CHAPTER 10 LICENSED OFFICIALS

SUBCHAPTER 10-A APPLICATION PROCESS

GR1001 Duties
GR1002 Applications
GR1003 Review

SUBCHAPTER 10-B GENERAL RULES AND FEES

GR1004 General
GR1005 Officiating Eligibility and Guest Cards
GR1006 Apprentice

SUBCHAPTER 10-C CLASSIFICATIONS - JUDGES

GR1007 General
GR1008 Senior Judge
GR1009 Registered Judge
GR1010 Recorded Judge
GR1011 Special Judge

SUBCHAPTER 10-D CLASSIFICATIONS - STEWARDS

GR1012 General
GR1013 Registered Steward
GR1014 Recorded Steward
GR1015 Special Steward

SUBCHAPTER 10-E CLASSIFICATIONS - TECHNICAL DELEGATES

GR1016 General
GR1017 Senior and Registered Technical Delegates - Eventing and Dressage
GR1018 Recorded Technical Delegates - Eventing and Dressage
GR1019 Driving Technical Delegates
GR1020 Vaulting Technical Delegates

SUBCHAPTER 10-F CLASSIFICATIONS - COURSE DESIGNERS

GR1021 General
GR1022 Jumper Course Designers
GR1023 Hunter Course Designers
GR1024 Special Hunter Course Designer
GR1025 Special Jumper Course Designer
GR1026 Eventing Course Designers
GR1027 Combined Driving Course Designer

SUBCHAPTER 10-G CLASSIFICATIONS - OTHER

GR1028 Assigned Steward or Technical Delegate
GR1029 Para-Equestrian Classifier
GR1030 Certified Schooling Supervisor
SUBCHAPTER 10-H REGULATIONS GOVERNING OFFICIALS

GR1031 General
GR1032 Judges
GR1033 Stewards and Technical Delegates
GR1034 Special Duties of a Technical Delegate
GR1035 Special Duties of Para-Equestrian Classifiers
GR1036 Special Duties of Endurance Stewards
GR1037 Yellow Warning Card - Stewards and Technical Delegates
GR1038 Conflicts of Interest and Restrictions - Licensed Officials
GR1039 Conflicts of Interest and Restrictions - Judges (See also GR107 and GR1304)
GR1040 Conflicts of Interest and Restrictions - Stewards and Technical Delegates (See also GR107 and GR1304)
GR1041 Conflicts of Interest – Para-Equestrian Classifiers

SUBCHAPTER 10-I LICENSE APPLICATIONS, ENROLLMENT, PROMOTION, AND MAINTENANCE

GR1042 Clinic and Officiating Requirements
CHAPTER 10 LICENSED OFFICIALS

SUBCHAPTER 10-A APPLICATION PROCESS

GR1001 Duties

The Federation will act upon every completed application for enrollment, annual renewal, promotion, recommendation to the FEI, and change of status of judges, course designers, stewards and technical delegates. The Federation will issue a license to each approved applicant. Licenses are valid for the current competition year only. Decisions of the Licensed Officials Committee are subject to the approval of the Federation.

GR1002 Applications

1. The Federation will review all applications and take an action on the application, including but not limited to an approval, denial, or administrative hold.
2. If the Federation administers the education program for a license on behalf of an Affiliate, the Federation may solicit recommendations from the applicable Breed/Discipline Committees, Recognized National and FEI Affiliates and others as it deems appropriate. If the Federation does not administer the education program for a license on behalf of an Affiliate, the Federation will not solicit recommendations from the applicable Breed/Discipline Committees, Recognized National and FEI Affiliates, or others. The Federation may consider any recommendations received, along with competition reports, Licensed Officials Evaluations, and any other relevant information submitted to the Federation, whether solicited by the Federation or submitted by the Recognized Affiliate or others deemed appropriate.
3. In the Federation’s sole discretion, unless warranted, applications will not be denied outside of an applicant’s failure to meet the minimum licensing requirements, as outlined in the Federation’s Licensed Officials Policies and Procedures.
4. The Federation may in its discretion revoke a current license, place a license on probationary status, reduce a license by one level, temporarily suspend, or refuse to renew an official’s license at its expiration following a hearing with at least ten days written notice to the official in question who shall have the right to appear, to be represented, and to bring witnesses. The Federation shall issue its written ruling specifying the substance of the Federation’s reasons for said decision. The Federation may consult with the applicable Recognized National or FEI Affiliate before taking a final action.
5. The Federation, in its discretion, may reinstate an official who has allowed his license to lapse for one year or may require him to reapply.
6. All applications whether for enrollment, renewal, promotion, change of status, or recommendation to the FEI shall contain a statement to be signed by the applicant, indicating that the applicant has read and understands the rules governing the application process, and agrees to be bound thereby.

GR1003 Review

1. Any person whose application for enrollment, renewal, promotion, change of status, or recommendation to the FEI has been denied or whose license has been revoked, placed on probationary status, reduced by one level, or temporarily suspended may request a review by the Licensed Officials Committee to reconsider the decision. The request must be in writing and mailed to the Federation within 30 days from receipt of the written ruling of the Federation sought to be reconsidered and accompanied by a check payable to the Federation, which is non-refundable.
2. A written notice to all parties will be issued within ten days of the request for review. The notice shall contain a brief statement of the facts regarding the position of the Licensed Officials Committee and shall specify the time and place at which the review is to be held. The person requesting the review may attend and may bring witnesses, sworn statements, or other evidence on his behalf. Upon the written request of a representative of the Licensed Officials Committee or the person requesting the review, there shall be furnished before the Committee any evidence to be introduced, the names of witnesses, and the substances of their testimony.
3. Following an adverse decision by the Federation with regard to a non-renewal or revocation only, an applicant may request the Hearing Committee to review the decision or to consider a hearing de novo, provided such a
request is submitted in writing within 20 days of receipt of the Federation’s decision, and accompanied by a nonrefundable fee payable to the Federation. The Hearing Committee may review the decision based upon the record below, in which case the parties may be represented by counsel and may file briefs for the Hearing Committee’s review. Or in its discretion, the Hearing Committee may hold a new hearing at which the parties shall have the right to make written submissions, to be represented by counsel, to appear in person, and to present or cross-examine witnesses. In either case, both the applicant and the Licensed Officials Committee shall be parties to the proceeding.

SUBCHAPTER 10-B GENERAL RULES AND FEES

GR1004 General

1. Any written agreement between the Federation and another National Federation regarding requirements for licensed officials shall supersede these rules.
2. With the exception of those who have been issued Guest and/or Special Cards (see GR1005, GR1011, GR1015, GR1024, and GR1025), only licensed officials in good standing may officiate at Licensed Competitions in those divisions covered by the rules and specifications of the current Rulebook.
   a. Unless stated otherwise, judges for Exhibition classes for Breed, hunter, jumper, or Western are not required to be licensed by the Federation nor is the competition required to obtain a guest card for the judge.
3. All Federation national and FEI officials must be Federation Competing Members.
4. Any Federation member who is a U.S. citizen holding a FEI license for which there is a comparable national level license must maintain the national level license with the Federation (Exception: Jumper Judges, Jumper Course Designers, and Stewards).
   a. If a national or U.S. FEI license is not properly maintained, this in itself shall be deemed sufficient basis for the Federation to recommend to the FEI that the individual be removed from the FEI list of officials.

GR1005 Officiating Eligibility and Guest Cards

1. A judge licensed in a division restricted to one breed may judge all classes restricted to entries of that breed even though he may not be licensed in the divisions for all types of classes offered. Exceptions:
   a. A licensed Dressage judge must judge Dressage classes.
   b. Sport Horse classes in the Arabian, Half-Arabian and Anglo/Arabian Division must be judged by Federation licensed Dressage Sport Horse Breeding (DSHB) or Hunter Breeding judges, or a Federation or Senior Equestrian Canada judge licensed in Dressage, Hunter or Jumper divisions. A guest card is not required for Federation licensed DSHB, Hunter Breeding, Dressage, Hunter, or Jumper judges. A guest card is required for Senior Equestrian Canada Dressage, Hunter, or Jumper judges.
   c. Refer to GR1005.33 for Western Dressage. Effective 12/1/21
2. In competitions restricted to entries of one breed, (i.e. Arabian, Morgan, Friesian), a judge licensed in a specific division (i.e. Hunter, Saddle Seat Equitation, Reining) may officiate the sections at that competition in which he is licensed. In this case, a guest card is not required, nor is a Special Judges card required for recorded judges licensed in a specific division in order to judge these classes.
3. The following individuals shall comply with the background check and training requirements of the Federation’s Safe Sport Policy:
   a. All Federation Licensed Officials, including Guest Judges, Certified Eventing Cross Country Course Designers, and Certified Schooling Supervisors;
   b. All individuals seeking FEI licensure through the Federation; and
   c. Current Federation FEI officials.
4. It is the responsibility of competition management to ensure the eligibility of all licensed officials.
5. Judges licensed by the FEI are eligible to officiate in Federation Licensed Competitions in the division in which they are licensed (Exception: Vaulting). Guest Cards are not required.
   a. Dressage: See GR1005.13
6. Guest Cards and Restrictions
a. A Guest official is a Federation Competing Member not enrolled as an official in a particular division, to whom the Licensed Officials Department may grant permission to officiate in that division upon the request of a Licensed Competition and for that competition only. The fee is not refundable. The application must be made on the official form and accompanied by the required fee. The statement on the form that the competition holds itself responsible that the individual applied for is familiar with the Federation rules and is capable to adjudicate as requested must be signed by the competition manager.

b. Notwithstanding the above, a full membership fee is not required for Guest Cards issued for classes in which the Federation does not have division rules or license officials and the applicant is not a Federation member, provided that a Show Pass fee for each such guest official accompanies the application in addition to the guest official fee.

c. The application for a Guest Card must be received in the Federation office at least 21 days prior to the start of the competition. In cases where the Guest Card application is received prior to the competition, but less than 21 days prior, the competition must pay a processing fee. If management disputes that the application was not timely filed or that the above fee is not properly owing, it may appeal in writing to the Federation within 30 days of management’s receipt of the Federation’s notice of fee, specifying the grounds for the appeal. The Federation’s CEO or his designee, a special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fee upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.

d. Guest Card applications received after the first day of the competition will not be considered.

e. Guest Cards will not be issued to anyone whose application for enrollment, re-enrollment, promotion, or change of status has been denied by the Federation in the respective division in which the Guest Card is applied for.

f. Guest Cards are required for officials with foreign national licenses provided they have Senior status with their own federation and are officiating in the division for which they are licensed by their federation.

1. Dressage: Foreign national judges are not eligible to officiate in the dressage division. See GR1005.13

g. Guest Cards will not be granted to any person more than twice in a lifetime in a particular division without the approval of the Board of Directors unless outlined differently in these rules.

h. There is no limit to the number of Guest Cards an official may receive for classes recognized by a national breed or discipline association and for which the Federation has no division rules and does not license judges.

i. See GR1005.7-GR1005.34 for Guest Card restrictions and limitations within each division.

7. Andalusian/Lusitano

a. A Federation Andalusian/Lusitano, Dressage, Driving, Hunter, or Jumper judge must officiate Andalusian/Lusitano Sport Horse classes.

b. A Technical Delegate is not required for Andalusian/Lusitano Sport Horse classes.

c. There is no limit to the number of Guest Cards an official may receive for Andalusian/Lusitano foreign experts.

8. Arabian


b. There is no limit to the number of Guest Cards an official may receive for Arabian Specialty carded judges (i.e. Reining, Working Cow Horse, Trail).

c. There is no limit to the number of Guest Cards an official may receive for Arabian foreign experts.

d. Breeding/gelding in-hand - Guest cards will only be granted to foreign breeding experts and/or those holding a Specialty Card with the Arabian Horse Association. A list of foreign experts will be maintained by the Federation Licensed Officials Department.
e. Reining – Judges licensed by the National Reining Horse Association (NRHA) and/or the National Reined Cow Horse Association (NRCHA) and/or those holding a Specialty Card with the Arabian Horse Association may officiate in Reining classes.

f. Trail - National Reining Horse Association (NRHA), National Reined Cow Horse Association (NRCHA) judges licensed in another breed (i.e. AQHA, APHA, ApHC, etc.) and/or those holding a Specialty Card with the Arabian Horse Association are allowed a Guest Card. Guest Cards are not required for Trail Course Designers (see AR219).

g. Working/Reined Cow Horse Classes- National Reining Horse Association (NRHA), National Reined Cow Horse Association (NRCHA) judges licensed in another breed (i.e. AQHA, APHA, ApHC, etc.) and/or those holding a Specialty Card with the Arabian Horse Association are allowed a Guest Card.

h. Ranch Riding - National Reining Horse Association (NRHA), National Reined Cow Horse Association (NRCHA), judges licensed by another breed organization (i.e. AQHA, APHA, ApHC, etc.), and those holding a Specialty Card with the Arabian Horse Association may officiate in Ranch Riding classes.

i. Sport Horse – Senior Equestrian Canada judges licensed in Dressage, Hunter, or Jumper divisions may officiate with a guest card. See GR1005.1.

9. Carriage Pleasure Driving
   a. Federation licensed judges in any breed division that includes Carriage Pleasure Driving classes are eligible to officiate as Guest Judges in the Carriage Pleasure Driving division.
   b. There is no limit to the number of Guest Cards an official may receive for Carriage Pleasure Driving and Coaching Judges approved by Foreign National Driving Societies.
   c. Coaching
      1. See CP301.
      2. Federation licensed Carriage Pleasure Judges may officiate in Coaching classes at Federation licensed competitions.
      3. Foreign dignitaries approved by their National Driving Society may officiate in Coaching classes at Federation licensed competitions as Guest Judges.

   d. Driven Dressage
      1. See CP524 and CP525.

10. Certified Schooling Supervisors
    a. U.S. FEI Jumping Stewards are eligible to serve as Certified Schooling Supervisors in hunter, equitation, or jumper schooling areas. Foreign FEI Jumping Stewards are only eligible to serve as Certified Schooling Supervisors in jumper schooling areas. BOD 9/27/21 Effective 12/1/21

11. Combined Driving
    a. Combined Driving Judges from another country may officiate as Guest Judges. See DC970.
    b. Competitions may apply for individuals to officiate as a Guest Combined Driving Course Designer.

12. Connemara
    a. A Federation Connemara, Dressage Sport Horse Breeding, or Hunter judge with a Hunter Breeding Designation must officiate Connemara classes.
    b. Judges must have approved status from the American Connemara Pony Society to officiate in any class in the Connemara division at regular or local competitions with a Guest Card.

13. Dressage
    a. Current foreign FEI Dressage 4* or 5* judges may officiate in the dressage division without a Guest Card. These judges may officiate alone.
    b. Guest Cards for Dressage Judges are not permitted.
    c. Guest Cards for Technical Delegates are not permitted.
    d. Guest Cards for Dressage Sport Horse Breeding are not permitted.

14. English Pleasure
    a. Guest judges may officiate alone at any competition.
    b. English Pleasure Saddle Seat
       1. Judges licensed in any breed that includes a Saddle Seat or English Pleasure section are eligible to officiate English Pleasure Saddle Seat classes.
    c. English Pleasure Hunter Seat
       1. Judges licensed in the Hunter division or any breed that includes a Hunter Pleasure section are eligible to officiate English Pleasure Hunter Seat classes.
    d. English Pleasure Driving
1. Judges licensed in any breed that includes a Pleasure Driving section are eligible to officiate English Pleasure Driving classes.

15. Eventing
   a. An individual licensed as an eventing judge by another National Federation must obtain a Guest Card.
   b. Any individual currently licensed by the FEI as a Course Designer for Eventing shall automatically be eligible to officiate at Federation licensed competitions in accordance with the rules below. There is no limit on the number of times that such an individual may officiate.
      1. Level 2 FEI Course Designers for Eventing shall automatically be eligible to officiate at the intermediate level.
      2. Level 3 FEI Course Designers for Eventing shall automatically be eligible to officiate at the Intermediate and Advanced levels.
   c. See EV171.1 and EV175.1.

16. Friesian
   a. A Guest Judge may officiate alone in the Friesian division provided the judge is Federation licensed official in at least one other recognized breed division. Guest judges are prohibited from officiating at Regional or National competitions.
   b. Four Guest Cards may be granted in a lifetime.
      1. Exception: There is no limit to the number of Guest Cards an official may receive for Friesian foreign experts.

17. Hackney
   a. Guest Judges may officiate alone in the Hackney division provided the judge is Federation licensed in at least one other recognized breed division. In Hackney Pony classes, one Guest judge on a multiple judge panel is permitted.

18. Hunter
   a. A guest judge may not officiate in any “AA”, “A”, or “B” rated divisions or sections.

19. Hunter Breeding
   a. A Federation Hunter judge or Hunter judge with a Hunter Breeding Designation must officiate the Pony Hunter Breeding section.

20. Hunter/Jumping Seat Equitation
   a. There is no limit to the number of Guest Cards an official may receive for the USEF Show Jumping Talent Search Finals, USEF Hunter Seat Medal Finals, ASPCA Maclay Finals, and/or WIHS Finals.
   b. A Guest Judge may not officiate at any national or regional Hunter/Jumping Seat Equitation Finals. The following are exceptions:
      1. USEF Show Jumping Talent Search Class/Finals, see EQ111.9.i
      2. USEF Hunter Seat Medal Finals, see EQ111.5.d.5
      3. WIHS Equitation Finals, see EQ111.10
      4. ASPCA Maclay Finals, see EQ111.8.
   c. Guest judges may not officiate alone in any Federation Medal class.

21. Jumper
   a. Guest Judges are not permitted to officiate if the jumper division is offering $2,500 or more in prize money at the competition. Effective 12/1/21.

22. Morgan
   a. Guest Judges may officiate alone in the Morgan division provided the judge is Federation licensed in at least one other recognized breed division. One Guest judge is allowed on a three-judge panel and may serve as a call judge provided he is licensed by the Federation in at least one other division.
   b. Reining – Judges licensed by the National Reining Horse Association (NRHA) may officiate in Reining with a Guest Card.
   c. Working Western - Judges licensed by the National Reining Horse Association (NRHA), National Reined Cow Horse Association (NRCHA), judges licensed in a non-affiliated breed for working western classes (i.e. AQHA, APHA, ApHC, etc.) and/or those holding a Specialty Card with the Arabian Horse Association may judge the Morgan Working Western section. A Guest Card is required. There is no limit to the number of Guest Cards an official may receive for the Morgan Working Western section. See SUBCHAPTER MO-18 for specifics.

23. National Show Horse
   a. A Federation Arabian or Saddlebred judge must officiate the National Show Horse division.

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24. Parade
   a. A Federation Saddlebred judge must officiate the Parade division.

25. Paso Fino
   a. No more than two (2) guest cards may be granted within the past five (5) competition years.
   b. Guest Judges may officiate with a Federation Licensed Paso Fino Registered ‘R’ judge in any “B”, “C”, or Local rated competition. If competition is a multi-breed competition, a Guest Judge may officiate alone.

26. Reining
   a. Federation Western judges may officiate Reining classes in breed restricted competitions, provided the Reining Division is not USA Reining approved.
   b. Judges approved by USA Reining are eligible to officiate Reining classes at the following levels of Federation licensed competitions:
      1. ‘R’ Judges are those approved by USA Reining to officiate at any level of Reining competition and must be a current FEI, Federation or NRHA licensed judge;
      2. ‘r’ Judges are those approved by USA Reining to officiate Reining classes at breed restricted competitions that do not include a Federation or FEI Reining division;
      3. A Guest Judge card is required if the judge is not on the list of current Federation Reining judges.
   c. Guest Judges may officiate in Reining classes at Regular or Local competitions provided they are approved by USA Reining and are not current Federation Reining or Western judges, or FEI Reining judges.

27. Roadster
   a. A Federation Hackney or Roadster judge must officiate Roadster Pony classes.
   b. Guest Judges may officiate alone in the Roadster division. One Guest Judge is allowed on a multiple judge panel. Three Guest Cards may be granted in a lifetime.

28. Saddlebred
   a. In the American Saddlebred division, if the previous year’s competition had more than 250 horses competing in the saddlebred sections, and:
      1. If the competition hires a multi-judge panel, at least two judges on the panel must be a Registered judge (‘R’) in the divisions in which they are officiating. A special card cannot be used to fulfill this requirement.
      2. If the competition hires a single judge, then the judge must be a Registered judge (‘R’) in the divisions in which he is officiating. A special card cannot be used to fulfill this requirement.
   b. Guest Judges may officiate alone in the American Saddlebred division provided the judge is licensed by the Federation in at least one other recognized breed division. One Guest judge is permitted on a multiple judge panel.

29. Saddle Seat Equitation
   a. A Guest Judge may not officiate at any national or regional Equitation Finals. One Guest Judge is permitted on a multi-judge panel.
   b. A Guest Judge may not officiate alone in any Federation Medal Class. Federation Arabian or Morgan licensed officials may officiate alone in the qualifying classes for the USEF Saddle Seat Medal and USEF Saddle Seat Adult Amateur Medal without a guest card.
   c. Any individual currently licensed by Equestrian Canada or the Riding Horse Judges Association of South Africa, as a Senior Saddle Seat Equitation judge is eligible to officiate in the Saddle Seat division for the US Saddle Seat World Cup Trials, US Saddle Seat hosted Invitational, and the Saddle Seat World Cup Competition hosted in US, with a Guest Saddle Seat Equitation Judge’s Card. There is no limit on the number of times that such an individual may officiate.

30. Shetland
   a. A Federation Hackney or Shetland judge must officiate Shetland pony classes.
   b. Guest Judges may officiate alone.

31. Vaulting
   a. Guest cards are not allowed.

32. Welsh
   a. Federation Registered Hunter judges may officiate in “A” rated Welsh Pony Hunter classes and “A” rated Welsh Pleasure classes without a Guest Card. Federation Registered Hunter judges shall officiate a maximum of two times in a lifetime for “A” rated Welsh Pleasure classes.
b. If a Guest Judge is not a Federated Hunter Judge, the Guest Judges must be a Welsh Pony and Cob Society of America Approved Judge in order to officiate in any Welsh Division classes at Federation licensed regular or local competitions and must apply for a Guest Card.

33. Western Dressage
   a. Breed licensed judges are may officiate Western Dressage Suitability, Western Dressage Hack, and Western Dressage Seat Equitation classes.

34. Western Seat Equitation or Western
   a. A Guest Judge may not officiate at any national or regional Equitation Finals.
   b. A Guest Judge may not officiate alone in any Federation Medal Class.
   c. Guest Cards may be issued to national breed association judges at Federation licensed Open Western Competitions. A maximum of two Guest Judges are permitted to officiate in an “A” rated competition. 

BOD 6/28/21 Effective 12/1/21

GR1006 Apprentice

1. An Apprentice shall be a Federation Competing Member who is at least 21 years of age. Information on apprenticing procedure for each license is located on the Federation website. An Apprentice shall request approval prior to apprenticing from the Licensed Competition first followed by approval by the licensed official(s) with whom he will be apprenticing.

2. Apprenticing restrictions at competitions:
   a. Apprenticing is limited to one Apprentice per arena. Exception: Dressage Judge Group Apprenticing, Eventing, and Western Dressage Group Apprenticing.
   b. Licensed officials are limited to one Apprentice at any one time. Exception: Dressage Judge and Western Dressage Group Apprenticing, Eventing Cross-Country and Jumping Tests.
   c. Individual dressage judge apprenticing is not allowed at any final selection trials for international representation (e.g. NAYC, HP Selection Trials, or HP Championship). Individual dressage judge apprenticing is also prohibited in USDF Regional Championships classes and the US Dressage Finals.

3. The Licensed Competition may request additional specific information about an individual’s experience before accepting him as an Apprentice.

4. There is no limit to the number of times a person may apprentice. When apprenticing, an Apprentice should use a scorecard to evaluate the various entries. Apprentices work under the direction of the Licensed Official with whom they are apprenticing and do not possess any authority.

5. Conflict of Interest
   a. Individuals may not apprentice with a family member, cohabitant, companion, domestic partner, housemate, member of the household, client, employer, or employee. Any violation of this rule will lead to the apprenticing experience not counting towards licensing requirements. Apprenticing experience completed in violation of this rule will be considered an extra apprentice experience.
   b. An individual shall not apprentice at a Federation licensed competition where a family member, cohabitant, companion, domestic partner, housemate, member of the household, client, employer or employee is competing. Any violation of this rule will lead to the apprenticing experience not counting towards licensing requirements. Apprenticing experience completed in violation of this rule will be considered an extra apprentice experience.
   c. An individual cannot compete in the same competition at which they apprentice. Effective 12/1/21

SUBCHAPTER 10-C CLASSIFICATIONS - JUDGES

GR1007 General

Judges shall be licensed by the Federation as Senior, Registered, Recorded, Special, or Guest. A judge will not be licensed in more than 12 national divisions. Effective 12/1/21
GR1008 Senior Judge

Senior judge classification (‘S’) applies to Dressage and Eventing. Senior Dressage judges may officiate in all national and FEI Level Dressage classes at Federation Licensed Competitions. Senior Eventing Judges may officiate at any level of Eventing (see also EV171.1b).

GR1009 Registered Judge

1. A Registered judge (‘R’) may officiate alone at any competition in the divisions in which he is Registered.
2. Registered Dressage judges may officiate in classes at Fourth Level and below except for FEI Dressage Tests for 5 and 6-year-old horses. Registered Dressage judges may officiate in FEI Junior Tests, FEI Pony Tests, FEI Childrens Tests, FEI Para-Equestrian Dressage Tests, FEI and USEF Dressage Tests for 4-year-old horses, and USEF and FEI Eventing Dressage Tests at all levels. Registered Dressage judges may not officiate in any classes above Fourth Level.
3. Rated Hunter sections at Premier competitions must be judged by two Registered (‘R’) judges.
4. A Registered Eventing judge may officiate the intermediate level and below (see also EV171.1c).
5. A Registered Western Dressage Judge may officiate at any level of Western Dressage including Western Dressage Suitability, Western Dressage Hack and Western Dressage Seat Equitation classes.

GR1010 Recorded Judge

1. A Recorded judge ‘r’ may officiate alone or independently in the following divisions:
   a. American Saddlebred;
   b. Andalusian/Lusitano, except for National Championship competitions;
   c. Arabian;
   d. Carriage Pleasure Driving that do not exceed one day or an aggregate or eight hours judging time;
   e. Connemara;
   f. English Pleasure, refer to GR1005 for specific licenses required to officiate this division;
   g. Friesian;
   h. Hackney;
   i. Morgan;
   j. National Show Horse;
   k. Reining;
   l. Roadster;
   m. Saddle Seat Equitation: in any open division except in the USEF Saddle Seat Medal Championship/Finals;
   n. Shetland;
   o. Vaulting: may also be President of the Ground Jury at any Federation licensed Vaulting competition.
2. The following divisions have specific limitations for ‘r’ recorded judges:
   a. Dressage - A Recorded Dressage judge may officiate at Second Level and below and may not officiate at the Third Level and above. Recorded Dressage judges may not officiate in FEI or USEF Dressage Tests for 4 and 5-year-old horses, FEI Childrens Tests, or in FEI Pony Tests, or FEI Para-Equestrian tests. Recorded Dressage judges may officiate in Eventing equivalent to Second Level or below. Recorded Dressage judges may officiate in Eventing Competitions at the Intermediate Level or below.
   b. Eventing - A Recorded Eventing judge may officiate at the Preliminary level and below (see also EV169).
   c. Hunter - A ‘r’ recorded judge may not officiate alone or independently in a ‘AA’ or ‘A’ division or section.
   d. Hunter/Jumping Seat Equitation - A ‘r’ recorded judge may not officiate alone or independently in any of the following Hunter/Jumping Seat Medal classes at a National or Premier rated Hunter competition: USEF Show Jumping Talent Search class, USEF Hunter Seat Medal Class, USEF Pony Medal Class, ASPCA Horsemanship class, WIHS Equitation, WIHS Pony Equitation, National PHA Medal class, USHJA Jumping Seat Equitation class.
   e. Jumper - A ‘r’ recorded judge may not officiate alone or independently in a Jumper class offering more than $2,500.
   f. Paso Fino - A ‘r’ recorded judge may not officiate alone or independently in an ‘A’ or divison or section.
g. Welsh - A ‘r’ recorded judge may not officiate alone or independently in an ‘A’ division or section.
h. A recorded (‘r’) Andalusian/Lusitano judge may officiate alone in any Andalusian/Lusitano class except for National Championship competitions.
i. A Recorded Western Dressage judge may officiate Introductory, Basic, and Level 1 only and also Western Dressage Suitability, Western Dressage Hack and Western Dressage Seat Equitation classes.

**GR1011 Special Judge**

1. A Special judge is a Federation Competing Member already enrolled as a Recorded judge to whom the Licensed Officials Department may grant permission to officiate as a Registered judge in a division in which he is enrolled as a Recorded judge.

2. Such permission, which is not transferable, will be granted upon the request of a Licensed Competition for that competition only. The application must be made on the official form and accompanied by the required fee. The fee is non-refundable. The statement on the form that the competition holds itself responsible that the individual applied for is familiar with the Federation rules and is capable to officiate as requested must be signed by the competition manager.

3. Restrictions:
   a. Special cards are not issued for the Dressage or Eventing Divisions.
   b. Recorded hunter judges with Special judges’ cards may not officiate alone in an ‘AA’ or ‘A’ rated hunter section.
   c. Recorded Saddle Seat Equitation judges may not receive a Special judges’ card to officiate the USEF Saddle Seat Medal Championship/Final.
   d. Special cards will not be issued to anyone whose application for re-enrollment, promotion or change of status has been denied by the Federation for the respective license applied for.
   e. Authority to act as a Special judge will not be granted to any person more than twice in a lifetime, in a particular division without the approval of the Licensed Officials Committee Chairmen or their designee, and any two members of the Licensed Officials Committee.

4. Application must be received in the Federation office at least 21 days prior to the start of the competition. In cases where special applications are received prior to the competition, but less than 21 days prior, the competition must pay a processing fee. If management disputes that the application was not timely filed or that the above fine is not properly owing, it may request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made in writing to the Federation within 30 days of management’s receipt of the Federation’s notice of fine, specifying the grounds for the procedural review. The Co-Chairs of the Hearing Committee or their designees may waive a part or all of the fee upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.

**SUBCHAPTER 10-D CLASSIFICATIONS - STEWARDS**

**GR1012 General**

1. Stewards shall be licensed by the Federation as Registered, Recorded, or Special, in either one or both of the following Categories.
   a. Category 1 (C1) Steward is licensed to officiate the following specialized Divisions and Sections: Hunter, Hunter Breeding, Hunter/Jumping Seat Equitation, Jumper, Welsh, Connemara, and English Pleasure.
      1. Any steward officiating USEF Open (not restricted to a breed) hunter and/or jumper classes must be a Senior Active member in good standing of the United States Hunter Jumper Association, Inc.
   b. Category 2 (C2) Steward is licensed to officiate the following specialized Divisions and Sections: Andalusian/Lusitano, Arabian, Connemara, English Pleasure, Friesian, Hackney Harness, Morgan, National Show Horse, Parade, Paso Fino, Reining, Roadster, American Saddlebred, Saddle Seat Equitation, Shetland, Western/Reining Seat Equitation, Welsh, Western Dressage and Western.

2. A Category 1 or Category 2 steward is entitled to officiate in only the corresponding divisions and sections of the license category listed above.
3. Licensed Endurance Stewards are licensed by the Federation as Recorded. Effective 12/1/21

**GR1013 Registered Steward**

1. A Registered ('R') Category 1 or Category 2 steward is an individual so classified by the Federation.
2. A Registered Category 1 or Category 2 steward is entitled to officiate alone unless the competition requires more than one steward.

**GR1014 Recorded Steward**

1. A Recorded ('r') Category 1 or Category 2, or Endurance steward is an individual so classified by the Federation.
2. A Recorded Category 1 steward may not officiate alone in any National, Premier, or Special Event or in a Jumper division at competitions above Jumper Level 2 rating. A minimum of one Registered ‘R’ C1 Steward must officiate.
3. A Recorded Category 2 steward may officiate alone in any of the specialized Divisions and Sections covered by Category 2 stewards as outlined in Subchapter 10-D-Classifications-Stewards. Exception: Regional and National Arabian, Morgan, Andalusian/Lusitano and Friesian competitions and National Show Horse Finals must have a minimum of one Registered ‘R’ Steward officiating the entire event.

**GR1015 Special Steward**

1. A Special Category 1 (C1) steward is Federation Competing member already enrolled as a Recorded 1 steward, to whom the Licensed Officials Department may grant permission to officiate as a Registered C1 steward at a National level hunter/jumper competition licensed by the Federation. If the Federation approves the issuance of such card, a Recorded Category 1 steward may officiate alone at a National level hunter/jumper competition licensed by the Federation.
2. Such permission, which is not transferable, shall be granted upon request of a particular Licensed Competition for that competition only. The application must be made on the official form and accompanied by the required fee. The fee is non-refundable. The statement on the form that the competition holds itself responsible that the individual applied for is familiar with the Federation rules and is capable to officiate as requested, must be signed by the competition manager.
3. Restrictions:
   a. Special cards will not be issued to anyone whose application for re-enrollment, promotion or change of status has been denied by the Federation for the respective license applied for.
   b. Special Steward Cards for Category 2 stewards are prohibited; a Registered Category 2 steward must officiate as required per the Recorded Steward rules in Chapter 10.
   c. Authority to act as a Special Steward will not be granted to any person more than twice in a lifetime, in a particular division without the approval of the Licensed Officials Committee Chairman or his designee and any two members of the Licensed Officials Committee.
4. Application must be received in the Federation office at least 21 days prior to the competition. In cases where the special applications are received prior to the competition, but less than 21 days prior, the competition must pay a processing fee. If management disputes that the application was not timely filed or that the above fine is not properly owing, it may request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made within 30 days of management’s receipt of the Federation’s notice of fine, specifying the grounds for the appeal. The Co-Chairs of the Hearing Committee or their designees may waive a part or all of the fine upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.
**SUBCHAPTER 10-E CLASSIFICATIONS - TECHNICAL DELEGATES**

**GR1016 General**

1. Technical Delegates shall be licensed by the Federation as Registered or Recorded in Dressage and Eventing. Eventing Technical Delegates may also be licensed as Senior. Vaulting Technical Delegates shall be licensed as Recorded. Carriage Pleasure Driving and Combined Driving Technical Delegates shall be licensed as Registered. Effective 12/1/21

2. Only licensed technical delegates in good standing may officiate at Licensed Eventing, Combined Driving or Dressage Competitions.

**GR1017 Senior and Registered Technical Delegates - Eventing and Dressage**

1. A Senior (‘S’) Eventing Technical Delegate is entitled to officiate alone in any division at any level of Eventing. A Registered (‘R’) Eventing Technical Delegate is entitled to officiate alone at the Intermediate Level and below (see also EV173.1a.)

2. A Registered Dressage Technical Delegate must officiate at Level 4 and Level 5 Dressage Competitions. A Registered Dressage Technical Delegate may officiate alone at USEF/USDF Championships, Federation Developing Program Championships, and USEF High Performance Championships, qualifying and selection trials and observation classes, at any Dressage Competition level or in the Dressage division or section at Regular or Local Competitions. (See GR1211.3a and GR1211.3g.)

3. Only Dressage Technical Delegates who are current Participating Members of the United States Dressage Federation may officiate at USEF/USDF Regional and National Dressage Championships.

**GR1018 Recorded Technical Delegates - Eventing and Dressage**

1. A recorded (‘r’) Eventing Technical Delegate may not officiate alone at an Intermediate or Advanced Horse Trial or a Two or Three-Day Event but may officiate as the assistant to the Technical Delegate.

2. A Recorded Dressage Technical Delegate may officiate as the assistant to the Registered Technical Delegate(s) at Level 4 and Level 5 Dressage Competitions, and may officiate alone at Levels 1-3 Dressage Competitions. A Recorded Dressage Technical Delegate may not officiate alone at USEF/USDF Championships, Federation Developing Program Championships, and USEF High Performance Championships, qualifying and selection trials and observation classes, but may officiate as the assistant to the Registered Technical Delegate for these competitions or classes. A Recorded Dressage Technical Delegate may officiate alone in the Dressage division or section at Regular or Local Competitions. (See GR1211.3a and .g).

3. Only Dressage Technical Delegates who are current Participating Members of the United States Dressage Federation may officiate at USEF/USDF Regional and National Dressage Championships.

**GR1019 Driving Technical Delegates**

1. A Combined Driving Technical Delegate is an individual licensed by the Federation and is entitled to officiate alone in Combined Driving Events. See DC971 for information on Guest Cards for Combined Driving Technical Delegates.

2. A Carriage Pleasure Driving Technical Delegate is an individual so classified by the Federation, and is entitled to officiate alone in Carriage Pleasure Driving Competitions. See CP201.2 for information on Guest Cards for Carriage Pleasure Driving Technical Delegates.

3. See also GR1034.

**GR1020 Vaulting Technical Delegates**

A Vaulting Technical Delegate is an individual licensed by the Federation, who may officiate alone at any level of national Vaulting competition.
SUBCHAPTER 10-F CLASSIFICATIONS - COURSE DESIGNERS

GR1021 General

Course designers shall be licensed by the Federation as Senior, Registered, Recorded, Certified, Regional, or Special. Effective 12/1/21

GR1022 Jumper Course Designers

1. Any individual currently licensed by the FEI as a Level 2 or higher Course Designer for Show Jumping shall automatically be eligible to officiate in the jumper division at Federation competitions without either an application or the fee required for a Special Jumper Course Designer Card. There is no limit on the number of times that such an individual may officiate.
2. See JP105 for Jumper Course Designer requirements at competitions. BOD 6/28/21 Effective 12/1/21

GR1023 Hunter Course Designers

1. See HU117 and EQ110 for Hunter Course Designer requirements at competitions. BOD 6/28/21 Effective 12/1/21

GR1024 Special Hunter Course Designer

1. A Special Hunter Course Designer is a Federation Competing Member already enrolled as a Recorded Hunter Course Designer to whom the Licensed Officials Department may grant permission to officiate a class or classes for which a ‘R’ Hunter Course Designer would otherwise be required, upon the request of a Licensed Competition and for that competition only.
2. Such permission, which is not transferable, will be granted upon the request of a Licensed Competition for that competition only. The application must be made on the official form and accompanied by the required fee. The fee is non-refundable. The statement on the form that the competition holds itself responsible that the individual applied for is familiar with the Federation rules and is capable to officiate as requested must be signed by the competition manager.
3. Restrictions:
   a. Special cards will not be issued to anyone whose application for re-enrollment, promotion, or change of status has been denied by the Federation for the respective license applied for.
   b. Authority to act as a Special Hunter Course Designer shall not be granted more than twice to any one individual per lifetime. Special Hunter Course Designers must be approved by the National Hunter Committee Chairman, or his designee, and any two members of the National Hunter Committee.
4. Application must be received in the Federation office at least 21 days prior to the start of the competition. In cases where special applications are received prior to the competition, but less than 21 days prior, the competition must pay a processing fee. If management disputes that the application was not timely filed or that the above fine is not properly owing, it may appeal in writing to the Federation within 30 days of management’s receipt of the Federation’s notice of fine, specifying the grounds for the appeal. The Federation’s CEO or his designee, a special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fee upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.

GR1025 Special Jumper Course Designer

1. A Special Jumper Course Designer is a Federation Competing Member already enrolled as a Recorded Jumper Course Designer to whom the Licensed Officials Department may grant permission to officiate a class or classes for which a ‘R’ Jumper Course Designer would otherwise be required, upon the request of a Licensed Competition and for that competition only.
2. Such permission, which is not transferable, will be granted upon the request of a Licensed Competition for that competition only. The application must be made on the official form and accompanied by the required fee. The fee is non-refundable. The statement on the form that the competition holds itself responsible that the individual applied for is familiar with the Federation rules and is capable to officiate as requested must be signed by the competition manager.

3. Restrictions:
   a. Special cards will not be issued to anyone whose application for re-enrollment, promotion, or change of status has been denied by the Federation for the respective license applied for.
   b. Authority to act as a Special Jumper Course Designer shall not be granted more than twice to any one individual. Special Jumper Course Designers must be approved upon by the Jumping Sport Committee Chairman, or his designee, and any two members of the Jumping Sport Committee.
   c. Authorization to act as a Special Course Designer will be granted to those individuals who have completed all the requirements for a ‘R’ Jumper Course Designer license but have not yet received their license.

4. Application must be received in the Federation office at least 21 days prior to the start of the competition. In cases where special applications are received prior to the competition, but less than 21 days prior, the competition must pay a processing fee. If management disputes that the application was not timely filed or that the above fine is not properly owing, it may appeal in writing to the Federation within 30 days of management’s receipt of the Federation’s notice of fine, specifying the grounds for the appeal. The Federation’s CEO or his designee, a special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fee upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.

GR1026 Eventing Course Designers

1. A minimum of a Certified Eventing Cross Country Course Designer is required for Beginner Novice, Novice, and Training Horse Trials.
2. A minimum of a Recorded (‘r’) license is required to officiate at Preliminary Horse Trials, Modified Horse Trials, and National Three-Day Events.
3. A minimum of a Registered (‘R’) license is required to officiate at Intermediate Horse Trials.
4. A minimum of a Senior (‘S’) license is required to officiate at Advanced Horse Trials.
5. An individual recognized by the FEI or by another National Federation may serve as the Course Designer for a specific competition with the approval of the Federation Eventing Sport Committee.

GR1027 Combined Driving Course Designer

A ‘R’ license is required to design courses at Federation licensed Combined Driving events.

SUBCHAPTER 10-G CLASSIFICATIONS - OTHER

GR1028 Assigned Steward or Technical Delegate

1. A Federation Assigned Steward or Technical Delegate is an individual who is currently a Steward or Technical Delegate and who meets the Federation’s criteria for a Federation Assigned Steward or Technical Delegate and has been designated to serve as a Federation Assigned Steward or Technical Delegate as specified by the Federation.
2. There is no additional fee for the designation, and a Federation Assigned Steward or Technical Delegate is eligible for all benefits afforded to Licensed Officials by the Federation.
3. A Federation Assigned Steward or Technical Delegate may be sent by the Federation to randomly selected Licensed Competitions or, with the approval of the CEO or his designee, to particular Licensed Competitions, for cause, to oversee and report back to the Federation on his observations.
4. The Assigned Steward or Technical Delegate will have the full duties of their position.
**GR1029 Para-Equestrian Classifier**

A Para-Equestrian Classifier is an individual licensed by the Federation, who may work alone at any level of national Para-Equestrian competition or at a Federation Center of Excellence.

**GR1030 Certified Schooling Supervisor**

1. A Federation Certified Schooling Supervisor is an individual licensed by the Federation.
2. Schooling supervisors may be individual contractors at the competition or may be competition stewards. However, a minimum of one competition steward must always remain available to attend to other stewarding duties.

**SUBCHAPTER 10-H REGULATIONS GOVERNING OFFICIALS**

**GR1031 General**

1. Failure of an official to attend a competition with which he has a signed agreement or failure to perform his duties in accordance with the rules, or to officiate in the classes to which he is assigned shall constitute cause for disciplinary action, except in cases of extreme emergency.
2. Any official found guilty of cruelty to a horse or to have killed, abandoned, mistreated, neglected, or otherwise abused a horse, by an administrative agency, body, humane society, or court of law, whether such court or tribunal is civil, criminal, or administrative may have his license(s) revoked.
3. No official is to be housed in a private home unless he has agreed before the competition.
4. In the event that an official officiates at a competition where he is ineligible, the official may be penalized as described in GR703.

**GR1032 Judges**

1. Good judging depends upon a correct observance of the fine points and the selection of best horses for the purpose described by conditions of the class. A judge serves three interests: his own conscience, exhibitors, and spectators. He should make it clear that the best horses win.
2. When judges are required to judge independently on a multiple judge panel, each judge must meet the requirements as outlined in Rules GR1004 through GR1010.
3. Except in the discharge of their official duty, the use of cellular phones or other similar communication devices, including computers, by judges while in the ring (including center ring), judges’ box, judges’ stand, or on any part of a course during a competition is prohibited.
4. A judge is obligated to adjudicate each division, section, and class in conformity with the rules and specifications of that division, section and class as they appear in the Federation Rulebook. He is expected to be proficient in his division and to possess a thorough knowledge of the rules of the Federation.
5. The attention of judges is directed to the difference in requirements covering Maiden, Novice, Limit, Junior, Amateur, and Ladies’ classes versus Open classes. These differences must be observed in adjudicating classes.
6. The decisions of each judge constitute solely his individual preference and not as opinions of or decisions by the Federation. For decisions of a judge in regard to soundness, see GR1204.
7. Consulting with a Veterinarian
   a. Only the judge may call a veterinarian during a class but is encouraged to do so if his opinion necessitates the disqualification of an entry. The judge shall give the numbers of the horses in question and the veterinarian shall render his finding. If the veterinarian is not immediately available or not called upon, the judge’s decision as to the serviceable soundness of a horse shall be accepted for the purpose of disqualifying a horse from showing in that class and shall be final. (Exception: The decision of the judge as to the serviceable soundness of a horse in Hunter classes is final, however the competition veterinarian may be consulted.)
b. In regard to possible whip marks, or other matters other than soundness that are pertinent to the welfare of the horse, the judge may consult with a veterinarian during a class. The final decision rests with the judge.

c. None of the above applies to Dressage, Driving, Eventing, or Western Dressage. See DR208, EV134.8, and WD126.9.

8. When a judge is used as a referee and is called upon to break a tie, the other judges must give the ringmaster only the numbers of the two horses tied. The ringmaster will then take these to the referee who will indicate his preference by circling the number of his choice. The ringmaster will then return this written decision to the other judges who will proceed with the remaining placements, as the breakage of a tie for one placement by the referee does not automatically place the losing contender of the tie in the next position. This procedure is to be followed for each placement in turn, as ties occur. The referee shall turn in a judge’s card only when called upon to break a tie, in which case only his tie breaking decision shall be indicated on the card.

9. All placements stated in the prize list/omnibus shall be awarded, beginning with first place and proceeding in order through all placements, unless there is an insufficient number of entries or an entry is eliminated, excused, or disqualified.

10. It shall be the prerogative of the Licensee to designate a particular system of judging and to post a complete order of placement for public inspection. Judges accepting an invitation to officiate must recognize the Licensee’s prerogative. Unless the Licensee designates a particular system of judging when an invitation is issued, a judge is not required to use a particular system of judging.

11. A judge must order from the ring any unruly horse or one whose actions threaten to endanger the rider, driver, handler, other exhibitors, or their entries.

12. A judge must order from the ring any rider, driver or handler who exhibits inappropriate or dangerous behavior or whose actions would in any way threaten the safety of any exhibitor, their entries, or the safety of class officials.

13. When over 40 horses or riders are entered in a performance class in which horses compete together, a judge must divide the class and work it in groups of less than 40. Unless individual tests are required in the class routine, he shall bring the top contestants from each group back into the ring for final adjudication. (Exception: See AR107.8d and DR126.1a(4)).

14. In classes which have a maximum weight and/or measurement for shoes, the judge himself must immediately weigh and/or measure any shoe that is cast. In such classes the judge may not excuse an entry from the ring until satisfied that the entry has not cast a shoe.

15. In the Western and Western Seat Equitation division, when a single judge system is used, judge’s cards shall be considered final and shall not be changed after the completion of the presentation of awards for that class.

16. Judges’ cards and/or official score sheets are to be signed by the judge and retained (with the exception of score sheets returned to the exhibitor) as part of the competition’s official records for a period of no less than three years. Electronic signatures through a PDA or similar device used to record scores will fulfill the signature requirement.

17. In the Hunter and Hunter/Jumping Seat Equitation divisions classes may not be recommenced once the judge has signed the card.

18. Judges must commence and complete classes in accordance with GR109.

**GR1033 Stewards and Technical Delegates**

1. The following are required responsibilities and duties of Stewards and Technical Delegates:
   a. Safeguard the welfare of the horse against violations of the Federation’s Drugs and Medications rules, any of the Federation’s prohibited practices, and instances of abuse as defined in GR839.
   b. Protect the interests of:
      1. Competitors by ensuring a safe and fair competition environment by consistently applying the Rules of the Federation; and
      2. Competition Management and judges by being available for rule interpretations and serving as a liaison between Licensed Officials, Competition Management, and the Federation.
   c. When a Steward or Technical Delegate becomes aware of a potential violation of Federation rules, without waiting for a Disciplinary Action Complaint, he shall investigate and notify the appropriate individual or official, and, if not rectified, issue a yellow warning card (GR1037), and/or report the matter
to the Federation in the Steward/TD Report (exception: allegations of child abuse or sexual misconduct must be reported in accordance with the U.S. Center for SafeSport Code.)

d. Assist Competition Management to ensure that every effort is made to provide for a safe competition.

e. Confirm that all judges are either licensed in the divisions to which they have been assigned or the competition has a Guest or Special card for the judge for the divisions not covered by his license, and if not, report the alleged rule violation.

f. Report on the suitability of the competition facilities in all aspects, including stabling. The steward or technical delegate must commence his duties early enough to deal with these matters.

g. A steward or technical delegate should clearly understand that he has no authority in connection with the management or the judging of a competition.

h. Stewards and technical delegates should reference the Check List available on the website www.usef.org which has additional Guidelines which should be considered when officiating at a competition.

2. Measurement: Measuring all animals required to be measured as provided for in Chapter 5 of the General Rules, Measurement of Entries, Chapter DR (DR135 Pony Measurement), Chapter DC (DC915 Height and Appendix A) and Subchapter HJ-7 Measurement and if necessary return Measurement cards to the Federation.

a. Stewards and Technical Delegates are responsible for ensuring that measurements are conducted in accordance with the rules and that all required paperwork is completed in a legible manner.

b. Offenders could be subject to a fine or administrative penalty at the discretion of the CEO or his designee.

c. Measurements must be conducted by a steward, judge, or technical delegate that is certified to measure by the Federation. See Chapter 5 of the General Rules, Measurement of Entries, Chapter DR (DR135 Measurement), Chapter DC (DC915 and Appendix A) and Subchapter HJ-7 Measurement for details regarding which officials are required to conduct measurements.

3. Forms – Forms submitted to the Federation must be on the correct competition year’s form as provided by the Federation. Incomplete forms, prior year’s forms, or illegible forms will not be accepted. The following forms must be submitted in a timely manner to:

a. The Federation (within 14 days after the last licensed day of the competition)

   1. Steward or Technical Delegate Report on the form furnished by the Federation

      a. The Report must include any offenses or violations of the rules by the competition or any exhibitors.

      b. If the Federation does not receive the completed report and/or attachments postmarked and/or electronically submitted within 14 days of the closing of the competition, the Steward/Technical Delegate will be fined a fee of $100. For the second offense and any offense thereafter in the same competition year, said official will be fined $250. A third offense and any offense thereafter will result in an automatic suspension from office as Steward or Technical Delegate for 90 days, in addition to the fines.

      c. Failure to pay any fine within 30 days will result in a violation of rules and the Steward/Technical Delegate will be subject to an additional late fee.

      d. If the Steward/Technical Delegate disputes that the report was not timely filed, he may appeal in writing to the Federation within 30 days of receipt of the Federation’s notice of the fine. The appeal must be accompanied by a check payable to the Federation, which will be refunded if the appeal is upheld. The CEO or his designee and three members of the Licensed Officials Committee will consider the appeal and may waive a part or all of the fine upon finding of good cause of why the report was not timely filed and/or a finding that extreme hardship results from the automatic penalty. Note: only the fine may be waived. The rule violation will remain on record for the official.

   2. Accident/Injury Report Forms on the official form provided by the Federation in accordance with GR848.

   3. Each competition’s Accident Preparedness Plan and a copy of the competition’s isolation protocol for horses as provided for in GR845.

   4. The Federation Office of Equine Drugs and Medications

   5. Paper Medication report forms, filed either with the Steward/Technical Delegate or Designated Competition Office Representative, must be sent to the Federation Office of Equine Drugs and Medications, 956 King Avenue, Columbus, OH 43212-2655. Paper Medication Report Forms may only be used when it is impossible to submit an online form.
4. Except in Hawaii and Alaska, no C2 Steward may officiate for more than three consecutive years at the same competition or at more than three consecutive competitions run by the same Licensee.

5. Except in Hawaii and Alaska, no C1 Steward may officiate for more than three consecutive competitions run by the same Licensee.

6. Except in Hawaii, no Technical Delegate may officiate for more than two consecutive years at the same competition or at more than two consecutive competitions run by the same Licensee. In Alaska, no dressage Technical Delegate may officiate more than three consecutive years at the same competition, but are not otherwise restricted from officiating at consecutive competitions run by the same Licensee. For the purposes of this rule, multiple, consecutive day Dressage Competitions held within a six-day period will be counted as one competition, if they are run by the same Licensee.

7. A Driving Technical Delegate shall not serve in that capacity at the same Combined Driving Event, Driving Trial, Driven Dressage Show, or Pleasure Driving Show more than three consecutive years.

8. The Steward’s and/or schooling supervisor’s decision regarding schooling fences, tack and equipment in the warm-up and/or schooling areas is final (Exception for Dressage: The final authority rests with Competition Management).

9. No Steward or Technical Delegate may officiate at more than one competition at the same time. Exception: Federation Licensed Special Competitions excluding dressage.

10. Stewards and Technical Delegates must retain copies of steward/technical delegate report forms, and supporting documentation, for a period of three years.

11. The Senior C1 Steward’s (see GR1211.3) responsibility includes overseeing all schooling at the horse show, monitoring schooling conditions (including footing, sound system, and safety), monitoring proper and adequate schooling equipment (jump standards, proper taping, jump cups, safety cups, flags and proper rails, etc.), monitoring proper tack, equipment, and attire, and interfacing with management, judges and other officials as necessary and assuring that the schooling areas have the appropriate supervision for the class. BOD 6/28/21 Effective 12/1/21

**GR1034 Special Duties of a Technical Delegate**

In addition to the responsibilities of a Steward and/or Technical Delegate listed in GR1034, the powers, duties and responsibilities of a Technical Delegate are as follows:

1. General Duties for Eventing, Driving, and Vaulting Technical Delegates
   a. Help the Ground Jury to supervise the technical conduct of the competition, including after he has indicated to the President of the Ground Jury that he is satisfied with the arrangements. Until he has indicated to the Ground Jury that he is satisfied with all the arrangements, the authority of the technical delegate shall be absolute.
   b. Courses and Arenas
      1. Inspect the courses and arenas to ensure that the technical details are in accordance with the rules and regulations.
      2. Instruct the Organizing Committee to make any alterations to the course(s) or arena(s) or to any technical detail associated with the conduct of the competition which he considers necessary.
      3. Be satisfied that the course is fair, at the standard of the level offered, and that knowledge of local conditions does not play any part.
   c. Eventing –The authority of the Eventing Technical Delegate is absolute until he has indicated to the Ground Jury that he is satisfied with all arrangements.

2. General Duties for Dressage Technical Delegates
   a. Inspect the arenas to ensure that the technical details are in accordance with the rules and regulations.
   b. Advise Competition Management to make necessary changes to arenas, schooling areas, or other facilities in order to comply with the rules.
   c. At Dressage Competitions, to observe and report that management and competitors are in compliance with all Dressage Division regulations regarding USEF/USDF Qualifying and Championship classes, Federation Junior Team Championships, and the USEF Championships and qualifying classes.
GR1035 Special Duties of Para-Equestrian Classifiers

1. The Chief Classifier shall submit the Classifier’s Evaluation Report to the Federation’s Director of Para-Equestrian Programs within 14 days of the completion of the competition.
   a. This report must be submitted by an FEI official (Exception: USEF National Para-Equestrian Championships, it may be submitted by a Federation Para-Equestrian Classifier).

2. Para-Equestrian Classifiers shall:
   a. Administer and coordinate Classification matters related to a specific competition in accordance with Federation Para-Equestrian Rules and FEI Classification Manual; and
   b. Liaise with organizing committees and the Federation before a competition to identify and notify athletes who require evaluation of Grade and Grade Status.

GR1036 Special Duties of Endurance Stewards

In addition to the responsibilities of a steward listed in GR1034, the powers, duties and responsibilities of an Endurance Steward are as follows:

1. Work with the Competition Manager prior to the competition with the following:
   a. Trail development and marking
   b. Emergency Plan
   c. Layout of vet gate
   d. Parking plan
   e. Volunteers and job duties
   f. Ride Meeting development
   g. Confirm results prior to submission

2. Attend event from the two hours before the First Inspection through the final rider finishing (best condition judging).

3. Assist Riders, Competition Manager, and Veterinarians as needed. Assist with facilitating Drug Testing between competitors and veterinarians.

GR1037 Yellow Warning Card - Stewards and Technical Delegates

1. A Yellow Warning Card may be issued by a Steward, Technical Delegate, or Competition Official working in any of these capacities at the competition to any competitor, spectator or participant for improper conduct, or for noncompliance with the rules, provided the issuer considers the conduct not severe enough to cause the issuer to submit the matter to the Federation for a Disciplinary Action Complaint.

2. To issue a Yellow Warning Card, a Steward, Technical Delegate, or Competition Official must complete and sign the Yellow Warning Card.

3. A copy of the signed Yellow Warning Card must be provided to the alleged offender at the competition either in person or by any other suitable means. A copy of the Yellow Warning Card must then be sent to the Federation with the Steward’s/Technical Delegate’s Report Form and noted therein.

4. Upon receipt of the Yellow Warning Card, the Federation will send an acknowledgment of its receipt of the Yellow Warning Card to the alleged offender advising of the provisions of this Rule.

5. The issuance of a Yellow Warning Card is not meant to replace the filing of a Disciplinary Action Complaint for a willful and serious violation of Federation rules, and in no case may a Yellow Warning Card be issued for abuse of a horse in any form. It is for lesser offenses only.

6. Immediately following receipt by the Federation of a third Yellow Warning Card indicating that a competitor, spectator, or participant has been issued three Yellow Warning Cards within a 16 month period the CEO or his designee shall either levy a fine ranging from $500 to $1000 or issue a formal Disciplinary Action Complaint pursuant to the Federation Bylaws. If the alleged offender is found in violation of any or all of said violations they may be subject to the penalties set forth in Chapter 7 of the Rules.
Conflicts of Interest and Restrictions - Licensed Officials

1. Any individual serving as a licensed official at a competition may not charge or receive direct financial benefit from tutoring an apprentice at the same competition.
2. Licensed Officials may not be the houseguest of a person who is exhibiting, or whose family is exhibiting, at the same competition.
3. A member of a manager’s family shall not officiate as a Judge, Steward, Technical Delegate, or Certified Schooling Supervisor at said manager’s competition.

Conflicts of Interest and Restrictions - Judges (See also GR107 and GR1304)

1. A judge may not be an owner of any interest in a horse (including but not limited to syndicate and partnership shares), nor may he be an exhibitor, trainer, coach, lessor, lessee, rider, driver, halter handler, Steward, Technical Delegate, or manager, nor may he be a family member of a competition licensee, Steward, Technical Delegate or manager at any Federation Licensed Competition at which he is officiating, including unrated classes. Exceptions: In the Eventing division and in the Dressage division, except for Dressage Sport Horse Breeding classes, horses may be shown Hors de Concours in classes where the owner is not officiating. See also GR1304.17-20. For Hunter and Hunter/Jumping Seat Equitation Judges, please see GR1304.21-26. For Arabian Judges, please see GR1304.2-4. BOD 6/28/21 Effective 12/1/21
2. A judge may not discuss with an exhibitor the purchase, sale or lease of any horse during a competition at which he is officiating.
3. A judge may not officiate more than one time within 125 radial miles during any 20 day period in the following circumstances:
   a. In any one of the following classes: ASPCA Horsemanship, Washington International Horse Show Equitation, USEF Show Jumping Talent Search, or the USEF Hunter Seat Medal at Premier or National rated competitions
   b. In the same "A" rated section (Exception: Federation Licensed Special Competitions)
   c. In the Andalusian/Lusitano, Arabian, Friesian, Hackney, Morgan, National Show Horse, Roadster, American Saddlebred, or Shetland division or section.
4. Paso Fino Division: A judge may not officiate more than once within 200 road miles during any 30 day period.
   a. This restriction does not apply to Guest Judges officiating classes recognized by a national breed or discipline association for which the Federation has no division rules and does not license judges.
   b. Exception: If a Judge is substituted for one who is officially designated in the prize list and catalogue and who is unable to serve owing to circumstances beyond his control, the restrictions in section 4 above shall not apply with respect to the show.
5. Limitations for Andalusian/Lusitano Judges
   a. A judge cannot officiate at two licensed competitions within the same IALHA Region (excluding the IALHA National Competition) within the same year unless the competitions are six months apart and travel distance from one competition facility to the second facility is 200 miles or greater.
   b. A judge licensed in the Andalusian/Lusitano Division may not officiate at more than one Regional Championship competition in that Division during any one competition year. A judge that has officiated at an IALHA National Championship Competition is ineligible to officiate at another IALHA National Championship for the next two years.

Conflicts of Interest and Restrictions - Stewards and Technical Delegates (See also GR107 and GR1304)

1. The following persons at a given competition are ineligible to serve as Stewards and Technical Delegates: the president, chairman, other Show Committee officers, competition secretary, manager or other competition officials or employees, judges or exhibitors at that competition.
2. No Steward or Technical Delegate may officiate in any competition in which any member of his family or any of his clients is judging.
3. No Steward or Technical Delegate may officiate at a competition if he or any member of his family has any relationship with the competition which constitutes a conflict of interest with the Steward’s or Technical
Delegate’s duties under these rules. No member of the Steward’s or Technical Delegate’s family (as defined in GR123) may serve as a Federation Licensed Official, Competition Licensee, Competition Manager or Competition Secretary at the competition where the steward or technical delegate is officiating.

4. No member of a Steward’s or Technical Delegate’s family, nor any of the Steward’s or Technical Delegate’s clients, may take part as a trainer, coach, lessor, lessee, exhibitor, rider, driver, handler or vaulter at a competition where the Steward or Technical Delegate is officiating, including unrated classes.

5. In addition to the above restrictions, the following persons may not serve as the Technical Delegate at an Eventing Competition, Vaulting Competition or Dressage competition:
   a. A close relative of a competitor or owner of a horse entered in the competition.
   b. Chefs d’Equipe whose teams are entered in the competition.
   c. Instructors or trainers of competitors entered in the competition. A member of the Ground Jury, the course designer, a Dressage or Jumping judge at the event.
   d. The Director (Manager) of the competition or a member of the Director’s family.

6. Stewards and Technical Delegates are not to be used as Competition Staff, a Competition Official (Directors, Officers, Chairman of the Show Committee, Manager, Secretary, Judge, Veterinarian, and Course Designer), FEI Official, or in any other paid position not related to their proper duties at Licensed Competitions where they are officiating with the following exceptions:
   a. At a competition where more than one Steward or Technical Delegate is officiating, and after a Steward or Technical Delegate has entirely completed his duties for the day at that Licensed Competition, he may officiate as a Certified Schooling Supervisor if the Steward or Technical Delegate is licensed as a Certified Schooling Supervisor. At no time may a competition have less than the requisite number of Stewards and Technical Delegates as required under GR1211 and other applicable rules.
   b. C2 Stewards who are also licensed as Dressage Technical Delegates may serve in both roles at Licensed Breed Competitions offering ‘breed restricted’ Dressage classes at Prix St. Georges or higher.
   c. Eventing and Driving Technical Delegates holding Federation and FEI Technical Delegate licensure may serve in both roles at dually licensed FEI/Federation Eventing and Driving Competitions.
   d. Federation Vaulting Technical Delegates and FEI Vaulting Stewards holding both licenses may serve in both roles at dually licensed FEI/Federation Vaulting Competitions.

7. At dually licensed FEI/Federation Dressage, Driving, Eventing, Jumping, and/or Vaulting competitions:
   a. Dressage, Para-Dressage, Driving, Eventing, and Vaulting Technical Delegates also holding licensure as a FEI Steward for Dressage, Para-Dressage, Driving, Eventing, and Vaulting are prohibited from serving in both roles on the same day(s) as Dressage, Para-Dressage, Driving, Eventing, and Vaulting Technical Delegates and FEI Stewards. (DR125.6).
   b. C1 Stewards also holding FEI Jumping Steward licensure are prohibited from serving in both roles.

8. A Steward or Technical Delegate cannot own or operate any business (i.e. tack shop, braiding business, etc.) at the same competition where he is officiating.

9. No Steward or Technical Delegate who is presently receiving, or has received within the past 30 days, any form of compensation from a competition management firm for services other than as a Federation licensed official or schooling supervisor, may officiate at any of said management’s competitions.

**GR1041 Conflicts of Interest – Para-Equestrian Classifiers**

1. Para-Equestrian Classifiers shall not serve in any other capacity at a competition while they are serving as Classifier, including but not limited to the president, chairman, other Show Committee officers, competition secretary, manager or other competition officials or employees, judges, or exhibitors at that competition.

2. A Para-Equestrian Classifier shall not be an exhibitor, trainer, coach, lessor, lessee, rider, driver, nor may he be a family member of an athlete in any Para-Equestrian classes at the competition at which he is working.
SUBCHAPTER 10-I LICENSE APPLICATIONS, ENROLLMENT, PROMOTION, AND MAINTENANCE

GR1042 Clinic and Officiating Requirements

1. Clinic and officiating requirements must be met by November 30 to be eligible to renew each license for the following competition year which begins December 1.

2. Any official who fails to fulfill clinic, exam, officiating, or measurement requirements, or any other type of maintenance requirements will not be eligible to have his license renewed and will have to apply for an extension.

3. For licensing requirements for license applications, enrollments, promotion, and maintenance, please refer to the Licensed Officials Policies and Procedures available on the Federation website.