper competitions refer to GR801.2) It is the responsibility of the rider, or the parent or guardian or trainer of the junior exhibitor to see to it that the headgear worn complies with appropriate safety standards for protective headgear intended for equestrian use, and is properly fitted and in good condition, and the Federation, Show Committee, Competition Management, and Licensed Officials are not responsible for checking headgear worn for such compliance.
9. The Federation makes no representation or warranty, express or implied, about any protective headgear, and cautions riders that death or serious injury may result despite wearing such headgear as all equestrian sports involve inherent dangerous risk and as no helmet can protect against all foreseeable injuries.
10. Boots/shoes worn while riding anywhere on the competition grounds must have a distinguishable heel. (Exception: Arabian, Hackney Pony, Morgan, National Show Horse, Parade, Roadster, American Saddlebred, and Saddle Seat Equitation).
11. Competitors must display the correct number which must be clearly visible while performing in any class unless otherwise stated in the prize list. Competitors may be penalized at the discretion of the judge. Numbers to be supplied by management in compliance with GR1213.11. Refer to DR121.11 (Dressage) and DR207.8 (Dressage Sport Horse Breeding).

12. Refer to EQ106 and HU107.

GR802 Artificial Markings and Appliances

1. Any change of color or markings other than mane, tail, or hoof is prohibited. (Exception: Arabian and Half/Anglo Arabian halter, see AR106; Reining Division; Friesian Division; Paso Fino, see PF101.3). Only clear grooming materials are allowed on the hide and hair. Materials may be used to remove stains.
2. All artificial appliances other than those permitted in division rules are prohibited (Exception: Reining and Jumper Divisions). Bandages, tailsets, chains or other training devices are prohibited in the ring in Breeding/Halter classes.

GR803 Use of Whips

No item may be used inside or outside the ring while showing a horse except one whip per handler. If whips are allowed, they must be no longer than 6' including the snapper or lash. No appendages of any kind are permitted. One lungeing whip is permitted only when lungeing. Some breed and/or disciplines may have use of whip division rules that depart from this rule and as such, the division rule governs. (GR151.1)

GR804 Shoeing Regulations

1. In some parts of the country, it is common practice to show unshod horses in certain classes. A horse cannot be barred from the ring because of being unshod but, in classes in which it is common practice for all horses to be shod, a barefoot horse may be penalized at the judge's discretion.
2. Competitions offering classes in a division that designates a maximum weight for shoes (Paso Fino, Welsh) must provide accurate scales for weighing. If any horse casts a shoe in any of these classes, the shoe, including pad if used, but not including nails must be immediately weighed by the judge. In these classes, a competitor may not be excused from the ring until the judge is satisfied that the horse has not cast a shoe.
3. If the weight of a shoe and pad is protested, the owner may either withdraw the entry and forfeit all entry fees and winnings of the protested entry for the entire competition, in which event the protest must be withdrawn and the fee refunded or, have the shoe and pad removed in the presence of the competition veterinarian and steward so it can be weighed immediately.
4. Whether cast or removed, if the shoe including pad exceeds the weight limit, the entry must be disqualified for the balance of the competition and all entry fees and winnings of the entry for the entire competition will be forfeited. Removal and replacement of any protested shoe and pad is the sole responsibility of the owner of the entry; however, if the protest is not upheld, the protestor shall pay up to $100.00 to defray the cost of removal and replacement of the shoe.
5. See AR106 for Arabian shoeing regulations.

SUBCHAPTER 8-B COMPETITION AWARDS, HONORS, AND PRIZES

GR805 Challenge Trophies

1. A Challenge Trophy is a trophy donated to or offered by a competition which must be won a specified number of times under specified conditions. When originally placed in competition, it becomes the property of the Show Committee and cannot be withdrawn by the donor.
2. The conditions of the Challenge Trophy may not be changed without the consent of the trophy donor or his legal representative and of all who have qualified as potential winners of the trophy, except in the event that the conditions stipulated are in conflict with the current rules of the Federation. In such an event, the Show Committee or Competition Management must confer with the Federation as to procedure.
3. The winner of a leg on a Challenge Trophy in competition is entitled to possession of such trophy for a period of 10 months from date of winning unless a competition stipulates that the trophy will remain in its possession. The winner is responsible for protection and care of the trophy while in his possession. At the expiration of 10 months, or two months prior to the next annual competition, the competition may demand return of trophy if it has not been won outright. Failure of an exhibitor to return the trophy constitutes a violation under Chapter 7 and renders the exhibitor subject to penalty.

4. If a Challenge Trophy is competed for at more than one competition per year, the committee may elect to keep the trophy in its possession.
5. If a Challenge Trophy is destroyed, stolen, or lost and therefore cannot be returned, the exhibitor who had possession of the trophy must pay to the competition the cost of replacing it with a trophy equally suitable and satisfactory to the Show Committee or Competition Management. The exhibitor will be exempt from penalty if the trophy is replaced before the next competition.
6. Should a competition or class be discontinued or not held for any period of time, any unretired Challenge Trophies offered at such competition must be returned at the expiration date of ten months to the last active Show Committee or Competition Management. The Show Committee or Competition Management will determine the disposition of such trophies but they may not be placed in competition at any competition unless the provisions of paragraph 2 are met.
7. In the event of the death of an exhibitor who has won one or more legs on a Challenge Trophy, a member of that exhibitor's family may include such previous winnings in any further competitions for such Challenge Trophy in which they may engage.
8. If a Challenge Trophy is competed for and won under a farm name and the farm is later sold to another person who retains the farm name, legs won on the trophy by the first owner will not be counted by the subsequent owner of the farm in his competition.

GR806 Perpetual Trophies

A Perpetual Trophy is a trophy donated to or offered by a competition which is never awarded permanently to an exhibitor but remains the property of and in the possession of a competition. The Show Committee or Competition Management may present a replica or souvenir trophy to the annual winner. The conditions of a Perpetual Trophy are identical with those of a Challenge Trophy. Exception: previous winners need not be consulted when and if specifications are changed.

GR807 Prize Ribbons

1. At all Licensed Competitions, the prize ribbons are to be the following colors:

Grand Champion	Blue, Red, Yellow, and White
Reserve to Grand Champion	Red, Yellow, White, and Pink
Champion	Blue, Red, and Yellow
Reserve Champion	Red, Yellow, and White
First Prize Blue	Sixth Prize Green
Second Prize Red	Seventh Prize Purple
Third Prize Yellow	Eighth Prize Brown
Fourth Prize White	Ninth Prize Gray
Fifth Prize Pink	Tenth Prize Light Blue

2. It is recommended that competitions offer one ribbon for every six entries.
3. A Licensed Competition located outside the United States may conform to the practice of the country in which it is situated with respect to the color of the ribbons used.

GR808 Awarding Championships

1. Championship classes for a specific height or sex may be offered in any division as set forth in the respective division rules. Judging specifications must follow those of the Open Championship class.
2. In the Hunter divisions, Championships must be awarded on points. In the Morgan and Welsh Pony divisions, Championships may be awarded on points or held as performance classes. (See JP110.2 for Jumper Championships and EQ107.8 for Hunter/Jumping Seat Equitation Championships)

3. When Championships are awarded on points, all competitors must be given an equal opportunity to obtain points. In all other divisions, Championships must be awarded in a Championship Performance class and all entries must be given an opportunity to qualify.
4. Only the first four ribbons in each class are counted regardless of the number offered. Ribbons have the same point value even if less than the specified four places are awarded due to lack of entries, etc. Exception: See JP110.2 for Jumper Championships.
5. Point Value:

Blue ribbon	5 points	Yellow ribbon	2 points
Red ribbon	3 points	White ribbon	1 points

6. In Hunter and Hunter/Jumping Seat Equitation sections only the first six ribbons in each class are counted regardless of the number offered. Ribbons have the same value even if less than the specified six places are awarded due to lack of entries. First Place...10 points, Second Place...6 points, Third Place...4 points, Fourth Place...2 points, Fifth Place...1 point, Sixth Place...1/2 point.

GR809 Performance Championships

1. A Show Committee or Competition Management must designate all qualifying classes and can require any or all winners in a qualifying class at that competition to compete in a Performance Championship class provided this is stated in the prize list and the gaits required are the same as in the qualifying class. Any exhibitor failing to comply must forfeit all prize money in the qualifying class. If an exhibitor or trainer qualifies more than one horse for a Championship class he can elect to show only one. Exception: Regional and National/World/Grand Breed Affiliate Competitions.
2. To be eligible to show in a Performance Championship class a horse must have been properly entered, shown, and judged in one qualifying class at that competition in the same division or section. Exception: Regional and National/World/Grand Breed Affiliate Competitions.
3. So long as a competition has not limited eligibility for a Championship class to ribbon winners of qualifying classes, an entry which while performing in a qualifying class fails to qualify by reasons of equipment repair (limited to bridle, saddle, cart, wheels, and harness), shoeing time, illness (certified by the official veterinarian) or failure of a class to fill shall be permitted to pay double fee and make a post entry in another qualifying class in the section or if no subsequent qualifying class is available for such post entry, the horse shall be considered qualified for the Performance Championship class, provided the horse has previously been entered in the Championship or Stake. Exception: Dressage, Jumper (See JP136.6), and Paso Fino. (See also GR117.3)
4. To avoid divided Performance Championship classes, eligibility for a Championship class may be limited to ribbon winners in qualifying classes.

GR810 Breeding or In-Hand Championships

1. Junior Breeding or In-Hand Championships may be offered for two-year-olds and under; Senior Breeding or In-Hand Championships for three-year-olds and over. In the event a competition offers a Junior Championship and a Senior Championship, as well as a Show Championship, only the first and second place ribbon winners are eligible to compete for the Show Championship unless division rules prohibit foals and yearlings.
2. Entry in a Breeding class does not qualify a horse for a Performance Championship class. Exceptions: in the Hackney Pony, Morgan, Roadster, and American Saddlebred Horse divisions any performance class, including futurities and/or classics, qualifies for a Performance Championship class at that competition.
3. In a Breeding section of the Welsh division, the Championship will be awarded to one of the horses which has placed first in a qualifying class. After the Championship has been awarded the horse which has placed second in the qualifying class to the horse awarded the Championship shall compete with the remaining first place winners for the Reserve Championship.
4. In a Breeding section of the Hackney, Morgan, American Saddlebred, or Shetland divisions, the Championship and Reserve Championship will be awarded to horses that have placed first or second in their qualifying classes.

5. In a Breeding section of the Arabian division, the Junior, Senior, or Show (Grand) Championship will be awarded to one of the horses which has placed first in a qualifying class. Qualifying classes for championships must be designated in the prize list. After the Championship has been awarded, the horse which has placed second in the qualifying class to the horse awarded the Championship shall compete with the remaining first place winners for the Reserve Championship. If a Show (Grand) Championship class is held, the Senior Champion and the Reserve Senior Champion as well as the top two ranking two-year-olds will be eligible to compete. (Exception: AR118.1).
6. In any case, should any first or second place winners in a qualifying class not compete for the Championship or be disqualified for being unsound, being unruly, or not performing the class routine in the Championship class, the horse receiving the next highest ribbon in the qualifying class shall have the option of moving up for the Championship and Reserve only.
7. None of the above applies to Dressage/Sport Horse Breeding.
8. None of the above applies to the Andalusian/Lusitano Division. For Breeding and In-Hand Championships see AL106.
9. None of the above applies to the Friesian Division. For Breeding and In-Hand Championships see FR118.

GR811 Sweepstakes

When a Sweepstake class is offered, providing for a division of entry fees, either with or without monies added by the Show Committee or Competition Management, the total to be distributed must include the entry fees of all entries listed in the catalogue, whether or not the horses are shown, plus all fees covering other entries legally in the class, unless a competition stipulates in its prize list that portion of the entry fees which will be withheld.

GR812 Retirement Ceremony

1. If a retirement ceremony is allowed at the request of the owner of a horse, that horse may not be permitted to compete at the competition. Any horse officially retired at a Licensed Competition is barred for life from further competition at Licensed Competitions except by special permission of the Board of Directors; however, they can continue to be shown in Academy classes, Leadline classes, Get of Sire, and Produce of Dam classes.
2. The Federation will give necessary publicity to all official retirement ceremonies and will notify all Licensed Competitions. See GR1214.7.
3. Any ceremony announcing an exhibitor’s retirement from competition is prohibited.

SUBCHAPTER 8-C COMPETITION AND CLASS CONDITIONS AND ELIGIBILITY

GR813 Classes for Horse and Rider

In a class where the performances of both horse and rider are considered, the horse and rider together constitute an entry and neither can appear in a different combination except in Eventing, Dressage classes, or Western Dressage.

GR814 Amateur

Amateur classes may be offered in any division using the specifications set forth in the respective division rules. If Amateur classes are offered leading to a Championship, judging specifications will be those of amateur classes or amateur Championships unless the prize list specifically states that open judging specifications will be used.

GR815 Breeding or In-Hand

1. Breeding or In-Hand classes may be offered in any section in which they are indicated in the respective division rules. The prize list must specify as to each class the age, sex, and manner of showing.
2. All Futurity classes are considered part of the respective Breeding sections.

GR816 Junior Exhibitor

Classes, sections, or competitions may be limited to junior exhibitors if so desired. Where special rules and class specifications are given for classes so limited, they should be used throughout the junior exhibitor classes offered and shall take precedence over such class specifications as those for Championship classes. A Show Committee or Competition Management should bear in mind that a horse suitable for a junior exhibitor should have good manners and it is suggested that the specifications for a Ladies' or Amateur class be followed throughout.

GR817 Ladies

Ladies' classes may be offered in any division using the specifications set forth in the respective division rules. If Ladies' classes are offered leading to a Ladies' Championship, judging specifications should follow those of the Ladies' class rather than those of the Championship class.

GR818 Local

Any competition may offer Local classes or complete Local divisions provided the meaning of the local designation is fully and clearly defined. Class specifications must follow those listed in the respective division rules as closely as possible.

GR819 Maiden, Novice, and Limit

A competition may offer Maiden, Novice, or Limit classes or complete sections in any division using the specifications set forth in the respective division rules. If a Championship class is held, it must be judged in accordance with the Maiden, Novice, or Limit class specifications rather than those of the Championship class as listed unless otherwise specified.

GR820 Model Classes

1. Model classes may be offered in any section in which they are indicated in the respective division rules. They may be divided as to age, sex, or height and may be held prior to a Breeding section to provide a standard for judging.
2. Model classes may be included in the number of classes required for a division or section rating. Exception: Hunter Division and Welsh Pony Division.
3. Entry in a Model class does not qualify a horse for a Performance Championship class.

GR821 Opportunity Classes

1. Opportunity Classes:
   a. may be held at breed restricted or Hunter and/or Hunter Jumper competitions with no FEI recognized classes, Western Dressage competitions, Western Regular or Local Competitions. Classes must be open to all breeds unless it is a breed restricted competition. In a breed restricted competition it must be stated in the prize list if the classes will be restricted or open. Exception: At any Federation Licensed Competition, opportunity classes may not be restricted to Friesians.
   b. are limited to 10% of the total number of the competition's classes, with a maximum of 20 Opportunity Classes per competition, whichever is less (Exception: Dressage).
   c. Dressage Competitions and Regular/Local Competitions with "Open" Dressage classes are limited to 20 Dressage Opportunity Classes per day. The 10% requirement does not apply to Dressage.
   d. may be held in addition to Exhibition Classes.
2. Opportunity Classes:
   a. do not count towards Horse of the Year Awards and the results from Opportunity Classes may not be used by any entity for a national awards program. Only with permission of the respective recognized affiliate organization may results from Opportunity Classes be used for regional awards.
   b. cannot be used as a qualifying class for any championship class held at the competition except an Opportunity Class championship at the competition.

c. cannot be considered in reckoning Competition Championships awarded on points except an Opportunity Class championship at the competition.
d. do not count towards the minimum number of classes nor amount of prize money offered when determining the rating of the competition.
e. Dressage classes may be offered as Opportunity classes at Dressage Competitions or Regular/Local Competitions with "Open" Dressage classes as described below:
    1. Classes are limited to the following competition levels and dressage tests:
        a. Level 1 competitions may offer three tests and only three classes per level per day at Introductory - Fourth Level.
        b. Level 2 competitions may offer three tests and only three classes per level per day at Introductory - Third Level.
        c. Level 3 competitions may offer three tests and only three classes per level per day at Introductory - Second Level.
        d. Level 1, 2, and 3 competitions may offer two Eventing Test of Choice classes per day in addition to the tests, classes, and levels list above in Section 1.a – 1c.
        e. Level 1, 2, and 3 competitions may also offer two dressage seat equitation classes per day in addition to the tests, classes, and levels list above in Section 1.a – 1.c.
        f. Level 4 and Level 5 competitions may not offer Opportunity classes.
    2. Opportunity Classes are for entry level participants and may be offered to encourage participation in Federation Licensed Competitions.
    3. Freestyles may not be offered as Opportunity classes. Opportunity classes cannot be offered as "Test of Choice" classes.
    4. If opportunity classes at Dressage Competitions or Regular/Local Competitions with "Open" Dressage classes are restricted to amateurs, riders are required to have an amateur status with the Federation.
    5. All rules and regulations in GR821 must be followed, except for GR821.6.
    6. Horses, riders, owners, trainers, and coaches participating only in Opportunity classes are exempt from Federation and affiliate organization membership and Horse Identification (HID) requirements and non-member/Show Pass fees, but are required to list the Federation membership number if the participant is a member.
f. Breed restricted Dressage classes can be offered as opportunity classes at Regular/Local breed restricted competitions.
g. Opportunity Classes for Hunter/Jumper/Equitation Divisions
    1. Opportunity Classes are for entry level riders.
    2. May be held at Federation regular competitions.
    3. All Opportunity classes and sections must have fences 2'6" or below.
    4. Any rider that has, in the last five (5) years, competed in any rated hunter section or an Equitation class with a National year-end final is not eligible to compete in any Opportunity classes or sections.
    5. All rules and regulations in section GR821 should be followed, except riders are not permitted to cross-enter into any non-Opportunity classes.
    6. Horses may cross-enter into non-Opportunity classes if the competition prize list allows. If cross-entry is permitted, all applicable fees and membership requirements apply.
    7. Unless otherwise approved by the Federation, a maximum of five hundred dollars ($500.00) in prize money (including the value of any in-kind awards) may be paid across all Opportunity classes at the competition.

3. Horses entered only in these classes are still subject to and must comply with the Drugs and Medication rules and are subject to drug testing.
4. Horses entered only in these classes:
    a. are exempt from the Federation fee, including the Equine Drugs and Medication fee.
    b. are not required to have a Horse Identification (HID) or Recording Number but are to list the HID or Recording number if the horse has been assigned this number.
5. Riders/drivers/handlers entered only in these classes are exempt from the Federation membership requirements and are not required to pay a Show Pass fee but are required to list the Federation membership number if the participant is a member.

6. The prize list must state whether or not horses and/or riders/drivers/handlers entered in Opportunity Classes can cross enter into the rated/recognized classes at the same competition. If cross entry is allowed, all applicable fees and membership requirements apply.
7. The list of Opportunity Classes offered must include “Opportunity” in the class name. The classes may include but are not limited to the following categories:
   a. Opportunity Pleasure (Saddleseat, Hunter, and/or Western)
   b. Opportunity Equitation (Saddleseat, Hunter, and/or Western)
   c. Opportunity Costume (Historic and/or Contemporary)
   d. Opportunity Trail (English and/or Western)
   e. Opportunity Driving (Show Pleasure Driving and/or Carriage Pleasure Driving)
   f. Opportunity Walk-Trot (Pleasure and/or Equitation)
   g. Opportunity Gaited (Three-gaited, Five-gaited, and/or Paso Fino)
   h. Opportunity Reining
   i. Opportunity In-hand classes (Amateur and/or Junior Handler)
   j. Opportunity Fun Classes
   k. Opportunity classes for Hunter/Jumper/Equitation Divisions 2’6” and under
   l. Opportunity classes for Dressage
   m. Opportunity classes for Western Dressage.
8. Unless the competition is using existing Federation class specifications, the prize list must list the class specifications for each Opportunity Class, to include but not be limited to:
   a. Gaits required
   b. Judging criteria
   c. Attire, tack, and equipment allowed.
9. Opportunity Classes must be judged by a Federation licensed judge or an individual who has been issued a Guest Card. (Exception: Judges for Dressage Opportunity classes must be eligible according to GR1008, GR1009, or GR1010.) Conflict of interest rules in GR1309 apply. See GR1004.
10. The competition must submit full results of all Opportunity Classes as required in GR1214. Horses with HID or Recording members and participants with Federation membership numbers are to have these numbers listed in the results.
    BOD 6/20/23 Effective 12/1/23

GR822 Owners’ Classes

Owners’ classes may be offered in any division using the specifications as set forth in the respective division rules. If Owners’ classes are offered leading to an Owners’ Championship judging specifications should follow those of the Owners’ class rather than those of the Championship class.

GR823 Dividing Classes

1. Classes can be divided by sex into three groups (stallions, mares, and geldings) or a Show Committee or Competition Management may prefer to require mares and geldings, or stallions and geldings to show together.
2. If a Show Committee or Competition Management wishes to divide junior exhibitor classes, it may offer separate classes for boys and girls or offer several age limits. The following three age limits are suggested but may vary according to local conditions:
   a. Juniors who have not reached their 11th birthday,
   b. Juniors who have reached their 11th but not their 14th birthday
   c. Juniors who have reached their 14th but not their 18th birthday.
3. When divided as above horses cannot be entered in more than one age section of the same class. Exceptions: Arabian, Morgan, Equitation, and American Saddlebred divisions.
4. A Show Committee or Competition Management may offer classes divided by age of adult exhibitor.

GR824 Stallions

Stallions are barred from any Ladies' or Junior Exhibitors' classes except as provided for in division rules. Unless competition rules state otherwise, stallions may be shown by anyone in other classes in every division.

GR825 Ponies

1. Ponies may be ridden only by junior exhibitors. Exceptions: Adults may ride ponies in the Eventing, Connemara, Dressage (other than (1) USEF High Performance Championships, USEF qualifying and selection trials, and observation classes (2) FEI Pony, Junior, and Young Rider tests), Hunter, Jumper, American Saddlebred, and Welsh Pony divisions.
2. If an animal 14.2 hands or under is eligible to compete as a horse in the Arabian, Half or Anglo Arabian, Connemara, Morgan, Paso Fino, National Show Horse, or American Saddlebred Divisions, it may also compete as a horse in other appropriate classes (except for Dressage; see DR119.1). It cannot, however, compete as a horse in one class and a pony in another class at the same competition.
3. Once an animal is shown in a class restricted to horses, except in the aforementioned divisions and as provided for in SB204.4, it cannot be shown as a pony the same year.

GR826 Combined Ownership

Combined ownership is permitted in classes when more than one horse constitutes an entry unless the prize list states otherwise.

GR827 Hors de Concours

Competition Management may, in its sole discretion, permit an exhibitor to compete Hors de Concours. If a horse competes Hors de Concours, the horse cannot compete in a subsequent class for prize money in the same ring on the same day. However, the rider of a Hors de Concours horse may compete in subsequent classes.

For exceptions see below:

a. Dressage Competitions (out of competition); see DR119.5 and DR119.7
b. Eventing Competitions: see EV110.6
c. Driving Competitions, see DC915
d. Jumpers - See JP136.14.
e. Competing Hors de Concours is prohibited in the following divisions: Hackney, Roadster, Saddlebred, National Show Horse, and Western Dressage.

GR828 Permission to Compete in Foreign Competitions

1. International (FEI) Competitions: In accordance with Article 102.3 of the FEI General Regulations which states: "All competitors invited or nominated for an international event must be entered by their NFs", individuals wishing to compete in foreign International (FEI recognized) Competitions must apply to the Federation for each international competition they wish to enter, (this includes competitions in Canada and Mexico). They must complete an application providing information such as: the name and date of the particular competition(s) requested; the name(s) and details of the horse(s) to be ridden. A non-refundable application fee per competition must be enclosed. (Competitors may also opt to pay a non-refundable annual prepaid application fee in the amount of ten times the per competition fee (in lieu of paying a non-refundable per competition application fee). In the event of an oversubscription in dressage, driving, eventing, or reining, the discipline's Credentials Committee will rank the applicants, providing that they have submitted a timely application as defined in the discipline criteria. The rankings will be based upon the individual's experience in competing in the U.S. and abroad, the individual's recent results and ranking (if applicable) and other discipline specific criteria (if applicable). If the competition in question is on borrowed horses, the Credentials Committee will consider the experience the applicant has had in riding and competing on various horses. For endurance, jumping, and vaulting, please refer to each discipline's criteria for procedures in selecting riders in the event of an oversubscription. Individuals wishing to compete in foreign international competitions who

have not met the established criteria to compete in foreign FEI competitions may apply for a waiver, for which there is a fee. Copies of application and criteria for each discipline are available from the Federation website or Federation office.

2. National Competitions: Individuals wishing to compete in FEI recognized disciplines in National Competitions in foreign countries must receive permission from the Federation. An application for permission to compete must be completed and returned to the Federation. Copies of the application on the Federation website or from the Federation office. The competitor will be asked to provide the following information:
   a. whether or not the individual is a United States citizen and a current member of the Federation;
   b. whether they wish to compete as an amateur or professional;
   c. whether they wish to compete in National or International Competitions;
   d. the disciplines in which they wish to compete (i.e., Jumping, Dressage, Eventing, Driving, Vaulting, Reining, or Endurance Riding);
   e. the length of stay in each country;
   f. whether they have been alleged to have violated or found in violation of FEI or Federation rules or the rules of any other National Federation or Federation affiliated association; and
   g. whether they have been indicted, named in an information, convicted or disciplined by an administrative agency, arbitration or other tribunal, body, humane society or court of law, whether civil, criminal, arbitral or administrative, for an act which would be a violation of Federation rules if committed during a Recognized Competition.
3. Permission to compete must be applied for each year.
4. Foreign Competitors:
   a. Foreign Competitors who desire to compete in non-breed restricted, National Competitions in the FEI recognized disciplines in the United States must have proof, in English, of membership in good standing from their National Federation and must be members in good standing of the United States Equestrian Federation. (See GR202)
   b. Competition management must request proof, in English, of current membership in good standing from their respective National Federation and proof of current Federation membership.
5. Denial of Permission. Any application for permission to compete abroad answering affirmatively as to GR828.2f or .g shall be referred to a Committee of the Federation Board of Directors consisting of the Officers and two active athlete directors appointed by the President; the President shall serve as Chairman of the Committee and at any meeting the presence of at least four officers and one active athlete director shall constitute a quorum; the Committee shall by majority vote determine whether any such application shall be granted or denied, taking into account whether in the opinion of a majority of the Committee members any affirmative information regarding GR828.2f or .g causes other applicants to be considered more appropriate to serve as representatives of the sport and country in competing in foreign countries. Any such ruling by a majority vote of the Committee denying the privilege of a license to compete in foreign countries is final and not subject to appeal or review except where otherwise provided in the Bylaws of the USOPC, or where a review is granted in the discretion of the Committee, which upon further application may give further consideration to any applicant, may direct a hearing upon the application by the Committee or by the Hearing Committee, or may make any other ruling regarding the application considered by the Committee appropriate under the circumstances.

SUBCHAPTER 8-D SCHEDULING DURING COMPETITION

GR829 Length of Competition

1. A competition may not hold classes more than 16 hours out of any 24-hour period from the start of the first class to the finish of the last class, including intermissions. There must be a recess of at least 8 hours between the finish of the last class of an evening performance and the first class of a morning performance the following day. A fine may be imposed for exceeding the 16-hour time limit or not allowing an 8-hour recess. Exception: Competitions offering only Hunter, Jumper, and Hunter/Jumping Seat Equitation classes may not run more than 14 hours of actual performance time. Warm-up sessions, judged or unjudged, are included except sessions held at the beginning of the day where no fee is charged.

2. If management disputes that the time limits were not exceeded and the above fine is not properly owing, it may request a hearing of these issues before a special committee appointed by the President provided a written statement specifying the grounds for the hearing is received at the Federation's office within 30 days of management's receipt of Federation's notice of fine. The special committee shall hear the matter and determine whether the fine is properly owing. The special committee may waive a part or all of the automatic penalty upon a finding of good cause why the time limits were exceeded and a finding that extreme hardship results from the automatic penalty.
3. All classes in any section for junior exhibitors in any one day must be held within a twelve-hour period, excluding intermissions.
4. No classes may be started after midnight. Exception: Paso Fino classes not restricted to Junior Exhibitors.
5. Exception: Endurance Competitions.

GR830 Time Schedule

1. The announced order or time for classes may not be changed unless at least 12 hours notice of such change be given to each exhibitor and judge affected or each exhibitor affected consents in writing.
2. Provided the order of events is not changed, the Show Committee or Competition Management may call any class up to 30 minutes ahead of its scheduled time. Exception: Vaulting exhibitors must be given one hour's notice.
3. Once the first horse in a Reining class has been entered, shown and judged, the class must be run in entirety before commencing with the next scheduled class.
4. None of the above applies to Eventing (see EV134).
5. None of the above applies to Dressage or Western Dressage. For Dressage and Western Dressage Competitions, the following conditions apply: (1) Rides may be rescheduled up to one hour earlier or later than announced in the official schedule if each competitor is individually notified at least two hours prior to their rescheduled ride time. Rides within a class may be rescheduled in a different order. (2) Ride times or classes may not be changed more than one hour from the time announced in the official schedule unless 12 hours notice of such change is given to each exhibitor and judge affected or each exhibitor affected consents in writing to the change. Public address announcements, schedule changes posted on the show grounds or internet, and statements published in the prize list or entry documents do not meet the notification requirements of this rule.
6. Exception: Endurance Competitions.

GR831 Delay of Classes

1. When the start of any class requiring horses to be shown individually is delayed by horses not ready to perform, the competition may be closed at the order of the judges, Show Committee, or Competition Management, provided a warning is issued and exhibitors are given three (3) minutes to appear at the in-gate ready to participate. (Exception: in hunter, hunter/jumping seat equitation, and jumper classes with a specified jumping order, see HU126, JP112, JP136.15a & JP136.2.) In classes where horses compete collectively, a warning is issued and the in-gate must be closed two minutes after the first horse enters the ring. (Paso Fino, see PF102.7; Arabian, see AR107.1) Judging must not commence until the gate is closed or at the end of the two-minute call. An official timer must be appointed to enforce this rule.
2. It is recommended that a starting enforce order be established in all classes in which horses compete individually and to allow one minute for an entry to enter the ring. At competitions using only one ring, a starting order must be established. If a jump order is used, it must be posted at least 30 minutes prior to the start of the class.
3. Exception: Endurance Competitions.

GR832 Interruption of Procedure

1. If weather appears to be imminently affecting the safety and welfare of horses and/or exhibitors, it shall be the responsibility of competition management (Exception: Eventing see EV137) to stop the competition until it is safe to recommence. If a competition in progress must be stopped due to a storm, accident, or other emergency, the Show Committee or Competition Management will decide whether to re-commence. Any

interrupted classes may be re-commenced within the session in which they were originally scheduled or at a succeeding session of the competition. (Exception: Dressage GR832.7, Driving, Eventing EV137, Reining GR832.9.) If a Licensed Competition's Prize List does not advise exhibitors that refunds of entry fees will not be given in the event a class or classes, or all or part of the competition is cancelled due to a storm, accident, or other emergency, the Licensee is required to refund entry fees for the cancelled class or classes upon written request by an exhibitor within 30 days of the cancellation.

2. The Show Committee or Competition Management will also decide whether awards for classes not held is warranted and called for. No Championship, awarded on points, can be awarded in any division, however, unless more than 50% of the scheduled classes in that division have been held. Any action thus taken by the Show Committee or Competition Management will not be referred to the Federation in as much as the matter is one of discretion and not regulation.
3. If a class is in operation at the time a competition is stopped, no placements involving Horse of the Year Awards will be made. If a tie for a Championship exists in the Hunter or Jumper division at the time the competition is stopped, points toward Horse of the Year Awards will be divided between the tied horses.
4. If classes are postponed to a day not included in the original competition dates, exhibitors are entitled to a refund of entry fees in the class postponed and are relieved of any obligation to show back in postponed classes.
5. If a class in which horses compete either collectively or individually is in progress and must be stopped due to a storm, accident, or other emergency, the following procedure shall govern (Exception: Dressage, Driving, Reining, Eventing, Jumper, Western Dressage):
   a. If a class is continued during the same session or a succeeding session of the competition, the judge along with the steward(s) and management will decide:
      1. to hold the class over in its entirety in which case no scores credited in the first session will count, or
      2. to recommence the class where it was interrupted.
      3. In the case of a hunter classic, or a two round class and one round is complete; it may be decided to pin the class with the first round scores.
6. Jumper:
   a. A Jumper Class that Management decides to postpone due to storm, accident, or emergency per GR832.1 may be combined with a subsequent class in the same section with the prize money of the postponed class added to the prize money of the subsequent class. Management must make this decision prior to the first horse competing in the postponed class. The start fee for the postponed class will be added to that of the subsequent class and must be refunded to those declared competitors of the postponed class who choose not to declare for the combined class.
   b. In a jumper class which has reached the jump-off stage when the class is stopped, only those competitors involved in the jump-off need compete in the succeeding session. Jumper classes scored under Table II, Sec. 2b or 2c, Table IV, Sec. 4b or 4c or Table V Sec. 2b or 2c must be held over in their entirety unless the competition is continued over the original course at a later session, in which case the class shall continue from the point where it was stopped and scores earned by horses which have already competed shall stand.
7. Dressage: If it becomes necessary to interrupt a dressage competition for any reason, the unfinished portion may be recommenced and rescheduled for the same or following day at the option of the Show Committee or Competition Management with the Ground Jury's consent. All scores recorded before the interruption will stand. When classes are re-commenced after a delay on the same day, competitors must be given at least 30 minutes' notice of the starting time. Exhibitors whose ride times are changed to or on a subsequent day as a result of an interrupted competition or inclement weather conditions, must be individually notified at least two hours prior to a rescheduled ride time.
8. Eventing: See EV137.1.
9. Reining: If it becomes necessary to interrupt a reining competition for any reason, the unfinished portion may be recommenced and rescheduled for the same or following days at the option of the Show Committee or Competition Management and the judge(s). All scores recorded before the interruption will stand.
10. Western Dressage: see GR832.7.
11. Endurance: See EN115

GR833 Time-Out

A suspension of judging which may be requested by a competitor or directed by the judge(s).

1. A competitor is entitled to request a time-out for a period not to exceed five minutes in aggregate in order to make obvious adjustments or to repair broken equipment or to rectify a similar condition, or to replace a shoe (See GR804). (Exception: Arabian Hunter, AR108 and HU119; Arabian Jumper, AR108; Dressage, GR833.9 and DR122.7j; Jumper, JP136.6; Hunter/Jumping Seat Equitation, EQ108.4; Reining, RN103.5g; Vaulting, VA111). Time-outs are not allowed in Western Dressage or Endurance.
2. If division rules allow a competitor to call for a time-out, the competitor may call only one time-out per class (Exception: Paso Fino and Welsh where a competitor may request a time-out no more than two times.) The penalty for exceeding the allowed time out(s) is for the entry to be eliminated.
3. To request a time-out for any such emergency, the competitor must go to the center of the ring (if possible) and or be acknowledged by the judge. The announcer will declare that a request for time-out has been made and permission granted; time will be taken from the moment such announcement is made.
4. If a horse casts a shoe in a class, time starts (after weighing, measuring and/or gauging has concluded, if applicable) when the farrier or his assistant touches the shoe or the horse. No more than three minutes will be allotted to find a shoe; if the shoe is not found, the exhibitor may elect to continue or withdraw. If a horse is removed from the ring for the purposes of shoeing, the steward or judge shall accompany and remain with the horse until it is returned to the ring or eliminated from the class.
5. Two attendants are permitted in the ring to assist a competitor during the competitor's time-out. If at the expiration of five minutes the repair has not been made, the competitor may proceed as is or be eliminated.
6. The judge is responsible for timing unless an official timer is present.
7. Competitors who are not involved in a time-out may make minor adjustments that can be performed with the assistance of one attendant and not be charged with a time-out. Minor adjustments do not include replacing shoes.
8. At any time the judge(s) considers it necessary they may call for a time-out. Said time-out may be charged to a competitor that, in the judge's opinion, is responsible for the suspension of judging as long as the competitor is so informed by the judge prior to calling the class back to order.
9. None of the above apply to the Eventing, Dressage, or Driving divisions; see specific division rules. Time-outs are not permitted in the Dressage or Western Dressage division.
10. In the Saddlebred division:
    a. In classes in which competitors compete collectively, after the previous class has exited the ring, the show ring has been cleared, and the officials are ready to continue with the competition, Competition Management may allow 5 minutes to replace a shoe or repair equipment outside of show ring, and a time-out will be charged to the competitor. The time-out will be officially timed by a steward, management, or paddock master.
    b. Prior to commencement of the class, the individual responsible for timing the time out outside of the ring must communicate the back number of that entry to the judge(s) officiating.
    c. Once the gate opens and the first entry goes into the ring all further time outs for that class must be taken in the ring as indicated in GR833.4.

SUBCHAPTER 8-E SCHOOLING

GR834 General

1. A Licensed Competition must provide a sufficient area for schooling horses. A separate schooling area must be provided for each ring.
2. Lighting at sunrise and sunset that provides full and complete visibility is a requirement for the competition ring and schooling area. Horses may not be required to be exhibited in the competition ring or schooled in the designated warmup areas before the official hour of sunrise or after the official hour of sunset unless lighting is provided that assures full and complete visibility.
3. In addition to the official schooling area, competitions should designate an exercise area.
4. Competitions offering A rated sections other than hunter and jumper must provide an exercise area at least 80' by 200' or its equivalent. If, due to space limitations, a competition does not have an adequate schooling area or a competition offering an A rated section cannot provide the required exercise area, one ring must be open for a minimum of 5 hours within each 24-hour period. Adequate lighting must be provided.
5. The footing in all competition rings, schooling rings, and exercise and lunge areas must be safe, consistent, and appropriate for the intended use and type of competition.

a. Competition Management is required to make reasonable efforts to maintain the best possible footing in competition, schooling, and exercise and lunge areas.
b. Provisions must be made (by having on-hand proper functioning equipment and scheduling sufficient breaks in the schedule) to maintain the footing in competition rings, schooling rings, and exercise and lunge areas throughout the competition by dragging, watering, and raking, if necessary.

GR835 Designated Areas

Schooling over obstacles in the ring or over any part of an outside course is permitted only at the time designated by the Show Committee or Competition Management. All other schooling over obstacles is permitted only within clearly identified areas and only at times designated by the Show Committee or Competition Management. Schooling over obstacles in any other area of the competition ground or at any other time is prohibited. For specific hunter and jumper classes, refer to discipline chapters.

GR836 Trail

A schooling area must be provided prior to and during trail classes with enough elements to adequately school a trail horse.

GR837 Jumper (See also JP103 and Appendix A)

SUBCHAPTER 8-F WELFARE OF THE HORSE

GR838 Cruelty to and Abuse of a Horse

1. Cruelty to or the abuse of a horse present on the grounds of any Licensed Competition is forbidden, constitutes a violation under Chapter 7, and renders the offender subject to penalty. The Show Committee, or Competition Management in the absence of a Show Committee must bar violators from further participation for the remainder of the competition. It is the duty of the competition officials to report to the Federation any person who indulges in this practice for such further action as may be deemed appropriate.
2. Any person or trainer, as defined by Federation rules, who presents for competition a horse that exhibits signs of recent cruelty or abuse will be subject to penalty under this rule.
3. The Federation or the Judge, Steward, or TD may appoint a veterinarian to inspect any animal on competition grounds or entered to compete. Refusal to submit an animal for examination by an authorized veterinarian after due notification shall constitute a violation.
4. The following are included under the words Cruelty and Abuse but are not limited thereto:
   a. Excessive use of a whip on any horse in a stall, runway, schooling area, competition ring or elsewhere on the competition grounds, before or during a competition, by any person. Except in emergency situations, any striking of the horse's head (on the poll and forward of the poll) with the whip shall be deemed excessive.
   b. Any evidence of cruel or abusive training techniques.
   c. Rapping the legs of a horse with the butt end of a riding crop or other implement.
   d. Use of any substance or method to induce temporary heat.
   e. Manual poling with any object.
   f. Use of a wire or chain in conjunction with any schooling jump.
   g. Use of electric device in schooling or showing.
   h. Use of shackles, hock hobbles and similar devices (not to be construed as rubber or elastic exercising devices).
   i. Showing a horse with raw or bleeding sores around the coronets, pasterns, or legs.
   j. Use of any explosive (e.g., fire crackers, torpedoes, fire extinguishers except in case of fire, etc.) or laser beam devices anywhere on the competition grounds, except in an exhibition or if required in class specifications.
   k. Withholding of feed and water for prolonged periods.
   l. Letting blood from a horse for other than diagnostic purposes.

m. Inhumane treatment of a horse in a stall, runway, schooling area, competition ring or elsewhere on the competition grounds, by any person.
n. Use of any object that prevents the horse's ability to close its mouth. (Exception: use of an oral speculum by a veterinarian or equine dentist to provide legitimate dental/oral medical care.)
o. Soring and/or the use of an action device on any limb of a Tennessee Walking Horse, Racking Horse, or Spotted Saddle Horse (each a breed not recognized by the Federation) in any class at a Federation Licensed Competition is prohibited. An action device is defined by the USDA as any boot, collar, chain, roller, or other device that encircles or is placed upon the lower extremity of the leg of a horse in such a manner that it can rotate around the leg or slide up and down the leg so as to cause friction or strike the hoof, coronet band, fetlock joint or pastern of the horse. (Protective bell boots or heel boots are specifically excluded from this definition). The use of a weighted shoe, pad, wedge, in conjunction with a hoof band or other device or material (commonly referred to as a performance package) placed on, inserted in, or attached to any limb of a Tennessee Walking Horse, a Racking Horse, or Spotted Saddle Horse (each a breed not recognized by the Federation) constructed to artificially alter the gait of such a horse, and which are not protective or therapeutic in nature, at a Federation Licensed Competition is prohibited.
p. Soring of any horse, including but not limited to the application of caustic chemicals to a horse's legs or hooves, in order to cause pain and/or affect a horse's performance, and/or used as a training technique.

5. Any action(s) against a horse by any person, which are deemed excessive by a Federation judge, Federation steward, technical delegate or competition veterinarian, in the competition ring or anywhere on the competition grounds or evidence of action(s) described above on a horse on competition grounds may be punished by official warning or elimination. Such action(s) could include, but are not limited to excessive or inappropriate use of equipment, including but not limited to the whip or spurs. Evidence may include raw, bleeding, or irritated skin, or welts consistent with excessive use or inappropriate use of equipment.

For the purposes of this rule, the term "soring" is defined by the Horse Protection Act, 15 U.S.C. §1821.

BOD 11/13/23 Effective 12/1/23

GR839 Attention Getting Devices

Attention getting devices and/or other noisemakers (including but not limited to tape measures, blow horns, altered bamboo poles, explosives, etc.) are not allowed in and around the make up/schooling/warm-up and competition rings during scheduled competition sessions. (Except for the National Show Horse division.) Use of explosives and fire extinguishers by or for exhibitors/competitors (except in the case of fire) is not allowed on competition grounds at any time.

GR840 Soundness

Unless specific division rules state otherwise, all animals except stallions and mares in Breeding classes must be serviceably sound for competition purposes i.e., such animal must not show evidence of lameness or broken wind. Animals with complete loss of sight in either eye may be found serviceably sound at the Judge's discretion, except in a class over fences where a Judge may ask a rider to change horses.

GR841 Falls

The fall of horse and/or rider does not disqualify the competitor unless due to bad manners of the horse. Exception: Andalusian/Lusitano (see AL101.14), Dressage, Driving, Eventing, Equitation, Hunter, Jumper, Reining, Vaulting, and Western classes, in which specific rules prevail.

Effective 02/01/24

GR842 Mandatory Necropsy

This rule applies to fatalities of horses/ponies. For purposes of this rule, a “fatality” is defined as a death by any means including euthanasia at any time from when entries arrive at the venue until departure from the venue or a death by any means including euthanasia at any time occurring as a result of any fatal injury or illness sustained during a Licensed Competition or after a Licensed Competition where such fatal injury or illness is related to the horse’s participation in the Licensed Competition.

1. The trainer, as defined by Federation rules, or the owner if the trainer is unavailable, or the rider if the trainer and owner are both unavailable, shall notify the Steward/Technical Delegate as soon as possible but no later than one hour after such occurrence of any fatality of a horse or pony. When a fatality occurs outside of competition hours or before the competition begins, notification must occur as soon as possible but no later than one hour after the Steward/TD reports to the show or returns to duty.
2. The Steward/TD shall report to Competition Management and the Federation as soon as possible but no later than 24 hours after the incident.
3. If a Federation appointed testing veterinarian is not available, at the Federation’s cost, the Steward/TD shall ensure that the appointed Competition Veterinarian collects urine and blood samples at the earliest opportunity and submits the samples for analysis to the Federation’s laboratory.
4. In addition to the duties set forth in GR 1034, the Steward/Technical Delegate shall file an Equine Fatality Report Form with the Federation within 24 hours of notification, except in exceptional circumstances such as no internet access at the venue.
5. Competition Management must identify prior to the start of the competition the nearest Veterinary Pathology laboratory to facilitate a rapid and accurate post-mortem.
6. A gross post mortem examination must be carried out in all incidents of euthanasia or fatality, except where the nearest Veterinary Pathology laboratory is further than 200 miles from where the equine fatality or euthanasia occurred. If a horse is uninsured or a post mortem is not required by the owner’s insurance, the Federation will cover the cost of the gross post mortem and transport costs to the appropriate veterinary facility, up to a maximum in total of $1,000.00, unless a greater amount is pre-approved by the Federation should the circumstances warrant. If the Federation covers the cost of the post mortem and the relevant post mortem report is provided to the owner’s insurance, the Federation will only be responsible for half of the cost of the post mortem up to a maximum in total of $500.00. If a post mortem is required by the owner’s insurance, at no cost to the Federation, the horse’s owner shall provide the Federation a copy of the post mortem report within 24 hours of receipt of such report.
7. In certain circumstances, as approved by the Chief Administrator of the Federation’s Equine Drugs and Medications Program, who may be contacted at 1-800-633-2472, a necropsy may not be warranted.
8. The Federation Chief Administrator of Equine Drugs and Medications Program must be consulted by the horse’s owner and in prior agreement for any further diagnostic investigations, such as histopathology, unless required by the owner’s insurance. Within 12 hours of the horse leaving competition grounds, Competition Management shall provide the Federation Equine Drugs and Medications Program with the contact details of the applicable Veterinary Pathology laboratory.
9. The owner shall provide the preliminary and final reports of any post mortem to the Federation Equine Drugs and Medications Program within 24 hours of the pathologist completing their report or the owner’s receipt of the report, but no later than 7 days after the Horse’s death.
10. The cause of death and, if relevant, the method of euthanasia should be included in the Post Mortem Report.
11. The Competition and/or treating veterinarian shall submit all information regarding any substance(s) administered to the horse prior to or during competition and before or during death or euthanasia using the Equine Drugs and Medications Report Form to the Federation Equine Drugs and Medications Program within 12 hours.

GR843 Poling

Manual poling, or the use of offsets, is prohibited on competition grounds.

GR844 Equine Vaccination Rule

1. At Federation licensed competitions horses, more than 7 months of age, entering the grounds must be accompanied by documentation of Equine Influenza Virus and Equine Herpes Virus (Rhinopneumonitis) vaccinations within six months prior to entering the stables. Horses not in compliance with this rule may be required to leave the competition grounds upon request by Competition Management. Documentation should consist of one of the following methods mentioned below. The frequency of vaccine administration should be per the vaccine manufacturers' or veterinarian's recommendations. It is recommended that vaccines are administered by or under the direction of a veterinarian.
2. In the case of vaccines administered by a veterinarian, the exhibitor, upon request by Competition Management, must provide documentation from the veterinarian on documenting that the horse in question received the vaccinations; name of the vaccines and date of vaccine administration.
3. In the case of vaccines administered by a person other than a veterinarian, the exhibitor, upon request by Competition Management, must provide a receipt of the vaccine purchase which is signed by the owner, or agent with care, custody, and control of the horse; name, serial number and expiration date of the vaccine; and date of vaccine administration.
4. In the case of a horse that is unable to receive either of the vaccinations due to a history of adverse reactions, the exhibitor, upon request by Competition Management, must provide a letter from the veterinarian on official letterhead stating that the horse in question cannot be vaccinated due to medical concerns and a log of temperatures taken twice daily for the seven days prior to entering the competition grounds. These horses must also have their temperature taken and logged twice daily while on the competition grounds. The log of temperatures should be provided to the Competition Management, steward, or technical delegate when requested.
5. Competition Management may not amend or enhance vaccination requirements without prior approval of the Veterinary Committee.

SUBCHAPTER 8-G SAFETY PREPAREDNESS AND REPORTING

GR845 Accident Preparedness Plan

1. All competitions must have in place, prior to the start of the competition, an accident preparedness plan. In addition, all competitions must have in place an isolation protocol for horses. Competitions must report to the Chief Administrator of the Drugs & Medications Program, within 24 hours, any horse identified to Competition Management as showing symptoms of infectious disease, put into isolation at the competition, or that departs the competition to receive treatment for a potential infectious disease.
2. Competition Management is responsible for ensuring that all appropriate competition officials and competition staff are advised of the accident preparedness plan and isolation protocol for horses, and that they are distributed accordingly.
3. The accident preparedness plan and protocol must be given to the Steward or Technical Delegate prior to the start of the competition.
4. The Steward or Technical Delegate shall submit a copy of the accident preparedness plan and protocol to the Federation along with their Steward or Technical Delegate report.
5. At a minimum, the accident preparedness plan must include:
   a. Emergency call/radio priority protocol;
   b. List of emergency telephone numbers and directions to the competition that can be relayed to offsite responders; and
   c. Name and contact information of the Safety Coordinator.

GR846 Safety Coordinator

1. All Licensed Competitions must appoint a Safety Coordinator, who shall oversee the establishment and coordination of medical and veterinary services. This person must be a Senior Active member or a Subscriber member of the Federation and comply with the SafeSport Training requirements. This person may have other roles or duties in relation to the competition except:
   a. The Safety Coordinator may not serve as a Licensed Official at the competition (exception: Course Designers); and

   b. The Safety Coordinator may not compete as a rider, driver, vaulter, longeur, or handler at the competition.
   c. For eventing competitions, the Safety Coordinators must not have any other duties during the Cross-Country and Show Jumping phases.
2. The Safety Coordinator shall:
   a. Oversee provisions for the safety and welfare of exhibitors, horses, and spectators;
   b. Oversee the implementation of the Accident Preparedness Plan;
   c. Communicate with Competition Management and Medical Personnel prior to the start of competition to ensure that parties are aware of the requirements of the Accident Preparedness Plan;
   d. Provide Medical Personnel with a map of the competition grounds, which includes plans for vehicle access to competition/warm-up areas and stabling.
   e. Have their name and contact information posted along with the required emergency information at the competition.
   f. Inform the senior Steward or Technical Delegate of any accident or injury to a human or horse within one hour of the accident or injury for proper follow-up and reporting as required by the Federation, in the event a Steward or Technical Delegate is unable to be present at an injury or accident to a human or a horse.

      BOD 6/20/23 Effective 12/1/23

GR847 Use of Qualified Medical Personnel

1. Qualified medical personnel with no other duties and with appropriate medical equipment, as required by their certifying State or EMS Region, must be present during all scheduled performances at all competitions and during all paid scheduled schooling sessions over fences, including 1 day prior to the start of the competition, if applicable,
   a. Qualified medical personnel is a currently certified or licensed EMT, or Paramedic, Certified First Responder, or a Physician or Nurse trained in pre-hospital trauma care and currently certified or licensed in their profession under applicable law where the competition is held. Exception, for eventing competitions the minimum requirement is an EMT/Paramedic who is pre-hospital trauma trained.
   b. A Physician or Nurse trained in pre-hospital trauma care is a Physician or Nurse who is currently certified in Advanced Trauma Life Support (ATLS), Basic Trauma Life Support (BTLS), Pre-hospital Trauma Life Support (PHTLS), or who has First Responder or comparable certification.
   c. It is strongly recommended that EMTs and/or Paramedics be used to fill this position. Medical personnel must not exceed the scope of their practice.
   d. All medical personnel must be readily identifiable, available, and mobile.
   e. Unless prohibited by Federal, State or local law, this person must furnish the Steward(s) or TD(s) with a copy of their report(s), or assist these officials with documenting any findings and treatment for all injuries sustained in competition or on the competition grounds.
2. An ambulance must be on the competition grounds or on call during all scheduled performances at all competitions and during all paid scheduled schooling sessions over fences, including 1 day prior to the start of the competition, if applicable. Exception: Endurance Competitions.
3. Required Number of Qualified Medical Personnel
   a. Competitions using more than three performance areas simultaneously must have at least one additional person who is CPR-certified to assist the medical personnel of record for that competition. The additional person may have other duties related to the competition provided they can be immediately available to respond to an emergency. This person must be identified to officials and staff. Dressage arenas do not count as performance areas.
      1. If more than six performance areas are used simultaneously there must be at least two additional people who are CPR-certified to assist the medical personnel of record for that competition.
      2. Hunter and Jumper competitions using four or more rings simultaneously must have at least 2 Qualified Medical Personnel present during all performance sessions

GR848 Accidents Involving Individuals

1. This rule pertains to accidents involving individuals at Federation-Licensed or endorsed competitions.

2. For all individuals evaluated pursuant to this rule, the Steward or Technical Delegate shall submit a properly completed Accident/Injury Form to the Federation Director of Competitions with their Steward or TD Report, unless otherwise noted below.
3. Fatalities and Serious Injuries. In the event of a human fatality or serious injury, the Steward or Technical Delegate shall notify the Safety Coordinator, Competition Management, and the Federation immediately, or as soon as practicable. Within 24 hours, except in exceptional circumstances, the Steward or Technical Delegate shall submit a completed Accident/Injury Report form to the Federation.
4. Unconsciousness/Concussion.
   a. In the event of a fall/accident where the competitor is apparently concussed or unconscious, the competitor is precluded from competing until evaluated by qualified medical personnel, as defined in GR847.1.a. If the competitor refuses to be evaluated, he is disqualified from the competition and must follow the Return to Competition guidelines in accordance with the rules.
   b. A Time-Out may be called in accordance with applicable division rules.
   c. If qualified medical personnel suspect that a competitor has sustained unconsciousness or a concussion, the competitor must be precluded from competing until cleared to compete in accordance with the Return to Competition rules.
   d. The competition Steward or TD shall notify Competition Management and the Safety Coordinator of the fall/accident as soon as practicable. In the event that a Steward or Technical Delegate is unable to be present at an accident or injury, the Safety Coordinator or Manager must inform the senior Steward or Technical Delegate of said accident or injury within one hour for proper follow-up and reporting, as required by the Federation.
   e. For all competitors evaluated pursuant to this section, the Steward or Technical Delegate shall submit a properly completed Accident/Injury Form, and, if applicable, any corresponding signed release to the Federation Director of Competitions within 24 hours of the fall/accident, except in exceptional circumstances.
5. Medical Suspension. Any competitor who is determined ineligible to compete under any of the preceding paragraphs will be placed on the Federation Medical Suspension List that will be posted on the Federation's website.
6. Return to Competition. In the event that a competitor is determined ineligible to compete under one of the preceding paragraphs, the competitor shall submit to the Federation, a signed release, which includes criteria established by the Federation from time to time, completed by a licensed physician, physician assistant, or a nurse practitioner in order to be eligible to once again compete in Federation-Licensed or endorsed competitions.
7. Refusal of Entry. Any competitor on the Federation Medical Suspension List is responsible for not competing in any further Federation Licensed or endorsed competition until he is removed from the medical suspension list. Competitions management shall refuse entries of any competitor who is on the Federation Medical Suspension List, unless he submits to the Federation a properly signed release in accordance with the Return to Competition rules. Competition Management is responsible for checking the Medical Suspension List prior to accepting entries.
   a. Substitution. Notwithstanding any other provisions of the rules herein, if an entry is accepted prior to the time the competitor was added to the Federation Medical Suspension List, a substitute competitor may be named.
   b. Refund. Notwithstanding any other provisions of the rules herein, for any competitor who appears on the Federation Medical Suspension List, a Federation-Licensed or endorsed competition shall refund the entry fees and Jumper nominating fee, if applicable, less office fees, if applicable. Stabling fees may not be refunded, unless the stabling is filled.
   BOD 6/20/23 Effective 12/1/23

GR849 Mandatory Reporting and Cooperation of Horse/Pony Collapse

1. Definitions. For purposes of this rule, a "collapse" is defined as a fall to the ground with no apparent cause at any time from when entries arrive at the venue until departure from the venue. Other falls are not considered to be a collapse and are defined in GR122 and specified division rules. Refer to GR849 for reporting requirements for all other falls and accidents.
2. The trainer as defined in GR404, or the owner if the trainer is unavailable, or the rider if the trainer and owner are both unavailable, shall notify the Steward/Technical Delegate as soon as possible but no later than three

hours after such occurrence of any collapse of a horse or pony. When a collapse occurs outside of competition hours or before the competition begins, notification must occur as soon as possible but no later than three hours after the Steward/TD reports to the show or returns to duty.

3. The Steward/TD shall report to Competition Management and the Federation within one hour of notification of a collapse. In the event that a Steward or Technical Delegate is not able to be present at a collapse of a horse, the Safety Coordinator or Manager must inform the senior Steward or Technical Delegate of said collapse within one hour of the collapse for proper follow-up and reporting, as required by the Federation.
4. In addition to the duties set forth in GR1034.6, the Steward/TD shall submit an Accident/Injury/Equine Collapse Report Form to the Federation within 24 hours of notification, except in exceptional circumstances.
5. The Federation, at its expense, may appoint a veterinarian to inspect the horse or pony that has collapsed and provide a full report to the Federation. Refusal to submit an animal for examination by an authorized veterinarian after due notification shall constitute a violation of this rule.
6. The Steward/TD shall ensure that any horse or pony that collapses at a licensed or endorsed competition is subject to drug and medication testing in accordance with Chapter 4 of these rules. In the absence of a Federation testing veterinarian, a veterinarian appointed under paragraph 5 or the official competition veterinarian is authorized to collect and submit fluid samples in accordance with these rules.
7. The rider, owner, and trainer as defined in GR404 shall cooperate with the Federation as to any investigation it undertakes with respect to a collapse or death of a horse/pony. This includes providing information requested by the Federation within 10 days of the request.
8. Any horse/pony that collapses is prohibited from competing for 72 hours after said collapse. Exception: Upon examination by a veterinarian and submission of a statement by the veterinarian certifying the horse/pony's fitness to compete, a horse/pony that collapses is permitted to return to competition 24 hours after said collapse.
9. Equine fatalities. In the event of an equine fatality during a Licensed Competition, the competition Steward or Technical Delegate shall immediately notify the Federation and Competition Management, or as soon as practicable. Within 24 hours, the Steward or Technical Delegate shall submit a completed Equine Fatality Report form to the Federation.
10. For all other equine injuries or accidents not otherwise covered by this rule, the Steward or TD shall submit an Accident/Injury/Equine Collapse Report Form with the Steward or TD Report.
    BOD 6/20/23 Effective 12/1/23

CHAPTER 9 COMPETITION PRIZE LISTS AND ENTRIES

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CHAPTER 9 COMPETITION PRIZE LISTS AND ENTRIES

SUBCHAPTER 9-A PRIZE LISTS

GR901 Requirements

See GR1212 for procedures regarding submitting prize lists to the Federation office. The prize list of every Licensed Competition must contain the following:

1. Federation Page. This must be printed in its entirety and placed in a conspicuous position in the prize list of each Licensed Competition in typeface large enough to be easily legible. If a Licensed Competition prints a catalogue, the Federation page must be included and the competition is urged to instruct its announcer to invite the attention of spectators to this page at each session.
2. Classification of competition and level or ratings of divisions or sections on the Federation Page.
3. Entry blank, which must contain the rule to be signed by each exhibitor, rider, driver, handler, coach and trainer(s), or their agent(s). (See GR908).
4. Names of the officiating judges with the division(s) in which they will adjudicate and the names of the Federation stewards or technical delegates, provided they have accepted to serve. (See GR706.1j) Both division and sections to be adjudicated must be listed for breed division judges, provided they have accepted to serve. (See GR 706.1j)
5. List of competition officials. (See GR113).
6. The name of the Licensee (see GR133 and GR302.1h) and the name of the Chief Executive Officer or the person with the largest ownership interest.
7. The name of the Hunter and Jumper and/or Trail course designer or responsible person. Exception: Arabian, American Saddlebred, Morgan and Andalusian/Lusitano divisions.
8. The name of the veterinarian or veterinary clinic and, if on call, the phone number where the veterinarian can be reached during the competition. If not known, the prize list must state where the information will be posted during the competition. Competition management must submit to the Federation, through the Federation's Competition Dashboard, the designated competition veterinarian or veterinary clinic contact information no less than seven (7) days prior to the start of competition. If changes are made to the designated veterinarian or veterinary clinic within seven days prior to the start of the competition, competition management will not be penalized so long as it timely provides updated contact information to the Federation.
9. The following statement must be published in BOLD TYPE for all Regular Competitions; Eventing Competitions at the Modified Level or above, Combined Driving Competitions, Dressage Competitions, Endurance Rides and Vaulting Competitions:
   (See GR828.4 of the Federation rules).
   Life, senior active and junior active members shall be eligible to participate in all classes at Regular Competitions, Eventing Competitions at the Modified Level or above and Combined Driving Competitions, Dressage, Reining and Vaulting Competitions and Endurance Rides. A non-member may participate as a handler, rider, driver, owner, lessee or agent at Regular Competitions, Eventing Competitions, Dressage Competitions, Reining Competitions and Combined Driving Competitions upon payment of a Show Pass fee. Participants in the following classes are exempted from the Requirements of this rule: 1) leadline; 2) exhibitions; 3) games and races; 4) classes for 4-H members; 5) walk trot and academy classes (academy classes are classes limited to horses used regularly in a lesson program); 6) USDF introductory level tests, pas de deux and quadrille classes; and 7) assistant handlers in Dressage Sport Horse Breeding classes.
10. Complete class description and judging specifications for all classes offered that are not included in this Rule Book must either be included in the Prize List or referred in the Prize List to a conspicuous place on the competition's web site.
11. Statement as to entry fees, prizes offered in each class and Show Pass fees pursuant to GR206 which the Federation is assessing certain participants who are not current members of the Federation. If a licensed competition's prize list does not state whether or not the competition will refund entry fees in the event of cancellation of classes due to severe weather or other emergency, refunds of entry fees must be made upon written request by the exhibitor within 30 days of the cancellation. See GR832.
12. Statement as to Federation fee (see GR208.1).
13. Statement as to when and how prize money will be paid.
14. Statement concerning post entries.
15. A tentative schedule of classes, by sessions.

16. Statement as to which of the Federation Medal Classes, Federation Equitation Classes and USEF/USDF Qualifying Classes are to be offered.
17. Statement concerning a particular system of judging to be used. In the American Saddlebred Horse Division, the particular three-judge system to be used must be specified.
18. The exact date and location of competition.
19. A map and/or directions to the competition grounds.
20. For Local Competitions, the designation Local Competition must be on the cover.
21. Stabling.
    a. Statement as to type of stabling and whether stall doors will be provided.
    b. The prize list for competitions offering National or Premier rated Hunter sections must state that stabling will be offered and the cost must be included on the entry blank.
22. Statement as to method for establishing a jumping order for jumper classes.
23. Statement as to method for breaking ties for other than first place in jumper classes.
24. The prize list for competitions offering A rated sections, National or Premier rated hunter sections, and/or Jumper sections of $10,000 or more, must be printed and available to exhibitors at least 21 days prior to the closing date of entries. For competitions offering a Jumper Class of $25,000 or more, the prize list must be printed and available to exhibitors at least 30 days prior to the closing date of entries. Upon request, a copy of the competition's prize list must be provided by mail at no cost to the exhibitor.
25. All competitions must state the type of footing available in warm-up areas and competition arenas. The dimensions of the competition arenas must also be stated.
26. Competitions offering Dressage or Western Dressage classes must state the type of footing available in Dressage or Western Dressage warm-up arenas and Dressage or Western Dressage competition arenas.
27. If Jumper sections are offered which are not covered within the definitions contained in Chapter JP, full specifications (including eligibility requirements) must be contained in the prize list.
28. If Championships are offered in the Jumper Division, the prize list must state the method of determining those Championships (see JP110). If the Jumper Division offers Stake Classes, or other classes for which horses must qualify during the competition, the means of determining qualification must be stated in the prize list.
29. GR1301.7 and GR1301.8 must be published in the prize list in its entirety.
30. If local laws are more restrictive than the requirements of GR801 relating to protective headgear, the Licensee is required to publish the more restrictive local law (which shall control) in the prize list.
31. At competitions where the official veterinarian is on call, the prize list must include the time period when the veterinarian will be available to conduct measurements. If the veterinarian is required to measure at any time other than as stated in the prize list, the owner is responsible for paying veterinarian fees. See DR135.4-5 for more information on measurements at Dressage Competitions.
32. The Federation Prize List must direct competitors to the FEI Definite Schedule for information on FEI classes or include the FEI Definite Schedule in the Prize List. The Federation Prize List may identify the FEI Event Category (ies), Level (i.e. CSI2*, CDI-W, etc.), and include the FEI classes in the tentative time schedule but for all other FEI technical information, competitors must be directed to the FEI Definite Schedule. Individual discipline omnibus' are exempt from this rule provided they direct competitors to the FEI Definite Schedule.
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GR902 Class Specifications

1. All classes offered at Licensed Competitions for which specifications appear in the Rule Book must be governed by current specifications, to the end that uniformity will prevail in the competition and in the adjudication. To cover any omission it is recommended that the prize list contain the following statement in a prominent position: "EVERY CLASS OFFERED HEREIN WHICH IS COVERED BY THE RULES AND SPECIFICATIONS OF THE CURRENT FEDERATION RULE BOOK WILL BE CONDUCTED AND JUDGED IN ACCORDANCE THEREWITH."
2. A Licensed Competition is not limited to classes listed in the Rule Book. If a special class is offered which is not included in the Rule Book, the prize list or competition web site must furnish detailed specifications.
3. All classes (rated or unrated) to be held on a Federation licensed date are governed by all applicable Federation rules. No unrecognized classes can be held on any Federation licensed date, except
    a. Horse Trials at Eventing Competitions below the Modified Level,
    b. Eventing Tests at all levels
    c. Classes at Regular or Local Competitions restricted to breeds or disciplines whose rules are not included in the USEF rulebook

d. Non-affiliated National Breed or discipline association classes
e. Vaulting levels/classes below A-Teams, B Teams, C Teams, Senior Teams, Junior Teams, Gold, Silver, Bronze, Senior and Junior Individuals, and Pas de Deux and Junior Pas de Deux.
f. Academy classes
g. Qualifying classes for Youth Reining classes or Reining classes at USA Reining and NRHA approved competitions.
h. Exhibitions for which there are no breed or division rules
i. Hunter/Jumper competitions designated “Outreach” competitions by USHJA are limited to either one competition day or multiple competition days with a maximum of two competition rings (one hunter ring and one jumper ring) per day.
j. These above named classes/levels can be held as unrecognized only provided a separate entry blank is used and the prize list and/or Omnibus clearly states that the classes are not recognized by the Federation. See GR301.
k. Exception: FEI rules take precedence as to international classes and events over Federation rules at all FEI Sanctioned Competitions. Federation rules take precedence as to national classes and events which are not FEI Sanctioned at FEI Sanctioned Competitions. In connection with Endurance Riding Events, the Federation shall nationally enforce the prohibition of the gastric ulcer medications ranitidine and meprazole, in accordance with GR410. See GR301.

GR903 Changes in Prize List

If the prize list must be changed after it has been distributed to potential exhibitors the following procedures must be followed. Notification of specific changes to a prize list must be made to the Federation and exhibitors via email or mail as well as being posted on a competition’s website. This will serve as notification for the requirements of this rule. In the cases where electronic communication is not available, then notification must be made as specified under this rule.

1. If errors are discovered or changes made up to 10 days prior to the closing of entries, notify potential exhibitors in writing of the specific changes.
2. If errors are discovered or changes made after that date, notify exhibitors on receipt of entries, and in writing when they arrive at the competition of the specific changes.
3. If classes are omitted or premiums decreased, notify only affected exhibitors 5 days prior to the competition in writing, by e-mail or by wire, thereafter these classes cannot be reinstated. In this instance, entry fee refunds must be given in all cases. (For Jumper Division, see JP108.2)
4. If classes are added or premiums increased, unless required under division rules, notify potential exhibitors in writing, by e-mail or by wire at least 5 days prior to the competition. Post entries must be accepted in such classes without a post entry penalty fee.
5. If the change in (3) or (4) adds a new division or section or alters a division’s or section’s rating, permission therefore must be obtained from the Federation at least 30 days prior to adoption.
6. Competitions are allowed to add additional classes from the following divisions as competition management deems necessary: Andalusian/Lusitano, Arabian, Connemara, Friesian, Hackney, Morgan, National Show Horse, Roadster, Paso Fino, Saddle Seat Equitation, American Saddlebred and Shetland. Unrated Hunter classes may be added as competition management deems necessary. If classes are added less than 5 days prior to the competition (See GR904.4), competition management must advise exhibitors of the additions upon check in, post notices in the competition office, and make the appropriate announcements during the competition.

GR904 Entry fees

An established entry fee figure must be clearly stated in the prize list for all Hunter classes. Once that figure has been printed it may not be changed regardless of the number of entries shown.

SUBCHAPTER 9-B ENTRY BLANKS

GR905 Requirements

1. The entry blank of each Licensed Competition must contain the name, age (if nine years or over, the term aged may be used), sex, color and in case of all horses four years old or over, the height of each horse entered in any class. This information is not required for Four-in-Hand, Team, Collection, Championship and other classes in which the conditions state that the entry need not be named.
2. For disciplines recognized by the FEI at non-breed-restricted events, the entry blank of each Recognized competition must contain the citizenship of the riders, drivers and vaulters.
3. The entry blank must contain a space for an emergency contact phone number.
4. The entry blank or prize list of each licensed competition must contain the following statement ("Federation Entry Agreement"), printed as below; failure of a Licensed competition to print this rule on every entry blank or prize list and to require that the entry blank be signed constitutes a violation of the rules and the competition is liable to penalty under GR707. If the Federation Entry Agreement is printed only in the prize list, the entry blank must contain the following abbreviated statement in lieu of the entire rule:

Federation Entry Agreement

I have read the United States Equestrian Federation, Inc. (the "Federation") Entry Agreement (GR906.4) as printed in the Prize List for [insert name here] ("Competition") and agree to all of its provisions. I understand and agree that by entering this Competition, I am subject to Federation Rules, the Prize List, and local rules of the competition. I agree that the Competition and/or the Federation may use all photos and other likeness of me and my horse taken from the Competition for non-commercial use and I waive any rights in connection with that use. I agree that any actions against the Federation must be brought in New York State.

The complete statement is as follows:

FEDERATION ENTRY AGREEMENT By entering a Federation-licensed Competition and signing this entry blank as the Owner, Lessee, Trainer, Manager, Agent, Coach, Driver, Rider, Handler, Vaulter or Longeur and on behalf of myself and my principals, representatives, employees and agents, I agree that I am subject to the Bylaws and Rules of the United States Equestrian Federation, Inc. (the "Federation") and the local rules of the competition.

I agree to be bound by the Bylaws and Rules of the Federation and of the competition. I will accept as final the decision of the Hearing Committee on any question arising under the Rules, and agree to release and hold harmless the competition, the Federation, their officials, directors and employees for any action taken under the Rules.

I represent that I am eligible to enter and/or participate under the Rules, and every horse I am entering is eligible as entered.

I also agree that as a condition of and in consideration of acceptance of entry, the Federation and/or the Competition may use or assign photographs, videos, audios, cable-casts, broadcasts, internet, film, new media or other likenesses of me and my horse taken during the course of the competition for the promotion, coverage or benefit of the competition, sport, or the Federation. Those likenesses shall not be used to advertise a product and they may not be used in such a way as to jeopardize amateur status. I hereby expressly and irrevocably waive and release any rights in connection with such use, including any claim to compensation, invasion of privacy, right of publicity, or to misappropriation.

If not currently a USEF Active Competing member or Subscriber and competing only in classes that are exempt from membership requirements, I acknowledge and agree that I will be enrolled at no cost as a USEF Fan and my USEF Fan Account will continue to annually automatically renew unless and until USEF determines in its sole discretion to terminate my Fan account. Additionally, I acknowledge that the benefits of a USEF Fan are subject to change without notice.

The construction and application of Federation rules are governed by the laws of the State of New York, and any action instituted against the Federation must be filed in New York State. See GR908.4.

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SUBCHAPTER 9-C SUBMISSION, ACCEPTANCE, AND REFUSAL OF ENTRIES

GR906 Requirements

1. When Entries are based strictly on a first-come, first-served basis: if the prize list restricts entries to mailed entries it must specify that entries may only be received by mail postmarked on or after a specified date which must be at least two weeks subsequent to the issuance of the prize list; if entries are to be made by other means (e.g. by hand, by fax, email or other electronic submission) that must be clearly specified in the prize list together with the earliest date for receipt which must be at least two weeks subsequent to the issuance of the prize list; competition management must maintain and make available for examination accurate records regarding proof of receipt of entries (e.g. postmarks, fax, email and other electronic transmittal records, hand delivery receipts); the prize list must further specify that entries will be accepted based solely on the priority of receipt of entries, and that ties regarding entries received at any time on the same day will be broken by lot on a date, time and location specified in the prize list with the right of any potential entrant to be present in person or by representative at the drawing of lots.
2. In Breeding classes (except Dressage/Sport Horse Breeding), provided a stud book exists for the horse in question, each horse must be registered in the recognized stud book of the breed and its registered number, sire and dam must be given on the entry blank. Horses competing in Dressage Sport Horse Breeding (DSHB) classes are not required to be registered with any stud book, but if a horse is registered with any breed, the name of the breed, sire, dam, dam sire and breeder must be given on the entry blank. For unregistered horses competing in DSHB classes, the above information is strongly recommended, if available.
3. Horses over two years of age must be named and the same name must be listed in all classes except those that permit nominations after the close of entries. If a horse has been recorded it must be entered under its original recorded name unless the name has been officially changed under the provisions of GR1101. It must also be entered under the name of the owner or lessee of record, or of the registered exhibitor name, which must appear in the catalogue. When entered by an agent, the owner's name or lessee's name must also be given.
4. Misrepresentation of a horse's identity, name, height, age, eligibility for the class, registered or recorded number or other information on an entry blank for the class in question results in the exhibitor's forfeiture of any ribbon, trophy, cash prize or other award won by such misrepresented or substituted animal. For Dressage Competitions, any documentation such as negative EIA certification that is required for entry to a competition must list the same horse name and description as is listed on the entry blank and on the USEF horse identification or recording documents. The exhibitor is liable for further penalty as described in GR703.
5. Misrepresentation of the identity of the trainer responsible for the training, custody or performance of a horse by any person shall constitute a violation of the rules (see also GR148).

GR907 Agreement

1. Every entry at a Licensed Competition constitutes an agreement that the person making it, owner, lessee, trainer, manager, agent, coach, driver, rider, handler, vaulter, lungeur, and the horse are subject to the Bylaws and the rules of the Federation and the local rules of the competition. Exhibitors are cautioned to abide by restrictions concerning exhibiting horses before judges as provided for in GR1304. Participants utilizing an online entry system for a Licensed Competition do so with the agreement that they have read the Official Prize List and agree to follow all the class specifications, requirements and conditions in the Official Prize List.
2. Every exhibitor, rider, driver, handler, vaulter, lungeur, coach and trainer or their agent(s) must sign an entry blank. In the case of a rider, driver, handler, vaulter or lungeur under the age of 18, their parent or guardian, or if not available, the trainer, must sign an entry blank on the minor's behalf. Unless specifically provided otherwise by law, any of the above persons may alternatively sign an entry blank in electronic form which shall have the same validity, force and effect as a signature affixed by hand. If any of the above persons fails to do so, their first entrance into the ring as an exhibitor, rider, driver, handler, vaulter or lungeur shall be construed as their acceptance of the rules of the competition involved and of the Federation and shall ipso facto render them subject to said rules. Upon the failure of an owner, trainer, rider, driver, handler, vaulter, lungeur, coach or agent(s), or parent or guardian or trainer of a minor rider, driver, handler, vaulter or lungeur, to sign an entry blank as required, and upon confirmation of any such violation(s) by the steward or secretary of the competition, such person(s) shall be subject to an automatic fine of $250 imposed for each such violation.

3. Violation of the rules in connection with entries may be cause for disqualification of the exhibitor, rider, driver, handler, vaulter, lungeur, coach and trainer by the Directors of the Licensed Competition (see GR1218.4) and for report to the Federation.
4. The Federation, incorporated in 2003, is a New York Not-For-Profit corporation. Pursuant to Bylaw 701.2, the construction and application of Federation rules are subject to the laws of the State of New York. It is expressly agreed by and between the Federation and its members and any other persons in any way participating or in any way seeking to participate in a Licensed Competition or otherwise utilizing or seeking to utilize the privileges or services of the Federation, that any lawsuit (except for an arbitration pursuant to Bylaw 705 of the Federation) brought against the Federation by or on behalf of any such member (whether or not still a member at the time such suit is brought), or by or on behalf of any such person, shall be commenced and adjudicated only in the United States District Court for the Southern District of New York or in the Supreme Court of the State of New York, County of New York, to the exclusion of the courts of any other jurisdiction or venue.
5. Competition Organizers must obtain from every exhibitor, coach, trainer, owner, rider, driver, vaulter, lungeur, and handler an executed Federation Release, Assumption of Risk, Waiver, and Indemnification Agreement as a condition for the participant's entry to participate in a USEF Licensed Competition. State specific form agreements can be found on the Federation's website.
6. All USEF fees appearing on the entry blank must be grouped together in one section of the page, preferably in a box separating them from other fees.

GR908 General

1. Entries must be made in writing and signed by the (1) exhibitor (2) the rider, driver, handler, vaulter or longeur, (3) the trainer, and (4) the coach, if applicable, or by the agent(s) of such person(s) and must be accompanied by funds to cover entry fees, stall fees and Federation fee (see GR208.1). In the case of a rider, driver, handler, vaulter or longeur under 18, his/her parent or guardian, or if not available, the trainer, must sign an entry blank on the minor's behalf. Or: by transmitting the required entry data to a designated collection agent via the internet, accompanied by a valid credit card payment to cover entry fees, stall fees, Federation fee (see GR208.1) and applicable processing fees, and including the name(s) of the (1) exhibitor, (2) rider, driver, handler, vaulter or longeur, (3) the trainer, and (4) the coach, if applicable. The secretary of the competition will accept such an entry as complete (see a.1 below).
   a. In the case of on-line entries, no competition number will be issued until the Competition Secretary has received an entry form, the signatures of the (1) exhibitor, (2) the rider, driver, handler, vaulter or longeur, (3) the trainer, and (4) the coach, if applicable, or of the agent(s) of such person(s). In the case of a rider, driver, handler, vaulter or longeur under 18, their parent or guardian, or if not available, the trainer must sign an entry blank on the minor's behalf.
   b. Submission of on-line entry accompanied by a valid credit card or other type of electronic payment shall be construed to be acceptance by the person(s) named in the entry of the provisions of GR906-GR908 and GR911-GR914.
   c. Electronic signatures on an entry form and in online entry systems must be submitted and accepted in compliance with applicable laws in the location where the competition is held.
2. In the event that a catalogue contains a statement as to an entry not in accordance with the exhibitor's original entry blank, it is the duty of the Show Committee or Competition Management to take all steps necessary, including public announcement, to correct the error, giving precedence to the exhibitor's entry blank and not the catalogue in error, as authority.
3. In the Dressage or Western Dressage Division and for open dressage or western dressage classes at Regular and Local Competitions, all entries received by the closing date of entries shall be acknowledged by the competition secretary. (Note: This would include DSHB, but not breed-restricted dressage classes.)

GR909 Post Entries

1. Post entries are any entries made after the advertised closing date.
2. Post entries should not be encouraged in classes listed in the prize list since this practice often results in unfair competition, confusion during the competition, inability to collect the proper fees, extreme difficulty in recording winnings of horses not listed in the catalogue and disruption of the time schedule. Furthermore, the exhibitor and his horses are worthy of having their names in the program. In the interest of good sport, a Show Committee or Competition Management is strongly urged to require that entries be made in advance and printed in the catalogue.

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This does not apply, however, to classes in which the prize list states that post entries will be accepted, such as pairs of saddle horses, hunt teams, etc.

3. When a Licensed Competition does not accept post entries it must be stated in the prize list and no exceptions can be made.
4. When a Licensed Competition does accept post entries it must be stated in the prize list and post entries can only be accepted prior to the starting of the class and upon signature of exhibitor or his agent and trainer.

GR910 Substitutions

1. Substitution of a horse may not be made after the announced date of the closing of entries, except in classes where more than one horse represents an entry (i.e., Pairs, Teams, Tandems). In such cases substitution of one horse may be made provided a veterinarian's certificate of disability is submitted. (Exception: Friesian) (Local Competitions exempt).
2. If a horse is sold or injured after the closing of entries, Competition Management may allow an exhibitor to post enter another horse in the same class but the new entry must be given another number.
3. Substitution of a rider or driver or longeur may be made during a class only under the following conditions:
   a. in case of injury to or illness of the original rider or driver or longeur;
   b. in a Combination class unless the prize list states that the same rider or driver is required for the entire class;
   c. in a Reining division when one rider may show several horses in the individual workout. See RN101.5.
4. Substitution of rider is not allowed in Equitation classes.

GR911 Cancellation and Withdrawal of Entries

1. A Licensed Competition may adopt its own policy covering the refunding of fees to an exhibitor who cancels his entries after the official closing date and prior to the competition's beginning. If a Licensed Competition does not specify its refund policy in the prize list, refunds are required to be made for entries cancelled before the competition begins, upon written request by the exhibitor within 30 days of the competition.
2. A Licensed Competition can set the penalty governing an exhibitor who is permitted to cancel his entries or withdraw from the competition. Such penalty applies only at the competition in question. See GR1305.
3. Competition management must refund any entry fees, (stabling and processing fees exempted) paid in advance by an exhibitor for any horse(s) which is subsequently named to an official US team, participation on which will prevent them from competing in that competition.
4. After the competition starts, if a rider is unable to compete due to illness or injury, class fees will be refunded upon presentation of a doctor's certificate unless otherwise stated in prize list. Stall fees and office fees need not be refunded.

GR912 Unpaid Entries

1. Any Competition Licensed or endorsed by the Federation which accepts entries without the payment of the required fees, does so at its own risk and the Federation will not be responsible for the collection of fees (See also GR1213.10). However, if a person makes payment for fees which is not negotiable, the Licensed Competition, after first contacting the individual at least once in writing (with delivery confirmation), may report the name and address of the person in writing to the Federation within 150 days of notification of denied payment, (See GR1213.1) giving the names of the horses and the names and complete addresses of the owners for which the non-negotiable funds were to cover, a copy of the entry blank, a copy of the front and back of the check or receipt with credit card information and signature, and a copy of the delivery confirmation showing the competition's attempt to notify the individual of the non-negotiable payment. The amount of all fees for each horse must be itemized. On receipt of such notice, the Federation will notify said person, of their indebtedness to the competition as well as the imposition of a processing fee payable to the Federation. If the person fails to make settlement with the competition and/or the Federation within 30 days from the date of the notice from the Federation, they will be fined the additional sum of $250 payable to the Federation and the person and any horses owned by them and any horses and/or persons for which the non-negotiable sums have been paid will automatically be barred from taking any part whatsoever in any competition Licensed or endorsed by the Federation until settlement is made both of indebtedness to the competition and to the Federation. If the indebtedness to the competition is paid within thirty days of the notice from the Federation and only the $50 processing fee is not paid to the Federation within that timeframe, the Federation will assess a $250 fine and

the suspensions as referenced above will remain until the debt to the Federation is paid in full. Publication of the suspension will be published on the Federation's website. Exception: Persons who are engaged to compete on horses owned by individuals with whom they have no current business relationship regarding the ongoing training, care, custody, or control of the horse are not responsible for indebtedness under this rule provided that said persons have not tendered the non-negotiable payment.

2. If the person disputes that the amounts in question are owing or unpaid, they may request a review of these issues before the Co-Chairs of the Hearing Committee or their designees provided a written statement specifying the grounds for a procedural review, accompanied by a fee (which will be refunded if the dispute is settled in favor of said person) is received at the Federation's office within said 30 day period. The Co-Chairs of the Hearing Committee or their designees are the only body that can waive the fines assessed as the result of this rule.
3. In the event a person is reported three or more times for making unnegotiable payment for entry fees, etc., to any competition Licensed or endorsed by the Federation, they will be, after a hearing, subject to further disciplinary action.

GR913 Refusal of Entries

1. In addition to entries of persons suspended or expelled from the Federation, a Licensed Competition may refuse any entry of an exhibitor or the participation of any agent, trainer, rider, driver or handler who has shown an objectionable attitude or behavior at a Licensed Competition or towards its management, which management is able to substantiate, or previous unsportsmanlike behavior at a Licensed Competition which management is able to substantiate.
2. A competition licensed by the Federation and an affiliate association may refuse the entries of horses and riders in Maiden, Novice, Limit and Green classes if they are ineligible under the rules of the Affiliate Association, except for:
   a. A class open to horses in a specified area (Examples: State Championship, New England Championship, and Kentucky-bred horses).
   b. A class where the winnings form part of a total score in a competition for a trophy,
   c. Classes in which the identical award may also be competed for at another Licensed Competition.
   d. Classes counting for USEF Horse of the Year Awards.
3. If a horse is withdrawn or eliminated from competition during a horse inspection at any FEI licensed competition, it is prohibited from competing at any concurrently held Federation licensed competitions within 48 hours following the FEI Horse Inspection.

GR914 Limiting Entries

For Hunter and Jumper competitions see HJ125 - 126.

1. Management can limit the number of horses entered by an owner and the number of horses ridden by a rider.
2. Any competition that sets restrictive criteria for accepting entries and/or offers classes or events which are part of a league, series or other ranking or award system must do so by meeting the applicable requirements described below:
   a. Based strictly on a First-Come, First-Served basis (See GR907.1) under this method the prize list must be distributed at least two weeks prior to close of entries to any person requesting a prize list as well as at least three times the number of potential entrants as there will be entries accepted.
   b. Qualification based only on winnings or participation: (i.e., ribbons and/or prize money or dressage scores during a specified time period, of at least eight (8) consecutive weeks' duration, established by Competition Management and published in the prize list or otherwise prior to the end of the specified period. (See applicable breed/discipline rules for further requirements under this rule).
   c. By CEO Approval: Any other competition wishing to set restrictive criteria for accepting entries other than as specified above must make written application to the Federation CEO, accompanied by a nonrefundable fee, at least 120 days prior to the event detailing the criteria being requested. The Federation office may refer the application to the appropriate discipline or other committee for its recommendation regarding the application prior to the application and any recommendation being considered by the CEO. The CEO will consider such recommendations and may approve any such applications in their discretion and may condition any such approval in their discretion, and must require, if approved, that there be publication in the prize list or otherwise of such restrictive criteria sufficiently in advance of the closing date of entries, where appropriate, for all interested to have a fair opportunity to enter.
   BOD 6/20/23 Effective 12/1/23

GR915 General Conditions

Except as permitted by the FEI for FEI recognized classes, all entries at a Licensed Competition must be on the same basis. A competition cannot give free or reduced entries, free or reduced transportation or other expenses to one exhibitor unless the same privileges are extended to all exhibitors in the same section or subset of competitors. The requirements to obtain these privileges must be advertised to all potential exhibitors. No fees may be imposed that are not listed in the prize list.

Competition Organizers must obtain from every exhibitor, coach, trainer, owner, rider, driver, vaulter, lungeur, and handler an executed Federation Release, Assumption of Risk, Waiver, and Indemnification Agreement as a condition for the participant's entry to participate in a USEF Licensed Competition. State specific form agreements can be found on the Federation's website.

United States Equestrian Federation, Inc. Entry Agreement

I have read the United States Equestrian Federation, Inc. (the "Federation") Entry Agreement (GR906.4) as printed in the Prize List for this Competition and agree to all of its provisions. I understand and agree that by entering this Competition, I am subject to Federation Rules, the Prize List, and local rules of the competition. I agree to waive the right to the use of my photos at the competition, and agree that any actions against the Federation must be brought in New York State.

GR916 League, Series and Finals

1. Leagues, Series, and Finals:
   a. Definitions:
      i. "Finals" means any championship, trophy or other award final, league or series final or other final class or final event with entries based upon the outcomes of earlier contests.
      ii. "System" means classes or events which are part of a league, series, ranking/tracking lists or championship, final trophy, or other award system, whether generated or tracked by a computer program or otherwise.
      iii. "League" means a group of competitions held over a period of time leading up to a League Finals.
      iv. "Series" means two or more competitions held in succession leading up to a Series Finals.
   b. All of the following requirements must also be met:
      i. The League or Series must be registered with and approved by the Federation and pay the applicable fee.
      ii. All the qualifying classes must be held at Federation licensed competitions, unless otherwise approved by the Federation's Chief Executive Officer.
      iii. The League or Series must award the qualifying classes to licensed competitions on an equal basis. If a licensed competition meets the requirements to hold a qualifying class and wishes to do so, it must be given the opportunity.
      iv. The principal of the organization or individuals financially responsible for the League or Series must be Federation Senior Active Members, and must agree to be bound by and comply with all applicable Federation rules in the conduct of the System and its application in the League or Series.
      v. If there is an award category for classes in the League or Series and the above requirements are met, HOTY points will be awarded for the qualifying classes and for the Finals held at licensed competitions.
      vi. If the Finals of a League, Series, or other ranking System are not held at a licensed competition, HOTY points for the finals will not be awarded.

BOD 6/20/23 Effective 12/1/23

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CHAPTER 10 LICENSED OFFICIALS

SUBCHAPTER 10-A APPLICATION PROCESS

GR1001 Duties

The Federation will act upon every completed application for enrollment, annual renewal, promotion, recommendation to the FEI, and change of status of judges, course designers, stewards and technical delegates. The Federation will issue a license to each approved applicant. Licenses are valid for the current competition year only. Decisions of the Licensed Officials Committee are subject to the approval of the Federation.

GR1002 Applications

1. The Federation will review all applications and take an action on the application, including but not limited to an approval, denial, or administrative hold.
2. If the Federation administers the education program for a license on behalf of an Affiliate, the Federation may solicit recommendations from the applicable Breed/Discipline Committees, Recognized National and FEI Affiliates and others as it deems appropriate. If the Federation does not administer the education program for a license on behalf of an Affiliate, the Federation will not solicit recommendations from the applicable Breed/Discipline Committees, Recognized National and FEI Affiliates, or others. The Federation may consider any recommendations received, along with competition reports, Licensed Officials Evaluations, and any other relevant information submitted to the Federation, whether solicited by the Federation or submitted by the Recognized Affiliate or others deemed appropriate.
3. In the Federation's sole discretion, unless warranted, applications will not be denied outside of an applicant's failure to meet the minimum licensing requirements, as outlined in the Federation's Licensed Officials Policies and Procedures.
4. The Federation may in its discretion revoke a current license, place a license on probationary status, reduce a license by one level, temporarily suspend, or refuse to renew an official's license at its expiration following a hearing with at least ten days written notice to the official in question who shall have the right to appear, to be represented, and to bring witnesses. The Federation shall issue its written ruling specifying the substance of the Federation's reasons for said decision. The Federation may consult with the applicable Recognized National or FEI Affiliate before taking a final action.
5. The Federation, in its discretion, may reinstate an official who has allowed their license to lapse for one year or may require the official to reapply.
6. All applications whether for enrollment, renewal, promotion, change of status, or recommendation to the FEI shall contain a statement to be signed by the applicant, indicating that the applicant has read and understands the rules governing the application process, and agrees to be bound thereby.

GR1003 Procedural Review

1. Any person whose application for enrollment, renewal, promotion, change of status, or recommendation to the FEI has been denied may request a procedural review by the Hearing committee Co-Chairs, or their designees, provided that the request is made in writing to the Federation within 30 days of the determination, specifying the ground for the procedural review.

SUBCHAPTER 10-B GENERAL RULES AND FEES

GR1004 General

1. Any written agreement between the Federation and another National Federation regarding requirements for licensed officials shall supersede these rules.

2. With the exception of those who have been issued Guest and/or Special Cards (see GR1005, GR1011, GR1015, GR1024, and GR1025), only licensed officials in good standing may officiate at Licensed Competitions in those divisions covered by the rules and specifications of the current Rulebook.
   a. Unless stated otherwise, judges for Exhibition classes for Breed, hunter, jumper, or Western are not required to be licensed by the Federation nor is the competition required to obtain a guest card for the judge.
3. All Federation national and FEI officials must be Federation Competing Members. *BOD 6/20/23 Effective 12/1/23*

GR1005 Officiating Eligibility and Guest Cards

1. A judge licensed in a division restricted to one breed may judge all classes restricted to entries of that breed even though the judge may not be licensed in the divisions for all types of classes offered. Exceptions:
   a. A licensed Dressage judge must judge Dressage classes.
   b. Sport Horse classes in the Arabian, Half-Arabian and Anglo/Arabian Division must be judged by:
      1. Federation licensed Dressage Judge, Dressage Sport Horse Breeding Judge, Hunter or Jumper Judge, or
      2. Senior Equestrian Canada Dressage, Hunter, or Jumper Judge with a Guest Card, or
      3. Senior EC licensed Arabian Judge with an AHA Sport Horse Specialty Card and a Guest Card at non-Regional Championships or non-National Championship competitions, or
      4. Federation Arabian Judge with an AHA Sport Horse Specialty Card at non-Regional Championship or non-National Championship competitions, or
      5. Dually licensed Senior Equestrian Canada Arabian Judge, who also holds an EC Dressage, Hunter, or Jumper license (of any license level), with a Guest Card.
   c. Refer to GR1005.36 for Western Dressage.
2. In competitions restricted to entries of one breed, (i.e. Arabian, Morgan, Friesian), a judge licensed in a specific division (i.e. Hunter, Saddle Seat Equitation, Reining) may officiate the sections at that competition in which they are licensed. In this case, a guest card is not required, nor is a Special Judges card required for recorded judges licensed in a specific division in order to judge these classes.
3. The following individuals shall comply with the background check and training requirements of USEF Safe Sport Policy:
   a. All Federation Licensed Officials, including Guest Judges, Certified Eventing Cross Country Course Designers, and Certified Schooling Supervisors;
   b. All individuals seeking FEI licensure through the Federation;
   c. Current Federation FEI officials; and
   d. All foreign FEI officials officiating in national classes in more than one Federation licensed and/or endorsed competitions within any 12-month period of time.
4. It is the responsibility of the Licensed Official to ensure that they are eligible to officiate by complying with all licensure and memberships requirements prior to first day of competition.
5. Judges licensed by the FEI are eligible to officiate in Federation Licensed Competitions in the division in which they are licensed (Exception: Vaulting). Guest Cards are not required.
   a. Dressage: See GR1005.13
6. Guest Cards and Restrictions
   a. A Guest official is a Federation Competing Member 21 years of age or over not enrolled as an official in a particular division, to whom the Licensed Officials Department may grant permission to officiate in that division upon the request of a Licensed Competition and for that competition only. The fee is not refundable. The application must be made on the official form and accompanied by the required fee. The statement on the form that the competition holds itself responsible that the individual applied for is familiar with the Federation rules and is capable to adjudicate as requested must be signed by the competition manager.
   b. Notwithstanding the above, a full membership fee is not required for Guest Cards issued for classes in which the Federation does not have division rules or license officials and the applicant is not a Federation member, provided that a Show Pass fee for each such guest official accompanies the application in addition to the guest official fee.
   c. The application for a Guest Card must be received in the Federation office at least 21 days prior to the start of the competition. In cases where the Guest Card application is received prior to the competition,

but less than 21 days prior, the competition must pay a processing fee. If management disputes that the application was not timely filed or that the above fee is not properly owing, it may appeal in writing to the Federation within 30 days of management's receipt of the Federation's notice of fee, specifying the grounds for the appeal. The Federation's CEO or their designee, a special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fee upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.

d. Guest Card applications received after the first day of the competition will not be considered.
e. Guest Cards will not be issued to anyone whose application for enrollment, re-enrollment, promotion, or change of status has been denied by the Federation in the respective division in which the Guest Card is applied for.
f. Guest Cards are required for officials with foreign national licenses provided they have Senior status with their own federation and are officiating in the division for which they are licensed by their federation.
    1. Dressage: Foreign national judges are not eligible to officiate in the dressage division. See GR1005.14
g. Guest Cards will not be granted to any person more than twice in a lifetime in a particular division without the approval of the Federation's Licensed Officials Committee Chairman or their designee and any two members of the Federation's Licensed Officials Committee, unless outlined differently in these rules.
h. There is no limit to the number of Guest Cards an official may receive for classes recognized by a national breed or discipline association and for which the Federation has no division rules and does not license judges.
i. See GR1005.7-GR1005.37 for Guest Card restrictions and limitations within each division.

7. Andalusian/Lusitano
    a. A Federation Andalusian/Lusitano, Dressage, Driving, Hunter, or Jumper judge must officiate Andalusian/Lusitano Sport Horse classes.
    b. A Technical Delegate is not required for Andalusian/Lusitano Sport Horse classes.
    c. There is no limit to the number of Guest Cards an official may receive for Andalusian/Lusitano foreign experts.
8. Arabian
    a. Regional Shows and National Championships classes must be judged by a Federation Registered ('R) Arabian Division judge or a Senior Equestrian Canada (EC) judge who is on the AHA Recognized Judges List as an accredited National/ Regional judge.
        1. Exception: AHA "Specialty" classes: Working Hunter/Hunter Hack, Jumper, Cutting, Dressage, Western Dressage, Hunter/Jumping Seat Equitation, Saddle Seat Equitation, Reining Seat Equitation, Reining, Working Cow, Reined Cow Horse, Herd Work, Ranch Horse, Trail, Carriage Pleasure Driving, Breeding/In-Hand, and Sport Horse. Detailed specifications for these sections can be found in the AHA Handbook. Refer to the EC Guest card chart for EC eligibility.
        2. There is no limit to the number of Guest Cards an official may receive for Arabian Specialty carded judges (i.e. Reining, Working Cow Horse, Trail).
    b. Breeding/gelding in-hand - Guest cards will only be granted to foreign breeding experts and/or those holding a Specialty Card with the Arabian Horse Association. A list of foreign experts will be maintained by the Federation Licensed Officials Department. There is no limit to the number of Guest Cards an official may receive for Arabian foreign breeding experts.
    c. Reining – Judges licensed by the National Reining Horse Association (NRHA) and/or the National Reined Cow Horse Association (NRCHA) and/or those holding a Specialty Card with the Arabian Horse Association may officiate in Reining classes with a Guest Card.
    d. Ranch, Trail, Working/or Reined Cow Horse, Herd Work Classes- National Reining Horse Association (NRHA), National Reined Cow Horse Association (NRCHA) judges licensed in another breed (i.e. AQHA, APHA, ApHC, etc.) and/or those holding a Specialty Card with the Arabian Horse Association may officiate in a Ranch, Train, Working or Reined Cow Horse, or Herd Work classes with a Guest Card.
    e. Sport Horse –See GR1005.1.
9. Carriage Pleasure Driving
    a. Federation licensed judges in any breed division that includes Carriage Pleasure Driving classes are eligible to officiate as Guest Judges in the Carriage Pleasure Driving division.
    b. There is no limit to the number of Guest Cards an official may receive for Carriage Pleasure Driving and Coaching Judges approved by Foreign National Driving Societies.

c. Coaching
    1. See CP301.
    2. Federation licensed Carriage Pleasure Judges may officiate in Coaching classes at Federation licensed competitions.
    3. Foreign dignitaries approved by their National Driving Society may officiate in Coaching classes at Federation licensed competitions as Guest Judges.
d. Driven Dressage
    1. See CP524 and CP525.
e. Guest Cards for Technical Delegates are not permitted.

10. Category 1 and 2 Stewards
    a. Guest Cards are not permitted.
11. Certified Schooling Supervisors
    a. U.S. FEI Jumping Stewards are eligible to serve as Certified Schooling Supervisors in hunter, equitation, or jumper schooling areas. Foreign FEI Jumping Stewards are only eligible to serve as Certified Schooling Supervisors in jumper schooling areas.
12. Combined Driving
    a. Combined Driving Judges from another country may officiate as Guest Judges. See DC970.
    b. Guest Cards for Course Designers from a foreign country are permitted.
    c. Guest Cards for Technical Delegates are not permitted.
13. Connemara
    a. A Federation Connemara, Dressage Sport Horse Breeding, or Hunter judge with a Hunter Breeding Designation must officiate Connemara classes.
    b. Judges must have approved status from the American Connemara Pony Society to officiate in any class in the Connemara division at regular or local competitions with a Guest Card.
14. Dressage
    a. Current foreign FEI Dressage Level 3 or 4 judges may officiate in the dressage division without a Guest Card. These judges may officiate alone.
    b. Guest Cards for Dressage Judges are not permitted.
    c. Guest Cards for Technical Delegates are not permitted.
    d. Guest Cards for Dressage Sport Horse Breeding are not permitted.
15. Endurance
    a. Guest officials are not permitted.
16. English Pleasure
    a. Guest judges may officiate alone at any competition.
    b. English Pleasure Saddle Seat
        1. Judges licensed in any breed that includes a Saddle Seat or English Pleasure section are eligible to officiate English Pleasure Saddle Seat classes.
    c. English Pleasure Hunter Seat
        1. Judges licensed in the Hunter division or any breed that includes a Hunter Pleasure section are eligible to officiate English Pleasure Hunter Seat classes.
    d. English Pleasure Driving
        1. Judges licensed in any breed that includes a Pleasure Driving section are eligible to officiate English Pleasure Driving classes.
17. Eventing
    a. An individual licensed as an eventing judge by another National Federation must obtain a Guest Card.
    b. Guest Cards for Technical Delegates are not permitted.
    c. Guest Cards for Course Designers are not permitted. Exception: Any individual currently licensed by the FEI as a Course Designer for Eventing shall automatically be eligible to officiate at Federation licensed competitions without a Guest Card in accordance with the rules below. There is no limit on the number of times that such an individual may officiate.
        1. Level 2 FEI Course Designers for Eventing shall automatically be eligible to officiate at the intermediate level.
        2. Level 3 FEI Course Designers for Eventing shall automatically be eligible to officiate at the Intermediate and Advanced levels.
    d. See EV156.1 and EV159.
18. Friesian

a. A Guest Judge may officiate alone in the Friesian division provided the judge is a Federation licensed official in at least one other recognized breed division. Guest judges are prohibited from officiating at Regional or National competitions.
b. Four Guest Cards may be granted in a lifetime.
    1. Exception: There is no limit to the number of Guest Cards an official may receive for Friesian foreign experts.

19. Hackney
    a. Guest Judges may officiate alone in the Hackney division provided the judge is Federation licensed in at least one other recognized breed division. In Hackney Pony classes, one Guest judge on a multiple judge panel is permitted.
20. Hunter
    a. A guest judge may not officiate in any Premier, National or Regional rated divisions or sections.
    b. Guest Cards for Course Designers are not permitted.
21. Hunter Breeding
    a. A Federation Hunter judge or Hunter judge with a Hunter Breeding Designation must officiate the Pony Hunter Breeding section.
    b. A Hunter judge with a Hunter Breeding Designation must officiate the Hunter Breeding section.
    c. Guest Cards for Hunter and Pony Hunter Breeding are not permitted.
22. Hunter/Jumping Seat Equitation
    a. There is no limit to the number of Guest Cards an official may receive for the USEF Show Jumping Talent Search Finals, USEF Hunter Seat Medal Finals, ASPCA Maclay Finals, and/or WIHS Finals.
    b. A Guest Judge may not officiate at any national or regional Hunter/Jumping Seat Equitation Finals. The following are exceptions:
        1. USEF Show Jumping Talent Search Class/Finals, see EQ111.9.i
        2. USEF Hunter Seat Medal Finals, see EQ111.5.d.5
        3. WIHS Equitation Finals, see EQ111.10
        4. ASPCA Maclay Finals, see EQ111.8.
    c. Guest judges may not officiate alone in any Federation Medal class.
    d. Guest Cards for Course Designers are not permitted.
23. Jumper
    a. Guest Judges are not permitted to officiate if the jumper division is offering $2,500 or more in prize money at the competition.
    b. Guest Cards for Course Designers are not permitted. Exception: Any individual currently licensed by the FEI as a Level 2 or higher Course Designer for Jumping shall automatically be eligible to officiate in the jumper division at Federation competitions without a Guest Card. There is no limit on the number of times that such an individual may officiate.
24. Morgan
    a. Guest Judges may officiate alone in the Morgan division provided the judge is Federation licensed in at least one other recognized breed division. One Guest judge is allowed on a three-judge panel and may serve as a call judge provided they are licensed by the Federation in at least one other division.
    b. Reining – Judges licensed by the National Reining Horse Association (NRHA) may officiate in Reining with a Guest Card.
    c. Working Western - Federation Western judges, Federation Morgan judges (preferably experienced in judging Working Western events), National Reining Horse Association (NRHA) judges, National Reined Cow Horse Association (NRCHA) judges, judges licensed in a non-affiliated breed for working western classes (i.e. AQHA, APHA, ApHC, etc.) and/or those holding a Specialty Card with the Arabian Horse Association must judge the Morgan Working Western section. A Guest Card is required for any judge other than a Federation licensed Western or Morgan judge. There is no limit to the number of Guest Cards an official may receive for the Morgan Working Western section.
        1. Exception: A Federation licensed Morgan judge is not permitted to officiate the Working Western classes at The Grand National & World Championship Morgan Horse Show.
25. National Show Horse
    a. A Federation Arabian or Hackney/Roadster/Saddlebred judge must officiate the National Show Horse division.
26. Parade
    a. A Federation Hackney/Roadster/Saddlebred judge must officiate the Parade division.

27. Para-Equestrian
    a. Guest Cards for Para-Equestrian Classifiers are not permitted.
28. Paso Fino
    a. No more than two (2) guest cards may be granted within the past five (5) competition years.
    b. Guest Judges may officiate with a Federation Licensed Paso Fino Registered 'R' judge in any "B", "C", or Local rated competition. If the competition is a multi-breed competition, a Guest Judge may officiate alone.
29. Reining
    a. Federation Western judges may officiate Reining classes in breed restricted competitions.
    b. NRHA or AQHA Specialized (with a Reining certification) Judges must officiate Reining classes with a Guest Card.
30. Roadster
    a. A Federation Hackney/Roadster/Saddlebred judge must officiate Roadster Pony classes.
    b. Guest Judges may officiate alone in the Roadster division. One Guest Judge is allowed permitted on a multiple judge panel. Three Guest Cards may be granted in a lifetime.
31. Saddlebred
    a. In the American Saddlebred division, if the previous year's competition had more than 250 horses competing in the Saddlebred sections, and:
        1. If the competition hires a multi-judge panel, at least two judges on the panel must be a Registered ('R') Hackney/Roadster/Saddlebred Judge. A special card cannot be used to fulfill this requirement.
        2. If the competition hires a single judge, then the judge must be a Registered ('R') Hackney/Roadster/Saddlebred Judge. A special card cannot be used to fulfill this requirement.
    b. Guest Judges may officiate alone in the American Saddlebred division provided the judge is licensed by the Federation in at least one other recognized breed division. One Guest judge is permitted on a multiple judge panel.
32. Saddle Seat Equitation
    a. A Guest Judge may not officiate at any national or regional Equitation Finals. One Guest Judge is permitted on a multi judge panel.
    b. A Guest Judge may not officiate alone in any Federation Medal Class. Federation Arabian or Morgan licensed officials may officiate alone in the qualifying classes for the USEF Saddle Seat Medal and USEF Saddle Seat Adult Amateur Medal without a guest card.
    c. Any individual currently licensed by Equestrian Canada or the Riding Horse Judges Association of South Africa, as a Senior Saddle Seat Equitation judge is eligible to officiate in the Saddle Seat division for the US Saddle Seat World Cup Trials, US Saddle Seat hosted Invitationals, and the Saddle Seat World Cup Competition hosted in US, with a Guest Saddle Seat Equitation Judge's Card. There is no limit on the number of times that such an individual may officiate.
33. Shetland
    a. A Federation Connemara, Hackney/Roadster/Saddlebred, Shetland, or Welsh judge must officiate Shetland pony classes.
    b. Guest Judges may officiate alone.
34. Vaulting
    a. Guest cards are not permitted.
35. Welsh
    a. Federation Registered Hunter judges may officiate in "A" rated Welsh Pony Hunter classes and "A"rated Welsh Pleasure classes without a Guest Card. Federation Registered Hunter judges shall officiate a maximum of two times in a lifetime for "A" rated Welsh Pleasure classes.
    b. If a Guest Judge is not a Federation Hunter Judge, the Guest Judges must be a Welsh Pony and Cob Society of America Approved Judge in order to officiate in any Welsh Division classes at Federation licensed regular or local competitions and must apply for a Guest Card.
36. Western Dressage
    a. Breed licensed judges may officiate Western Dressage Suitability, Western Dressage Hack, and Western Dressage Seat Equitation classes.
    b. Guest Cards are not permitted.
37. Western Seat Equitation or Western
    a. A Guest Judge may not officiate at any national or regional Equitation Finals.
    b. A Guest Judge may not officiate alone in any Federation Western Seat Equitation Medal Class.

c. National breed association judges may officiate Western classes with a Guest Card.
d. For ranch classes, see WS138.7.
BOD 6/20/23 Effective 12/1/23

GR1006 Apprentice

1. An Apprentice shall be a Federation Competing Member who is at least 21 years of age. Information on apprenticing procedure for each license is located on the Federation website. An Apprentice shall request approval prior to apprenticing from the Licensed Competition first followed by approval by the licensed official(s) with whom the apprentice will be apprenticing.
2. Apprenticing restrictions at competitions:
   a. Apprenticing is limited to one Apprentice per arena. Exception: Dressage Judge Group Apprenticing, Eventing, and Western Dressage Group Apprenticing.
   b. Licensed officials are limited to one Apprentice at any one time. Exception: Dressage Judge and Western Dressage Group Apprenticing, Eventing Cross-Country and Jumping Tests.
   c. Individual dressage judge apprenticing is not allowed at any final selection trials for international representation (e.g. NAYC, HP Selection Trials, or HP Championship). Individual dressage judge apprenticing is also prohibited in USDF Regional Championships classes and the US Dressage Finals.
3. The Licensed Competition may request additional specific information about an individual's experience before accepting the individual as an Apprentice.
4. There is no limit to the number of times a person may apprentice. When apprenticing, an Apprentice should use a scorecard to evaluate the various entries. Apprentices work under the direction of the Licensed Official with whom they are apprenticing and do not possess any authority.
5. Conflict of Interest
   a. Individuals may not apprentice with a family member, cohabitant, companion, domestic partner, housemate, member of the household, client, employer, or employee. Any violation of this rule will lead to the apprenticing experience not counting towards licensing requirements. Apprenticing experience completed in violation of this rule will be considered an extra apprentice experience.
   b. An individual shall not apprentice at a Federation licensed competition where a family member, cohabitant, companion, domestic partner, housemate, member of the household, client, employer or employee is competing. Any violation of this rule will lead to the apprenticing experience not counting towards licensing requirements. Apprenticing experience completed in violation of this rule will be considered an extra apprentice experience.
   c. An individual cannot compete in the same competition at which they apprentice.

SUBCHAPTER 10-C CLASSIFICATIONS - JUDGES

GR1007 General

Judges shall be licensed by the Federation as Senior, Registered, Recorded, Special, or Guest. A judge will not be licensed in more than 12 national divisions.

GR1008 Senior Judge

Senior judge classification ('S') applies to Dressage and Eventing. Senior Dressage judges may officiate in all national and FEI Level Dressage classes at Federation Licensed Competitions. Senior Eventing Judges may officiate at any level of Eventing (see also EV156.1b).

GR1009 Registered Judge

1. A Registered judge ('R') may officiate alone at any competition in the divisions in which they are Registered.
2. Registered Dressage judges may officiate in classes at Fourth Level and below, FEI Junior Tests, FEI Pony Tests, FEI Childrens Tests, FEI Para-Equestrian Dressage Tests, FEI and USEF Dressage Tests for 4-year-old horses, and USEF and FEI Eventing Dressage Tests at all levels. Registered Dressage judges are not permitted to officiate in any classes above Fourth Level or FEI Dressage Tests for 5 and 6-year-old horses.

3. A Registered Eventing judge may officiate the intermediate level and below (see also EV156.1c).
4. A Registered Western Dressage Judge may officiate at any level of Western Dressage including Western Dressage Suitability, Western Dressage Hack and Western Dressage Seat Equitation classes.

GR1010 Recorded Judge

1. A Recorded judge 'r' may officiate alone or independently in the following divisions:
   a. American Saddlebred;
   b. Andalusian/Lusitano, except for National Championship competitions;
   c. Arabian;
   d. English Pleasure, refer to GR1005 for specific licenses required to officiate this division;
   e. Friesian;
   f. Hackney;
   g. Morgan;
   h. National Show Horse;
   i. Reining;
   j. Roadster;
   k. Saddle Seat Equitation: in any open division except in the USEF Saddle Seat Medal Championship/Finals;
   l. Vaulting: may also be President of the Ground Jury at any Federation licensed Vaulting competition.
2. The following divisions have specific limitations for 'r' recorded judges:
   a. Andalusian/Lusitano – A recorded ('r') Andalusian/Lusitano judge may officiate alone in any Andalusian/Lusitano class except for National Championship competitions.
   b. Dressage - A Recorded Dressage judge may officiate at Second Level and below, in Eventing tests equivalent to Second Level or below, and in Eventing Competitions at the Intermediate Level or below. Recorded Dressage judges are not permitted to officiate at the Third Level and above, in FEI or USEF Dressage Tests for 4 and 5-year-old horses, FEI Childrens Tests, FEI Pony Tests, or FEI Para-Equestrian tests.
   c. Eventing - A Recorded Eventing judge may officiate at the Preliminary level and below (see also EV153).
   d. Hunter - A 'r' recorded judge is not permitted to officiate alone or independently in a Premier or National section. Exception: Recorded 'r' judges are permitted to officiate alone or independently in the following sections: Children's Hunter, Children's Pony Hunter, Adult Amateur Hunter, Low Children's Hunter Horse or Pony, Low Adult Amateur Hunter, Small Hunter, Thoroughbred Hunter, Ladies Side Saddle, Hunter and Pony Hunter Breeding, and any USHJA Hunter section.
   d. Hunter/Jumping Seat Equitation - A 'r' recorded judge is not permitted to officiate alone or independently in any of the following Hunter/Jumping Seat Medal classes at a National or Premier rated Hunter competition: USEF Show Jumping Talent Search class, USEF Hunter Seat Medal Class, USEF Pony Medal Class, ASPCA Horsemanship class, WIHS Equitation, WIHS Pony Equitation, National PHA Medal class, USHJA Jumping Seat Equitation class.
   e. Jumper - A 'r' recorded judge is not permitted to officiate alone or independently in a Jumper class offering more than $2,500.
   f. Paso Fino - A 'r' recorded judge is not permitted to officiate alone or independently in an 'A' rated section.
   g. Western Dressage - A Recorded Western Dressage judge may officiate Introductory, Basic, and Level 1 only and also Western Dressage Suitability, Western Dressage Hack and Western Dressage Seat Equitation classes.
   Effective 02/01/24

GR1011 Special Judge

1. A Special judge is a Federation Competing Member already enrolled as a Recorded judge to whom the Licensed Officials Department may grant permission to officiate as a Registered judge in a division in which they are enrolled as a Recorded judge.
2. Such permission, which is not transferable, will be granted upon the request of a Licensed Competition for that competition only. The application must be made on the official form and accompanied by the required fee. The fee is non-refundable. The statement on the form that the competition holds itself responsible that

the individual applied for is familiar with the Federation rules and is capable to officiate as requested must be signed by the competition manager.

3. Restrictions:
   a. Special cards are not issued for the Dressage, Eventing, Hunter, or Western Dressage Divisions.
   b. Recorded Saddle Seat Equitation judges may not receive a Special judges' card to officiate the USEF Saddle Seat Medal Championship/Final.
   c. Special cards will not be issued to anyone whose application for re-enrollment, promotion or change of status has been denied by the Federation for the respective license applied for.
   d. Authority to act as a Special judge will not be granted to any person more than twice in a lifetime, in a particular division without the approval of the Licensed Officials Committee Chairmen or their designee, and any two members of the Licensed Officials Committee.
4. Application must be received in the Federation office at least 21 days prior to the start of the competition. In cases where special applications are received prior to the competition, but less than 21 days prior, the competition must pay a processing fee. If management disputes that the application was not timely filed or that the above fine is not properly owing, it may request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made in writing to the Federation within 30 days of management's receipt of the Federation's notice of fine, specifying the grounds for the procedural review. The Co-Chairs of the Hearing Committee or their designees may waive a part or all of the fee upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.

SUBCHAPTER 10-D CLASSIFICATIONS - STEWARDS

GR1012 General

1. Stewards shall be licensed by the Federation as Registered, Recorded, or Special, in either one or both of the following Categories.
   a. Category 1 (C1) Steward is licensed to officiate the following specialized Divisions and Sections: Hunter, Hunter Breeding, Hunter/Jumping Seat Equitation, Jumper, Welsh, Connemara, and English Pleasure.
   b. Category 2 (C2) Steward is licensed to officiate the following specialized Divisions and Sections: Andalusian/Lusitano, Arabian, Connemara, English Pleasure, Friesian, Hackney Harness, Morgan, National Show Horse, Parade, Paso Fino, Reining, Roadster, American Saddlebred, Saddle Seat Equitation, Shetland, Western/Reining Seat Equitation, Welsh, Western Dressage and Western.
2. A Category 1 or Category 2 steward is entitled to officiate in only the corresponding divisions and sections of the license category listed above.
3. Licensed Endurance Stewards are licensed by the Federation as Recorded.

GR1013 Registered Steward

1. A Registered ('R') Category 1 or Category 2 steward is an individual so classified by the Federation.
2. A Registered Category 1 or Category 2 steward is entitled to officiate alone unless the competition requires more than one steward.

GR1014 Recorded Steward

1. A Recorded ('r') Category 1 or Category 2, or Endurance steward is an individual so classified by the Federation.
2. A Recorded Category 1 steward may not officiate alone in any National, Premier, or Special Event or in a Jumper division at competitions above Jumper Level 2 rating. A minimum of one Registered 'R' C1 Steward must officiate.
3. A Recorded Category 2 steward may officiate alone in any of the specialized Divisions and Sections covered by Category 2 stewards as outlined in Subchapter 10-D-Classifications-Stewards. Exception: Regional and National Arabian, Morgan, Andalusian/Lusitano and Friesian competitions and National Show Horse Finals must have a minimum of one Registered 'R' Steward officiating the entire event.

GR1015 Special Steward

1. A Special Category 1 (C1) steward is Federation Competing member already enrolled as a Recorded 1 steward, to whom the Licensed Officials Department may grant permission to officiate as a Registered C1 steward at a National level hunter/jumper competition licensed by the Federation. If the Federation approves the issuance of such card, a Recorded Category 1 steward may officiate alone at a National level hunter/jumper competition licensed by the Federation.
2. Such permission, which is not transferable, shall be granted upon request of a particular Licensed Competition for that competition only. The application must be made on the official form and accompanied by the required fee. The fee is non-refundable. The statement on the form that the competition holds itself responsible that the individual applied for is familiar with the Federation rules and is capable to officiate as requested, must be signed by the competition manager.
3. Restrictions:
   a. Special cards will not be issued to anyone whose application for re-enrollment, promotion or change of status has been denied by the Federation for the respective license applied for.
   b. Special Steward Cards for Category 2 stewards are prohibited; a Registered Category 2 steward must officiate as required per the Recorded Steward rules in Chapter 10.
   c. Authority to act as a Special Steward will not be granted to any person more than twice in a lifetime, in a particular division without the approval of the Licensed Officials Committee Chairman or their designee and any two members of the Licensed Officials Committee.
4. Application must be received in the Federation office at least 21 days prior to the competition. In cases where the special applications are received prior to the competition, but less than 21 days prior, the competition must pay a processing fee. If management disputes that the application was not timely filed or that the above fine is not properly owing, it may request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made within 30 days of management's receipt of the Federation's notice of fine, specifying the grounds for the appeal. The Co-Chairs of the Hearing Committee or their designees may waive a part or all of the fine upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.

SUBCHAPTER 10-E CLASSIFICATIONS - TECHNICAL DELEGATES

GR1016 General

1. Technical Delegates shall be licensed by the Federation as Registered or Recorded in Dressage and Eventing. Eventing Technical Delegates may also be licensed as Senior. Carriage Pleasure Driving, Combined Driving, and Vaulting Technical Delegates shall be licensed as Registered.
2. Only licensed technical delegates in good standing may officiate at Federation Licensed Competitions. *Effective 02/01/24*

GR1017 Senior and Registered Technical Delegates - Eventing and Dressage

1. A Senior ('S') Eventing Technical Delegate is entitled to officiate alone in any division at any level of Eventing. A Registered ('R') Eventing Technical Delegate is entitled to officiate alone at the Intermediate Level and below (see also EV158.1a.)
2. A Registered Dressage Technical Delegate must officiate at Level 4 and Level 5 Dressage Competitions. A Registered Dressage Technical Delegate may officiate alone at USEF/USDF Championships, Federation Developing Program Championships, and USEF High Performance Championships, qualifying and selection trials and observation classes, at any Dressage Competition level or in the Dressage division or section at Regular or Local Competitions. (See GR1211.4a and GR1211.4g.)
3. Only Dressage Technical Delegates who are current Participating Members of the United States Dressage Federation may officiate at USEF/USDF Regional and National Dressage Championships.

GR1018 Recorded Technical Delegates - Eventing and Dressage

1. A recorded ('r') Eventing Technical Delegate may not officiate alone at an Intermediate or Advanced Horse Trial or a Two or Three-Day Event but may officiate as the assistant to the Technical Delegate.
2. A Recorded Dressage Technical Delegate may officiate as the assistant to the Registered Technical Delegate(s) at Level 4 and Level 5 Dressage Competitions, and may officiate alone at Levels 1-3 Dressage Competitions. A Recorded Dressage Technical Delegate may not officiate alone at USEF/USDF Championships, Federation Developing Program Championships, and USEF High Performance Championships, qualifying and selection trials and observation classes, but may officiate as the assistant to the Registered Technical Delegate for these competitions or classes. A Recorded Dressage Technical Delegate may officiate alone in the Dressage division or section at Regular or Local Competitions. (See GR1211.4a and .g).
3. Only Dressage Technical Delegates who are current Participating Members of the United States Dressage Federation may officiate at USEF/USDF Regional and National Dressage Championships.

GR1019 Driving Technical Delegates

1. A Combined Driving Technical Delegate is an individual licensed by the Federation and is entitled to officiate alone in Combined Driving Events. See DC971 for information on Guest Cards for Combined Driving Technical Delegates.
2. A Carriage Pleasure Driving Technical Delegate is an individual so classified by the Federation, and is entitled to officiate alone in Carriage Pleasure Driving Competitions. See CP201.2 for information on Guest Cards for Carriage Pleasure Driving Technical Delegates.
3. See also GR1034.

GR1020 Vaulting Technical Delegates

A Vaulting Technical Delegate is an individual licensed by the Federation, who may officiate alone at any level of national Vaulting competition.

SUBCHAPTER 10-F CLASSIFICATIONS - COURSE DESIGNERS

GR1021 General

Course designers shall be licensed by the Federation as Senior, Registered, Recorded, Certified, Regional, or Special. Course Designers are responsible for ensuring all courses or obstacles comply with Federation rules.

GR1022 Jumper Course Designers

1. Any individual currently licensed by the FEI as a Level 2 or higher Course Designer for Jumping shall automatically be eligible to officiate in the jumper division at Federation competitions without a Guest Card. There is no limit on the number of times that such an individual may officiate.
2. See JP105 for Jumper Course Designer requirements at competitions.

GR1023 Hunter Course Designers

1. See HU117 and EQ110 for Hunter Course Designer requirements at competitions.

GR1024 Special Hunter Course Designer

1. A Special Hunter Course Designer is a Federation Competing Member already enrolled as a Recorded Hunter Course Designer to whom the Licensed Officials Department may grant permission to officiate a class or classes for which a 'R' Hunter Course Designer would otherwise be required, upon the request of a Licensed Competition and for that competition only.

2. Such permission, which is not transferable, will be granted upon the request of a Licensed Competition for that competition only. The application must be made on the official form and accompanied by the required fee. The fee is non-refundable. The statement on the form that the competition holds itself responsible that the individual applied for is familiar with the Federation rules and is capable to officiate as requested must be signed by the competition manager.
3. Restrictions:
   a. Special cards will not be issued to anyone whose application for re-enrollment, promotion, or change of status has been denied by the Federation for the respective license applied for.
   b. Authority to act as a Special Hunter Course Designer shall not be granted more than twice to any one individual per lifetime. Special Hunter Course Designers must be approved by the National Hunter Committee Chairman, or their designee, and any two members of the National Hunter Committee.
4. Application must be received in the Federation office at least 21 days prior to the start of the competition. In cases where special applications are received prior to the competition, but less than 21 days prior, the competition must pay a processing fee. If management disputes that the application was not timely filed or that the above fine is not properly owing, it may appeal in writing to the Federation within 30 days of management's receipt of the Federation's notice of fine, specifying the grounds for the appeal. The Federation's CEO or their designee, a special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fee upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.

GR1025 Special Jumper Course Designer

1. A Special Jumper Course Designer is a Federation Competing Member already enrolled as a Recorded Jumper Course Designer to whom the Licensed Officials Department may grant permission to officiate a class or classes for which a 'R' Jumper Course Designer would otherwise be required, upon the request of a Licensed Competition and for that competition only.
2. Such permission, which is not transferable, will be granted upon the request of a Licensed Competition for that competition only. The application must be made on the official form and accompanied by the required fee. The fee is non-refundable. The statement on the form that the competition holds itself responsible that the individual applied for is familiar with the Federation rules and is capable to officiate as requested must be signed by the competition manager.
3. Restrictions:
   a. Special cards will not be issued to anyone whose application for re-enrollment, promotion, or change of status has been denied by the Federation for the respective license applied for.
   b. Authority to act as a Special Jumper Course Designer shall not be granted more than twice to any one individual. Special Jumper Course Designers must be approved upon by the Jumping Sport Committee Chairman, or their designee, and any two members of the Jumping Sport Committee.
   c. Authorization to act as a Special Course Designer will be granted to those individuals who have completed all the requirements for a 'R' Jumper Course Designer license but have not yet received their license.
4. Application must be received in the Federation office at least 21 days prior to the start of the competition. In cases where special applications are received prior to the competition, but less than 21 days prior, the competition must pay a processing fee. If management disputes that the application was not timely filed or that the above fine is not properly owing, it may appeal in writing to the Federation within 30 days of management's receipt of the Federation's notice of fine, specifying the grounds for the appeal. The Federation's CEO or their designee, a special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fee upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.

GR1026 Eventing Course Designers

1. A minimum of a Certified Eventing Cross Country Course Designer is required for Beginner Novice, Novice, and Training Horse Trials.
2. A minimum of a Recorded ('r') license is required to officiate at Preliminary Horse Trials, Modified Horse Trials, and National Three-Day Events.

3. A minimum of a Registered ('R') license is required to officiate at Intermediate Horse Trials.
4. A minimum of a Senior ('S') license is required to officiate at Advanced Horse Trials.
5. See Chapter EV - Eventing EV153 for officiating eligibility for 'S', 'R' and 'r' Eventing Course Designers.

GR1027 Combined Driving Course Designer

A 'R' license is required to design courses at Federation licensed Combined Driving events.

GR1028 Arabian Trail Course Designers

a. If a Course Designer is contracted, their name must appear in the prize list. It is recommended that the Course Designer be always present and available to the trail arena during the trail classes.
b. It is required that a Course Designer, separate from one of the judges, be contracted at every AHA National Championship Competition and their name appears in the prize list. At AHA National Competitions, the Course Designer must be always present and available to the trail arena during the trail classes.
c. The Course Designer is permitted to judge (except at AHA National Championship competitions) or be an official.
d. At the AHA Regional and National level, neither the Course Designer nor a member of their family can be a trainer, coach, or exhibitor in classes which they design.
e. The Course Designer must supply the judges and office with copies of the trail courses each day, to comply with course posting requirements.
f. The Course Designer must be a Federation/EC Senior Member in good standing.
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SUBCHAPTER 10-G CLASSIFICATIONS - OTHER

GR1029 Assigned Steward or Technical Delegate

1. A Federation Assigned Steward or Technical Delegate is an individual who is currently a Steward or Technical Delegate and who meets the Federation's criteria for a Federation Assigned Steward or Technical Delegate and has been designated to serve as a Federation Assigned Steward or Technical Delegate as specified by the Federation.
2. There is no additional fee for the designation, and a Federation Assigned Steward or Technical Delegate is eligible for all benefits afforded to Licensed Officials by the Federation.
3. A Federation Assigned Steward or Technical Delegate may be sent by the Federation to randomly selected Licensed Competitions or, with the approval of the CEO or their designee, to particular Licensed Competitions, for cause, to oversee and report back to the Federation on their observations.
4. The Assigned Steward or Technical Delegate will have the full duties of their position.

GR1030 Para-Equestrian Classifier

A Para-Equestrian Classifier is an individual licensed by the Federation, who may work alone at any level of national Para-Equestrian competition or at a Federation Center of Excellence.

GR1031 Certified Schooling Supervisor

1. A Federation Certified Schooling Supervisor is an individual licensed by the Federation.
2. Schooling supervisors may be individual contractors at the competition or may be competition stewards. However, a minimum of one competition steward must always remain available to attend to other stewarding duties.
3. Jumper Schooling Requirements – See JP103
4. Hunter Schooling Requirements – See HU Appendix A

SUBCHAPTER 10-H REGULATIONS GOVERNING OFFICIALS

GR1032 General

1. Failure of an official to attend a competition with which the official has a signed agreement or failure to perform their duties in accordance with the rules, or to officiate in the classes to which they have been assigned shall constitute cause for disciplinary action, except in cases of extreme emergency.
2. Any official found guilty of cruelty to a horse or to have killed, abandoned, mistreated, neglected, or otherwise abused a horse, by an administrative agency, body, humane society, or court of law, whether such court or tribunal is civil, criminal, or administrative may have their license(s) revoked.
3. No official is to be housed in a private home unless the official has agreed before the competition.
4. In the event that an official officiates at a competition where they are ineligible, the official may be penalized as described in GR703.

GR1033 Judges

1. Good judging depends upon a correct observance of the fine points and the selection of best horses for the purpose described by conditions of the class. A judge serves three interests: their own conscience, exhibitors, and spectators. A judge should make it clear that the best horses win.
2. When judges are required to judge independently on a multiple judge panel, each judge must meet the requirements as outlined in Rules GR1004 through GR1010.
3. Except in the discharge of their official duty, the use of cellular phones or other similar communication devices, including computers, by judges while in the ring (including center ring), judges' box, judges' stand, or on any part of a course during a competition is prohibited.
4. A judge is obligated to adjudicate each division, section, and class in conformity with the rules and specifications of that division, section and class as they appear in the Federation Rulebook. A judge is expected to be proficient in their division and to possess a thorough knowledge of the rules of the Federation.
5. The attention of judges is directed to the difference in requirements covering Maiden, Novice, Limit, Junior, Amateur, and Ladies' classes versus Open classes. These differences must be observed in adjudicating classes.
6. The decisions of each judge constitute solely their individual preference and not as opinions of or decisions by the Federation. For decisions of a judge in regard to soundness, see GR1204.
7. Consulting with a Veterinarian
   a. Only the judge may call a veterinarian during a class but is encouraged to do so if their opinion necessitates the disqualification of an entry. The judge shall give the numbers of the horses in question and the veterinarian shall render their finding. If the veterinarian is not immediately available or not called upon, the judge's decision as to the serviceable soundness of a horse shall be accepted for the purpose of disqualifying a horse from showing in that class and shall be final. (Exception: The decision of the judge as to the serviceable soundness of a horse in Hunter classes is final, however the competition veterinarian may be consulted.)
   b. In regard to possible whip marks, or other matters other than soundness that are pertinent to the welfare of the horse, the judge may consult with a veterinarian during a class. The final decision rests with the judge.
   c. None of the above applies to Dressage, Driving, Eventing, or Western Dressage. See DR208, EV118.8, and WD126.9.
8. When a judge is used as a referee and is called upon to break a tie, the other judges must give the ringmaster only the numbers of the two horses tied. The ringmaster will then take these to the referee who will indicate their preference by circling the number of their choice. The ringmaster will then return this written decision to the other judges who will proceed with the remaining placements, as the breakage of a tie for one placement by the referee does not automatically place the losing contender of the tie in the next position. This procedure is to be followed for each placement in turn, as ties occur. The referee shall turn in a judge's card only when called upon to break a tie, in which case only their tie breaking decision shall be indicated on the card.
9. All placements stated in the prize list/omnibus shall be awarded, beginning with first place and proceeding in order through all placements, unless there is an insufficient number of entries or an entry is eliminated, excused, or disqualified.

10. It shall be the prerogative of the Licensee to designate a particular system of judging and to post a complete order of placement for public inspection. Judges accepting an invitation to officiate must recognize the Licensee's prerogative. Unless the Licensee designates a particular system of judging when an invitation is issued, a judge is not required to use a particular system of judging.
11. A judge must order from the ring any unruly horse or one whose actions threaten to endanger the rider, driver, handler, other exhibitors, or their entries.
12. A judge must order from the ring any rider, driver or handler who exhibits inappropriate or dangerous behavior or whose actions would in any way threaten the safety of any exhibitor, their entries, or the safety of class officials.
13. When over 40 horses or riders are entered in a performance class in which horses compete together, a judge must divide the class and work it in groups of less than 40. Unless individual tests are required in the class routine, a judge shall bring the top contestants from each group back into the ring for final adjudication. (Exception: See AR107.8d and DR126.1a(4)).
14. In classes which have a maximum weight and/or measurement for shoes, the judge must immediately weigh and/or measure any shoe that is cast. In such classes the judge may not excuse an entry from the ring until satisfied that the entry has not cast a shoe.
15. In the Western and Western Seat Equitation division, when a single judge system is used, judge's cards shall be considered final and shall not be changed after the completion of the presentation of awards for that class.
16. Judges' cards and/or official score sheets are to be signed by the judge and retained (with the exception of score sheets returned to the exhibitor) as part of the competition's official records for a period of no less than three years. Electronic signatures through a PDA or similar device used to record scores will fulfill the signature requirement.
17. In the Hunter and Hunter/Jumping Seat Equitation divisions classes may not be recommenced once the judge has signed the card.
18. Judges must commence and complete classes in accordance with GR109.

GR1034 Stewards and Technical Delegates

1. The Steward or Technical Delegate is licensed by the Federation to perform duties, as prescribed in the Federation Rules. The Steward or Technical Delegate must provide to the Federation, in accordance with all applicable rules, details regarding the competition conditions and any incidents that occur at Federation Licensed Competitions.
2. The following are required responsibilities and duties of Stewards and Technical Delegates:
   a. Safeguard the welfare of the horse against violations of the Federation's Drugs and Medications rules, any of the Federation's prohibited practices, and instances of abuse as defined in GR839.
   b. Protect the interests of:
      1. Competitors by ensuring a safe and fair competition environment by consistently applying the Rules of the Federation; and
      2. Competition Management and judges by being available for rule interpretations and serving as a liaison between Licensed Officials, Competition Management, and the Federation.
   c. When a Steward or Technical Delegate becomes aware of a potential violation of Federation rules, without waiting for a Disciplinary Action Complaint, the Steward or Technical Delegate shall investigate and notify the appropriate individual or official, and, if not rectified, issue a yellow warning card (GR1037), and/or report the matter to the Federation in the Steward/TD Report (exception: allegations of child abuse or sexual misconduct must be reported in accordance with the U.S. Center for SafeSport Code.)
   d. Assist Competition Management to ensure that every effort is made to provide for a safe competition.
   e. Report on the suitability of the competition facilities in all aspects, including stabling. Stewards and Technical Delegates must physically inspect the competition facilities and stabling areas each day and ensure compliance with Federation rules. Any known or observable conditions posing a threat to the safety for horses or competitions must be reported to Competition Management and the Federation promptly. The steward or technical delegate must commence their duties early enough to deal with these matters.
   f. A steward or technical delegate should clearly understand that they have no authority in connection with the manage-ment or the judging of a competition.
   g. Stewards and technical delegates should reference the Check List available on the website www.usef.org which has additional Guidelines which should be considered when officiating at a competition.

3. Measurement: Measuring all animals required to be measured as provided for in Chapter 5 of the General Rules, Measurement of Entries, Chapter DR (DR135 Pony Measurement), Chapter DC (DC915 Height and Appendix A) and Subchapter HJ-7 Measurement and if necessary return Measurement cards to the Federation.
   a. Stewards and Technical Delegates are responsible for ensuring that measurements are conducted in accordance with the rules and that all required paperwork is completed in a legible manner.
   b. Offenders could be subject to a fine or administrative penalty at the discretion of the CEO or their designee.
   c. Measurements must be conducted by a steward, judge, or technical delegate that is certified to measure by the Federation. See Chapter 5 of the General Rules, Measurement of Entries, Chapter DR (DR135 Measurement), Chapter DC (DC915 and Appendix A) and Subchapter HJ-7 Measurement for details regarding which officials are required to conduct measurements.
4. Forms – Forms submitted to the Federation must be on the correct competition year's form as provided by the Federation. Incomplete forms, prior year's forms, or illegible forms will not be accepted. The following forms must be submitted in a timely manner to:
   a. The Federation (within 14 days after the last licensed day of the competition)
      1. Steward Report or Technical Delegate Report:
         a. The Report must include any offenses or rule violations ensuring the inclusion of relevant information, including, if applicable, entry number, entry blanks, USEF member ID number, and USEF horse ID number, etc.
         b. If the Federation does not receive the completed report and/or attachments postmarked and/or electronically submitted in accordance with Federation guidelines within 14 days of the closing of the competition, the Steward/Technical Delegate will be fined a fee of $100. For the second offense and any offense thereafter in the same competition year, said official will be fined $250. A third offense and any offense thereafter will result in an automatic suspension from office as Steward or Technical Delegate for 90 days, in addition to the fines.
         c. Failure to pay any fine within 30 days will result in a violation of rules and the Steward/Technical Delegate will be subject to an additional late fee.
         d. If the Steward/Technical Delegate disputes that the report was not timely filed, they may appeal in writing to the Federation within 30 days of receipt of the Federation's notice of the fine. The appeal must be accompanied by a check payable to the Federation, which will be refunded if the appeal is upheld. The CEO or their designee and three members of the Licensed Officials Committee will consider the appeal and may waive a part or all of the fine upon finding of good cause of why the report was not timely filed and/or a finding that extreme hardship results from the automatic penalty. Note: only the fine may be waived. The rule violation will remain on record for the official.
      2. Accident/Injury Report Forms on the official form provided by the Federation in accordance with GR848.
      3. Each competition's Accident Preparedness Plan and a copy of the competition's isolation protocol for horses as provided for in GR845.
   b. The Federation Office of Equine Drugs and Medications
      1. Paper Medication report forms, filed either with the Steward/Technical Delegate or Designated Competition Office Representative, must be sent to the Federation Office of Equine Drugs and Medications, 956 King Avenue, Columbus, OH 43212-2655. Paper Medication Report Forms may only be used when it is impossible to submit an online form.
5. Except in Hawaii and Alaska, no Steward or Technical Delegate may officiate for more than three consecutive competitions run by the same Licensee. For the purposes of this rule, multiple, consecutive day Dressage Competitions that are run by the same Licensee and held within a six-day period will be counted as one competition.
6. The Steward's and/or schooling supervisor's decision regarding schooling fences, tack and equipment in the warm-up and/or schooling areas is final (Exception for Dressage: The final authority rests with Competition Management).
7. No Steward or Technical Delegate may officiate at more than one competition at the same time.
8. Stewards and Technical Delegates must retain copies of steward/technical delegate report forms, and supporting documentation, for a period of three years.

9. The Senior C1 Steward's (see GR1211.4) responsibility includes overseeing all schooling at the horse show, monitoring schooling conditions (including footing, sound system, and safety), monitoring proper and adequate schooling equipment (jump standards, proper taping, jump cups, safety cups, flags and proper rails, etc.), monitoring proper tack, equipment, and attire, and interfacing with management, judges and other officials as necessary judges and other officials as necessary and assuring that the schooling areas have the appropriate supervision for the class.
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GR1035 Special Duties of a Technical Delegate

In addition to the responsibilities of a Steward and/or Technical Delegate listed in GR1034, the powers, duties and responsibilities of a Technical Delegate are as follows:

1. General Duties for Eventing, Driving, and Vaulting Technical Delegates
   a. Help the Ground Jury to supervise the technical conduct of the competition, including after the Technical Delegate has indicated to the President of the Ground Jury that they are satisfied with the arrangements. Until the Technical Delegate has indicated to the Ground Jury that they are satisfied with all the arrangements, the authority of the Technical Delegate shall be absolute.
   b. Courses and Arenas
      1. Inspect the courses and arenas to ensure that the technical details are in accordance with the rules and regulations.
      2. Instruct the Organizing Committee to make any alterations to the course(s) or arena(s) or to any technical detail associated with the conduct of the competition which they consider necessary.
      3. Be satisfied that the course is fair, at the standard of the level offered, and that knowledge of local conditions does not play any part.
   c. Eventing –The authority of the Eventing Technical Delegate is absolute until the Technical Delegate has indicated to the Ground Jury that they are satisfied with all arrangements.
2. General Duties for Dressage Technical Delegates
   a. Inspect the arenas to ensure that the technical details are in accordance with the rules and regulations.
   b. Advise Competition Management to make necessary changes to arenas, schooling areas, or other facilities in order to comply with the rules.
   c. At Dressage Competitions, to observe and report that management and competitors are in compliance with all Dressage Division regulations regarding USEF/USDF Qualifying and Championship classes, Federation Junior Team Championships, and the USEF Championships and qualifying classes.

GR1036 Special Duties of Para-Equestrian Classifiers

1. The Chief Classifier shall submit the Classifier's Evaluation Report to the Federation's Director of Para-Equestrian Programs within 14 days of the completion of the competition.
   a. This report must be submitted by an FEI official (Exception: USEF National Para-Equestrian Championships, it may be submitted by a Federation Para-Equestrian Classifier).
2. Para-Equestrian Classifiers shall:
   a. Administer and coordinate Classification matters related to a specific competition in accordance with Federation Para-Equestrian Rules and FEI Classification Manual; and
   b. Liaise with organizing committees and the Federation before a competition to identify and notify athletes who require evaluation of Grade and Grade Status.

GR1037 Special Duties of Endurance Stewards

In addition to the responsibilities of a steward listed in GR1034, the powers, duties and responsibilities of an Endurance Steward are as follows:

1. Work with the Competition Manager prior to the competition with the following:
   a. Trail development and marking
   b. Emergency Plan
   c. Layout of vet gate

   d. Parking plan
   e. Volunteers and job duties
   f. Ride Meeting development
   g. Confirm results prior to submission
2. Attend event from the two hours before the First Inspection through the final rider finishing (best condition judging).
3. Assist Riders, Competition Manager, and Veterinarians as needed. Assist with facilitating Drug Testing between competitors and veterinarians.

GR1038 Yellow Warning Card - Stewards and Technical Delegates

1. A Yellow Warning Card may be issued by a Steward, Technical Delegate, or Competition Official working in any of these capacities at the competition to any competitor, spectator or participant for improper conduct, or for noncompliance with the rules, provided the issuer considers the conduct not severe enough to cause the issuer to submit the matter to the Federation for a Disciplinary Action Complaint.
2. To issue a Yellow Warning Card, a Steward, Technical Delegate, or Competition Official must complete and sign the Yellow Warning Card.
3. A copy of the signed Yellow Warning Card must be provided to the alleged offender at the competition either in person or by any other suitable means. A copy of the Yellow Warning Card must then be sent to the Federation with the Steward's/Technical Delegate's Report Form and noted therein.
4. Upon receipt of the Yellow Warning Card, the Federation will send an acknowledgment of its receipt of the Yellow Warning Card to the alleged offender advising of the provisions of this Rule.
5. The issuance of a Yellow Warning Card is not meant to replace the filing of a Disciplinary Action Complaint for a willful and serious violation of Federation rules, and in no case may a Yellow Warning Card be issued for abuse of a horse in any form. It is for lesser offenses only.
6. Immediately following receipt by the Federation of a third Yellow Warning Card indicating that a competitor, spectator, or participant has been issued three Yellow Warning Cards within a 16 month period the CEO or their designee shall either levy a fine ranging from $500 to $1000 or issue a formal Disciplinary Action Complaint pursuant to the Federation Bylaws. If the alleged offender is found in violation of any or all of said violations they may be subject to the penalties set forth in Chapter 7 of the Rules.

GR1039 Conflicts of Interest and Restrictions - Licensed Officials

1. Any individual serving as a licensed official at a competition may not charge or receive direct financial benefit from tutoring an apprentice at the same competition.
2. Licensed Officials may not be the houseguest of a person who is exhibiting, or whose family is exhibiting, at the same competition.
3. A member of a manager's family shall not officiate as a Judge, Steward, Technical Delegate, or Certified Schooling Supervisor at said manager's competition.

GR1040 Conflicts of Interest and Restrictions - Judges (See also GR107 and GR1304)

1. The following is prohibited for a Judge:
   a. Be an owner of any interest in a horse (including but not limited to syndicate and partnership shares) in a class where they are officiating;
   b. Be an exhibitor, trainer, coach, lessor, lessee, rider, driver, handler, Steward, Technical Delegate, Competition Secretary, or Competition Manager at a competition where they are officiating, including unrated classes; or
   c. Be a family member of a competition licensee, Steward, Technical Delegate, or Competition Manager.
2. A judge may not discuss with an exhibitor the purchase, sale or lease of any horse during a competition at which the judge is officiating.
3. A judge may not officiate more than one time within 125 radial miles during any 20 day period in the following circumstances:

a. In any one of the following classes at Premier and National rated competitions: ASPCA Horsemanship, Washington International Horse Show Equitation, USEF Show Jumping Talent Search, or the USEF Hunter Seat Medal.
b. In the same "A", Premier, or National rated section (Exception: Federation Licensed Special Competitions)
c. In the Andalusian/Lusitano, Arabian, Friesian, Hackney, Morgan, National Show Horse, Roadster, or American Saddlebred, division or section.

4. Paso Fino Division: A judge may not officiate more than once within 200 road miles during any 30 day period.
   a. This restriction does not apply to Guest Judges officiating classes recognized by a national breed or discipline association for which the Federation has no division rules and does not license judges.
   b. Exception: If a Judge is substituted for one who is officially designated in the prize list and catalogue and who is unable to serve owing to circumstances beyond their control, the restrictions in section 4 above shall not apply with respect to the show.
5. Limitations for Andalusian/Lusitano Judges
   a. A judge cannot officiate at two licensed competitions within the same IALHA Region (excluding the IALHA National Competition) within the same year unless the competitions are six months apart and travel distance from one competition facility to the second facility is 200 miles or greater.
   b. A judge licensed in the Andalusian/Lusitano Division may not officiate at more than one Regional Championship competition in that Division during any one competition year. A judge that has officiated at an IALHA National Championship Competition is ineligible to officiate at another IALHA National Championship for the next two years.
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GR1041 Conflicts of Interest and Restrictions - Stewards and Technical Delegates (See also GR107 and GR1304)

1. The following are ineligible to serve as Stewards and Technical Delegates: the president, chairman, other Show Committee officers, competition secretary, manager or other competition officials or employees, judges or exhibitors at that competition.
2. The following is prohibited for a Steward or Technical Delegate:
   a. Officiate at a competition where the Steward or Technical Delegate's family, cohabitant, companion, domestic partner, housemate, member of a Steward or Technical Delegate's household, or client is acting as a Judge, trainer, coach, lessor, lessee, exhibitor, rider, driver, handler, or vaulter; or
   b. Officiate at a competition where the Steward or Technical Delegate's family, cohabitant, companion, domestic partner, housemate, or member of a Steward or Technical Delegate's household is serving as a Federation Licensed Official, Competition Licensee, Competition Manager, or Competition Secretary.
3. Stewards and Technical Delegates are not to be used as Competition Staff, a Competition Official (Directors, Officers, Chairman of the Show Committee, Manager, Secretary, Judge, Veterinarian, and Course Designer), FEI Official, or in any other paid position not related to their proper duties at Licensed Competitions where they are officiating with the following exceptions:
   a. At a competition where more than one Steward or Technical Delegate is officiating, and after a Steward or Technical Delegate has entirely completed their duties for the day at that Licensed Competition, the Steward or Technical Delegate may officiate as a Certified Schooling Supervisor if the Steward or Technical Delegate is licensed as a Certified Schooling Supervisor. At no time may a competition have less than the requisite number of Stewards and Technical Delegates as required under GR1211 and other applicable rules.
      1. A C1 Steward may use their C1 Steward's License to act as a Certified Schooling Supervisor. C1 Steward's acting as a Certified Schooling Supervisor are not subject to the restrictions in GR1039 or GR1040.1-4, since they are not acting as one of the required official Competition Stewards.
   b. C2 Stewards who are also licensed as Dressage Technical Delegates may serve in both roles at Licensed Breed Restricted Competitions offering Open Dressage classes. When a dually licensed C2 Steward/Dressage Technical Delegate is officiating, the Open Dressage classes must be their sole responsibility until those classes are complete. If there are additional non-Open Dressage classes occurring at the same time as the Open Dressage classes, a separate Dressage Technical Delegate must officiate for the Open Dressage classes.

c. Federation Combined Driving Technical Delegates and FEI Driving Stewards holding both licenses may serve in both roles at dually licensed FEI/Federation Driving Competitions.

4. Dressage, Eventing, and Vaulting Technical Delegates also holding licensure as a FEI Steward for Dressage, Para-Dressage, Driving, Eventing, and Vaulting are prohibited from serving in both roles on the same day(s).
5. A Steward or Technical Delegate cannot own or operate any business (i.e. tack shop, braiding business, etc.) at the same competition where they are officiating.
6. No Steward or Technical Delegate who is presently receiving, or has received within the past 30 days, any form of compensation from a competition management firm for services other than as a Federation licensed official or schooling supervisor, may officiate at any of said management's competitions.
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GR1042 Conflicts of Interest – Para-Equestrian Classifiers

1. Para-Equestrian Classifiers shall not serve in any other capacity at a competition while they are serving as Classifier, including but not limited to the president, chairman, other Show Committee officers, competition secretary, manager or other competition officials or employees, judges, or exhibitors at that competition.
2. A Para-Equestrian Classifier shall not be an exhibitor, trainer, coach, lessor, lessee, rider, driver, nor may a Para-Equestrian Classifier be a family member of an athlete in any Para-Equestrian classes at the competition at which they are working.

SUBCHAPTER 10-I LICENSE APPLICATIONS, ENROLLMENT, PROMOTION, AND MAINTENANCE

GR1043 Clinic and Officiating Requirements

1. Clinic and officiating requirements must be met by November 30 to be eligible to renew each license for the following competition year which begins December 1.
2. Any official who fails to fulfill clinic, exam, officiating, or measurement requirements, or any other type of maintenance requirements will not be eligible to have their license renewed and will have to apply for an extension.
3. For licensing requirements for license applications, enrollments, promotion, and maintenance, please refer to the Licensed Officials Policies and Procedures available on the Federation website.
4. For FEI licensing requirements, please refer to the FEI Licensing Information Document available on the Federation website. If a national or U.S. FEI license is not properly maintained with the Federation, the Federation may recommend to the FEI that the individual be removed from the U.S. FEI list of officials.
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GR1146 Zone Finals

CHAPTER 11 FEDERATION RECORDS AND AWARDS

SUBCHAPTER 11-A HORSE IDENTIFICATION AND RECORDING

GR1101 Horse Identification Number and Horse Identification Form

1. All horses competing in Federation licensed competitions must be properly identified. For all such competitions, entries for each horse must include a Federation-issued Unique Horse Identification Number. Additionally, a Federation Recognized Discipline Affiliate may require horses to be registered with their organization, and if so, the entry must also include that registration number.
2. The Federation will issue only one Unique Horse ID Number per horse. This Unique Horse ID number must subsequently remain with the horse in perpetuity, and shall not be changed for any reason, including upgrade from Unique Horse ID to a Recording, change of ownership, change of name, or death.
3. Prior to submitting an application for a new Unique Horse ID number, it is the owner's responsibility to verify the current ID status of a horse through a Horse Recording/ID search, (the assistance of Federation staff may be requested). Anyone who knowingly seeks to obtain an additional Unique Horse ID Number for a horse which has previously been issued one is subject to disciplinary action.
4. The Federation must be notified of any change of ownership and/or competition name of the horse.
5. Owners are requested to notify the Federation of corrections to previously submitted information, e.g., names, addresses, breed registration, pedigree, or markings.
6. A Unique Horse ID number can be upgraded to Federation recording. The Unique Horse ID Number will remain the same.
7. Applications for Unique Horse ID Numbers and/or recordings can be completed online at www.usef.org using the Horse application form which can also be downloaded. The ID application form is also available on request from the Federation office, and from competition management.
8. Competition management is responsible for notifying exhibitors in their prize lists of the Unique Horse ID Number requirement, and availability of Horse ID Number application forms.
9. There will be no charge for Unique Horse ID Numbers that are issued via the internet to members of USEF or its affiliates for: 1) horses that are life recorded, registered or otherwise identified or listed with a USEF discipline affiliate, or 2) horses registered with a USEF breed affiliate. Horses not meeting the above criteria must have a completed USEF Horse application indicating on the application the request for a Horse ID before a number will be issued. This form can be completed on the internet, free of charge, at www.usef.org.
10. Effective December 1, 2025, all horses competing at Federation Licensed and Endorsed Competitions must provide a microchip number that verifies the animal. A microchip used to verify identity must be a fifteen-digit ISO compliant 11784/11785 chip and be implanted in the nuchal ligament of the animal.

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GR1102 Horse Recordings

1. Using each horse's Unique Horse ID number the Federation maintains the only official record of winnings for Federation awards, Federation ranking lists, Federation qualifying classes and Federation championships of horses at all Regular Competitions. To keep these records consistent, a horse should be recorded with the Federation in the same name of horse and owner under which it is exhibited at competitions. The Federation's horse recording records are not a title registry and the Federation does not decide, otherwise resolve, or become involved in ownership disputes.
2. Horses must be recorded in order to be eligible for National, Regional, or Zone Horse of the Year Awards (see GR1110), and to enter a USEF Show Jumping Ranking List class.
3. Horses must be recorded in order to be eligible to compete in USEF/USDF qualifying classes for dressage championships and will not be credited with qualifying for the Regional Finals for Dressage Championships until they are recorded in the name of the current owner or lessee of record and unless they are entered in qualifying classes under their official recorded names and ownership. The responsibility for such recording rests entirely with the exhibitor.
4. Horses competing in divisions restricted to a particular breed may be recorded with the Federation under any name but if registered in a Breed Registry, the registered name must also be given.

   a. To maintain breeding identification, exhibitors may not shorten horses' names by dropping registered prefixes.
5. Horse recording applications are available from the Federation office or online at www.usef.org. The recording fee is discounted for the life of a horse if applied for from birth to December 31st of year foaled. A recording may also be activated on an annual basis, using each horse's Unique Horse ID number. Exception: Horses must be recorded for life to be eligible to receive FEI or National Passports. To qualify for the age discount, registration papers and/or other proof of age must be provided. The fees can be found on the horse recording application at www.usef.org.
6. Once a foal year has been submitted to the Federation office, the original date cannot be changed without a copy of the animal's breed registration papers or a signed statement from a veterinarian certifying the animal's age.
7. A horse's Federation Recording may be made retroactive to cover bad points earned at Federation Licensed Competitions (Exception: Dressage) so long as a complete horse recording is received by the Federation within ten days of the Federation sending notification of bad points earned to the owner.

SUBCHAPTER 11-B HORSE PASSPORTS AND DOCUMENTATION

GR1103 FEI Horse Passports

1. Horses must be life recorded with the Federation. To be listed in the passport, the owner(s) of the horse must be active member(s) of the Federation. The passport application and applicable fee must be submitted by the recorded owner (agent's signature is not acceptable) for a specific horse.
2. FEI passport applications can be found on the Federation website or obtained from the Federation office. The horse's name on the passport must match its life recording with the Federation exactly.
3. Group-rate passports are available for Pairs and Teams of driving horses as follows:
   a. For Pairs of two, three, or four horses and Teams of four, five, or six horses (all horses with the same owner), the first FEI Horse passport is issued for the current individual rate and each additional FEI Horse passport (up to six) is issued for 50% of the cost of the initial passport. To qualify for this group rate, the passport applications for all horses in the Pair or Team must be submitted (by the owner) simultaneously and clearly marked "Driving Pair / Horses" or "Driving Team / Horses."
   b. If an existing Pair or Team has FEI Horse passports and a horse is replaced, the new horse will be issued an FEI Horse Passport at the current individual rate (see also GR1103.5 and .6).
4. Group-rate passports are available for Pairs and Teams of driving ponies as follows:
   a. For Pairs of two, three, or four ponies and Teams of four, five, or six ponies (all ponies with the same owner), the first FEI Pony Passport is issued for the current individual rate and each additional FEI Pony Passport (up to six) is issued for 50% of the cost of the initial passport. To qualify for this group rate, the passport applications for all ponies in the Pair or Team must be submitted (by the owner) simultaneously and clearly marked "Driving Pair / Ponies" or "Driving Team / Ponies."
   b. If an existing Pair or Team has FEI Pony Passports and a pony is replaced, the new pony will be issued an FEI Pony Passport at the current individual rate (see also GR1103.5 and .6).
5. In accordance with GR1105 and GR1107, any change of the horse's name, or ownership must be recorded with and the passport sent in to the Federation for processing.
6. Passports in need of change of ownership or nationality must be submitted to the Federation; applications and fee structure are available on the Federation website or obtained from the Federation office. The horse must be recorded with the National Federation of the new owner.
7. All passports sent to the Federation for processing will be reviewed for compliance with current FEI regulations including those for Equine Influenza.
8. Passports must be revalidated every four years. Applications are available on the Federation website or obtained from the Federation office.
9. Duplicate passports may be issued for lost or filled passports. The request for a duplicate passport must be signed by the owner of record, and in the case the passport is filled, the old passport must accompany the letter of request.

GR1104 National Passports

1. Federation National Passports are issued for a fee to Federation Life recorded horses and may be presented in lieu of FEI Passports only at those competitions for which approval of Federation National Passports has been granted by the FEI. (See GR1308.4)
2. Application must be made in writing by the owner (agent's signature is not acceptable) and must be accompanied by the applicable fee. Applications and fee structure are available on the Federation website or obtained from the Federation office.
3. Any change of name or ownership must be recorded with the Federation in accordance with GR1105 and GR1107. The passport must be submitted to the Federation for any changes. The fee for transfer of ownership from U.S. owner to U.S. owner in a National Passport payable at the time of the request.
4. Requirements for issuance and validation of National Passports are subject to current FEI regulations.
5. National Passports may be upgraded to the FEI Passport for a fee. An upgrade application must be submitted under the owner's signature (agent's signature is not acceptable) accompanied by the fee and the National Passport.

SUBCHAPTER 11-C OWNERSHIP AND COMPETITION RECORDS

GR1105 Transfer of Ownership

1. Ownership of a Federation recorded horse may be transferred during the year without affecting the animal's points provided proper authorization and fee are received by the Federation office. Authorization for transfer of ownership of a horse holding a recording with the Federation may be submitted in the form of a signed Federation recording certificate or a proper bill of sale. Authorization must be signed by the previously recorded owner(s). If these documents are unavailable, a Federation transfer Certification form may be obtained from the Federation office which must be completed, signed and submitted to the Federation office with proof of ownership. Transfer documents and payment must be submitted online or mailed to the Federation office. A horse will not be eligible to receive points under its new ownership until the conditions of GR1110 and GR1111 are met. The fees can be found on the horse transfer form at www.usef.org.
2. Authorization for transfer of ownership of a horse or pony that is or has been in possession of a Federation measurement card may be submitted in the form of a bill of sale signed by the previous owner or a copy of breed registration papers issued to the new owner. There is no fee to transfer ownership of a horse or pony possessing only a Federation measurement card.
3. Authorization for transfer of ownership within a family (as defined in GR123) may be submitted in writing and signed by the previous owner(s) and the new owner(s). There is no fee to transfer a horse/pony within a family.
4. For Hunter/Jumper/Equitation divisions, all authorizations and all applicable fees for transfer of ownership and transfer of ownership within a family must also be submitted to the USHJA office in accordance with points 1-3.

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GR1106 Exhibitor Registration

1. Entries may be made in a name other than that of an individual for Horse of the Year Awards, provided such name (Stable/Farm, Corporation, etc.) is recorded with the Federation. Duplication of farm names is discouraged but not prohibited. Horses shown under a partnership, i.e., Smith & Smith, Jones & Jones, must be duly registered. All applications for registration of (Stable/Farm, Corporation, etc.) must be signed by each of the bona fide owners and accompanied by the required fee. The fees can be found on the Farm, Corporation, Syndicate or Partnership Recording application at www.usef.org.
2. In order for points to count toward Federation Horse of the Year Awards, at least one owner must be a Federation active Competing or Life member.

a. In order for points to count in Hunter/Jumper/Equitation divisions, one owner of the Stable/Farm/Corporation, etc. must be an Active/Competing individual member of USHJA.

3. Additional owners (including members of the family of the owner) may be included at no extra fee. Authorization to include additional owners must be made in writing and must be signed by each bona fide owner and will be effective the day such authorization is received in the Federation office.

GR1107 Change of Name

1. The recorded name of a horse competing in divisions that do not require breed registration may be changed by submission of the recording certificate to the Federation office accompanied by the required fee. The recorded name of a horse can also be changed by submitting the Horse Name Change Request form which lists the fee and can be found at www.usef.org. Name changes may also be submitted online via the owner's MY USEF account.
2. The recorded name of a farm, corporation, syndicate, or partnership may be changed by contacting the Federation office and remitting the required fee.
3. All name changes officially recorded with the Federation will be listed in equestrian.
4. For Hunter/Jumper/Equitation divisions, all name change requests and fees must also be submitted to the USHJA office in accordance with points 1-3.

GR1108 Lease Registration

1. The Federation encourages all lessors of horses competing in Federation competitions to register the lease with the Federation. A recorded horse must be shown under a lessee's ownership provided an official lease is registered with the Federation.
2. For points to count with respect to any recorded horse at a Federation competition, such agreement or lease registration form (provided by the Federation) and fees must be received by the Federation office on or before the first day of such competition. The lessee becomes the bona fide owner of the horse for the period of the lease (except for Owner classes) for Federation award purposes. The horse must be entered in the ownership of the lessee and must be shown in accordance with GR1110.
3. To be official, a certified copy of a lease agreement or a lease registration form must be submitted and registered with the Federation and must be accompanied by the required fee. The Horse Lease Form which lists the fee can be found at www.usef.org. Either document must contain a start and end date and be signed by the lessee and the lessor. If a lease is not renewed within 30 days of its expiration, a later renewal will require an additional fee. The lease registration with the Federation will then be effective the day the renewal is received by our office.
4. A written statement of termination must be submitted signed by the lessee and the lessor if the lease is terminated before the end date stated in the lease registration form.
5. The Federation does not accept agent signatures.
6. For measurement purposes, the lessor must be an active Federation competing member in good standing at the time of measurement.
7. For Hunter/Jumper/Equitation divisions, all lease registrations and fees must also be submitted to the USHJA office in accordance with points 1-5.

SUBCHAPTER 11-D HORSE OF THE YEAR AWARDS AND NATIONAL CHAMPIONSHIPS

GR1109 General

The Federation offers annual Horse of the Year Awards on a national, district, regional and zone level, in numerous divisions. The purpose of these awards is to encourage participation at Federation Licensed Competitions. In making the awards the Federation in no way implies that the winners are the best in their respective divisions (although they may well be) but certifies that these animals are properly recorded for competition purposes, and registered with the USHJA if competing for awards defined in GR1132, GR1132 or

GR1134, are exhibited by the recorded owner, who must be a Federation Member, and USHJA Member if competing for awards defined in GR1132, GR1133 or GR1134, and acquired the greatest number of points during a given year.

GR1110 General

1. Points toward any Horse of the Year Award will not be credited until the applications and fees for the horse's recording, exhibitor's registration, transfer of ownership, name change or addition of owner(s) and owner's Senior Active, Junior Active or Life membership are received by the Federation offices. Furthermore, points toward any Horse of the Year Awards defined in GR1132, GR1133 or GR1134, will not be credited until the applications and fees for the horse's USHJA Horse Registration and the owner's USHJA Active or USHJA Life membership are received by the USHJA offices. Exception: Applications for Federation and USHJA Individual Membership and Horse Recordings submitted at Licensed Competitions:
    a. For Dressage Competitions:
        1. Applications are considered effective on the date the application and dues are received by the Competition Secretary provided the application is signed and dated by the Competition Secretary on that same day.
        2. Applications completed online at the competition are effective the date the application is submitted.
    b. For all Competitions other than Dressage Competitions:
        1. Applications are considered effective, for points and eligibility to compete only, on the start date of said Competition provided the application and dues are received by the Competition Secretary and the application is signed and dated by the Competition Secretary during the period of the Competition.
        2. Applications completed online at the competition are effective, for points and eligibility to compete only, on the start date of the Competition.
2. For points to count with respect to any competition, the required materials and fees must be received by the Federation office (or by the Competition Secretary - see below) on or before the first licensed day of such competition. Furthermore, for points to count in Hunter/Jumper/Equitation divisions, applicable materials and fees must be received by the USHJA office on or before the first licensed day of such competition. Exception: Applications for Federation and/or USHJA Individual Membership and Horse Recordings submitted at Licensed Competitions:
        1. For Dressage Competitions:
            a. Applications are considered effective on the date the application and dues are received by the Competition Secretary provided the application is signed and dated by the Competition Secretary on that same day.
        2. Applications completed online at the competition are effective the date the application is submitted.
    b. For all Competitions other than Dressage Competitions:
        1. Applications are considered effective, for points and eligibility to compete only, on the start date of said Competition provided the application and dues are received by the Competition Secretary and the application is signed and dated by the Competition Secretary during the period of the Competition.
        2. Applications completed online at the competition are effective, for points and eligibility to compete only, on the start date of the Competition.
3. A horse must be recorded with the Federation, and the name of at least one individual appearing on the horse recording certificate as owner must be that of a Senior Active, Junior Active or Life Member in good standing. For Hunter/Jumper/Equitation divisions, a horse must be registered with USHJA and at least one individual appearing on the horse registration as an owner must be a USHJA Active or Life member in good standing. If the horse is leased at the time of the competition, per GR1108, the lessee is considered the owner (exception all Owner classes) and must be a Senior Active, Junior Active or Life Member in good standing. For Hunter/Jumper/Equitation divisions, the lease must also be recorded with the USHJA, and must be an Active USHJA Member in good standing. If the recorded owner is a farm/stable, corporation or any name other than that of a person, refer to GR1106. For the purposes of accruing Federation points, the horse must be entered and shown in the ownership of an individual or entity, including a member of the owner's family, who is included on the Federation horse recording certificate. Effective date of ownership is the day written authorization is received by the Federation offices. Furthermore, for the purposes of accruing points in the Hunter/Jumper/Equitation divisions, the horse must be entered and shown in the ownership of an individual or

entity, including a member of the owner/s family who is included on the USHJA horse recording certificate. Effective date of ownership is the day written authorization is received by the USHJA Offices.

4. If the owner, rider, animal, and trainer are all in good standing and an error occurs on the entry blank (i.e. the wrong USEF number is written, the old owner is written in error, the correct number but incorrect spelling is written, etc.), HOTY points may be awarded after review by the Federation.
5. No points can be credited toward an award unless the Federation recorded name and Horse Recording number of the horse and the Federation recorded owner or lessee's name and membership number appears in the competition's records (i.e., result sheets or catalogue) as exhibited in the name of the Federation recorded owner or, if the horse is leased, under the ownership of the lessee as required under GR1108. Furthermore, no points can be credited toward an award in Hunter/Jumper/Equitation divisions, unless the USHJA recorded name and horse registration number and the USHJA recorded owner or lessee's name and membership number appears in the competition's records (i.e., result sheets or catalogue) as exhibited in the name of the USHJA recorded owner or, if the horse is leased, under the ownership of the lessee as required by GR 1108.
6. Failure to comply with the rules respecting eligibility for points will result in points not counting for Federation and USHJA purposes and awards. Points standings are available on the Federation website, www.usef.org, and it is the responsibility of exhibitors to check standings and call to the Federation's attention any omissions or inaccuracies. As a service to members the Federation may from time to time advise exhibitors when points are not able to be counted, but the responsibility for checking standings and ensuring that eligibility requirements are met remains solely with the exhibitors.

GR1111 National, Regional and Zone Awards

1. All horses are eligible for National Awards, as long as all requirements of GR1110 are met. See GR1122-GR1145 for specific classes and divisions offered at the National level.
2. In the Arabian, Half/Anglo Arabian, Andalusian/Lusitano, Friesian and Morgan divisions, horses are eligible for Regional Awards in any region they compete. (Points will be awarded in the qualifying region stated in the prize list regardless of the owner's home region). Exception: In Friesian division, any points won at Nationals will count solely towards National Awards and not towards any Regional awards. In the Andalusian/Lusitano Division, any points won at the IALHA designated National Show will count solely towards National Awards and not towards any Regional Awards. In the Hackney, Roadster, and American Saddlebred divisions, points won at competitions in states contiguous to an exhibitor's home region, or in Canada if contiguous to an exhibitor's home region, will count in addition to any points won in an exhibitor's home region. (For Arabian, see GR1124).
3. For purposes of distributing Regional Awards for the Andalusian/Lusitano, and Western divisions, refer to the Regional map in GR1123. For Friesian division, refer to Friesian Regional map in GR1130. For Arabian and Half/Anglo Arabian divisions, refer to Regional map in GR1124. For Hackney and Roadster divisions, refer to Hackney Regional map in GR1131. For the Morgan division, refer to Morgan Regional map in GR1135. For the American Saddlebred division refer to American Saddlebred Regional map in GR1140.
4. Horses are eligible for Zone Awards only within the home zone of the entry's USHJA recorded owner(s) exception Hunter/Jumping Seat Equitation, the rider's home residence will be used. However, points won at competitions in states contiguous to an exhibitor's home zone, or in Canadian provinces if contiguous to an exhibitor's home zone, will also count if the state or Canadian province has been designated as provided below.
    a. Zone awards are offered in the following disciplines: Hunters, Jumpers and Hunter/Jumping Seat Equitation. For Hunter, Jumper and Hunter/Jumping Seat Equitation divisions for which zone awards are offered, each zone committee may designate one or more states and/or Canadian provinces contiguous to its zone in which to compete for zone points. Designated Canadian provinces that are contiguous to the zone will receive zone points for national Horse of the Year award sections and for USHJA Zone Horse of the Year award sections providing they conduct their classes under national Federation rules for these sections. For purposes of this rule as applied to hunter/jumper and equitation zone awards, the state of New Jersey which is a state land-locked within the zone will be treated as a part of either New York or Pennsylvania. Therefore if Zone 1 chooses New York as one of its contiguous states, New Jersey is also included. If Zone 3 chooses Pennsylvania as one of its contiguous states, New Jersey is also included.

   b. Zone committees must submit their designation of contiguous state(s) and/or Canadian provinces by August 1 annually, to be in effect for the next competition year. If no designation of contiguous states and/or Canadian provinces is submitted, it defaults to the previous year's policy.
5. For purposes of distributing Zone Awards, refer to Zone map.
6. An owner's residence at the time they apply for or renews their membership will be maintained throughout the competition year and is considered their home district, region or zone for the purposes of counting points. For a Life Member, their residence on December 1st will be used for this purpose. If the recorded owner moves their residence during the year to a new district, region, or zone, points can be accumulated in the new location provided the owner declares in writing to the Federation that the new district, region or zone will be their home district, region, or zone. The declaration must be received by the Federation office on or before the first licensed day of a competition for points to be counted for that competition. See also JP101.
7. If the recorded ownership of the horse is transferred, valid points earned by the previously recorded owner will be retained in their home district, region, or zone. Points are not transferable from one district, region, or zone to another.
8. Horses showing under multiple ownership involving more than one district, region, or zone must have one home district, region or zone declared for the purpose of these Awards. This declaration must be made in writing to the Federation office by March 1st of the current year or within 30 days of receipt of horse recording application and must be signed by each bona fide owner. Effective date of declaration is the day the written authorization is received by the Federation office and for points to count, the declaration must be received by the Federation office on or before the first day of the competition. If only one owner is a Federation Member in good standing, this person's residency will automatically become the entry's home district, region, or zone.
9. If the horse is recorded under the ownership of a registered farm, the residence of the farm's owner is the entry's home district, region, or zone. If the owners of a farm reside in different districts, regions, or zones see paragraph 8 above.
10. For changes or updates according to points 6, 7, 8, and 9, USHJA must also be notified.
11. Exception to GR1111.8-1111.11: Arabian, Half/Anglo Arabian Division, Andalusian/ Lusitano, Morgan, and Friesian Divisions; see GR1111.2.
12. Responsibility in fulfilling the requirements of the above Rules rests entirely with the exhibitor. As the competition entry blank is generally the source of information required in GR1110.5, care should be taken to fill out entry blanks correctly.

GR1112 USEF Championships

1. For rules governing the following Championships, see specific Rules as noted:
   a. Dressage Regional and National Championships: See DR127.
   b. Hunter Pony Championships: See HU168.
   c. Junior Jumper Prix des States. See JP152.3.
   d. Medal Championships: See EQ111, EQ117.12.
   e. Hunter Breeding Championships: See HU166
   f. Junior Hunter Championships. See HU169.
   g. Eventing National Championships. See EV103.

GR1113 Credit

1. No credit will be given for classes where judging specifications are not in accordance with the Federation rules. Points will not count towards Horse of the Year Award competitions and classes will not be counted for division or section ratings.
2. No credit will be given in classes if less than three entries have shown and placed. Exceptions:
   a. Hunter Breeding, Pony Hunter Breeding, and Conformation Model Classes.
   b. Hunter Classes, a minimum of three entries must have completed (per HU120) to receive full points and money won.
   c. At a National or Premier rated hunter/jumper competition where it is required that the division be held over two days, if there are fewer than three exhibitors the second day, Zone and National Horse of the Year points will still apply.
   d. Andalusian/Lusitano

e. Friesians
f. Carriage Pleasure Driving (GR1125)
g. Hackney
h. Jumper Classes, a minimum of three entries must have competed per JP136.13.
i. Morgans
j. American Saddlebreds
k. Shetlands
l. Arabians (Exception: Championship classes based only on scores or high point awards are not awarded points.)
m. National Show Horse
n. Roadsters
o. Ladies Side Saddle Over Fences Class in the Hunter Division, see HU149.
p. Driving Combined (GR1127).
q. Western Dressage.
r. USEF Western Equitation Junior Medal Class and USEF Western Equitation Adult Amateur Medal Class (EQ129.7).
s. Welsh. Classes with fewer than three entries will receive reduced points. Refer to charts in GR1142.

3. Credit will be given for the first six (6) placings only, regardless of the number of ribbons offered. Exception: in the Connemara divisions where the first ten (10) place ribbons will receive points, Morgan and Hunter Breeding divisions where only the first four (4) place ribbons will receive points, and Hunter Divisions, where only the first eight (8) place ribbons will receive points in accordance with GR1132 when entries are 16 or higher. Ribbons must be awarded to 8th place in all hunter classes. Exception: in Bonus Point classes, Classics in the Hunter and Jumper divisions, National Show Horse Finals, Federation Zone Finals and jumper sections, Hunter Breeding Best Young Horse Class, Morgan In-hand Championship Classes. All model classes will receive 1/2 points. (Exception: Andalusian/Lusitano see GR1123; Arabian, see GR1124; Carriage Pleasure Driving see GR1125; Friesian see GR1130; National Show Horse see GR1136 and Western Dressage see GR1145; Lite Competitions see GR310.)
BOD 6/20/23 Effective 12/1/23

GR1114 Winners

1. In all divisions, except Dressage, winners will be those horses which accrue the highest number of points or amount of prize money at Federation Licensed Competitions.
2. Awards are made to the owner as shown in the Federation recording files, at the time the horse wins its last point in the competition. If the ownership of a horse is transferred, all district, region or zone points earned up to that date stand.

GR1115 Competition Year

1. Competition year for awards will be as follows: All breeds/disciplines will begin December 1 and end November 30. Exceptions: Paso Fino competition year will begin September 1 and end August 31; Hunter Breeding and Pony Hunter Breeding will begin January 1 and end November 30 (see HU146 and GR102).
2. If a competition is in progress on the last day of any qualifying period points or money won at said competition will be allowable in reckoning the year's total score.
3. Championship qualifying periods for the following Championships will appear on the Federation website.
   Dressage Regional Championships
   Hunter Pony Championships
   Hunter Breeding Championships
4. Adult Hunter Seat Medal qualifying year begins December 1 and ends November 30.

SUBCHAPTER 11-E SPECIAL CONDITIONS

GR1116 Special Conditions

1. No credit will be given in any class that does not count toward a division or section rating (See GR313).

a. Exceptions:
    1. In Hunter Classics Bonus Points will be awarded in horse's respective section.
    2. In cases which Juniors, Young Riders and Amateur Owners compete in a Combined class, prize money will be awarded in the horse's respective section.
    3. Hunter/Jumping Seat Equitation classes listed as eligible classes in GR1132.13 will count for points even if the class does not count toward division or section rating.

2. Where the term Championship is omitted from a class title, the class that is open to all horses in a division or section that has the required specifications will be counted as the Championship. When Championships are awarded on a point basis, only the Champion and Reserve will receive points for a Horse of the Year Award. (Exception: Arabian, see GR1124).
3. Only classes held in accordance with HU149 will count toward Ladies Side Saddle Hunter.
4. Credit for the following will be applied as specified in Chapter 11:
    a. Andalusian/Lusitano Division will be applied as per GR1123.
    b. Arabian Division will be applied as per GR1124.
    c. Friesian Division will be applied as per GR1130.
    d. Hackney Division will be applied as per GR1131.
    e. National Show Horse Division will be applied as per GR1136.
    f. Morgan Division will be applied as per GR1135.
    g. Roadster Division will be applied as per GR1139.
    h. American Saddlebred Division will be applied as per GR1140.
    i. Shetland Division will be applied as per GR1141.
    j. Western Dressage Division will be applied as per GR1145.

GR1117 Tabulation of Points

Scores of all horses will be kept in the Federation office. Results from Federation Licensed Competitions will furnish the sole basis upon which the scores are figured, and the Federation tally thus derived will be the final authority in determining winners. Although the Federation uses its best efforts to insure that the points as recorded by the Federation on its web site and elsewhere are accurate, the Federation assumes no liability to anyone for any errors or omissions whatsoever. Anyone who uses these points for Federation purposes or for any other purpose is hereby notified that the Federation disclaims any and all liability and responsibility, including for negligence, with respect to these point tabulations and records.

GR1118 Ties

In the event of a tie, the Horse of the Year Award will be awarded to each competitor.

GR1119 Disputes

1. Points are final on December 15 (should the 15th fall on a weekend, the deadline will be set for the following Monday) following each competition year or 15 days following the end of the designated Zone competition year and in the Paso Fino Division points are final on September 15 following the competition year.
2. Any participant wishing to dispute a tabulation or qualification or disqualification must appeal the issue in writing specifying the reasons for disputing the tabulation or qualification or disqualification (the "Dispute"). Said Dispute must be received by the Federation by December 15 (should the 15th fall on a weekend, the deadline will be set for the following Monday) following the competition year in question, except for the Paso Fino Division for which the deadline is September 15. A Dispute must be accompanied by the required fee which is not refundable. Disputes will be referred to the CEO or their designee for a ruling in the first instance, who will determine if the information which is provided in the Dispute is sufficient to alter the tabulation or qualification or disqualification. If the participant is dissatisfied with the CEO's or their designee's ruling, the participant may request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made in writing and accompanied by the required fee, which will be refunded if the appeal is upheld.

GR1120 Awards

1. Awards may be offered in any division or section recognized by the Federation. The Board of Directors will determine those classifications in which awards will be offered and should competition throughout the year in any way be so slight as not to warrant the giving of an award, such award may be canceled.
2. Any requests for new awards to be added must be received in writing in the Federation office by August 1 of the preceding year and approved by the appropriate committee.
3. Presentation of breed-specific awards will be made annually at a location approved by the Federation Board of Directors.

GR1121 Presentation

1. Presentation of the Federation National Horse of the Year Awards will be made annually at a location approved by the Federation Board of Directors. The trophies awarded to the National Champions are perpetual trophies and remain in the possession of the Federation.
2. Presentation of the Federation District, Regional or Zone Horse of the Year Awards will be made at venues specified within each breed and discipline, as approved by the Federation, and the appropriate breed/discipline Committees.

SUBCHAPTER 11-F AWARD SECTIONS

GR1122 Awards Sections

Refer to Subchapters 11-C to 11-E for all general rules for all Award Sections.

GR1123 Andalusian/Lusitano Division

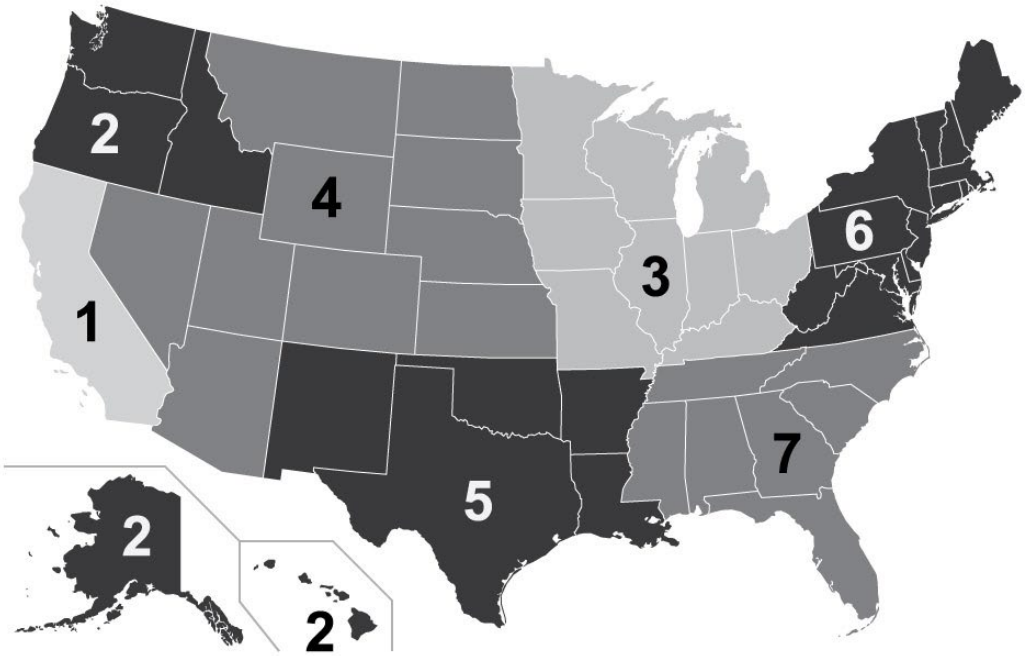
1. Eligibility. See GR1110.
2. Point Tabulation. See Rules GR1113-GR1119.

Started	10+	9	8	7	6	5	4	3	2	1
1st	10	9	8	7	6	5	4	3	2	1
2nd	9	8	7	6	5	4	3	2	1	
3rd	8	7	6	5	4	3	2	1		
4th	7	6	5	4	3	2	1			
5th	6	5	4	3	2	1				
6th	5	4	3	2	1					

a. Full points will be awarded to Andalusian/Lusitano classes conducted at Federation Licensed competitions. Exception: Non-Regional and Non-National Championship classes do not receive Federation HOTY points.
b. Half points will be given in the following classes: Maiden horse, Novice horse, Limit horse, and Green horse.
c. At Federation Licensed Regional level competitions, class entries will receive double points.
d. At Federation Licensed National level competitions, class entries will receive triple points.
e. Classes that are restricted to Maiden, Novice, Limit or Green riders/drivers are not counted.
f. Combined Pure Andalusian/Lusitano and Half-Andalusian/Lusitano classes do not receive points, with the following exceptions: Junior Equitation, Showmanship, Western Trail, Western Riding, Doma Vaquera, Reining, Dressage, Fantasy Costume, Heritage Tack and Attire, Traditional Tack and Attire, and Sport Horse Showmanship.
g. Produce of Dam, Get of Sire, and Cobra of Mares classes do not receive points for Halter awards.
h. Equitation and Junior Exhibitor Showmanship only count toward Junior Exhibitor awards.
i. Hunter Hack, Walk-Trot, Liberty, Musical Freestyle and Long Reining classes do not receive points.

3. Points received at the IALHA designated National Show will count solely towards National Awards and not towards any Regional Awards (see GR1111.2).
4. Regional Awards will be distributed according to the Regional Map.
5. Regional Awards will be presented at regional venues at the discretion of the IALHA Regional organizations, as approved by the Federation.
6. Award Categories. National and Regional awards will be awarded in the following categories:
   a. Andalusian/Lusitano Halter: Classes to count include stallions, mares, and geldings.
   b. Half Andalusian/Lusitano Halter: Classes to count include stallions, mares, and geldings.
   c. Andalusian/Lusitano Sport Horse In-Hand: Classes to count include: Sport Horse In-Hand and Sport Horse Showmanship.
   d. Andalusian/Lusitano Sport Horse Under Saddle: Classes to count include: Sport Horse Under Saddle and Sport Horse Show Hack are combined.
   e. Half Andalusian/Lusitano Sport Horse In-Hand: Classes to count include: Sport Horse In-Hand and Sport Horse Showmanship.
   f. Half Andalusian/Lusitano Sport Horse Under Saddle: Classes to count include: Sport Horse Under Saddle and Sport Horse Show Hack are combined.
   g. Andalusian/Lusitano English Pleasure (Open, Amateur, Junior Horse): Classes to count include Formal Saddle, Saddle Seat, Country, Hunt Seat, Pro-Am-for Open award, and Vintage Rider-for Amateur award)
   h. Half Andalusian/Lusitano English Pleasure (Open, Amateur, Junior Horse): Classes to count include Formal Saddle, Saddle Seat, Country, Hunt Seat, Pro-Am-for Open award, and Vintage Rider-for Amateur award)
   i. Andalusian/Lusitano Western Pleasure (Open, Amateur, Junior Horse): Classes to count include Western Pleasure, Pro-Am-for Open award, and Vintage Rider-for Amateur award)
   j. Half Andalusian/Lusitano Western Pleasure (Open, Amateur, Junior Horse): Classes to count include Western Pleasure, Pro-Am-for Open award, and Vintage Rider for Amateur award)
   k. Andalusian/Lusitano or Half/Andalusian/Lusitano Working Western (One Award Category): Classes to include Trail, Reining, and Western Riding.
   l. Andalusian/Lusitano Driving: Classes to count include Country Pleasure Driving, Show Pleasure Driving, Pleasure Driving, Formal Driving, and Traditional Type Carriage Driving.
   m. Half Andalusian/Lusitano Driving: Classes to count include Country Pleasure Driving, Show Pleasure Driving, Pleasure Driving, Formal Driving, and Traditional Type Carriage Driving.
   n. Andalusian/Lusitano Specialty (One Award Category): Classes to count include English Show Hack, Versatility English to Western, Versatility Driving to English, Native Tack & Attire, Heritage Tack & Attire, Fantasy Costume, Doma Vaquera, Best Movement, Dressage, Dressage Suitability, and Dressage Hack.
   o. Half Andalusian/Lusitano Specialty (One Award Category): Classes to count include English Show Hack, Versatility English to Western, Versatility Driving to English, Costume, Fantasy Costume, Doma Vaquera, Best Movement, Dressage, Dressage Suitability, and Dressage Hack.
   p. Andalusian/Lusitano Junior Exhibitor Horse: Based on the total of all points won in Andalusian/Lusitano Junior Exhibitor classes (including Equitation and Showmanship).
   q. Half Andalusian/Lusitano Junior Exhibitor Horse: Based on the total of all points won in the Half Andalusian/Lusitano Junior Exhibitor classes (including Equitation and Showmanship).
7. Grand Champion Andalusian/Lusitano: Based on the total of all points won in all Andalusian/Lusitano categories.
8. Grand Champion Half Andalusian/Lusitano: Based on the total of all points won in all Half Andalusian/Lusitano categories.

ANDALUSIAN / LUSITANO REGIONAL MAP



GR1124 Arabian and Half/Anglo Arabian Divisions

1. Eligibility. See GR1110.
2. Point Tabulation. See GR1113-GR1119. Points for all competitions will be awarded as follows.

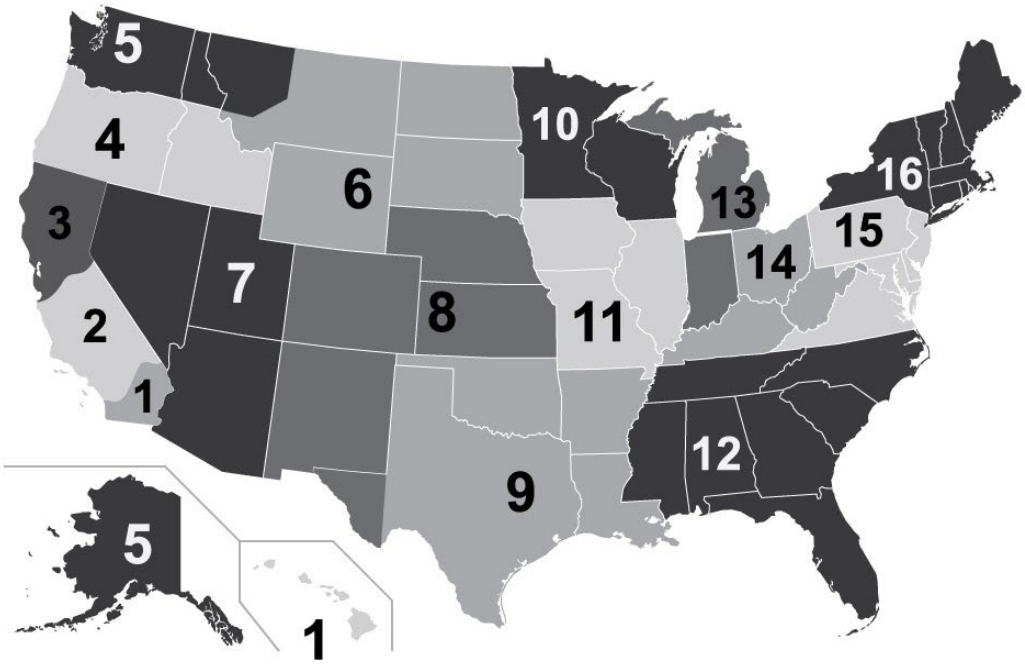
Started	10+	9	8	7	6	5	4	3	2	1
1st	10	9	8	7	6	5	4	3	2	1
2nd	9	8	7	6	5	4	3	2	1	
3rd	8	7	6	5	4	3	2	1		
4th	7	6	5	4	3	2	1			
5th	6	5	4	3	2	1				
6th	5	4	3	2	1					
7th	4	3	2	1						
8th	3	2	1							
9th	2	1								
10th	1									

Full points shall be awarded to Arabian and Half/Anglo Arabian Breeding/In-Hand and Performance classes conducted at USEF Licensed Competitions.

3. Exceptions:
   a. In Breeding and/or In-Hand Championship classes, points will be awarded to only Champion and Reserve.
   b. In Breeding, In-Hand and Performance Championship classes based only on scores or high point awards are not awarded points.
   c. Half-points will be given in the following classes: Maiden, Novice, Limit and Green horse classes; no points will be awarded for Model classes.
   d. For additional restrictions, refer to GR313 Special Conditions.

4. At USEF Licensed Regional level competitions (includes East Coast and Pacific Slope), class entries will receive double points; points will be awarded only to Champion, Reserve Champion, and equally to the remaining three of the Top Five placings in Breeding, In-Hand and Performance classes. Only those Top Five placings receive points, doubled. Regional Awards will be distributed according to the Regional map. Regional Awards will be presented at regional venues at the discretion of the AHA Regional organizations, as approved by the Federation.
5. At USEF Licensed National level competitions, class entries will receive triple points; points will be awarded only to Champion, Reserve Champion, and equally to the remaining eight of Top Ten placings in Breeding, In-Hand and Performance classes. Only those Top Ten placings will receive points, trebled. Any points won at a National Championship (U.S., Youth and Sport Horse) will count solely towards National Awards and not towards any Regional Awards.
6. Award Categories. National and Regional awards will be awarded in the following categories:
   English Pleasure/Pleasure Driving—Open, Adult Amateur, Junior Exhibitor;
   Country English Pleasure/Country Pleasure Driving—Open, Adult Amateur, Junior Exhibitor;
   Hunter Pleasure—Open, Adult Amateur, Junior Exhibitor;
   Western Pleasure—Open, Adult Amateur, Junior Exhibitor;
   Working Western Horse—includes Western Trail, Reining, Working Cow horse, Cutting and Western Riding, all Ranch classes, one award category;
   Hunter— Regular Working; (includes Regular Working Hunter Open/Handy/Stake/Under Saddle and Modified Working Under Saddle/Over Fences); Green Working (includes all Green classes); Hunter Specialty (includes all ATR/JTR, AOTR/JOTR, AATR/AAOTR classes including Horses 14.2 hands and under and Working Hunter 2' section classes, Horses 14.2 Hands and Under Open; and Working Hunter 2' Open) Exception: Cross Rail classes do not count for award points;
   Specialty Horse—includes Park, English Show Hack, Ladies Side Saddle, Native Costume, Hunter Hack, Formal Combination, Informal Combination, Formal Driving, Roadster, English Trail, English and Western In-Hand Trail, Versatility, Gymkhana, Carriage Pleasure Driving, and Jumper, one award category;
   Halter-- includes Performance Halter (Stallions, Mares, Geldings for Arabian and Half/Anglo Arabians) and Breeding/In-Hand (Stallions, Mares, Geldings for Arabians and Mares and Geldings for Half/Anglo Arabians), one award category;
   Dressage—Open: Training Level; First Level; Second Level, Third Level, Fourth Level and FEI combined Level
   Amateur: Training Level; First Level; Second Level, Third Level, Fourth Level, and FEI combined Level
   Sport Horse - Sport Horse In-Hand; Sport Horse Under Saddle and Sport Horse Show Hack combine for one award category. Western Dressage-Includes Open, Amateur, and Junior Exhibitor classes for all test levels and Western Dressage Suitability, Western Dressage Hack, Western Dressage Seat Horsemanship, and Western Dressage Seat on the rail.
7. Overall Grand Champion Categories:
   Purebred Arabian -based on the total of all points won in all Purebred Arabian categories.
   Half/Anglo Arabian-based on the total of all points won in all Half/Anglo Arabian categories.
   Arabian Gelding -based on the total of all points won in all Purebred Arabian categories.
   Purebred Arabian Junior Exhibitor- based on the total of all points won in Arabian Junior Exhibitor classes (classes combined with amateur sections will not be counted).
   Half/Anglo Arabian Junior Exhibitor -based on the total of all points won in Half/Anglo Arabian Junior Exhibitor classes (classes combined with amateur sections will not be counted).

ARABIAN / HALF / ANGLO-ARABIAN REGIONAL MAP



GR1125 Carriage Pleasure Driving

1. Eligibility. See GR1110.
2. Point Tabulation. See GR1113-GR1119. Points for all competitions will be awarded as follows:

Started	16+	15	14	13	12	11	10	9	8	7	6	5	4	3	2
1st	20	19	18	17	16	15	14	13	12	11	10	9	8	6	4
2nd	17	16	15	14	13	12	11	10	9	8	7	6	5	3	1
3rd	15	14	13	12	11	10	9	8	7	6	5	4	3	1	
4th	13	12	11	10	9	8	7	6	5	4	3	2	1		
5th	12	11	10	9	8	7	6	5	4	3	2	1			
6th	11	10	9	8	7	6	5	4	3	2	1				
7th	10	9	8	7	6	5	4	3	2	1					
8th	9	8	7	6	5	4	3	2	1						
9th	8	7	6	5	4	3	2	1							
10th	7	6	5	4	3	2	1								
11th	6	5	4	3	2	1									
12th	5	4	3	2	1										
13th	4	3	2	1											
14th	3	2	1												
15th	2	1													
16th	1														

The USEF Licensed Regional breed/discipline competitions will receive double points.
The USEF Licensed National Championship breed/discipline competitions will receive triple points.

3. Award categories. Awards will be given in the following award categories:
   a. Carriage Pleasure Driving Single Horse, Carriage Pleasure Driving Pair Horses, Carriage Pleasure Driving Tandem Horses, Carriage Pleasure Driving Multiple Horses (3 or more), Coaching Horses,

Coaching Ponies, Carriage Pleasure Driving Single Pony, Carriage Pleasure Driving Pair Ponies, Carriage Pleasure Driving Tandem Ponies, Carriage Pleasure Driving Multiple Ponies (3 or more), and Driven Dressage at the Training, Preliminary, Intermediate and Advanced levels. (Exception: Concours d'Elegance)

b. ½ Points will be awarded in any of the above classes with the following restrictions: Maiden, Novice, and Limit Horses and/or Drivers.

c. The combination for a pair is made up of three horses or ponies; the combination for a four-in-hand is made up of five/six horses or ponies. The combinations must be declared before the first show of the competition year in which they are competing, and any substitution to this combination will constitute a new combination which will be awarded points as a new entry. No points from the original combination carry forward and a separate form is required. The form must be received in the Federation office two weeks prior to the start date of the competition in which they will be competing. Declaration forms are available at http://www.usef.org/_IFrames/breedsdisciplines/discipline/allcpdriving/forms.aspx.

4. The winner with the most points in all Carriage Pleasure Driving categories combined will be named the overall winner.

GR1126 Connemara

1. For eligibility see GR1110 and CO101.
2. Point tabulations. See GR1113-1119. Points will be awarded according to the number of horses defeated in a class for which the Federation has class specifications. Three points will be awarded for every horse defeated in a class at Federation Licensed Competitions. Championship In Hand classes will be awarded double points.
3. Awards will be given in the following categories for horses participating in Federation Connemara competitions or Connemara classes held at Federation competitions as described in Chapter CO:
   a. Purebred Connemara Mare- to include points earned in In Hand classes for purebred mares 3 years of age and older
   b. Purebred Connemara Stallion or Gelding-to include points earned in In Hand classes for purebred stallions or geldings 3 years of age and older
   c. Purebred Connemara Hunter- One award category
   d. Purebred Connemara Jumper- One award category
   e. Halfbred Connemara Hunter - One award category
   f. Halfbred Connemara Jumper - One award category
4. Awards will be given in the following categories. To be eligible, horses competing in Federation Open Licensed Competition, must have breed registration papers, or verification of registration from the ACPS, filed with the Federation prior to the first day of competition in order for points at that competition to count.
   a. Open Purebred Connemara Dressage (All Federation and FEI levels/tests)
   b. Open Halfbred Connemara Dressage (All Federation and FEI levels/tests)
   c. Open Purebred Connemara Eventing: awarded to purebred Connemaras competing in Beginner Novice through Training levels at Federation Eventing Competitions.
   d. Open Halfbred Connemara Eventing: awarded to halfbred Connemaras competing in Beginner Novice through Training levels at Federation Eventing Competitions.
   e. Open Purebred Connemara Jumper: Awarded to purebred Connemaras competing in Jumper classes which accrue National HOTY points per GR1134.5
   f. Open Halfbred Connemara Jumper: Awarded to halfbred Connemaras competing in Jumper classes which accrue National HOTY points per GR1134.5
   g. Open Purebred Connemara Hunter: Awarded to the highest ranking Purebred Connemara competing in Open Hunter classes, excluding Pony Hunter classes, which accrue National HOTY points per 1132 at Federation Licensed Competitions.
   h. Open Halfbred Connemara Hunter: awarded to the highest ranking halfbred Connemara competing in Open Hunter classes which accrue National HOTY points per GR1132 at Federation Licensed Competitions.
5. Special Awards
   Awards will be given in the following categories. To be eligible, horses competing in Federation Open Licensed Competitions, must have breed registration papers, or verification of registration from the ACPS, filed with the Federation prior to the first day of competition in order for the points at the competition to count.

a. McKenna Trophy: awarded to the highest ranking purebred or halfbred Connemara in Modified through Advanced levels, including the equivalent FEI levels, at Federation Eventing Competitions, for which USEF tabulates points.
b. Clifden Trophy: awarded to the highest ranking purebred Connemara competing in the Regular Hunter Pony section and/or the Green Hunter Pony section in Federation Hunter Competitions.
c. Seldom Seen Trophy: to be awarded to the highest placing purebred or halfbred Connemara ridden by a junior at USEF Dressage competitions.

GR1127 Driving, Combined

1. Eligibility. See GR1110.
2. Point Tabulation. See GR1113-GR1119. Points for Combined Driving competitions will be awarded as follows:

If 16 or more competitors have started		**15**	**14**	**13**	**12**	**11**	**10**	**9**	**8**	**7**	**6**	**5**	**4**	**3**	**2**
1st	20	19	18	17	16	15	14	13	12	11	10	9	8	6	4
2nd	17	16	15	14	13	12	11	10	9	8	7	6	5	3	1
3rd	15	14	13	12	11	10	9	8	7	6	5	4	3	1	
4th	13	12	11	10	9	8	7	6	5	4	3	2	1		
5th	12	11	10	9	8	7	6	5	4	3	2	1			
6th	11	10	9	8	7	6	5	4	3	2	1				
7th	10	9	8	7	6	5	4	3	2	1					
8th	9	8	7	6	5	4	3	2	1						
9th	8	7	6	5	4	3	2	1							
10th	7	6	5	4	3	2	1								
11th	6	5	4	3	2	1									
12th	5	4	3	2	1										
13th	4	3	2	1											
14th	3	2	1												
15th	2	1													
16th	1														

2.1 Half Points will be awarded for all categories at Short Format Driving Events where three (3) phases of Dressage, Modified Marathon, and Cones are included.

3. Award Categories. Awards will be given in the following award categories for the following divisions:
   a. Open & Novice Advanced divisions: Combined Driving Single Horse, Combined Driving Pair Horses, Combined Driving Four-In-Hand Horses, Combined Driving Single Pony, Combined Driving Pair Ponies, and Combined Driving Four-In-Hand Ponies.
   b. Intermediate, Preliminary, and Training divisions: Combined Driving Single Horse, Combined Driving Pair Horses, Combined Driving Four-in-hand Horses, Combined Driving Single Pony, Combined Driving Pair Ponies, Combined Driving Four-in-hand Ponies, Combined Driving Single Small Pony, Combined Driving Pair Small Ponies, Combined Driving Four-in-hand Small Ponies, Combined Driving Single Very Small Equine, Combined Driving Pair Very Small Equine, and Combined Driving Four-in-hand Very Small Equine.
   c. Horses and ponies competing in combinations (Pair or Four-in-Hand) will accrue points by the driver's entry. A unit for a pair consists of up to three horses or ponies and is still considered the same unit until at

least half of the equines have changed. A unit for a four-in-hand consists of up to five horses or ponies and is still considered the same unit until at least half of the equines have changed. A new unit will constitute a new combination and will accrue points as a new entry for that driver.

GR1128 Endurance Awards

1. Endurance Riding Division
   Award Categories. National awards will be awarded in the following categories:
   a. Career Horse Mileage Recognition
   b. Career Rider Mileage Recognition
   c. Career Horse/Rider Combination Mileage Recognition
   d. Top Ten National-Ranked Senior Riders
   e. Top Ten National-Ranked Young Riders
   f. Top Ten National-Ranked Junior Riders
   g. Endurance Horse of the Year Award
   h. Endurance Rider of the Year Award
   i. Endurance Best Condition Season Award

GR1129 English Pleasure

1. Eligibility. See GR1110.
2. Point Tabulation. See GR1113-GR1119.
3. Points for all eligible classes will be awarded according to the following chart:
   a. Points for all eligible classes at Local competitions will be awarded 1/2 value.

Started	16+	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1
1st	20	19	18	17	16	15	14	13	12	11	10	9	8	4	2	1
2nd	17	16	15	14	13	12	11	10	9	8	7	6	5	3	1	
3rd	15	14	13	12	11	10	9	8	7	6	5	4	3	1		
4th	13	12	11	10	9	8	7	6	5	4	3	2	1			
5th	12	11	10	9	8	7	6	5	4	3	2	1				
6th	11	10	9	8	7	6	5	4	3	2	1					
7th	10	9	8	7	6	5	4	3	2	1						
8th	9	8	7	6	5	4	3	2	1							
9th	8	7	6	5	4	3	2	1								
10th	7	6	5	4	3	2	1									
11th	6	5	4	3	2	1										
12th	5	4	3	2	1											
13th	4	3	2	1												
14th	3	2	1													
15th	2	1														
16th	1															

4. National Awards will be given in the following categories through 6th place:
   a. English Pleasure Saddle Seat
   b. English Pleasure Hunter Seat
   c. English Pleasure Driving
5. Classes not to count towards national awards include: Classes restricted riders or drivers with maiden, novice, or limit status, Classes designated as Amateur-Owner-Trainer, Horsemanship, Combined Hunter Seat and Saddle Seat classes, Walk/Trot classes, unrated classes per GR902.2, and classes listed in GR313.2.

GR1130 Friesian

1. Eligibility. See GR1110.
2. Point Tabulation. See GR1113-GR1119.
   a. Points for all eligible classes (See GR1130.3-GR1130.7) at Friesian competitions will be awarded according to the following chart:

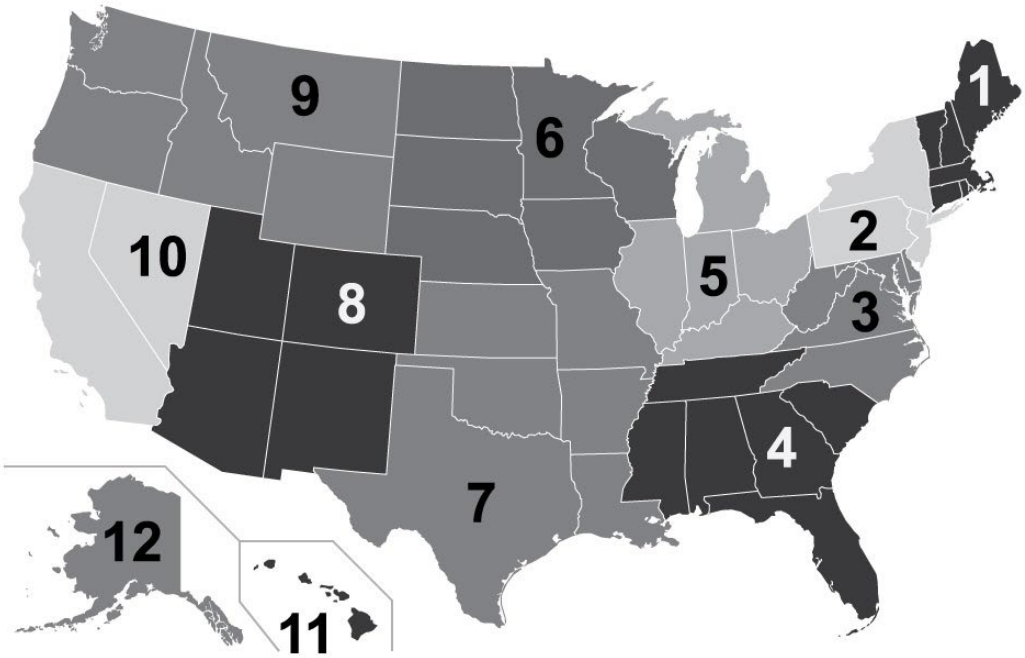
Started	16+	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1
1st	20	19	18	17	16	15	14	13	12	11	10	9	8	4	2	1
2nd	17	16	15	14	13	12	11	10	9	8	7	6	5	3	1	
3rd	15	14	13	12	11	10	9	8	7	6	5	4	3	1		
4th	13	12	11	10	9	8	7	6	5	4	3	2	1			
5th	12	11	10	9	8	7	6	5	4	3	2	1				
6th	11	10	9	8	7	6	5	4	3	2	1					
7th	10	9	8	7	6	5	4	3	2	1						
8th	9	8	7	6	5	4	3	2	1							
9th	8	7	6	5	4	3	2	1								
10th	7	6	5	4	3	2	1									
11th	6	5	4	3	2	1										
12th	5	4	3	2	1											
13th	4	3	2	1												
14th	3	2	1													
15th	2	1														
16th	1															

   b. Points for all eligible classes (See GR1130.3-GR1130.7) at IFSHA designated regional competitions will be awarded at double value.
   c. Points for all eligible classes (See GR1130.3-GR1130.7) at the IFSHA designated national competition will be awarded at triple value.
   d. Points for all eligible classes (See GR1130.3-GR1130.7) at Local competitions will be awarded 1/2 value.
3. National and Regional awards will be given in the following categories through 6th place:
   a. Friesian In Hand - To include points earned from all In Hand classes including open, amateur, masters, junior exhibitor, owner to lead, all horse genders, and all horse age groups.(Exception: Trail In-Hand-see Friesian Specialty Horse).
   b. Friesian Saddle Seat - To include all points earned in Saddle Seat (Country Pleasure, Pleasure, and Park) classes. All classifications of those classes (Open, Amateur, Owner to Ride) all rider age groups (adult or junior exhibitor), all horse genders, and all horse age groups.
   c. Friesian Hunter - To include all points earned in Hunter Pleasure & Hunter Hack classes. All classifications of those classes (Open, Amateur, Owner to Ride), all rider age groups (adult or junior exhibitors), all horse genders, and all horse age groups.
   d. Friesian Western -To include all points earned in Western Pleasure, e.g., all classifications of those classes (Open, Amateur, Owner to Ride), all rider age groups (adult and junior exhibitors), all horse genders, and all horse age groups.
   e. Friesian Driving - To include all points earned in all types of driving classes (Show Driving, Fine Harness, Country Pleasure Driving, Reinsmanship, Working, Turnout, Pleasure, Carriage Costume - Period/Fantasy, Drive and Ride, Drive, Ride and Jump,and Sjees) all hitches (tandem, random, unicorn, single, pair, and four-in-hand), Obstacle (Timed, Pick Your Route, Gambler's Choice) all horse genders, all horse age groups, all classifications of those classes (open and amateur exhibitors) and all driver age groups (adult and junior exhibitor).

f. Friesian Dressage - To include all points from Dressage Suitability, Dressage Hack, and Dressage Tests, all horse genders, all horse age groups, all classifications (open, and amateur exhibitors) all rider age groups (adult and junior exhibitors).
g. Friesian Specialty Horse - One award category, based on the total number of points from Costume (Fantasy, Period, & Armor), English Show Hack, Liberty, Tandem riding, English and Western Trail, all Walk/Trot Classes (not to include Junior equitation classes or Lead line) Reining, Parade, Western Dressage and any rated Friesian class not mentioned in any previous category within this rule.
h. Part-bred Friesian In Hand - To include points earned in all In-Hand classes in Open, amateur, masters, junior exhibitors, owner to lead, all horse genders, and all horse age groups, and all age groups. (Exception: Trail In-Hand-see Friesian Specialty Horse).
i. Part-bred Friesian Saddle Seat - To include all points earned in Saddle Seat (Country Pleasure, Pleasure, and Park) classes. All classifications of those classes, (Open, Amateur, Owner to Ride), all rider age groups (adult or junior exhibitors), all horse genders, and all horse age groups.
j. Part-bred Friesian Hunter - To include all points earned in Hunter Pleasure & Hunter Hack classes, all classifications of those classes (Open, Amateur, Owner to Ride), all rider age groups (adult or junior exhibitors), all horse genders and all horse age groups.
k. Part-bred Friesian Western - To include all points earned in Western Pleasure, e.g., all classifications of those classes (Open, and amateur, Owner to Ride) all rider age groups (adult and junior exhibitors), all horse genders, and all horse age groups.
l. Part-bred Friesian Driving - To include all points earned in all types of driving classes (Show Driving, Fine Harness, Country Pleasure Driving, Reinsmanship, Working, Turnout, Pleasure, Carriage Costume - Period/Fantasy, Drive and Ride, Drive, Ride and Jump,and Sjees) all hitches (tandem, random, unicorn, single, pair, and four-in-hand), Obstacle (Timed, Pick Your Route, Gambler's Choice) all horse genders, all horse age groups, all classifications of those classes (open and amateur exhibitors) and all driver age groups (adult and junior exhibitors).
m. Part-bred Friesian Dressage - To include all points from Dressage Suitability, Dressage Hack, and Dressage Tests, all horse genders, all horse age groups, all classification (open, and amateur exhibitors) all rider age groups (adult and junior exhibitor).
n. Part-bred Friesian Specialty Horse - One award category, based on the total number of points from Costume (Fantasy, Period, & Armor), English Show Hack, Liberty, Tandem riding, English and Western Trail, all Walk/Trot Classes not to include Junior equitation classes or Lead line, Reining, Parade, Western Dressage and any rated Part-bred Friesian class not mentioned in any previous category within this rule.

4. Grand Champion Friesian is based on the total points won in all Friesian categories as specifically stated above in all categories.
5. Grand Champion Part-bred Friesian is based on the total points won in all Part-bred Friesian categories, as specifically stated above
6. Friesian Junior Exhibitor Award - To include points from all junior exhibitor classes (including but not limited to all performance, in-hand, equitation, and showmanship classes).
7. Part-bred Friesian Junior Exhibitor Award - To include points from all junior exhibitor classes (including but not limited to all performance, in-hand, equitation, and showmanship classes).

FRIESIAN REGIONAL MAP



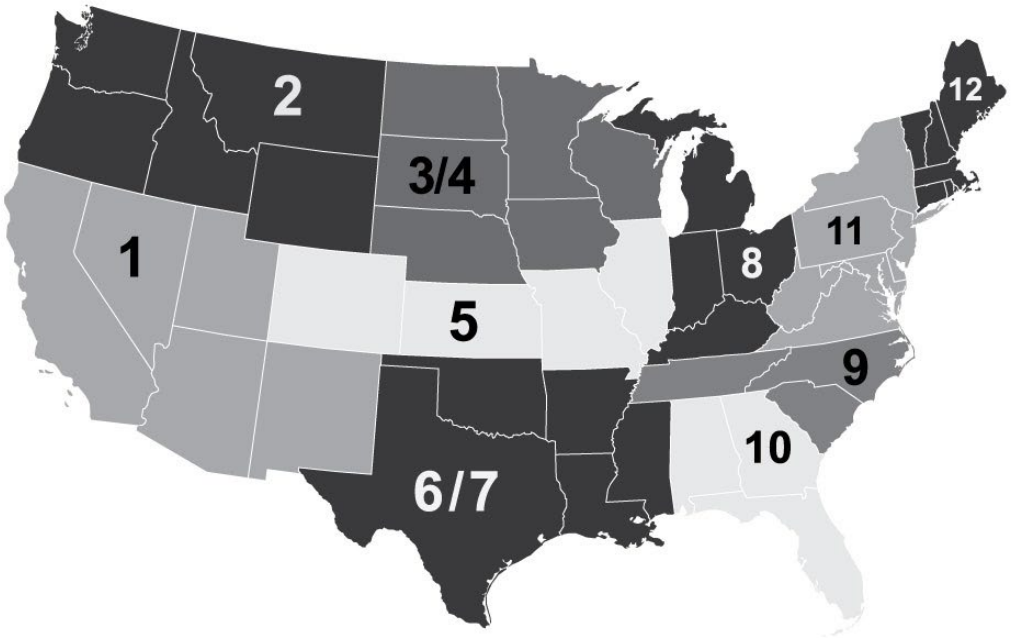
GR1131 Hackney

1. Eligibility. See GR1110.
2. Point Tabulation. See GR1113-GR1119. Points for all competitions will be awarded as follows:

First	Second	Third	Fourth	Fifth	Sixth
20	12	8	4	2	1

3. The Kentucky State Fair World's Championship Horse Show will receive triple points.
4. Regional Awards will be distributed according to the Hackney Regional map.
5. Regional Awards may be presented at an appropriate venue within each region as approved by the Federation.
6. Award Categories. A National Award will be given for the category of Hackney Horse. National & Regional Awards will be given in the following categories: Open Hackney Pony, Amateur Hackney Pony, Open Harness Pony, Amateur Harness Pony, Show Pleasure Pony Driving, Hackney Roadster Pony (shares one award with Open Roadster Pony), Amateur Hackney Roadster Pony (shares one award with Amateur Roadster Pony), and Junior Exhibitor Hackney Roadster Pony (shares one award with Junior Exhibitor Roadster Pony). See GR1139.6.

HACKNEY REGIONAL MAP



GR1132 Hunter and Equitation Divisions

1. Eligibility
   a. Points toward any Horse of the Year Award for the Hunter Division will not be credited until the applications and fees for the horse's recording, exhibitor's registration, transfer of ownership, name change or addition of owner(s) and owner's Senior Active, Junior Active or Life membership are received by the Federation offices. Furthermore, points toward any Horse of the Year Awards for the Hunter Division will not be credited until the applications and fees for the horse's USHJA Horse Registration, transfer of ownership, name change, or addition of owner(s) and the owner's USHJA Active or USHJA Life membership are received by the USHJA offices. Exception: Applications for Federation and USHJA Individual Membership and Horse Recordings submitted at Licensed Competitions.
   b. USHJA Zone points toward any Hunter/Jumping Seat Equitation Division Rider of the Year Awards will not be credited until the applications and fees for the horse's USHJA registration, and rider's USEF and USHJA's active membership are received by the Federation and USHJA offices. Exception: Applications for Federation and USHJA Individual Membership and USHJA Horse Registration submitted at Licensed Competitions.
2. Point Tabulation. See GR1113-GR1119.
3. In the Hunter division, points are calculated according to the Hunter Division Point Chart. Exception: National awards for Green Hunter 3'0", 3'3", 3'6" and 3'9", Young Hunter 5 and under, Young Hunter 6 and under, Young Hunter 7 and under, High Performance and Performance Hunter 3'3" and 3'6", Green Conformation Hunter 3'6", and High Performance Conformation Hunter will be calculated using two systems towards two separate sets of national awards. The national Money Won Awards will be based on the money won by each horse in their respective section. The national Points Awards will be calculated according to the Hunter Division Point Chart. Both money won and points won in hunter classics, the USHJA International Hunter Derby, and the USHJA Green Hunter Incentive Stake will be included. The money won and points won in the USHJA International Hunter Derby will only be awarded towards a declared Hunter section for HOTY points if a horse competes in the declared section a minimum of five (5) times during the competition year. The money won and points won in the USHJA Green Hunter Incentive Stake will only be awarded toward a declared Hunter section for HOTY points if a horse competes in the declared section a minimum of three (3) times during the competition year.

4. All Hunter competitions are required to offer the minimum number of classes and amounts of prize money listed in GR312 for each approved Hunter section. If sections are canceled or entries are insufficient to award all prize money offered then only money offered to the number of places awarded must be distributed. All Hunter competitions must state their hunter rating clearly on the cover of the prize list. See Subchapter HJ-3 for Hunter competition rating requirements.
5. Hunter Division Point Chart:
   Base points per section rating:
   Regional and National Hunter Rated Competitions

Exhibitors	3-8	9-15	16-25	26+
1st	15	20	25	30
2nd	10	15	20	25
3rd	8	12	16	20
4th	6	9	12	15
5th	5	8	11	14
6th	4	7	10	13
7th	3	6	9	12
8th	2	5	8	11

Premier Hunter Rated Competitions

Exhibitors	3-8	9-15	16-25	26+
1st	18	23	28	33
2nd	13	18	23	28
3rd	11	15	19	23
4th	8	11	14	17
5th	7	10	13	16
6th	6	9	12	15
7th	5	8	11	14
8th	4	7	10	13

   Hunter Prize Money Chart
   For prize money requirements refer to HJ116

6. Points are calculated according to the Hunter Division Point Chart based on the number of horses that compete in the first performance class of the section. (Exception: where individual classes may be entered (High Performance Hunter, Performance Hunter 3'6", and Performance Hunter 3'3") in which case the points will be calculated by the number of entries that competed in each individual class (see HU119). In the under saddle classes points will be calculated on the number of horses entered.
7. Bonus points for Hunter Classics will be awarded according to HU175.
8. Number of entries. The number of entries for the Hunter Division is determined by the number of entries that compete in the first performance class of the section, except in sections where individual classes may be entered in which case the number of entries will be determined by the number of entries that competed in each individual class (see HU135). In the under saddle classes the number of entries will be determined by the number of horses entered. Competition management must post within 12 hours of the completion of the class, in a prominent place on the competition grounds, the number of entries that compete in the first performance class of each rated Hunter section, signed by the officiating Judge.
9. Hunter Division Section champions will be awarded 2.0 times the total 1st place point value of the first class in that section toward any National Horse of the Year point award based on points earned. Section reserve champions will be awarded 1.2 times the total 1st place value of the first class in that section towards any National Horse of the Year award based on points earned. Exception: For National Horse of the Year awards that are based on money won, Section Champions in the Green Hunter 3'0", 3'3", 3'6", and 3'9", Young

Hunter 5 and under, Young Hunter 6 and under, Young Hunter 7 and under, High Performance Hunter, Green Conformation Hunter 3'6", Performance Hunters 3'3", Performance Hunters 3'6", and High Performance Conformation Hunter will be awarded dollars equal to 10% of the total prize money offered for each respective section towards National Horse of the Year awards. Reserve Champions will be awarded dollars equal to the amount of 5% of the total prize money for each respective section.

10. Hunter Division Section Awards
    In the Hunter division, points for ribbons won or money won, if applicable, in any rated section will be awarded in two awards channels. Channel I includes Premier("AA") and National ("A") rated hunter competitions and Channel II includes Regional ("B") rated hunter competitions. Points earned or money won, if applicable, in a channel are exclusive to that award channel.

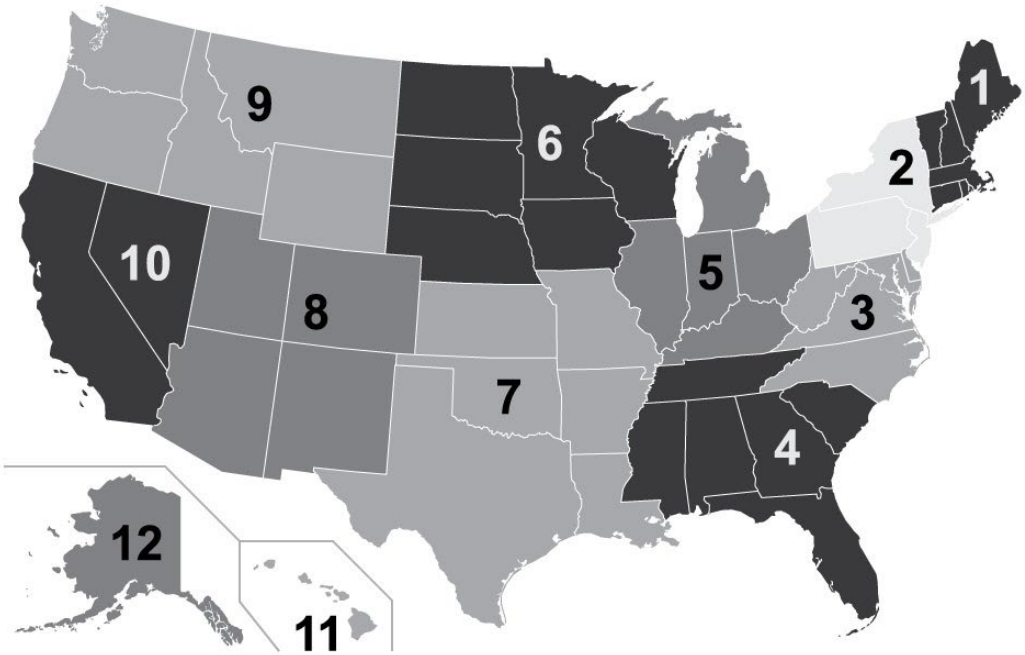
    a. Hunter Division Section awards are offered for the following at the National level from the Federation and at the Zone level from USHJA: Green Conformation Hunter 3'6", Green Hunter 3'0", 3'3", 3'6" and 3'9", High Performance Conformation Hunter, High Performance Hunter, Performance Hunters 3'3", Performance Hunters 3'6", Young Hunter 5 and under, Young Hunter 6 and under, Young Hunter 7 and under, Small Green Pony Hunter, Medium Green Pony Hunter, Large Green Pony Hunter, Small Pony Hunter, Medium Pony Hunter, Large Pony Hunter, Small Junior Hunter 15 yrs. & under, Small Junior Hunter 16-17 yrs., Large Junior Hunter 15 yrs. & under, Large Junior Hunter 16-17 yrs., Amateur Owner Hunter - 18-35 Years Old and Over 35 Years Old, Ladies Side Saddle, Amateur Owner Hunter 3'3" 18-35 Years Old and Over 35 Years Old, Small Hunter, Small Junior Hunter 3'3", 15 yrs. & under, Small Junior Hunter 3'3" 16-17 yrs., Large Junior Hunter 3'3" 15 yrs. and under and Large Junior Hunter 3'3" 16-17 yrs, Children's Hunter Ponies, Children's Hunter Horses, Low Children's Hunter Horse, Low Children's Hunter Pony, Adult Amateur Hunter 18-35 Years Old, Adult Amateur Hunter Over 35 Years Old, Low Adult Amateur Hunter, Small Hunter, USHJA Hunter 2'0", 2'3", 2'6", 2'9" and 3'0", and Thoroughbred Hunter.
    b. The USHJA shall determine the Zone HOTY points to be awarded for its USHJA Hunter Championships, Finals and Classes. The USHJA shall notify the Federation and publish the point scale to be utilized on the USHJA website a minimum of 60 days prior to the start of each event.
11. Hunter Competitions Utilizing Federation HOTY Points/money won for Qualification for their Events.
    a. Premier and National hunter rated competitions (including those held in conjunction with Jumper Level 1-6 competitions) must utilize the applicable Channel I HOTY points for qualification purposes.
    b. Regional hunter rated competitions (including those held in conjunction with Jumper Level 1-3 Jumper competitions) must utilize the applicable Channel II HOTY points for qualification purposes.
12. Grand Champion Horse of the Year Awards are based on National points and are offered for the following Hunter sections:
    a. Amateur Owner Hunter: awarded to the horse with the most points from the rider age sections of Amateur Owner Hunter 3'6"
    b. Amateur Owner Hunter 3'3": awarded to the horse with the most points from the rider age sections of Amateur Owner Hunter 3'3"
    c. Junior Hunter 15 Years & Younger: awarded to the horse with the most points in the 15 & Under rider age section of the 3'6" Junior Hunters
    d. Junior Hunter 16-17 Years: awarded to the horse with the most points in the 16-17 rider age section of the 3'6" Junior Hunters
    e. Pony Hunter: awarded to the pony with the most points from the small, medium and large Regular Hunter Pony sections
    f. Green Hunter Pony: awarded to the pony with the most points from the small, medium and large Green Hunter Pony sections
    g. Conformation Hunter: awarded to the horse with the most points from the High Performance Conformation Hunter and Green Conformation Hunter 3'6" sections
    h. Green Hunter: awarded to the horse with the most points from the Green Hunter 3'0", 3'3", 3'6" and 3'9" sections.
    i. High Performance Hunter: awarded to the horse with the most points from the High Performance Hunter section
    j. Young Hunter: awarded to the horse with the most points from the Young Hunter 5 and under, Young Hunter 6 and under, Young Hunter 7 and under sections.

13. USHJA Zone Rider of the Year Hunter/Jumping Seat Equitation Division Awards.
    The following specifications will apply for the
    Age Group Equitation Hunter section unless addressed by specific USHJA Zone specifications (available at www.ushja.org):
    a. Awards are offered for the following at the Zone level: Equitation 14 and under, Equitation 15-17, Adult Equitation 18-35 and Adult Equitation 36 and over.
    b. Points will be awarded in accordance with the Hunter/Jumping Seat Equitation Increment Chart.

1st	20
2nd	15
3rd	10
4th	6
5th	5
6th	4
7th	3
8th	2

    c. Section champions will be awarded 2.0 times the total 1st place point value. Section reserve champions will be awarded 1.2 times the total 1st place value.
    d. Competition management may offer Age Group Equitation classes divided at their discretion. Equitation that is offered by age will be awarded points that will be credited to the age groups defined in GR1132.12.a or the relevant Zone Specifications.
    e. Age Group Equitation classes for junior riders to be shown over a course of not less than eight fences and the fence heights cannot exceed 3’6” (or 1.10m when class specifications list fence height in metric) and wings are optional.
    f. Age Group Equitation classes for adult amateurs to be shown over a course of not less than eight fences and the fence height cannot exceed 3’3” (or 1.0m when class specifications list fence height in metric).
    g. The USHJA shall determine the Zone HOTY points to be awarded for its USHJA Equitation Championships, Finals and Classes. The USHJA shall notify the Federation and publish the point scale to be utilized on the USHJA website a minimum of 120 days prior to the start of each event.
14. For the purposes of the USHJA Zone awards, ribbons won in any National or Premier rated section will receive points per GR1132.5. For the purposes of the USHJA Zone Equitation awards, ribbons won at any competition will receive points per GR1132.13b. USHJA Zone Awards will be distributed according to the Zone map.
15. Presentation of the USHJA Zone Horse of the Year Awards will be made at Zone meetings at the discretion of Zone Committees and auspices of Zone Committees, only after approval of the USHJA.

ZONE MAP



BOD 6/20/23 Effective 12/1/23

GR1133 Pony Hunter Breeding and Hunter Breeding

1. Eligibility- Points toward any Horse of the Year Award will not be credited until the applications and fees for the horse's recording, exhibitor's registration, transfer of ownership, name change or addition of owner(s) and owner's Senior Active, Junior Active or Life membership are received by the Federation offices. Furthermore, points toward any Horse of the Year Awards will not be credited until the applications and fees for the horse's USHJA Horse Registration, transfer of ownership, name change or addition of owners(s) and the owner's USHJA Active or USHJA Life membership are received by the USHJA offices. Exception: Applications for Federation and USHJA Individual Membership and Horse Recordings submitted at Licensed Competitions.
2. Hunter Breeding Awards are offered for the following at the Zone level from USHJA and National level from the Federation: Hunter Breeding Yearling, Hunter Breeding Two-Year-Old, and Hunter Breeding Three-Year-Old.
3. The horse accumulating the most National points out of the Yearling, Two-Year-Old and Three-Year-Old Hunter Breeding (horse) sections will be awarded the Grand Champion Hunter Breeding Award.
4. Pony Hunter Breeding awards are only offered at the Zone level from USHJA for the following: Pony Hunter Breeding Yearling, Pony Hunter Breeding Two-Year-Old, Pony Hunter Breeding Three-Year-Old.
5. Point Tabulation
   a. Classes with combined age groups do not count toward the Horse or Zone Horse of the Year Awards. Exception: Best Young Horse and Best Young Pony classes. See also GR1113.
   b. Points will be based on the number of horses/ponies in the class as follows:

Number in class	1st	2nd	3rd	4th
1	1 (*)			
2	2	1 (*)		
3 - 10	5	3	2	1
11+	10	6	4	2

c. (*) If a horse or pony that competes in a qualifying class with less than three entries becomes Best Young Horse/Pony or Reserve Best Young Horse/Pony at that competition, they will receive full points for the qualifying class (i.e. 5 pts. for 1st, 3 pts. for 2nd).

d. Points awarded in the Best Young Horse Class for the Championship and Reserve Championship are based on the total number of horses entered in all rated classes of the Hunter Breeding section.

Total number	Champion	Reserve
1 - 2	2 points	1 point
3 - 14	5 points	3 points
15 or more	10 points	6 points

e. Pony Hunter Breeding Championship points are based on the total number of ponies in the rated classes of the Pony Hunter Breeding Division (Yearling, Two year-olds, and Three year-olds) 1 to 14 total entries: Champion 5 points, Reserve 3 points 15 or more entries: Champion 10 points, Reserve 6 points.

6. Presentation of the USHJA Zone Horse of the Year Awards will be made at Zone meetings at the discretion of Zone Committees and auspices of Zone Committees, only after approval of the USHJA.
7. USHJA Zone awards will be distributed according to the USHJA Zone map.
8. The USHJA shall determine the National, Zone HOTY points to be awarded for its USHJA Hunter Breeding Finals and Classes. The USHJA shall notify the Federation and publish the point scale to be utilized on the USHJA website a minimum of 120 days prior to the start of each event.

GR1134 Jumpers

1. Eligibility.
   Points toward any Horse of the Year Award will not be credited until the applications and fees for the horse's recording, exhibitor's registration, transfer of ownership, name change or addition of owner(s) and owner's Senior Active, Junior Active or Life membership are received by the Federation office. Furthermore, points toward any Horse of the Year Awards will not be credited until the applications and fees for the horse's USHJA Horse Registration, transfer of ownership, name change or addition of owner(s) and the owner's USHJA Active or USHJA Life membership are received by the USHJA offices. Exception: Applications for Federation and USHJA Individual Membership and Horse Recordings submitted at Licensed Competitions.
2. Point Tabulation. See GR1113-GR1119. 1.00/1.05m Junior Jumper, 1.10/1.15m Junior Jumper, 1.00/1.05m Amateur Jumper, 1.10/1.15m Amateur Jumper USHJA Zone Horse of the Year Awards and Pony, 5-Year Old, 6-Year Old, and 7/8-Year Old Jumper National and USHJA Zone Horse of the Year Awards will be based on the following:

Exhibitors	3-8	9-15	16-25	26+
1st	15	20	25	30
2nd	10	15	20	25
3rd	8	12	16	20
4th	6	9	12	15
5th	5	8	11	14
6th	4	7	10	13
7th	3	6	9	12

8th	2	5	8	11

*Determined by the number of entries that competed (see JP136.13) in the class.

3. The 1.20/1.25m Junior Jumper, 1.30/1.35m Junior Jumper, and 1.40/1.45m Junior Jumper, 1.20/1.25m Amateur Jumper, 1.30/1.35m Amateur Jumper, and 1.40/1.45m Amateur Jumper, U25 and Young Rider Jumper Horse of the Year Awards will be based on one point for every dollar won in their classification at Regular Competitions.
4. The Open Jumper Horse of the Year Award will be based on money won in classes which comply with JP151, classes of $25,000 or more. One point for every dollar won will be awarded.
5. Award Categories. Federation National awards will be given in the following categories: 1.00/1.05m Junior, 1.10/1.15m Junior, 1.20/1.25m Junior, 1.30/1.35m Junior, 1.40/1.45m Junior, 1.00/1.05m Amateur, 1.10/1.15m Amateur, 1.20/1.25m Amateur, 1.30/1.35m Amateur, 1.40/1.45m Amateur, Open, Pony, Thoroughbred Jumper, Young Rider and U25. Zone Horse of the Year Awards will be given by USHJA in the following categories (see JP119 for Zone specifications): 1.00/1.05m Junior, 1.10/1.15m Junior, 1.20/1.25m Junior, 1.30/1.34m, Junior, 1.40/1.45m Junior, 1.00.1,05m Amateur, 1.10/1.15m Amateur, 1.20/1.25m Amateur, 1.30/1.35m Amateur, 1.40/1.45m Amateur, Pony, Young Riders, U25 and Thoroughbred Jumper. National awards will be offered in two awards channels as follows:

   a. Channel I – Based on placings at standalone Jumper Level 4-6 competitions or Jumper Level 1-6 competitions held in conjunction with a Premier("AA") or National ("A") rated hunter competition.
   b. Points earned in a channel are exclusive to that award category.
   Channel II – Based on placings at standalone Jumper Level 1-3 competitions or Jumper Level 1-3 competitions offered in conjunction with a Regional ("B') rated hunter competition.
   c. Points earned in a channel are exclusive to that award channel.

6. USHJA Zone awards will be distributed according to the Zone map. USHJA Zone awards will be offered in two awards channels as follows:
   a. Channel I – Based on placings at standalone Jumper Level 4-6 competitions or Jumper Level 1-6 competitions held in conjunction with a Premier ("AA") or National ("A") rated hunter competition.
   b. Channel II – Based on placings at standalone Jumper Level 1-3 competitions or Jumper Level 1-3 competitions offered in conjunction with a Regional ("B") rated hunter competition.
   c. Points earned in a channel are exclusive to that award channel.

7. Presentation of the USHJA Zone Horse of the Year Awards will be made at the discretion and auspices of Zone Committees, only after approval of the USHJA.
8. The USHJA shall determine the National, Zone HOTY points to be awarded for its USHJA Jumper Championships, Finals and Classes. The USHJA shall notify the Federation and publish the point scale to be utilized on the USHJA website a minimum of 120 days prior to the start of each event.
9. Jumper Competitions Utilizing Federation HOTY Points for Qualification for their Events.
   a. Standalone Jumper Level 4-6 competitions or Jumper Level 1-6 competitions held in conjunction with a Premier or National rated hunter competition must utilize the applicable Channel I HOTY points for qualification purposes.
   b. Standalone Jumper Level 1-3 competitions or Jumper Level 1-3 competitions held in conjunction with a Regional rated hunter competition must utilize the applicable Channel II HOTY points for qualification purposes.

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GR1135 Morgan Horse Division

1. Eligibility. See GR1110.
2. Point Tabulation. See GR1113-GR1119. In-hand classes will accrue points in all age group classes as listed below. Junior and Senior Champion and Reserve Champion ribbons will receive double points; Grand Champion and Reserve In-Hand will receive triple points.
3. All other restricted classes will count 1/2 points (Maiden, Novice, Limit, Green Horse classes and classes held at Local competitions).
4. In all other classes points will count as follows:

First	Second	Third	Fourth

20	12	8	4

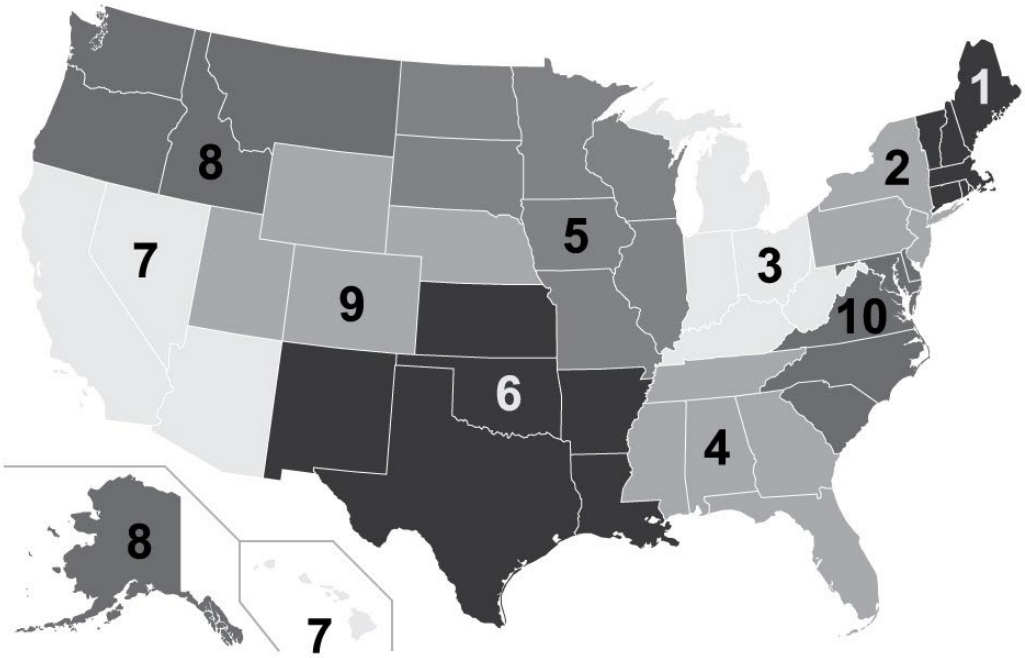
Points in all Championship Performance classes will be scored as follows:

Champion	Reserve	Third	Fourth
40	24	16	8

Local competitions will receive half points.

5. Categories. National and Regional awards will be given in the following:
   a. ENGLISH PLEASURE - Open, Amateur, Junior Exhibitor;
   b. WESTERN PLEASURE—Open, Amateur, Junior Exhibitor;
   c. PLEASURE DRIVING—Open, Amateur; Junior Exhibitor;
   d. CLASSIC PLEASURE SADDLE;
   e. CLASSIC PLEASURE DRIVING;
   f. PARK - Saddle and Harness
   g. HUNTER PLEASURE—Open, Amateur, Junior Exhibitor;
   h. IN-HAND;
   i. CARRIAGE-Classes offered in the CP rules of the USEF rule book will count towards this award. Exception: Concours D'Elegance; Classes which are restricted (number of ribbons won; number of USEF Carriage Pleasure Driving competitions completed; number of years of competition experience; entry status: maiden, novice, limit; driver status: junior, adult, professional, amateur, maiden, novice, limit; horse status: maiden, novice, limit, size, age, sex) will count as half points;
   j. DRESSAGE—Training, 1st Level, Second Level through Fourth Level;
   k. ROAD HACK;
   l. VERSATILE MORGAN—Jumper, Parade, Roadster, Reining, Trail, Cutting, Working Hunter O/F and U/S, Hunter Hack, Bridle Path Hack, Sport Horse (In-Hand and Under Saddle) and Working Western.
   m. Western Dressage-Includes Open, Amateur, and Junior Exhibitor classes for all test levels and Western Dressage Suitability, Western Dressage Hack, Western Dressage Seat Horsemanship, and Western Dressage Seat on the rail.
6. Grand Champion Morgan Horse is based on the total of all points won in all Morgan categories.
7. For purposes of distributing Regional awards the following map will be used: Region 4 - Alabama, Florida, Georgia, Mississippi, Tennessee; Region 10 - Delaware, District of Columbia, Maryland, North Carolina, South Carolina, Virginia.
8. Regional awards will be presented at a place determined by each AMHA regional organization. Or if no regional organization exists, by a committee of Morgan club presidents selected by and chaired by the AMHA regional director of that region.
9. All Federation members receiving awards must also be members of AMHA prior to the presentation of the awards.

MORGAN REGIONAL MAP



GR1136 National Show Horse

1. Eligibility. See GR1110.
2. Point Tabulation. See GR1113-GR1119.
   Points for all competitions will be awarded as follows:

Started	10+	9	8	7	6	5	4	3	2	1
1st	10	9	8	7	6	5	4	3	2	1
2nd	9	8	7	6	5	4	3	2	1	
3rd	8	7	6	5	4	3	2	1		
4th	7	6	5	4	3	2	1			
5th	6	5	4	3	2	1				
6th	5	4	3	2	1					
7th	4	3	2	1						
8th	3	2	1							
9th	2	1								
10th	1									

3. Championship classes will receive double points; NSHR District classes will receive double points; NSHR National Finals classes will receive triple points.
4. Awards will be given on a National basis.
5. Categories. National awards will be given in the following categories: English Pleasure, Pleasure Driving, Three-Gaited, Five-Gaited, Fine Harness, Country Pleasure, Hunter Pleasure, Show Hack, Western Pleasure.

GR1137 Paso Fino

1. Eligibility. See GR1110.

2. Point Tabulation. See GR1113-GR1119. Points will be awarded according to the number of horses beaten in a class for which the Federation has class specifications. Exception: In Equitation classes no points will be awarded. 3 points will be awarded for every horse beaten in a class at a Federation Regular Competition. 1 point will be awarded at Federation Local Competitions. Championship classes will be awarded double points.
3. Award Categories. National awards will be given in the following categories:
   a. Fino
   b. Performance
   c. Pleasure
   d. Specialty
   e. Amateur
   f. Junior exhibitor-based on the total of all points won in junior exhibitor classes (exception: equitation and horsemanship)
   g. Sub-junior-based on the total of all points won in sub junior classes (exception: equitation and horsemanship)
4. Grand Champion Paso Fino- based on the total of all points won in all Paso Fino categories.

GR1138 Reining

1. Eligibility. See GR1110.
2. Point Tabulation. Points will be awarded according to the number of horses beaten in a class. A rated competitions will award 2 points for every horse beaten; B, C, and Local rated competitions will award 1 point for every horse beaten in the class. Championship classes will be awarded double points.
3. Award Categories. National Awards will be given in the following categories: Open, Non-Pro/Adult Amateur, Junior Exhibitor.

GR1139 Roadster

1. Eligibility. See GR1110.
2. Point Tabulation. See GR1113-GR1119. Points for all competitions will be awarded as follows:

Started	6+	5	4	3	2	1
1st	6	5	4	3	2	1
2nd	5	4	3	2	1	
3rd	4	3	2	1		
4th	3	2	1			
5th	2	1				
6th	1					

The Kentucky State Fair World's Championship Horse Show will receive triple points.

3. Regional Awards will be distributed according to the Hackney Regional Map.
4. Regional Awards will be presented at an appropriate venue within each region.
5. Award Categories. National and Regional Awards will be given in the following categories: Roadster Horse Under Saddle, Roadster Horse To Bike, Amateur Roadster Pony, Junior Exhibitor Roadster Pony, Open Roadster Pony (shares one award with Hackney Roadster Pony). See GR1131.6.

GR1140 American Saddlebred

1. Eligibility. See GR1110. All horses must be registered American Saddlebred Horses, and owners must be members of ASHBA.
2. Point Tabulation. See GR1113-GR1119. Points for all competitions will be awarded as follows:

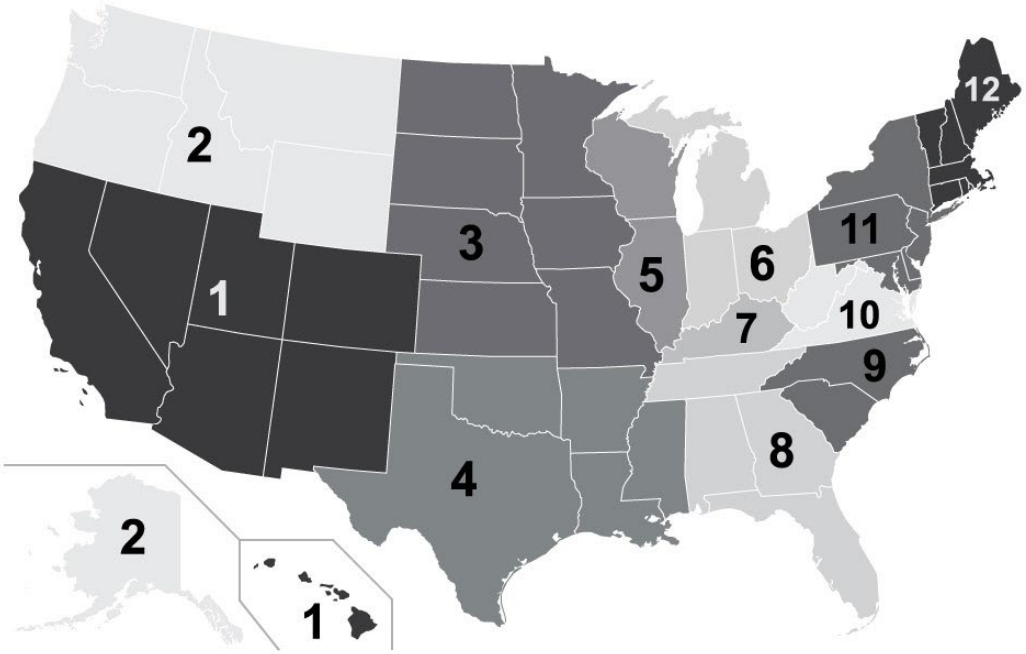
Started	6+	5	4	3	2	1

1st	6	5	4	3	2	1
2nd	5	4	3	2	1	
3rd	4	3	2	1		
4th	3	2	1			
5th	2	1				
6th	1					

a. The Kentucky State Fair World's Championship Horse Show will receive triple points.
b. The UPHA/American Royal National Championship Horse Show will receive double points for qualifying classes and triple points for the Championship and/or Stake classes.
c. The Saddle and Bridle Hunter Classic Final and Saddle and Bridle Shatner Western Final held at the St. Louis National Charity Horse Show will receive triple points.

3. Regional Awards will be distributed according to the American Saddlebred Regional Map.
4. Regional Awards may be presented at an appropriate venue within each region as approved by the Federation
5. Award Categories. National and Regional awards will be given in the following categories: Three-Gaited Park Horse, Open Three-Gaited American Saddlebred, Amateur Three-Gaited American Saddlebred, Junior Exhibitor's Three-Gaited American Saddlebred, Open Five-Gaited American Saddlebred, Three Gaited Park Pleasure, Amateur Five-Gaited American Saddlebred, Junior Exhibitor's Five-Gaited American Saddlebred, Adult Three-Gaited Show Pleasure Horse, Junior Exhibitor Three-Gaited Show Pleasure, Five-Gaited Show Pleasure Horse, Country Pleasure English Horse, Country Pleasure Western Horse, Show Pleasure Driving Horse, Country Pleasure Driving Horse, Country Pleasure Hunter Horse, Open Fine Harness, Amateur Fine Harness.

AMERICAN SADDLEBRED REGIONAL MAP



GR1141 Shetland Division

1. Eligibility. See GR1110.
2. Point Tabulation. See GR1113-GR1119. Points for all competitions will be awarded as follows:

Started	10+	9	8	7	6	5	4	3	2	1
1st	10	9	8	7	6	5	4	3	2	1
2nd	9	8	7	6	5	4	3	2	1	
3rd	8	7	6	5	4	3	2	1		
4th	7	6	5	4	3	2	1			
5th	6	5	4	3	2	1				
6th	5	4	3	2	1					
7th	4	3	2	1						
8th	3	2	1							
9th	2	1								
10th	1									

Local competitions will receive half points.

3. Award Categories.
   a. A National High Point Award will be given for Shetlands participating in Shetland sections as described in Chapter SP.
   b. An Overall Open Competition High Point Award will be given for Shetlands participating in open Dressage, Carriage Pleasure Driving, Combined Driving or Hunter divisions.

GR1142 Vaulting

1. Eligibility. See GR1110.
2. Points are based on the following:
   To be eligible for an award, a horse must have participated in a minimum of three USEF recognized vaulting competitions. Only horses that carry the same vaulter/s for all classes in a division are eligible. The horse must receive a qualifying average horse score of 6.000 or greater. Only the highest average horse score for each award category in a competition will be converted to points for this program. The sum of the three highest point totals (one from each competition) will be used to determine award winners in each category. Points will be used to determine awards only in the competition year in which they are earned.
3. Award Category. A National High Point Award will be given in the following categories: Individual Vaulting Horse, Pas de Deux Vaulting Horse, and Team Vaulting Horse.

GR1143 Welsh Pony, Cob and Half Welsh

1. Welsh Pony Awards are offered for the following at the Regional and National level
   a. Welsh English Pleasure Sections A&B, 12.2 & Under (junior to ride)
   b. Welsh English Pleasure Sections B over 12.2 and up to 14.2 (junior to ride)
   c. Welsh English Pleasure Sections C&D (junior/adult to ride)
   d. Welsh English Pleasure Sections A&B- (adult to ride)
   e. Welsh Pleasure Driving, Sections A&B (junior/adult to ride)
   f. Welsh Hunter Sections A&B (junior to ride)
   g. Welsh Hunter Sections C&D (junior/adult to ride)
   h. Welsh Hunter Sections A&B- Adult to Ride (adult to ride)
2. Half/Part-Bred Welsh Awards are offered for the following at the Regional and National level:
   a. Half/Part-Bred Welsh English Pleasure (junior/adult to ride)
   b. Half /Part-Bred Welsh Hunter (junior/adult to ride)

3. An overall Western Pleasure award is offered for the following at the Regional and National Level:
   a. Western Pleasure Sections A, B, C & D and Half/Part-Bred Welsh (junior/adult to ride)
4. Full points shall be awarded to classes with three or more entries within the Welsh division conducted at A rated competitions based on the chart in point 5. below. Classes with fewer than three entries will receive reduced points based on the respective chart in point 5. below. Model classes will receive half points.
5. Points shall be awarded based on the following:

Classes with three or more entries				
Rating	**First**	**Second**	**Third**	**Fourth**
A	20	12	8	4
Classes with two entries				
A	8	4		
Classes with one entry				
A	4			

Championship points will be awarded as follows for championships won in sections with three (3) or more entries. No points will be given for championships awarded in sections or classes that have fewer than three (3) entries completing each class:

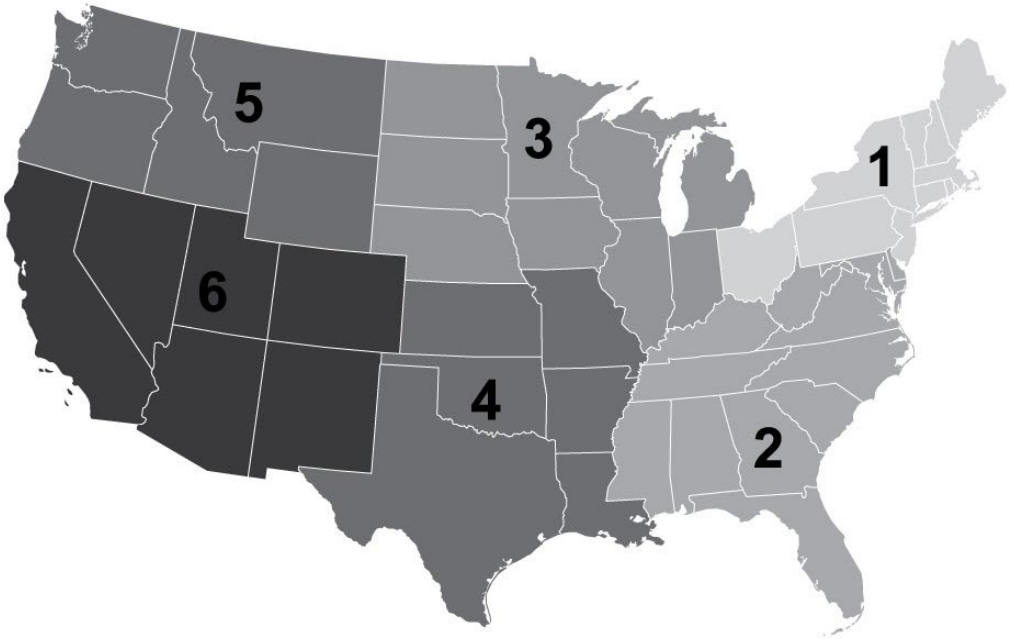
	Champion	**Reserve**
A Rating	12	8

6. For the purposes of Regional awards, ribbons won in any A rated section will receive A points. Regional Awards will be distributed according to the Regional map.
7. Presentation of the Federation Regional Horse of the Year Awards may be made at Regional meetings at the discretion of Regional Committees.

If a section is divided in any way (ex: divided by age; Jr. and Adult or divided by section: A/B, C/D, Half/Part) each split section must meet the minimum requirements for prize money and number of classes.		
Section	Minimum number of classes	Minimum prize money
Welsh English Pleasure Sec. A&B, 12.2 & under (junior to ride)	3	$50.00
Welsh English Pleasure Sec. B, over 12.2 & up to 14.2 (junior to ride)	3	$50.00
Welsh English Pleasure Sec. C&D, 12.2 & under (junior/adult to ride)	3	$50.00
Welsh English Pleasure Sec. A&B (adult to ride)	3	$50.00
Half/Part Bred Welsh English Pleasure (junior/adult to ride)	3	$50.00
Welsh Pleasure Driving Sec. A&B (junior/adult to ride)	3	$50.00
Welsh Hunter Sec. A&B (junior to ride)	3	$50.00
Welsh Hunter Sec. C&D (junior/adult to ride)	3	$50.00

Welsh Hunter Sec. A&B (adult to ride)	3	$50.00
Half/Part-Bred Welsh Hunter (junior/adult to ride)	3	$50.00
Welsh Western Pleasure Sec. A,B,C,D and Half/Part-Bred Welsh (junior/adult to ride)	3	$50.00

WELSH REGIONAL MAP



GR1144 Western

1. Eligibility. See GR1110.
2. Point Tabulation. Points will be awarded according to the number of horses beaten in a class. A rated competitions will award 2 points for every horse beaten; B, C, and Local rated competitions will award 1 point for every horse beaten in the class. Championship classes will be awarded double points.
3. Award Categories. National and Regional Awards will be given in the following categories:
   a. TRAIL—Open, Adult Amateur, Junior Exhibitor;
   b. WESTERN PLEASURE—Open, Adult Amateur, Junior Exhibitor.
   c. RANCH - All Ranch classes listed in the Western Chapter.
4. For purposes of distributing Regional Awards refer to the Regional map in GR1123.
   BOD 6/20/23 Effective 12/1/23

GR1145 Western Dressage

1. Eligibility: See GR 1110.
2. Point Tabulation: See GR 1113-1119.
   a. Full points will be awarded to classes conducted at USEF licensed Western Dressage competitions, as well as competitions holding open Western Dressage classes.
   b. Points in all classes will be awarded as follows: They are awarded on the scores of rides versus placings. Scores are achieved through a horse and rider combination (unit).
3. Award Categories:

a. Open
   1. Intro Tests (1-4)
   2. Basic Tests (1-4)
   3. Level 1 Tests (1-4)
   4. Level 2 Tests (1-4)
   5. Level 3 Tests (1-4)
   6. Level 4 Tests (1-4)
   7. Level 5 Tests (1-4)
   8. Western Dressage Suitability
   9. Western Dressage Hack
   10. Western Dressage Seat Horsemanship
   11. Western Dressage Seat on the rail
b. Amateur
   1. Intro Tests (1-4)
   2. Basic Tests (1-4)
   3. Level 1 Tests (1-4)
   4. Level 2 Tests (1-4)
   5. Level 3 Tests (1-4)
   6. Level 4 Tests (1-4)
   7. Level 5 Tests (1-4)
   8. Western Dressage Suitability
   9. Western Dressage Hack
   10. Western Dressage Seat Horsemanship
   11. Western Dressage Seat on the rail
c. Junior:
   1. Intro Tests (1-4)
   2. Basic Tests (1-4)
   3. Level 1 Tests (1-4)
   4. Level 2 Tests (1-4)
   5. Level 3 Tests (1-4)
   6. Level 4 Tests (1-4)
   7. Level 5 Tests (1-4)
   8. Western Dressage Suitability
   9. Western Dressage Hack
   10. Western Dressage Seat Horsemanship
   11. Western Dressage Seat on the rail

Level Score	60.00-64.99%	65.00-69.99%	70.00-74.99%	75.00%+
Introductory	1	2	3	4
Basic	1.5	3	4.5	6
Level 1	2	4	6	8
Level 2	2.5	5	7.5	10
Level 3	3	6	9	12
Level 4	3.5	7	10.5	14
Level 5	4	8	12	16

For pattern classes, the chart above will be used to tabulate points. For rail classes, the chart below will be used to tabulate points.

Started	**10+**	**9**	**8**	**7**	**6**	**5**	**4**	**3**	**2**	**1**
1st	10	9	8	7	6	5	4	3	2	1

2nd	9	8	7	6	5	4	3	2	1	
3rd	8	7	6	5	4	3	2	1		
4th	7	6	5	4	3	2	1			
5th	6	5	4	3	2	1				
6th	5	4	3	2	1					
7th	4	3	2	1						
8th	3	2	1							
9th	2	1								
10th	1									

SUBCHAPTER 11-G ZONE FINAL COMPETITIONS

GR1146 Zone Finals

Information on zone finals/championships is available from USHJA.

CHAPTER 12 COMPETITION OFFICIALS, EMPLOYEES, AND VOLUNTEERS

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CHAPTER 12 COMPETITION OFFICIALS, EMPLOYEES, AND VOLUNTEERS

SUBCHAPTER 12-A COMPETITION OFFICIALS

GR1201 Licensee - Operation of Competition

The Licensee of a Licensed Competition is responsible for the operation of the competition. The Licensee may appoint a Show Committee or Competition Management of at least three responsible people who must be available at all times to act in executive capacity. It is the duty of the Licensee to enforce all the rules of the Federation from the time entries are admitted to the competition grounds until their departure.

GR1202 Manager

1. An individual acting in this capacity, or as Assistant Manager, is required to be a Senior Active Member of the Federation. Any individual acting as the Competition Manager of a hunter and/or jumper Open (not restricted to a breed) competition must be a Senior Active member in good standing of the United States Hunter Jumper Association, Inc. The competition manager of a Federation- licensed/USDF-recognized competition must be a current USDF Participating or Group member in good standing at the time competition recognition is granted and on the date of the competition. Anyone acting in the capacity of Competition Manager at a Federation licensed competition must complete applicable Federation education requirements or Federation approved Affiliate education requirements prior to the start date of the competition.
2. Licensed Competitions should exercise extreme care in the selection and appointment of a competition manager for the mutual benefit of committees, exhibitors and spectators. Any member of a Show Committee or Competition Management who performs the duties assigned herein to the competition manager, in lieu of an appointed manager, is in fact the responsible officer within the meaning of these rules and must be so named in the prize list. A thorough knowledge of the rules of the Federation is one of the requisites of a person serving as a competition manager.
3. Any competition manager who violates or knowingly permits violation of the rules of the Federation at their competition is subject to disciplinary action by the Hearing Committee in accordance with the Federation Bylaws.
4. The following is prohibited for a Competition Manager:
   a. Act as a Judge, Steward, Technical Delegate, or Certified Schooling Supervisor for their own competition.
   b. Act as a Competition Manager where a Competition Manger's family member, cohabitant, companion, domestic partner, housemate, or member of Competition Manger's household officiates as a Judge, Steward, Technical Delegate, or Certified Schooling Supervisor at said manager's competition.
5. A manager or secretary of a Dressage Competition or an organizer of an Eventing Competition may not compete as rider or handler in their own competition. However, a manager or secretary may show Hors de Concours if they designate an assistant in charge while they are showing. This does not absolve the manager's or secretary's duties and responsibilities.
6. A qualified manager of a Federation Licensed Competition must be present throughout the competition they are managing while classes are underway. However, they may leave the competition grounds if they designate a qualified assistant manager in charge during the time of their absence. This does not absolve the manager of their duties and responsibilities.
7. The manager of a Level 3, Level 4 or Level 5 Dressage Competition must be eligible according to the criteria listed in DR126 and listed in the Dressage Levels chart posted on the Federation website.
   BOD 6/20/23 Effective 12/1/23

GR1203 Competition Secretary

1. An individual(s) who processes entries or performs such other duties as assigned by the Board of Directors, the Show Committee or Competition Management, and manager. A secretary of a Dressage Competition may not serve as judge or compete as rider or handler in their own competition. However, a secretary may show Hors de Concours if they designate an assistant in charge while they are showing. This does not absolve the secretary's duties and responsibilities. An individual acting in this capacity, or as Assistant Secretary, must be an Individual Senior Active member of The Federation.

2. The secretary of a Level 3, Level 4 or Level 5 Dressage Competition must be eligible according to the criteria listed in DR126 and listed in the Dressage Levels chart posted on the Federation website. The competition secretary of a Federation- licensed/USDF-recognized competition must be a current USDF Participating or Group member in good standing at the time competition recognition is granted and on the date of the competition.

GR1204 Veterinarian

1. The official veterinarian shall be a licensed graduate of an accredited veterinary school in good standing in their state of practice, be familiar with the current Federation Equine Drugs and Medications Rules and have experience in equine veterinary practice. The official veterinarian shall not rule on soundness in classes in which they or a family member may have a horse or pony entered or measure any horses or ponies in which a conflict of interest exists due to personal or family interest in the equine. The official veterinarian may be a competitor and the competition will still be in compliance with the requirements of GR1211.5 (Exception: Eventing). Veterinary emergencies shall take precedence over competing.
2. He shall render complete veterinary service to visiting International Teams and feature attractions; the cost of drugs, x-rays, laboratory procedures and expendable equipment is to be paid for by the competition or as otherwise provided prior to the competition. The examination and treatment, except when requested by the judge, of all other horses in the competition shall be on a private practice basis.
3. The veterinarian shall assist management in all matters pertaining to the health and welfare of the animals in the competition.
4. The official veterinarian's decision, if requested by the judge as to the serviceable soundness of a horse (i.e., whether the horse shows evidence of lameness, broken wind, or complete loss of sight in either eye), will be final for the purpose of awarding ribbons in the class for which they have been called.
5. The official veterinarian, if called upon by the judge, will act as consultant in regard to structural faults, defects and blemishes in areas which might impair a horse's activity and durability. Having received the benefit of the veterinarian's consultation, the judge will then place the horses in question at their own discretion, based on their relative merits in light of the entire class specifications.
6. The veterinarian will immediately, after leaving the ring, file a statement of their findings with the competition secretary, setting forth therein the number and title of the class, the number of the horse, the date and time of day. The veterinarian must have their certificate of finding read and signed by the steward of the competition on duty during the particular class.
7. Examination of a horse in the ring by a veterinarian must be done as inconspicuously as possible and in such manner as not to draw public attention thereto. Cooperation of judges to this end is required.
8. It is the duty of the veterinarian to assist the steward/technical delegate in the measurement of any animal requiring measurement in accordance with the rules of the Federation. (See Chapter 5, Chapter HJ (HJ126.8).
9. Veterinarian(s) for Federation Licensed Endurance competitions must be selected a) from a list of Federation Endurance veterinarians.

GR1205 Course Designer

1. The Jumper course designer is responsible for laying out the course, building the obstacles and for the measurement of the course. An 'R' license is required to officiate alone for all classes offering $25,000 or more in prize money. An 'r' license is required to officiate any competition with a Jumper rating 2 or higher. To obtain recognition as a course designer, an individual must apply to the Federation for recognition.
2. The Jumper Course Designer must be on the grounds during the classes for which they are building. The Course Designer or their designated representative must be present at the ring(s) during all Jumper classes for which they have responsibility and be available to report to the judge at any time that the course is properly set for the competition.
3. The Hunter course designer or their designated representative, must be present at all sessions of a competition and be available to report to the judge at any time that the course is ready in all respects. The Hunter course designer is responsible for the correctness of the course and must give consideration to any suggestions made by the judge. Copies of the courses must be given to the judges. The Hunter course designer must be a Senior member of Federation and must be knowledgeable in the rules relating to the

Hunter classes being offered. At non-breed restricted Federation Licensed Competitions the hunter course designer must also be a Senior Active member of USHJA.

4. A minimum of a 'r' Hunter course designer license is required to officiate alone at a National or Premier rated Hunter/Jumper competition with a Hunter class offering up to $4,999 in prize money. A 'R' Hunter course designer license is required to officiate in any class at an National or Premier licensed Hunter/Jumper competition with a Hunter class offering $5,000 or more in prize money.
5. Eventing Course Designer.
   a. A course designer, a member of a course designer's family, or a Course Advisor at Eventing Competitions shall not compete at the following competitions: Three Day Events, National Championship Horse Trials, competitions being used for Federation selection purposes, and similar competitions designated by the Eventing Sport Committee when the Course Designer or Course Advisor is officiating.

GR1206 Farrier

A farrier cannot officiate in any class in which they are an exhibitor. The farrier must report promptly when called to the ring to make repairs during a class.

GR1207 Designated Competition Office Representative

1. A Designated Competition Office Representative need not be appointed at all competitions. It is at the discretion of the Steward/Technical Delegate to appoint one if the circumstances so require. Some examples that may require the Steward/Technical Delegate to appoint a Designated Competition Office Representative are:
   a. Large competition grounds or eventing courses that may cause an extraordinary amount of time to reach the competition office.
   b. Many arenas that require the Steward's/Technical Delegate's attention.
   c. Special classes that require the Steward/Technical Delegate to remain at the schooling area.
   d. A large number of entries at the competition, which may cause many trips to the competition office for collection of the Drugs and Medications Report Form.
2. Further the Designated Competition Office Representative must be a Federation Senior Member and an office staff person mutually agreed upon by the Competition Management and Competition Steward/Technical Delegate.
3. The Designated Competition Office Representative will be responsible to collect, date, sign, and issue receipt for all the paper Medication Report Forms submitted. Additionally, all paper Medication Report Forms are to be given to the Steward/Technical Delegate on a daily basis. It is the Steward's/Technical Delegate's sole responsibility to inspect, sign and return all the Medications Report Forms to the Federation office. Paper Medication Report Forms may only be used when it is impossible to submit an online form.

SUBCHAPTER 12-B COMPETITION EMPLOYEES AND VOLUNTEERS

GR1208 Ringmaster

A ringmaster cannot officiate in any class of a competition in which they or a member of their family or any of their clients is an exhibitor.

GR1209 Timekeeper

A timekeeper cannot officiate in a class in which a member of their family or any of their clients is competing (Exceptions: Dressage Classes and Eventing Competitions). See also GR831.1 and GR833.

SUBCHAPTER 12-C DUTIES OF COMPETITION MANAGEMENT IN GENERAL

GR1210 General

Apply annually to the Federation for dates for the competition.

1. Medal Classes.
   a. Competitions offering Hunter/Jumping Seat Medal classes must offer at least three additional classes under Federation rules suitable for those competitors who are eligible to compete in the Medal. These classes do not have to be Equitation classes.
   b. Competitions cannot restrict cross entries between Medal classes.
   c. NOTE: Permission to hold a Medal class will not be granted to more than one, one day Licensed Competition held on consecutive days with the same management at the same location.
   d. Following the holding of the USEF Hunter Seat Medal, the USEF Adult Equitation Class and/or the USEF Pony Medal Class, Competition Management shall forward to the Federation a total amount representing the per entry fee in each class.
   e. Following the holding of Federation Saddle Seat Medal and Saddle Seat Adult Amateur Medal classes, Competition Management shall forward to the Federation a total amount representing the per entry fee in each class.
   f. Following the holding of USEF Western Equitation Junior Medal and Adult Amateur Medal Classes, Competition Management shall forward to the Federation a fee per rider in each class.
2. National competition grounds must have a level surface area for measurement (when there are divisions that require measurement) in accordance with GR507.
3. See that animals required to be measured are correctly measured by the rules and order the measurement of any horse whose height or length of foot is protested by an exhibitor.
4. Competition management must place a minimum of one (1) sharps container per competition, regardless of whether stabling is provided.
   a. If stabling is provided, for every fifty (50) occupied stalls, one (1) sharps container must be provided for the disposal of needles and other disposable sharp instruments. These containers must be placed in visible, accessible, and convenient locations in barns housing competition horses.
   b. Sharps Containers: A sharps container is made of puncture-resistant plastic with leak-resistant sides and bottom. It has a tight fitting, puncture resistant lid, which does not allow sharps to come out of the container. Sharps containers must be properly labeled to warn of hazardous waste inside the container. Sharps containers must not be altered in any way from its manufactured form, such as removal of security measures or drilling of the plastic. Sharps containers must be protected from the elements as to not collect rainwater
   c. It is competition management's responsibility to dispose of contents of sharps containers when the container is three-quarters (3/4) full, in accordance with community guidelines for proper disposal methods. Competition management may fine any individuals including trainers, owners, exhibitors, or their agents up to $100.00 for improper disposal of needles or other sharp disposable instruments.
   d. If local law has more stringent requirements than the above, related to sharps containers or disposal, local law will prevail. Competitions that fail to place the required number of containers on the competition grounds or dispose of the contents properly will be considered in violation of the rules and may be subject to penalty as determined by the CEO or their designee and/or the Hearing Committee.
5. Competition Management is required to submit a Post Competition Report, along with the appropriate fees, to the Federation, postmarked or electronic date stamped within 10 calendar days after the close of the competition. Fees must be submitted by cashier/company/personal check, money order, credit card or wire transfer; cash will not be accepted as proper payment. For purposes of this rule, a Post Competition Report shall include all appropriate fees and the following properly completed forms: a Post Competition Report Form, Membership Applications and Horse Recording Applications. A fine of $100 will be imposed on any Competition Management which fails to submit any appropriate fees with the Post Competition Report postmarked or electronic date stamped within 10 calendar days after the end of the competition. Competition Management disputing that the fee/fine is properly owing may appeal in writing to the Federation within 30 days of the date of the notice of outstanding fees and fines. The Federation's CEO or their designee will consider the appeal and may waive part or all of the fine upon a finding of good cause why the payment was not submitted in a timely fashion and/or a finding that extreme hardship results from the automatic penalty.
6. If required by management, premiums of the competition will be paid to all competitors that have provided Social Security numbers, Federal ID numbers or Tax Identification numbers to the competition secretary. It is the sole responsibility of the competitor to provide the competition secretary with accurate payee information, including Social Security number, Federal ID number, or Tax Identification number, payee name and address. The above mentioned premiums must be paid within 30 days of the last day of the competition to competitors whose accounts with the competition are current. Pay other indebtedness of the competition within 30 days of the invoice date. A fine of $100 will be imposed on any Competition Management which fails to pay any

competition fees/fines to the Federation within 30 days of the notice of the fees/fines owing. A Competition Management disputing that the fee/fine is properly owing may appeal in writing to the Federation within 30 days. The Federation's CEO or their designee will consider the appeal and may waive part or all of the fine upon a finding of good cause why the payment was not submitted in a timely fashion and/or a finding that extreme hardship results from the automatic penalty.

7. Collect a fee in all classes for the Equine Drugs and Medications Program, except where prohibited by law. (See GR407). Exception: Lite Competitions. (See GR401.1.h&i)
8. It is a violation for Competition Management to assess and/or collect a drug enforcement fee in excess of, or in addition to, that specified and required by GR407.2 of these rules, unless said assessment is approved in writing by the Federation in advance, and then only under the terms and conditions set forth.
9. It is a violation for Competition Management to withhold from the Federation any or all of the drug fees collected in accordance with GR407.3, for any purpose, including to defray the expenses incurred providing stalls, passes, and other items to the Federation drug testing personnel, as required by GR407.4 and .5.
10. At the Federation's request, a competition must furnish entry blanks, judges' cards, class sheets, course maps for USEF Hunter Seat Medal, USEF Pony Medal, ASPCA Horsemanship classes, and USEF Show Jumping Talent Search classes, or any other documents within the time requested by the Federation. These records must be kept on the competition grounds during all sessions of the competition. These records must be retained for three years.
11. Be responsible for the construction of courses.
12. Collection of a Mandatory Participation Fee. Federation Recognized Affiliates may require a Licensee to collect a mandatory participation fee from exhibitors and remit such fees to the applicable affiliate, unless the Federation objects to such fees in advance of the competition and in writing.
13. Competition Management is not permitted to delegate administrative tasks or any other responsibilities of Competition Management to the Steward or Technical Delegate.
14. For all Federation competitions holding Dressage classes, remit to the Federation with post-competition report the required fees for use of Federation copyrighted Dressage Tests, as specified on the post-competition report.
15. No manager or representative of the manager may hold a prize money class without distributing the prize money.
16. To ensure that Federation Member Reports and Judge Evaluation Forms are publicly displayed and available for Federation members during the entire competition. Ensure that an announcement is made via the competition's public address system at least once each session, pertaining to the availability of said forms.
17. Specific duties of Dressage Competition Management are listed in the Dressage Levels chart posted on the Federation website.

GR1211 Appointment of Officials and Employees

1. Apply for Special and Guest Officials' card in accordance with GR1005, GR1011, GR1015, GR1024, and GR1025.
2. It is the responsibility of competition management to hire the appropriate number and type of licensed officials.
3. Judges.
   a. It is the responsibility of the Licensed Official to ensure that they is eligible to officiate by complying with all licensure and membership requirements prior to the first day of competition.
   b. If a competition finds it necessary to substitute a judge for one who is officially designated in the prize list and/or catalogue and who is unable to serve due to circumstances beyond their control, the restrictions of GR1304.5 shall be non-effective.
   c. Furnish the judges in each class a scorecard containing exact class specifications. (Exception: only the percentage of conformation must be noted for rated Hunter classes.) Fence heights must be noted for all unrated classes. Method of breaking ties in Jumper classes must be included.
4. Stewards/Technical Delegates.
   a. Appoint and identify in the prize list and catalogue one or more licensed Federation Stewards licensed to officiate in the divisions and sections for which the competition is approved who shall be present at each session of the competition. A technical delegate must be appointed for Eventing Competitions, Dressage Competitions and Regular and Local Competitions offering "open" Dressage Division classes (i.e., classes which are not limited to certain breeds) or classes above Third Level. Exceptions:

1. A C2 Steward may officiate through Fourth Level of breed restricted Dressage classes at Licensed Breed Restricted Competitions.
2. C2 Stewards who are also licensed Dressage Technical Delegates may serve in both roles at Licensed Breed Restricted Competitions offering Open Dressage classes. When a dually licensed C2 Steward/Dressage Technical Delegate is officiating, the Open Dressage classes must be their sole responsibility until those classes are complete. If there are additional non-Open Dressage classes occurring at the same time as the Open Dressage classes, a separate Dressage Technical Delegate must officiate for the Open Dressage classes.

b. If required to officiate, a Dressage Technical Delegate must be present and officiate for all Dressage classes held on the day(s) which they are in attendance. If no other classes except Dressage are held on a licensed day of a Breed-Restricted Competition, a steward does not need to be present in addition to the Dressage Technical Delegate.

c. If a competition finds it necessary to substitute a steward or technical delegate for one who is officially designated in the prize list and/or catalogue and who is unable to serve due to circumstances beyond their control, the restrictions of GR1304.12 shall be non-effective.

d. Competitions are urged to engage a steward for each ring when classes are held simultaneously and to select individuals who are well versed in the divisions being offered.

e. Competitions are required to obtain the necessary Stewards or Technical Delegates in accordance with the restrictions below:

			Number of Previous Year's Unique Horse Entries				
		0-250	251-500	501-1,000	1,001-1,500	1,501-2,000	2,001 or more
# of competition rings used simultaneously	1-3	1	1	2	3	4	4
	4-7	1	2	3	4	4	5
	8 or more	2	2	4	4	5	5

i. Competition Management is permitted to obtain more than the minimum number of Stewards or Technical Delegates required above.
ii. For any competitions holding the eventing division, when the cross-country phase is running, it will count as one competition ring, regardless of the number of levels offered. In addition, each dressage and show jumping ring will be counted as a competition ring. Additional Eventing TD requirements contained in EV153 are intended to be in addition to the minimum TD requirements contained in the above chart.
iii. Competitions that host regionals, championships, or finals that occur on a rotating schedule, and create a significant change in horse entry numbers, may request a waiver to the Federation to utilize the horse entry count from the year prior to the regional, championship, or final. The waiver must be requested with the competition license renewal.
iv. Exception: At the Paso Fino Grand National Championship at least two C2 Stewards must officiate. See PF155 for additional restrictions.

f. Unless otherwise approved by the Federation, a competition in its first year of operation must have at least one applicable Steward or Technical Delegate for every two competition rings being used simultaneously regardless of the number of horses entered.

g. The maximum number of competition rings being used simultaneously at any point during the competition will be counted for the purposes of GR1211. If the number of competition rings being used simultaneously changes within a competition day, competition management is permitted to adjust the number of Stewards and/or TDs in accordance with the chart in GR1211/4 and any other applicable rules.

h. At all competitions using more than one competition ring, management must provide a hand-held communication device (i.e. walkie-talkie or cell phone) to each steward or technical delegate.

i. The Federation is required to provide competition stewards and technical delegates with some form of discernable identification (such as a badge with lanyard) which must be worn at the competition by the official.

5. Veterinarians.
   a. Every competition with rated or non rated division(s) or sections must have a qualified veterinarian present throughout the competition if the previous year's competition's entry number was 300 horses or greater. See individual breed/discipline rules that may require a lower threshold than listed above. At a multi-breed competition, the lowest threshold will prevail.
   b. The previous competition entry number shall be determined by the amount of Federation fees paid to the Federation. Exception: For Dressage Competitions, the number of horses competing the previous year shall determine if a veterinarian must be present on the grounds or on call.
   c. Competitions without a qualified veterinarian present throughout the competition must have a written agreement with a veterinarian to be on call.
   d. First year multi-day competitions with National or Premier rated hunter sections must have a veterinarian present throughout the competition. First year multi-day Breed or Multi-breed restricted competitions with "A" rated divisions must have a veterinarian either on call or on the grounds throughout the competition. All other first year multi-day competitions and first year one-day competitions must have a written agreement with a veterinarian on call.
   e. At competitions where the official veterinarian is on call, the prize list must include the time period when the veterinarian will be available to conduct measurements. This information must also be posted in the show office. If the veterinarian is required to measure at any time other than as stated in the prize list, the owner is responsible for paying veterinarian fees.
6. Farrier
   a. Every competition that offers a Hunter division or section with a National rating must have a farrier qualified in those divisions or sections offered at that competition on competition grounds and available during all performances (Exception: "A" rated Welsh competitions).
   b. Competitions restricted to Arabians, Morgans, Hackneys, Roadsters, American Saddlebreds or National Show Horses must have a qualified farrier on competition grounds and available during all performances. (Exception: Arabian competitions restricted to sections exempt from shoeing regulations are not required to have a farrier on the grounds).
   c. A farrier must be on the grounds or on call at all other competitions.
7. It is required that all Licensed Competitions must have a 'Competition Secretary', i.e. a secretary who is a Senior Active Federation member, present in the competition office during the competition.
8. Specific requirements for staff and officials for Dressage Competitions are listed in the Dressage Levels chart posted on the Federation website. *BOD 6/20/23 Effective 12/1/23*

SUBCHAPTER 12-D DUTIES CONCERNING COMPETITION RECORDS

GR1212 Prize Lists

1. A copy of the prize list must be received by the Federation Office at least thirty (30) days prior to the competition. Prize list must be submitted electronically via e-mail, with staff confirming receipt. If the prize list is not received thirty (30) days prior to the competition, the competition will be invoiced as outlined in GR1212.2. Copies also must be forwarded to the Federation steward or technical delegate and to the judges.
2. If the prize list is not received thirty (30) days prior to the competition, the Federation shall assess a fee. If the fee is not paid, it shall be added to the amount of dues for the ensuing year and future competition dates will not be awarded until both penalty and dues have been paid.
3. If management disputes that the prize list was not timely filed or that the above fine is not properly owing, it may request a waiver of this fine, provided they can show good cause.
4. See GR310 for Lite Competitions for rules regarding Prize List requirements.
   BOD 6/20/23 Effective 12/1/23

GR1213 Entries

1. The name of any exhibitor whose fees are not negotiable must be reported in writing to the Federation within 90 days of the close of the competition. (See GR913).
2. If there is a closing date, the competition must acknowledge all entries made by that date.

3. Ensure that no entry will compete until or unless the exhibitor and the rider, driver, or handler and trainer, or agent(s) of such person(s), have signed an entry blank, including all post entries. In the case of a rider, driver or handler under 18, their parent or guardian, or if not available, the trainer, must sign an entry blank on the minor's behalf.
4. Have available applications for amateur status. If a rider or driver in amateur classes does not possess current amateur certification, the secretary must require the individual to complete the necessary application (see GR1307).
5. Collect and remit promptly to the applicable Recognized Affiliate Associations as defined under Bylaw 222, Section 1 (1) and 1 (2), the Affiliate Show Pass fee, if applicable. Verify that a Federation the Show Pass fee, if applicable, as provided in GR205 and GR206 has been purchased by each rider, driver, handler, owner, lessee or agent who is a non-member and participates in any Regular Competition, Eventing Competition at the Modified Level or above, Dressage Competitions, Combined Driving Competitions, Endurance and Vaulting Competitions who has not produced a valid Federation membership card or copy thereof. Lessees are considered owners in connection with this membership requirement. In the event of an entry under multiple ownership, where no owner is a Member, only one owner need pay a Show Pass fee.
6. When classes or divisions are recognized by The Federation under the same competition number, duplicate Federation fees (drug, Show Pass, etc.) may not be charged to exhibitors, regardless of whether different competition secretaries officiate in these classes or divisions. See GR407.
7. Ensure that riders, driver, handlers, vaulters, longeurs, owners, lessees, agents and trainers who are not members as required by the provisions of Bylaw 203, are not allowed to participate in Federation Licensed Competitions, Eventing Competitions at the Modified Level or above, Driving Competitions at the Advanced Level, Dressage Competitions, Endurance and Vaulting Competitions unless all provisions of .1-.6 (above) are met.
8. Ensure that every rider, driver, longeur, and vaulter in a non-breed-restricted event in an FEI recognized discipline has complied with GR828.
9. Eliminate a competitor who has made an entry of horse, rider or driver that is ineligible.
10. If management accepts unpaid entries, it thereby subjects the competition to the provisions of GR913.
11. A number card for each competitor must be provided. For Dressage: A separate number must be issued for each horse/rider combination (DR126.1j(4)). For Dressage Sport Horse Breeding: One number must be issued for each horse showing In Hand. A separate number must be issued to each entry (combination of horses) showing in group classes. Entries in Materiale and Under Saddle classes must be issued a separate number only if the rider did not also show the same horse in hand. For Dressage Materiale, Dressage Sport Horse Breeding Materiale, and Under Saddle classes, two numbers (min. 3" x 5") must be provided to and worn by Materiale class and Under Saddle entries and they must be positioned on each side of the saddle pad to be clearly visible at all times. (DR207.8).

GR1214 Results

1. All competition results and other data as specified by the Federation in the license agreement must be electronically transmitted to the Federation within 10 days following a Licensed Competition, including all corrections, changes, and additions to the prize list. All results must meet the criteria and format of the Federation result template with all required fields as published by the Federation and must be submitted as outlined in the license agreement. A fee will be assessed for any required data not received electronically. Full results include, but are not limited to the names and Federation numbers of all horses, riders, and owners in all classes; the number of entries; all placings; the names and Federation numbers of all Licensed Officials who officiated in each class, except Stewards and Technical Delegates; and money paid out. In the event of an entry under multiple ownership, only one owner need be a Member or pay a Show Pass fee. The competition is responsible for listing either the Active member or the person that paid the Show Pass fee in the results. (Exception GR901.9).
   a. Hunter, Jumper, and Equitation
      1. Results for all non-breed competitions restricted to Hunter, Jumper, and Equitation classes over fences must also include the fence height for each exhibitor.
      2. For Young Jumper classes the first round fault scores for each entry must also be included.
      3. Results must include faults of each round in jumper classes held at 1.30m or above and in all Junior Jumper classes.
      4. Results must include faults from each round of Pony Jumper classes.

5. Results in Hunter Competitions in over fences classes must record the following information, if applicable: (i) Did Not Compete and (ii) Did Not Place. See HU118.10

b. Dressage

1. Results for Dressage Competitions and Regular or Local competitions holding open Dressage or Dressage Sport Horse Breeding classes must include the Federation and USDF membership and identification/recording numbers for all owners, riders, handlers and horses for whom this information is required for the competition. This material is needed in order to include the winnings of exhibitors in the Federation's permanent records.

2. The secretary of each Federation Licensed Competition must, within 10 calendar days of the competition, electronically send to the Federation, a list of Competition Officials, as well as the full results with all required fields.
3. If the competition fails to file a full set of results postmarked within 10 calendar days of the closing of the competition, the Federation will levy a fine of $250. If the fine is not paid, future competition dates might be retracted or not awarded. The competition has the right to request a waiver of this fine, provided they can show good cause. Exception: See the USEF Fee Schedule for the Lite Competition fee structure.
4. Within 30 days of the deadline for filing any report or paperwork required under the Rules, the Federation must notify the Official Competition Contact responsible for filing such report or paperwork in the event that it has not been received.
5. The Federation must be notified of any retirement ceremony held at any Licensed Competition. See GR812.
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SUBCHAPTER 12-E DUTIES CONCERNING FACILITIES

GR1215 Stabling

1. At Level 3, Level 4 and Level 5 Dressage Competitions, and competitions offering National or Premier rated hunter sections, overnight stabling, on the competition grounds, must be provided for any accepted and confirmed entry in a section held on multiple days that has requested and paid for the stall(s) by the closing date of entries.
2. Stalls provided must be of sturdy construction, in good condition and safe for horses and ponies. The recommended minimum dimensions for box stalls for horses are 9' wide by 9' deep by 7' high or comparable square footage. It is essential that the covering over the stalls is weatherproof. If a competition offers temporary stabling, tent poles or other structures used to support the covering must not be placed in any stall to be occupied by a horse or pony. Prize list must specify type of stabling and whether stall doors will be provided.
3. At competitions which offer overnight stabling, adequate lighting must be provided.
4. For six consecutive hours overnight, stabling must have minimal lighting and noise to allow stabled horses to rest adequately.
5. It is recommended that at Level 4 and Level 5 Dressage Competitions, and at competitions with an A rated division provide, upon request of the official competition veterinarian, a suitable area, protected from the elements, secured from public view, adequately lighted, with adequate electrical supply and running water, to serve as a first aid station for the emergency treatment of ill and injured horses.
6. Additional stabling requirements for Dressage Competitions are listed in the Dressage Levels chart posted on the Federation website.
7. All permanent barn structures and temporary stabling on competition grounds must have clearly visible identification, such as numbers, letters, or names.

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GR1216 Facilities

1. Convenient and ample water facilities must be provided.
2. Adequate and sanitary toilet facilities must be provided.
3. All competition grounds (where there are divisions that require measurement) must have a level surface area for measurement in accordance with GR507.

4. Food must be provided on the grounds. Potable water must be available for the entire duration of each competition day beginning one hour prior to the start of the first class and ending at the completion of competition each day.
5. A secretary's office with adequate personnel and proper communication between secretary, announcer, in-gate, each ring and stables must be provided.
6. Proper schooling and exercise areas must be provided. See Rules GR834-GR838.
7. Subject to local law and contract requirements, any owner or trainer stabled on the grounds of a competition must be permitted to haul in hay, grain and bedding, meeting management's specifications as published in the prize list, for their own use, and use any farrier or veterinarian of their choice.
8. Directions to the competition grounds must be posted outside the competition office so that directions can be relayed to the EMS.
9. Before the first day of competition, competition management must post the name, address, and telephone number of the closest veterinary emergency and surgical facility. The competition management must have notified and obtained assurance that such facility can handle competition related emergencies. The name, address, and telephone number of this facility must be posted in the same location as the telephone and/or other emergency call priority system.
10. Lighting at sunrise and sunset that provides full and complete visibility is a requirement for the competition ring and schooling area. Horses may not be required to be exhibited in the competition ring or schooled in the designated warmup areas before the official hour of sunrise or after the official hour of sunset unless lighting is provided that assures full and complete visibility.
11. Competition management is required to make an effort to provide the best possible footing in competition and warm-up areas. Provisions must be made (by having on hand proper equipment and scheduling sufficient breaks in the schedule) to maintain the footing in those areas, e.g. by dragging, watering, and raking, if necessary, throughout the competition.
12. Additional requirements for Dressage Competitions regarding facilities, services and amenities are listed in the Dressage Levels chart posted on the Federation website.

SUBCHAPTER 12-F DUTIES CONCERNING ENFORCEMENT OF FEDERATION RULES

GR1217 Rules

1. Each Licensed Competition must have a copy of the current Federation Rulebook available for reference at all times during the competition.
2. Enforce all rules of the Federation from the time entries are admitted to the competition grounds until their departure.
3. Comply with and enforce the 1979 Horse Protection Act, as amended. Copies of this law and lists of associations that provide D.Q.P.s can be obtained from the Federation office.
4. Prevent the abuse of a horse anywhere on the grounds and to see that GR844 and GR839 are rigidly enforced.

GR1218 Protests, Charges and Violations

1. Receive and act upon Disciplinary Action Complaints in accordance with Federation Bylaws and report whatever action is taken to the Hearing Committee.
2. It is within the jurisdiction of a Licensed Competition to disqualify a person and/or their entries and to cause a person to forfeit their winnings and ribbons at that competition and for cause to have the horses removed from the grounds without being held for damage. All such disqualifications must be reported to the Federation.
3. Any Licensed Competition which allows a person not in good standing to judge, serve as steward or technical delegate, manage, exhibit, ride, drive, or participate in any manner after due notice has been received from the Federation may be subject to penalties. See GR704.
4. In the event that any person participating at a competition commits an offense or violation described in Chapter 7, Competition Management may in their discretion disqualify that person and/or their entries from further participation in their competition only (See GR908). Any such offense must be reported to the Federation for whatever further action is deemed necessary.

5. Report in writing to the Federation any act on the part of any person named in GR701 deemed prejudicial to the best interests of the Federation. Matters to be so reported include withdrawal by an exhibitor of their horses from the competition grounds, or from the competition after it has commenced, without permission.
6. Report in writing to the Federation within ten (10) days of the close of the competition the names and addresses of exhibitors and horses that were eliminated or disqualified from the competition for a rule violation and reasons for said elimination or disqualification.

CHAPTER 13 COMPETITION PARTICIPANTS AND ASSOCIATED INDIVIDUALS

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CHAPTER 13 COMPETITION PARTICIPANTS AND ASSOCIATED INDIVIDUALS

Exhibitors' attention is directed to Chapter 8 which includes rules pertaining to conduct at Licensed Competitions.

SUBCHAPTER 13-A RESPONSIBILITIES

GR1301 General

1. Knowledge of and compliance with the rules of the Federation.
2. Obtain Federation membership or pay a per-competition Show Pass fee if competing at Federation member competitions. (See GR202 and GR205-GR206.) Exception: See GR310 for Lite Competitions
3. Obtain Federation Amateur Certification and measurement cards where required. (See GR1307, GR501, and HU137.) Exception: Amateur Certification is not required for participation in Lite Competitions, unless HOTY Points are being awarded to the participant.
4. Any member or non-member who fails to pay sums owing to the Federation or who makes payment for fees to the Federation which is not negotiable will be notified by the Federation of their indebtedness and warned that unless settlement is made within two weeks they will automatically be fined the sum of $250 to be paid to the Federation; and further, that they and any horses owned by them and any horses and/or persons for which payment has not been made or for which the non-negotiable sums have been paid will automatically be barred from taking any part whatsoever in Licensed Competitions until payment or settlement is made of the total indebtedness to the Federation. Publication of the suspension will be published on the Federation's web site.
   a. If any individual affected by GR1301.4 disputes that the amounts in question are owed or unpaid, they may request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees provided the individual's written statement specifying the grounds for the review is received at the Federation's office within said two week period accompanied by the fee, which will be refunded if the dispute is settled in favor of said person.
   b. In the event a person makes non-negotiable payment for fees to the Federation on three or more occasions, they are, after a hearing, subject to further disciplinary action. In addition, any future payments made to the Federation, must be submitted in the form of a certified check, cashier's check, money order, or valid credit card.
5. Every person participating in any competition licensed by the Federation is subject to the Federation Bylaws and Rules and is responsible under the rules for their own acts and failures to act and for the acts and failures to act of their agent or agents whether or not they or their agent or agents have signed an entry blank.
6. Dogs are not permitted to be loose on competition grounds and must be held on a leash or otherwise restrained. Individuals must not lead dogs on a leash while mounted. Dog owners are solely responsible for any damages, claims, losses, or actions resulting from their dogs' behaviors. Dog owners failing to comply with this rule will be issued a Yellow warning card and may be subject to penalty under Chapter 7.
7. Minors who do not have a valid driver's license which allows them to operate a motorized vehicle in the state in which they reside will not be permitted to operate a motorized vehicle of any kind, including, but not limited to, golf carts, motorcycles, scooters, or farm utility vehicles, on the competition grounds of licensed competitions. Minors who have a valid temporary license may operate the above described motorized vehicles as long as they are accompanied by an adult with a valid driver's license. The parent/legal guardian or individual who signs the entry blank as a parent or guardian of a minor operating a motorized vehicle in violation of this rule are solely responsible for any damages, claims, losses or actions resulting from that operation. Violations of this rule will be cause for penalties against those responsible for the child committing the offense. Wheelchairs and other mobility assistance devices for individuals with disabilities are exempt from this rule.
8. The unsafe use of personal electronic transport devices, as determined by the competition officials and management in their sole discretion, that do not require a driver's license to operate, including but not limited to segways, hover boards, and single wheeled scooters is prohibited on competition grounds. Operating such devices in areas where people gather may be deemed "unsafe use" if there is a risk of harm to others. If the operator of the device is a minor, the parent/legal guardian or individual who signs the entry blank as a parent or guardian of a minor operating the device in violation of this rule is accountable and may be subject to penalties. Wheelchairs and other mobility assistance devices for individuals with disabilities are exempt from this rule.

9. In the event of an acute and serious injury to or illness of a horse on competition grounds when the owner or trainer of the horse or agent of the owner is not present, after reasonable effort to contact that individual has been made, competition management, in consultation with a licensed equine veterinarian, may authorize the provision of emergency veterinary treatment of the horse. If competition management so authorizes treatment under the provisions of this rule, competition management and veterinarian are not liable for any resulting costs, damages, losses, claims, or actions arising directly or indirectly from the treatment of the horse.

GR1302 Duties

1. Every exhibitor, rider, driver, handler, and trainer or their agent(s) must sign an entry blank (see GR404 and GR908.2). In the case of a rider, driver, or handler under 18, their parent or guardian, or if not available, the trainer, must sign an entry blank on the minor's behalf.
2. Every exhibitor, rider, driver, handler, and trainer or their agents must provide on the competition entry blank, all information required under Federation rules. Exhibitors are responsible for their own errors and those of their agents in the preparation of entry blanks.
   a. The following credentials must be made available to Competition Management. Competitions may, at their discretion, confirm any of the below electronically with the Federation Office:
      1. Original or copies of Federation membership cards, Exemption: Applications completed at the competition. The member will retain the pink copy of the membership application form which, when properly signed by Competition Secretary, is valid for 45 days from the date signed or until the membership card is received from the Federation.
      2. Copies of Federation Measurement cards;
      3. Federation Amateur Certification;
      4. Federation horse recordings for USEF/USDF qualifying classes for dressage championships and USEF/USDF Dressage Championships (see DR127.2).
      5. Registration papers showing proof of ownership or a copy of the registration papers with a Certificate of Eligibility to Show issued by the American Saddlebred Registry for horses entered in classes restricted to American Saddlebreds must be submitted either with entry form at the time of making entry or submitted to the show office before show numbers will be released. If a copy is sent with the entry form, the copy of registration papers will be returned when exhibitors pick up their numbers, if requested by the exhibitor. Original or copies of United States Hunter Jumper Association, Inc., membership card, if applicable.
   b. Exhibitors are urged to submit photostatic copy of all required credentials with their entry blanks. (Exception: measurement cards, see Rules GR502.1 and HJ135).
   c. If an exhibitor does not submit the proper membership documentation to the competition and the competition cannot verify such information, (with the exception of Federation measurement cards) the exhibitor will be responsible to pay a Show Pass fee which is non-refundable.

GR1303 USEF SafeSport Requirements

Consistent with the mission statement of the Federation, the welfare of equestrian participants, especially minors, is of paramount concern. When any member -- athlete, participant, trainer, coach, organizer, official, volunteer, or staff member is subjected to or engages in abuse or misconduct, it undermines the mission of the Federation and is inconsistent with the best interests of equestrian sport and of the athletes the Federation serves. The USEF Safe Sport Policy, including the Minor Athlete Abuse Prevention Policies (MAAPP), found at www.usef.org, contains requirements for all participants in Federation licensed and endorsed competitions. Participating in a Federation licensed competition while ineligible to compete due to a SafeSport Training deficiency may result in forfeiture pursuant to GR703.1(h) in connection with classes competed in while ineligible. Points and results will be nullified and redistributed. Additional penalties may be imposed (see Chapter 7).

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GR1304 Regulations Governing Showing Under Judges, Stewards, and Technical Delegates

1. See also GR1039, GR1040, GR1041, and GR107.
2. For the purposes of this rule, any references to “compete” includes serving in the role of trainer, coach, owner, lessor, lessee, exhibitor, rider, driver, handler, and vaulter.
3. For the purposes of this rule, any references to “rider” includes riders, drivers, and vaulters.
4. For purposes of this rule, “ongoing relationship” is a relationship that has not been terminated at least thirty (30) days prior to the start of the competition.
5. The following are not permitted to compete in a class being officiated by a Judge if there is an ongoing relationship between the individual competing (see above definition) and the judge:
   a. A Judge’s family member, cohabitant, companion, domestic partner, housemate, or member of a Judge’s household;
   b. A Judge’s client, employers, or employees;
   c. Employers of a member of the Judge’s family;
   d. A Judge’s trainer or coach;
   e. A Judge’s trainer’s or coach’s clients;
   f. A horse trained by the Judge or a member of the Judge’s family;
   g. A horse sold by the Judge or by the Judge’s employer;
   h. A horse leased by the Judge or by the Judge’s employer;
   i. A horse owned by the Judge (including but not limited to syndicate and partnership shares);
   j. Any individual that has received or has contracted to receive any remuneration for the sale, purchase, or lease of any horse, unless the sale or purchase has been made and fully concluded at public auction;
   k. Any individual that pays board to the Judge. Retiree and broodmare board are excluded;
   l. A rider whose parent, guardian, or instructor has had any financial transaction in connection with the sale, lease, board, or training of a horse with the Judge, unless the sale was made and fully concluded at public auction; and
   m. A rider that has been instructed, coached, or tutored with or without pay by the Judge;
      1. The conducting of clinics or assistance in group activities will not be considered as instruction, coaching, or tutoring (See GR107).
6. For purposes of this rule, the following are considered employers: any individuals, corporations, partnerships, foundations, trusts or non-profit organizations and shareholders owning five or more percent of the stock of any corporation which employs the Judge or a member of the Judge’s family, and any officers, directors, or partners of any corporation or partnership and officers, directors, or trustees of any trust or foundation or nonprofit organization which employs the Judge or a member of the Judge’s family. The hiring of a Judge to officiate at Licensed Competitions shall not constitute employment for purposes of this rule.
7. Catch Riders and Independent Service Providers (defined below) are not employees for the purposes of this rule.
   a. Catch Rider: An individual who is engaged, for remuneration or not, exclusively to compete, including any warm-up schooling for that immediate competition, a horse(s) owned by another with whom they have no current business relationship. A catch rider has no influence regarding the ongoing competition schedule, management, schooling, exercising, training, care, custody, or control of the horse.
   b. Independent Service Provider: An individual who performs a service(s) for another and the payer has the right to control or direct only the result of the work and what work will be done. The Independent service provider controls the details as to how the work is performed.
8. No one shall approach a judge with regard to a decision unless they first obtain permission from the Steward, or Technical Delegate who shall arrange an appointment with the judge at a proper time and place. The Steward or Technical Delegate shall be present for the meeting. No exhibitor has the right to inspect the judge’s cards without the judge’s permission.
9. If a horse or person is presented to a judge that the judge knows is ineligible to compete under these rules, the judge may advise the ring steward they believe the entry to be ineligible and request that the entry be excused, or the judge may proceed to judge the entry and report the alleged rule violation to the Federation. If a judge has any doubt as to the eligibility of any entry, they should judge the entry and report the alleged rule violation to the Federation.

10. Sixty days prior to the first day of a competition through thirty days after the last competition day, no horse or rider that has been trained by a Judge, or a Judge's employee or agent, may show before that Judge officiating at any of the following competitions:
    a. USEF Junior Hunter National Championship
    b. USEF Pony Hunter National Championship
    c. USEF Hunter Seat Medal Final
    d. USEF Pony Medal Final
    e. USEF Show Jumping Talent Search Finals
    f. ASPCA Maclay Final
    g. Washington International Horse Show Equitation Final
11. An Eventing Judge or Combined Driving Judge may officiate on the Ground Jury of an Eventing or Combined Driving competition when a relationship listed in GR1304.5 competes at the same competition, under the following restrictions:
    a. The Judge must notify the Technical Delegate and the Organizer of the conflict prior to the start of competition. If the conflict is discovered after the start of competition the individual(s) or horse(s) must either withdraw from competition or participate Hors de Concours (H.C.).
    b. The Judge may not judge any of the phases/tests for the Section in which the affected individual(s) or horse(s) are competing. For decisions regarding disqualification, elimination or penalties, the Technical Delegate will assume the role of the Ground Jury.
    c. If an Inquiry or Protest is lodged from the Section in which the affected individual(s) or horse(s) is/are competing, the Judge must excuse themselves from the process and the Technical Delegate will assume the role of the Ground Jury.
    d. There are no restrictions on a Judge if an individual(s) or horse(s) listed in GR1304.5 participates in the Competition H.C.
12. The following are not permitted to compete at the same competition as a Steward or Technical Delegate if there is an ongoing relationship between the individual competing and the Steward or Technical Delegate (see GR1304.4 for definition of ongoing relationship):
    a. A Steward or Technical Delegate's family member, cohabitant, companion, domestic partner, housemate, or member of a Steward or Technical Delegate's household;
    b. A Steward or Technical Delegate's client;
    c. A Steward or Technical Delegate's employee;
    d. A Steward or Technical Delegate's trainer or coach;
    e. Any individual that has purchased or leased a horse from the Steward or Technical Delegate, unless the purchase has been made and fully concluded at public auction;
    f. A horse owned by the Steward or Technical Delegate (including but not limited to syndicate and partnership shares);
    g. A horse trained by the Steward or Technical Delegate;
    h. A horse sold by the Steward or Technical Delegate or by the Steward or Technical Delegate's employer, unless the sale has been made and fully concluded at public auction.
13. The following are not permitted to compete at the same competition as a Federation Endurance Veterinarian:
    a. A horse that is owned by the veterinarian or veterinarian's family member, cohabitant, companion, domestic partner, housemate, or member of the veterinarian's household (including but not limited to syndicate and partnership shares); and
    b. The above relationships are permitted if the relationship has been terminated at least 30 days prior to the start date of the competition.

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GR1305 Elimination & Withdrawal from Classes & Competition

(See also GR118)

1. No exhibitor may withdraw horses from a Licensed Competition after it has commenced, or remove them from the competition grounds, without the permission of the competition secretary.

2. If an exhibitor voluntarily removes a horse from the ring without the permission of the judge, the Show Committee or Competition Management will disqualify the exhibitor and all their entries from all future classes at that competition and all prizes and entry fees for the entire competition will be forfeited.
3. Any horse leaving the ring without the exhibitor's volition is deprived of an award in that class. See also division rules for other causes of elimination.

SUBCHAPTER 13-B AMATEURS AND PROFESSIONALS

For purposes of this Subchapter the following definitions apply:

Family: Family includes a cohabitant, a member of a couple who live together and have an intimate relationship without being married.

Remuneration: Remuneration is compensation or payment in any form such as cash, goods, sponsorships, discounts or services; reimbursement of any expenses; trade or in-kind exchange of goods or services such as board or training.
Social Media Brand Ambassador: A Social Media Brand Ambassador is a social media user who spreads the word about a company/brand or its products by posting about them on social media and promoting them to their unique social audience.

Social Media Influencer: A Social Media Influencer is an individual who has built a reputation for their knowledge, content creation, and expertise on a specific topic. They make regular posts about that topic on their preferred social media channels and generate large followings of enthusiastic, engaged people who pay close attention to their content.

Sponsored Rider: A Sponsored Rider is an individual who is paid by companies, sponsors, and/or owner/s to compete and perform, leveraging their exposure and performance in competition.

GR1306 Professional/Amateur Status

1. Amateur. Regardless of one's equestrian skills and/or accomplishments, a person is an amateur if after their 18th birthday, as defined in GR101 and GR103, they have not engaged in any of the activities identified in Section 4 below.
   a. For Amateurs in the Dressage Division, see DR119.3.
   b. For Amateurs in Jumper Sections, see JP118.
   c. For Amateurs in Hunter Sections, see HU137-HU138.
   d. For Amateurs in Eventing, see EV Appendix 3-Participation in Horse Trials.
   e. For Amateurs in the Arabian Division, see AR110.6 and AR110.7.
2. Remuneration. Amateurs are permitted to accept remuneration for the following activities:

   a. Accept remuneration for barn duties on or off competition grounds. Barn duties include but are not limited to grooming, tacking and un-tacking, bathing, clipping, lunging, braiding, and stall and tack cleaning.
   b. In the American Saddlebred, Saddle Seat Equitation, Morgan, Arabian, Andalusian/Lusitano, National Show Horse, Paso Fino, and Western Divisions, an amateur is permitted to accept remuneration for teaching riding lessons under the following conditions:
      i. The amateur must register with USEF prior to performing any instruction.
      ii. Total lesson time cannot exceed 20 hours per calendar week.
      iii. Lessons must be given under the oversight of a USEF Competing Member in good standing who is designated as a Professional.
      iv. Lessons cannot be conducted at a competition, including Federation Licensed Competitions and non-licensed competitions.
      v. Student must be a beginner who has not competed and is not competing at any level of competition, and lessons must not exceed the basic beginner level.
      vi. Teacher must maintain a log of all lessons. Log must include lesson date, lesson time and name of student.
      vii. A USEF Competing Member designated as a Professional must review the teacher's log and verify its accuracy.
      viii. The teacher and professional are responsible and accountable for compliance with this rule.

ix. USEF may request proof of compliance and refusal to provide such proof constitutes a violation of the rule.

c. Accept remuneration for teaching or training disabled riders for therapeutic purposes.
d. Accept reimbursement for expenses associated with conducting classroom seminars.
e. Accept remuneration for serving as a camp counselor when not hired in the exclusive capacity as an equestrian instructor
f. Accept remuneration as a Social Media Influencer or Social Media Brand Ambassador.
g. Accept remuneration for providing service in one's capacity as a: clinic manager or organizer (so long as they are not performing the activities of instructor or trainer), presenter or panelist at a Federation licensed officials' clinic, Competition Staff, Competition Official, TV commentator, veterinarian, groom, farrier, tack shop operator, breeder, or boarder, or horse transporter.
h. Accept reimbursement for any expenses directly related to the horse (i.e. farrier/vet bills, entries). Travel, hotel, equipment, and room and board are not considered expenses related to the horse.

3. Other Permitted activities by Amateurs. An Amateur is permitted to do the following:
   a. Appear in advertisements and/or articles related to acknowledgement of one's own personal or business sponsorship of a competition and/or awards earned by one's owned horses.
   b. Accept prize money as the owner of a horse in any class.
   c. Accept a non-monetary token gift of appreciation valued less than $1,000 annually.
   d. Serve as an intern for college credit or course requirements at an accredited institution provided one has never held professional status with the Federation or any other equestrian National Federation. In addition, one may accept reimbursement for expenses without profit, as prescribed by the educational institution's program, for the internship. At the request of the Federation, an Amateur shall provide certification from the accredited educational institution under whose auspices a student is pursuing an internship that they are undertaking the internship to meet course or degree requirements.
      i. In the Hackney, Roadster, American Saddlebred, Saddle Seat Equitation, Morgan, Andalusian/Lusitano, Friesian, Arabian, and National Show Horse Divisions, college students may also accept a stipend (maximum value of the Federal minimum wage rate) and reimbursement of expenses during the internship served under the above paragraph.
      ii. In the Hackney, Roadster, American Saddlebred, Saddle Seat Equitation divisions: If a college student serving as an intern exhibits a horse trained by the stable at which they are pursing the internship, the intern shall only exhibit those horses solely owned by: the intern, the intern's family as defined by GR123, the college at which they are a student, or the stable where they are pursuing their internship. The intern shall not exhibit horses owned/leased nor co-owned by the stables' client(s).
   e. Write books or articles related to horses.
   f. Accept educational, competition, or training grant(s).
   g. Assist in setting schooling fences without remuneration.
   h. Retain their Amateur status when riding any horse so long as they do not ride a horse owned by a client of any family member who is a Professional.
4. Professional based on one's own activities. A person is a professional if after their 18th birthday they do any of the following:
   a. Accepts remuneration AND rides, exercises, drives, shows, trains, assists in training, schools or conducts clinics or seminars, except as provided in GR1306.2.g. Interns, apprentices, and working students are included in this rule.
   b. Accepts remuneration AND gives equestrian lessons, or provides consultation services in riding, driving, showmanship or equitation, except as provided for in GR1306.2.b. Interns, apprentices, and working students are included in this rule.
   c. Rides, drives or shows any horse that a family member or a family member's business receives remuneration for boarding, training, riding, driving or showing. A family member of a trainer may not absolve themselves of this rule by entering into a lease or any other agreement for a horse owned by a client of the trainer.
   d. Gives instruction to any person or rides, drives, or shows any horse, for which activity another person in their family or business in which a family member controls will receive remuneration for the activity. A family member of a trainer may not absolve themselves of this rule by entering into a lease or any other agreement for a horse owned by a client of the trainer.
   e. Accepts remuneration AND acts as an agent in the sale of a horse or accepts a horse on consignment for the purpose of sale or training that is not owned by them, their family, or a family business.
   f. Accepts remuneration for the use of commercially logoed items while on competition grounds, as a Sponsored Rider.

5. Professional based on one's own activity along with another's. A person is also deemed a professional after their 18th birthday, if they accept remuneration for their family member engaging in any activity enumerated in 4 a-l above.
6. Violations of Amateur status. The holding of an amateur card does not preclude the question of amateur standing being raised. After an investigation as to proper status has been initiated, and upon request by the Federation, an Amateur must submit verifiable proof of Amateur status. Failure to submit requested documentation to the Federation may be deemed a violation of this rule. Any responsible person(s) found to have violated these rules, may be subject to the penalties as set forth in GR703.
   a. Any individual found to have knowingly assisted in the violation of the Amateur rule may also be subject to proceedings in accordance with the Federation Bylaws. See GR1307.
7. Questions about whether you are an Amateur or Professional. For specific inquiries, email amateurinquiry@usef.org.

GR1307 Amateur Status

1. Only Senior Active Members of the Federation may obtain amateur status. Every person who has reached their 18th birthday and competes in classes for amateurs under Federation rules must possess current amateur status issued by the Federation. Amateur status will be issued only on receipt of the application properly signed, or on receipt of an online status declaration, and is revocable at any time for cause. Any person who has not reached their 18th birthday is an amateur and does not require amateur status. Exceptions:
   a. Amateur status is not required for Arabian ATR/AATR Walk-Trot/Walk-Jog 11 and Over classes or Opportunity classes or Lite Competitions except for the Dressage classes and divisions that are restricted to amateurs. If Opportunity classes are offered at Dressage Competitions or Regular/Local Competitions with "Open" Dressage classes and are restricted to amateurs, riders are required to have amateur status with the Federation.
2. Foreign riders requesting Federation Amateur status, must be a Senior, or Life Competing Member of the Federation.
3. There is no fee for amateur status. An amateur continues to be such until they have received a change in status from the Federation. Any amateur who wishes to be re-classified on the grounds that they have engaged in or is planning on engaging in activities which would prevent them from continuing to remain an amateur must notify the Federation in writing.

4. Any professional who wishes to be reclassified as an amateur on the grounds that they have not engaged in the activities which made them a professional within the last twelve months must complete the reclassification process outlined below.

   a. Such person shall submit to the Hearing Committee an amateur reclassification request which is supported by:
      i. A notarized letter signed by themselves briefly outlining their previous horse related professional activities and outlining their current activities performed within the twelve months since professional activities have ceased;
      ii. Two or more notarized letters from any Active Competing Federation members stating the relationship with the applicant, outlining the applicants activities for the previous twelve-month period, and testifying that the applicant has not engaged in any activities which would make them a professional as outlined in GR1306 during that period;
      iii. A processing fee; and
      iv. Sign and declare amateur status on a current Federation membership application or through an online status declaration. The burden of proof of proving amateur status is on the applicant. The Hearing Committee may call for and/or consider any and all further evidence and facts which it deems pertinent. The decision of the Hearing Committee on the reclassification request shall be final.
      v. EXCEPTION: Any amateur 25 and under, who has engaged in professional activities (as defined herein) may reapply for their amateur status utilizing a 3-month waiting period. The 3-month option is only available for a one-time use. Any subsequent application for amateur status would require a twelve-month waiting period.

      Please contact the Federation office for information regarding international/professional licenses.

SUBCHAPTER 13-C MEMBERSHIP AND DOCUMENT REQUIREMENTS

GR1308 Membership and Document Requirements

1. To be eligible to participate as a rider, driver, owner, handler, vaulter, longeur, lessee or agent at Federation Licensed Competitions, persons must be Members of the Federation as provided in GR202 or if not a member, must pay a Show Pass fee as provided in GR206 (for exceptions see GR901.9). Exception: Applications for Federation Individual Membership and Horse Recordings submitted at Licensed Competitions:
   a. For Dressage Competitions:
      1. Applications are considered effective on the date the application and dues are received by the Competition Secretary provided the application is signed and dated by the Competition Secretary on that same day.
      2. Applications completed online at the competition are effective the date the application is submitted.
   b. For all Competitions other than Dressage Competitions:
      1. Applications are considered effective, for points and eligibility to compete only, on the start date of said Competition provided the application and dues are received by the Competition Secretary and the application is signed and dated by the Competition Secretary during the period of the Competition.
      2. Applications completed online at the competition are effective, for points and eligibility to compete only, on the start date of the Competition.
   c. Lessees are considered owners in connection with this membership requirement. When an entry is under multiple ownership, only one owner need be a Member or pay a Show Pass fee.
2. Memberships completed at the competition must be forwarded to the Federation office within ten (10) days of the close of the competition as part of the full competition results, which are defined in GR1214. The member's copy of the application is valid for 45 days or until the membership card is received from the Federation.
   a. The following credentials must be available to Competition Management and the Federation steward/technical delegate. Competitions may, at their discretion, confirm any of the below electronically with the Federation office:
      1. Amateur Status.
      2. Copies of Junior Hunter and pony measurement cards.
      3. Federation membership cards or a copy thereof, Exception: Applications completed at the competition. The member will retain the pink copy of the membership application form which, when properly signed by the Competition Secretary, is valid for 45 days from the date signed.
      4. Federation horse recordings for USEF/USDF qualifying classes for dressage championships (see DR127.2), and USEF/USDF Dressage Championships (see DR127.2).
      5. A copy of the registration papers showing recorded ownership or a copy of the registration papers with a Certificate of Eligibility to Show issued by the American Saddlebred Registry for horses entered in classes restricted to American Saddlebreds unless the competition, at their discretion, confirms registration electronically with the American Saddlebred Registry or the Canadian Livestock Records Corporation.
      6. A copy of the registration papers showing proof of ownership or copy of the registration papers issued by the American Hackney Horse Society and/or the Canadian Hackney Society for ponies and horses entered in classes restricted to Hackney Horses and Hackney Ponies.
      7. Non-U.S. citizens (as defined by GR901.9) and Foreign Competitors (as defined by GR828.4) must provide proof, in English, of current membership in good standing in their respective National Federation, and hold current membership in good standing with the Federation.
      8. For all horses competing in Young Jumper classes a copy of the registration papers issued by a Breed Registry (or other means of identification issued by the Federation providing proof of age and identity) must be checked by the horse show office.
      9. Originals or copies of United States Hunter Jumper Association, Inc., membership card, if applicable.
   b. If an exhibitor does not submit the proper membership documentation to the competition and the competition cannot verify such information, (with the exception of Federation measurement cards) the exhibitor will be responsible to pay a Show Pass fee which is non-refundable.

3. Participation of non-US citizens in non-breed-restricted national competitions in the US.
   a. Non-US citizens who are not current members of the Federation as provided in GR202 must provide proof, in English, of current membership in good standing in their own National Federation, and must pay a registration fee as provided for in GR206 (for exceptions see GR901.9.)
   b. Foreign Competitors (non U.S. citizens) who participate in Federation amateur classes, in any discipline, must be in possession of a Federation Amateur Card and must be a Junior, Senior, or Life Competing Member of the Federation.
4. U.S. Competitors competing in their own country in an FEI-sanctioned event, not requiring an FEI Passport, must present a valid USA National Passport or a valid FEI Passport. Exception: Endurance Minor Events must follow FEI General Rules regarding identification.

GR1309 Presidential Modifications for the Dressage Division

In the Dressage Division, competitors will not be allowed to compete with modifications unless a copy of their Federation Presidential Modification letter is provided to the competition secretary by the beginning of the competition.

SUBCHAPTER 13-D COMPETITION DISPENSATIONS

GR1310 Dispensations/Classification Certificate

1. Dispensation/Classification Certificate. Any individual with a diagnosed permanent physical disability wishing to compete in a Federation licensed competition with a compensatory aid and/or adaptive equipment must obtain a Dispensation/Classification Certificate from the Adaptive Sports Committee, including those individuals with a current Federation or FEI Classification. Upon the Committee's approval, a Dispensation/Classification Certificate will be issued by the Federation. The Dispensation/Classification Certificate will list all compensatory aids and adaptive equipment allowed the individual while competing. Other compensatory aids or special equipment not specifically listed on the Dispensation/Classification Certificate are not allowed. A copy of the Dispensation/Classification Certificate must be included with the individual's entry. The competition manager or secretary shall include a copy attached to all applicable scoring sheets for the judge's reference. For instructions on how to apply for the Dispensation Certificate, please refer to GR1312. Also see GR1315 for definitions of terms used in this section. (Exception: Dispensation/Classification certificates are not required for Breed or Multi-breed restricted or Hunter competitions or classes; Equitation Division classes, Carriage Pleasure Driving Division, or the Open Western Division).
2. In circumstances that fall outside of the dispensation program, a Presidential Modification may be considered. Please refer to GR153 and Bylaw 332.1.f.

GR1311 Para-Equestrian Eligibility/Dispensation/Classification

In order for an individual to compete in Federation Licensed Para-Equestrian Competitions in Para-Equestrian classes, they must have a diagnosed, permanent physical disability. The individual will need to possess a Federation or FEI Classification and a Federation Dispensation/Classification Certificate. See GR143 for the definition of Para-Equestrian and GR1315 for additional definitions).

GR1312 Applying for a Dispensation Certificate/Classification

1. Applications for a Dispensation/Classification Certificate can be obtained from the Federation (via the Federation website or the Federation office) and are reviewed on a continuing basis throughout the year by the Adaptive Sports Committee. Only applications submitted along with supporting medical documentation will be considered. The Adaptive Sports Committee may request additional supporting evidence from the individual regarding their medical status or regarding the aid/equipment which they request dispensation for. The Adaptive Sports Committee will render an opinion (approval of all or some of the aids requested or denial of the dispensation) and the individual will be notified by the Federation in a timely manner.

a. The Dispensation/Classification Certificate will be issued annually upon completing a Dispensation/Classification renewal form, and remains in effect until the end of the competition year or until they receive a change in status from the Federation, whichever is earlier. Any individual who wishes to make changes to their Dispensation/Classification Certificate must notify the Federation in writing and obtain written approval. Certification is revocable at any time for cause.
b. Applications should be submitted in order to allow 30 days for the Adaptive Sports Committee to render a decision regarding the dispensation status.
c. There is no fee for a Dispensation/Classification Certificate.
d. The individual must be a member in good standing with the Federation.

GR1313 Applying for Para-Equestrian (PE) Classification

1. The individual will request classification from the Federation. Application paperwork (Consent for Classification and Certificate of Diagnosis) may be found on the Federation website or from the Federation Director of Para-Equestrian. Once the Federation Director of Para-Equestrian receives the completed paperwork, the office will contact the Federation Classification Coordinator.
2. The Federation classification coordinator will assist in scheduling a classification with one of the Federation /FEI Classifiers. There is a non-refundable administrative fee for the classification payable to the Federation. If the individual requests a classification to be scheduled at their convenience, all expenses for the classifier will be paid for by the individual. The individual must be a member in good standing with the Federation.
3. If the individual is deemed eligible for Para-Equestrian, the classification will result in a Profile and a Grade based on the FEI Profile system. The Profile will remain with the individual for as long as their physical disability remains constant with no need for re-classification. This is considered a Confirmed Status. If the individual has a fluctuating medical condition or if their function changes, the profile may change with future re-classifications. This is considered a Review Status. The Grade will be based on the specific discipline.
4. Upon classification, the Federation will issue a Federation Dispensation/Classification Certificate. This Certificate will outline the individual's profile, grade, status, Classification expiration, and indicate any compensating aids/ adaptive equipment that may be used in Federation Licensed Competition in accordance with Federation rules.
5. For Federation licensed competitions, the individual will send in a copy of their Dispensation/Classification Certificate with their competition entry. The individual will also be responsible for making the Certificate available as requested by the competition officials (See also GR1310).
6. When change in status occurs (i.e. change of compensating aids/adaptive equipment), written request for a change will be submitted to the Federation office.
7. The Federation /FEI Classifier will determine the Grade, status and review period for classification. For those with disabilities with no expected change in functional status, the classification will have a status of Confirmed and have no expiration. For those with fluctuating conditions or conditions expected to change, either by deterioration or improvement there will be a status of Review indicated on the Certificate with an expiration and expected date for re-classification. It is the individual's responsibility to apply for re-classification through the Federation with time allowed prior to any upcoming competitions. It is also the individual's responsibility to apply for re-classification if a major change in function, either improvement or deterioration occurs. The individual will be required to submit objective medical information with the request for re-classification.
8. Upon receipt of an FEI Classification, necessary for Qualifying and FEI licensed competition. The individual's information will be posted on the FEI website at www.fei.org, on the FEI Classification Master List for Dressage or Driving. The list will indicate the individual's profile, grade, status and compensatory aids. The FEI Classification Master List may be found at http://inside.fei.org/fei/disc/para-dressage/classification. The individual may request a Federation Dispensation/Classification Certificate with the FEI Classification status and any approved compensating aids listed. The Dispensation/Classification Certificate may be used at any Federation Licensed Competition.
9. Classification status can be challenged by a Federation competition official with a written request to Federation. Medals will be suspended until such time that the Classification status is resolved.

GR1314 Hearings

1. Any individual whose application for a Dispensation/Classification Certificate or a Para-Equestrian Classification has been denied may request a review by the Hearing Committee or by such individual or committee as it may designate to review said decision. The request must be in writing and received by the Hearing Committee within ten (10) days from receipt of the decision sought to be reviewed.
   a. The hearing shall be after ten (10) days notice to all parties concerned. The notice shall contain a brief statement of the facts reporting the position of the Federation and shall specify the time and place at which the hearing is to be held. The person requesting said hearing may attend and bring witnesses, sworn statements or other evidence on their behalf. Upon the written request of a representative of the Federation or of the person requesting the hearing, there shall be furnished before said hearing any evidence to be introduced, the names of witnesses and the substance of their testimony.
   b. The decision of the Hearing Committee or the person or committee designated to preside at said hearing shall be final.

GR1315 Definition of Terms

1. Diagnosed permanent physical disability - An individual with a medical condition resulting in functional limitations affecting their ability to participate in equestrian sport and diagnosed by a medical doctor. The condition is not reasonably expected to improve and may, in fact, be one that worsens over time. The condition should be easily objectively measurable in scope, either by physical examination by a medical professional or with medical testing. An example may include a limb amputation, paralysis, weakness due to a neuromuscular condition loss of vision.
2. Compensating aid and/or adaptive equipment - Allowance for an alteration in performance, an alteration of dress or alternate piece of equipment which allows the individual with functional limitation(s) to perform the requirements of the competition. The aid or equipment assists in equalizing the functional ability of the individual and should not give the individual an undue advantage. The aid/equipment must be deemed safe for the competitor and the horse and is subject to review by the TD and/or judge at each event. Examples:
   a. Saluting with the nod of the head only when taking a hand off of the reins would be unsafe.
   b. Use of paddock boots and smooth leather half chaps rather than tall boots if wearing tall boots is unsafe for a rider with leg dysfunction.
   c. Allowance to not wear gloves for the individual with impaired sensation in the hands.
   d. Use of 1 or 2 whips to cue the horse for an individual with impaired use of their legs.
   e. Use of a golf cart to survey a course prior to driving for the individual who is unable to walk.
   f. Use of quick release equipment for carriage driving.

For additional examples, please see FEI Compensating Aids booklet at www.fei.org.

3. Dispensation
   a. Dispensation Program - The Federation encourages competition amongst all individuals, including those with a diagnosed permanent physical disability. For those individuals wishing to compete in a Federation licensed competition and who require compensating aids and/or adaptive equipment to do so, a Dispensation/Classification Certificate may be granted. The purpose of the dispensation is to aid those with limited function by allowing the use of aids/equipment which will result in more equal function. These aids/equipment should not give the individual with a disability an advantage over their competitors.
   b. Adaptive Sports Committee: An interdisciplinary group representing the equestrian disciplines, the medical field, competitors, and the Federation who is charged with the review and/or approval of dispensation applications.
4. Para-Equestrian (PE)
   a. Federation Para-Equestrian (PE) Classification - The Federation has chosen to adopt the FEI Profile System for classification of individuals with disabilities for Para-Dressage and Para-Driving. This system provides a means to assess an individual's functional abilities and impairments to determine which Grade they will compete in amongst individuals with similar levels of function. There is a minimal level of impairment required in order to qualify for the FEI Profile System. In the system, an individual with a permanent measurable physical disability is assessed by a Federation or FEI approved classifier. As a result of the assessment, the classifier will determine eligibility and then assign the individual a Profile.

The Profiles are grouped into Grades based on the discipline in which the individual will be competing. For example, an individual who has minor impairment of the left arm and leg after a stroke would likely be given a Profile 15. If they compete in dressage, they would compete in Grade IV. If they choose to compete in Carriage Driving, they would compete as a Grade II.

b. FEI Profile System - Amongst individuals with disabilities, there are many different types of impairments. To provide meaningful competition for these individuals it is necessary that those of similar levels of impairment compete together. The FEI "Profile System" fulfils this criterion. It is a System of tests administered by trained and certified Physical Therapists or Physicians. It is based on the classification systems used in other sports for individuals with disabilities and has been tested for reliability and validity in its application in equestrian sport.

5. Profile - an individual is given one of 42 profiles based on their level of function as assessed by a Federation or FEI Classifier. The Profiles are versatile but tight, easy to use and understand. The locomotor Profiles are not disability (diagnosis) specific, but are based on the ability of the functioning part(s) of the body. The Profile is considered permanent except in the case of a diagnosis that has a reasonable expectation of change, such as with progressive Multiple Sclerosis.
6. Grade - the grouping of profiles within a discipline. In Dressage, there are 5 grades (I, II, III, IV, and V). In Carriage Driving there are 2 Grades (I and II). A Grade is made up of several profiles, grouping Para-Equestrians of similar level of function. The lower number Grades (i.e. Grade I) is made up of Para-Equestrians with a more significant level of impairment, whereas the higher number Grades (i.e. IV) identify those Para-Equestrians with a lesser impairment. The Grade determines which tests a rider competes and against whom the Para-Equestrian will be competing. In National and International competition, medals/placement is awarded in each Grade.
7. An individual who has been classified by a Federation or FEI Classifier and who has been given a Profile, Grade, status and the use of compensatory aids/adaptive equipment. These individuals may compete up to the National level of Federation Licensed PE Competitions.
8. FEI Para-Equestrian (FEI PE) - a Para-Equestrian with an FEI Classification and listed on the FEI Classification Master List for Dressage or Driving on the FEI website at www.FEI.org. The FEI Master List indicating the individuals's Profile, Grade, Status and compensating aids/adaptive equipment. This individual may compete nationally and in Qualifying trials. To achieve this classification, the individual will need a classification by two FEI Classifiers, at least one from outside of their home country. There is a fee to the FEI for application and renewal for the FEI PE Classification Card. Individuals must request renewals through the National Federation.
9. Federation Classifier - a Physical Therapist or Physician who has been trained and approved by the Federation to classify individuals using the FEI Profile System. A Federation Classifier may classify Para Equestrians up through a National level competition.
10. FEI Classifier - a Physical Therapist or Physician who has been trained and licensed by the FEI to classify Para-Equestrians using the FEI Profile System. An FEI classifier may classify Para-Equestrians through to the International level (i.e. CPEDIs, Paralympics, and World Games). FEI Classifiers are designated as Trainee, Level ,1 or Level 2.
11. Federation Classification Coordinator - the lead Federation Classifier responsible for assisting individuals through the classification process.
12. Dispensation/Classification Certificate - The Dispensation/Classification Certificate issued by Federation to an individual with a diagnosed permanent physical disability, indicating Compensating Aids/Adaptive equipment approved for use by the individual in Federation licensed competitions. The Certificate will list an individual's Para-Equestrian Federation or FEI Classification Profile, Grade and Status, if these have been assigned. The FEI will indicate FEI approved compensating aids on the FEI Classification Master List for Dressage or Driving on the FEI website at www.fei.org for those individuals who hold an FEI classification. This information is referenced for all FEI qualifying and FEI Para-Equestrian competitions.

CHAPTERS AL TO WS

WHICH DESCRIBE THE CLASSES FOR ALL DIVISIONS, TOGETHER WITH DEFINITIONS AS TO APPOINTMENTS. Entries in various classes are judged on some of the following qualifications:

Appointments (equipment)	Handiness (promptness)	Soundness
Breed Character	Manners	Speed
Brilliance	Performance	Substance (strength)

Color	Presence (style)	Suitability
Conformation (build)	Quality (finesse)	Type

The particular qualifications for each class are hereinafter set forth and in each class the order of precedence indicates how the emphasis is to be placed in adjudication.

Class specifications included in division rules are mandatory.

Any class described herein may be confined to exhibitors within a desired area by prefixing “LOCAL” to the class title and clearly describing the area involved (e.g., “Open to horses owned and stabled within...miles of the competition grounds” or “Owned by residents of the Town of ...”).

Entry fees, trophies or cash awards must always be indicated. If classes not included herein are offered, extreme care should be exercised in the proper wording of the specifications.

Table of Yards, Feet and Meters

1	inch	=	0.025 m	1.00	m	=	3	ft.	3 ins.
1	foot	=	0.30 m	1.10	m	=	3	ft.	7 ins.
2	ft.	=	0.61 m	1.20	m	=	3	ft.	11 ins.
1	yard	=	0.91 m	1.30	m	=	4	ft.	3 ins.
4	ft.	=	1.22 m	1.40	m	=	4	ft.	7 ins.
4	ft. 3 ins.	=	1.30 m	1.50	m	=	4	ft.	11 ins.
4	ft. 6 ins.	=	1.37 m	1.60	m	=	5	ft.	3 ins.
4	ft. 9 ins.	=	1.45 m	1.70	m	=	5	ft.	7 ins.
5	ft.	=	1.52 m	1.80	m	=	5	ft.	11 ins.
5	ft. 3 ins.	=	1.60 m	1.90	m	=	6	ft.	3 ins.
5	ft. 6 ins.	=	1.68 m	2.00	m	=	6	ft.	7 ins.
6	ft.	=	1.83 m	3.00	m	=	9	ft.	10 ins.
6	ft. 6 ins.	=	1.91 m	4.00	m	=	13	ft.	1 ins.
7	ft.	=	2.13 m	5.00	m	=	16	ft.	5 ins.
8	ft.	=	2.44 m	6.00	m	=	19	ft.	8 ins.
9	ft.	=	2.74 m	7.00	m	=	22	ft.	11 ins.
10	ft.	=	3.05 m	8.00	m	=	26	ft.	3 ins.
15	ft.	=	4.57 m	9.00	m	=	29	ft.	6 ins.
20	ft.	=	6.10 m	10.00	m	=	32	ft.	10 ins.
10	yds.	=	9.14 m	25.00	m	=	82	ft.	
25	yds.	=	22.86 m	100.00	m	=	328	ft.	
50	yds.	=	45.72 m	1000.00	m	=	3281	ft.	
10	yds.	=	91.44 m						
1000	yds.	=	914.40 m						

Conversion

Yards to Meters, multiply by .9144

Feet to Meters, multiply by .3048

Meters to Yards, divide by .9144

Meters to Feet, divide by .3048

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