

UNITED STATES EQUESTRIAN FEDERATION, INC.
ATHLETE SELECTION PROCEDURES
2023 Pan American Games – Santiago, Chile
Jumping
Approved May 17, 2023

1. SELECTION SYSTEM

1.1. Provide the minimum eligibility requirements for an athlete to be considered for selection to the Team:

1.1.1. Nationality/Passport Requirements - The athlete must be a national of the United States and in compliance with the FEI General Regulations, 24th Edition, Article 119 (see www.fei.org) at the time of application, selection, and until the conclusion of the 2023 Pan American Games (“the Event”). Athlete must hold a valid U.S. passport that will not expire until after May 5, 2024.

1.1.2. Minimum International Olympic Committee (IOC) or, Pan American Sports Organization (Panam Sports) standards for participation:

Any competitor in the Pan American Games must be a national of the United States. For additional information regarding an athlete who is a national of two or more countries, has changed his or her nationality or acquired a new nationality, refer to the Olympic Charter (Rule 41), the IPC Handbook (Section 2, Chapter 3.1), the Panam Sports Constitution (Article 34.4-7), or the Americas Paralympic Committee bylaws (Chapter 2.4.12 of the IPC Handbook).

1.1.3. Minimum International Federation (FEI) and/or Continental Federation (CF) standards for participation:

1.1.3.1. All athletes and horses wishing to compete on the 2023 Pan American Games U.S. Jumping Team (the “Team”) must earn an FEI Certificate of Capability in accordance with the Athlete Eligibility requirements outlined in the Jumping Qualification Criteria for the 2023 Pan American Games. The FEI Certificate of Capability criteria can be found on the FEI website [here](#).

1.1.3.2. To be eligible for participation in the Event, Athletes and Horses must comply with all current FEI Rules.

1.1.3.3. The athlete must have been born on or before December 31, 2005 (18 years of age in the year of the Pan American Games) see the FEI Rules for Jumping Championships and Games [27th edition, 1 January 2022, updates effective 1 January 2023], Article 321.

1.1.3.4. A horse must be born on or before December 31, 2015 (eight (8) years of age in the year of the Pan American Games) see the FEI Rules for Jumping Championships and Games [27th edition, 1 January 2022, updates effective 1 January 2023], Article 321.

1.1.4 Other requirements:

1.1.4.1 The athlete must be an active Competing Member of the United States Equestrian Federation (“USEF”) in good standing at the time of FEI Nominated Entries through the conclusion of the Event.

1.1.4.2 All horses listed on the application must be recorded with USEF pursuant to USEF General Rule 1102 in good standing at the time of FEI Nominated Entries through the conclusion of the Event.

1.1.4.3 Owners of horse(s) named to the Squad and/or Team and Traveling Reserve must execute the USEF Horse Participation Agreement (Attachment B). By executing the USEF Horse Participation Agreement, horse owner(s) certify that they have received, read, and understand the Selection Procedures for the Team; the USEF Code of Conduct (**Attachment C**); the USEF Release, Assumption of Risk, Waiver and Indemnification (**Attachment D**); the [U.S. Center for SafeSport Code](#) and the [USEF Safe Sport Policy](#) including the Minor Athlete Abuse Prevention Policies (**Attachment E**); the Non-Discrimination Policy (see Section 13 below); and the [USEF Whistleblower & Anti-Retaliation Policy](#) (**Attachment F**) and that they understand these documents, and that they agree to be bound by them.

1.1.4.4 The athlete must successfully complete the USEF Application* by the stated deadline - Athletes wishing to be considered for the selection to the Event under these Selection Procedures must

successfully complete an online application (Attachment A) with the appropriate application fee at www.usef.org. Applications submitted online on or before **June 9, 2023** at 11:59 PM ET will be charged seventy dollars (\$70.00) per application. Applications submitted online after **June 9, 2023 at 11:59 PM ET** and received by USEF on or before **June 16, 2023** at 11:59 PM ET will be charged an application fee of three hundred dollars (\$300.00) per application. **NO APPLICATIONS WILL BE ACCEPTED AFTER June 16, 2023 at 11:59 PM ET.**

- 1.1.4.5 Athlete must successfully complete all Games Registration requirements by the stated deadline, unless the athlete requests for an extension of the deadline due to an extraordinary circumstance. Any extension must be approved by the United States Olympic and Paralympic Committee (“USOPC”).
- 1.1.4.6 Any athlete aged 18 or older will be required to undergo a background screen in accordance with the current [USOPC Background Check Policy](#).
- 1.1.4.7 Any athlete age 18 or older as of the Closing Ceremony will be required to remain current with the U.S. Center for SafeSport’s education and training requirements in accordance with the [USOPC Athlete Safety Policy](#).
- 1.1.4.8 By signing the Application, the Athlete certifies that they have received, read, and understand the Selection Procedures for the Team; the USEF Code of Conduct (**Attachment C**); the USEF Release, Assumption of Risk, Waiver and Indemnification (**Attachment D**); the [U.S. Center for SafeSport Code](#) and the [USEF Safe Sport Policy](#) including the Minor Athlete Abuse Prevention Policies (**Attachment E**); the Non-Discrimination Policy (see Section 13 below); and the [USEF Whistleblower & Anti-Retaliation Policy](#) (**Attachment F**) and that they understand these documents, and that they agree to be bound by them.

1.2 Tryout Events:

- 1.2.1 Event names, dates and locations of all trials, competitions, and camps to be used as part of the selection process:

See 1.3.1 This information was previously communicated to potential Event applicants and published on USEF’s website on October 11, 2022 (**Attachment G**) and can be found [here](#).

- 1.2.2 Describe how athletes qualify for the events listed in 1.2.1:

See 1.3.1 This information was previously communicated to potential Event applicants and published on USEF’s website on October 11, 2022 (**Attachment G**) and can be found [here](#).

1.3 Step-by-step description of the selection process for these Games (include maximum Team size):

- 1.3.1 **Selection for the Squad** – On or about August 16, 2023, based upon the procedures set forth herein, the Chef d’Equipe, in consultation with the Advisors (see Definitions on page 13), will recommend five (5) athletes and their horse(s)* to be named to the Squad, provided that the athlete and horse(s) have met the application requirements set forth in Section 1.1 above. Such list is subject to the recommendation of the USEF Jumping Sport Committee or its designees, and subject to the approval the USEF Board of Directors, Executive Committee, or an Ad Hoc Selection Group approved by the Board of Directors.

*Note 1: There is no limit on the number of horses per athlete named to the Squad or as Substitutes to the Squad (see Section 1.3.2 and 1.3.4); however, all horses are subject to approval by the Chef d’Equipe. In evaluating horses to be named to the Squad or as Substitutes to the Squad, the Chef d’Equipe will base their evaluations on the factors outlined in Section 1.3.2 and 1.3.5. It is possible for an athlete to request to be considered with an additional horse(s) after the application deadline. Athletes wishing to be considered with additional horses must indicate this request in writing to Lizzy Chesson, USEF Managing Director, Jumping Programs by no later than 11:59pm ET August 11, 2023. Exception: See Section 1.3.8.

The athletes recommended to the Squad will be selected according to the following procedure:

- 1.3.2 August 11, 2023 Rolex/USEF Show Jumping Ranking List: From the August 11, 2023 Rolex/USEF Show Jumping Ranking List (see www.usef.org), the USEF Chef d'Equipe will recommend a minimum of three (3) athletes and their horse(s) from the (5) five highest ranked applicant athletes to the USEF Jumping Sport Committee or its designees, which will submit its recommendation to the USEF Board of Directors, or Executive Committee, or Ad Hoc Selection Group approved by the Board of Directors for approval, provided that the athlete and horse(s) have met the application requirements in Section 1.1 for inclusion on the Squad.

The Chef d'Equipe, in consultation with the Advisors, in evaluating athletes and horses for these (3) positions, will take into account their ranking on the Rolex/USEF Show Jumping Ranking List as well as the following criteria (in no priority order):

- Current ability of the athlete and horse to meet the standards of the Event.
- Overall performance of the athlete and horse with emphasis on:
 - 2022/2023 Nations Cup and Grand Prix Show Jumping competitions;
 - The ability to compete without incurring jumping and/or time penalties at the highest level of course difficulty, as well as a record of having done so on a consistent basis;
 - Previous international experience, including the demonstrated ability of the horse and/or athlete to succeed in FEI Grand Prix Show Jumping competitions within the past 2-3 years;
 - The ability to contribute to a medal winning team;
 - A record of successfully jumping over a variety of types of international level courses, and in particular over courses that included a water jump and tested for carefulness, scope, and rideability;
 - The ability to jump international level courses within the Time Allowed;
 - The ability to maintain peak form throughout multiple round events; and
 - A statistical record of consistently producing low-fault rounds with a minimum of high fault scores over difficult courses.
- The fitness and soundness of the horse.
- The fitness of the athlete to maximize the performance of the horse.

For further explanation of terms used above, see page 13 "Definitions."

- 1.3.3 Discretionary Selection: The Chef d'Equipe, in consultation with the Advisors, will use discretion to recommend the other two (2) athletes and their horse(s) to the Squad provided that the athlete and horse(s) have met the application requirements in Section 1.1.

The Chef d'Equipe, in consultation with the Advisors, in evaluating athletes and horses for discretionary selection purposes, will take into account the criteria defined above in Section 1.3.2.

Squad Order: The athletes named to the Squad will NOT be ranked at the time they are named to the Squad. The list will be approved and listed in alphabetical order using the name of the athlete.

Communication with Chef d'Equipe and Team Veterinarian: Athletes named to the Squad as described above, must be in direct communication with the Chef d'Equipe with regard to the preparation, fitness, and competition schedule of themselves and their horse(s).

In addition, all horses named to the Squad may undergo a baseline exam with the Team Veterinarian and/or a member of the Veterinary Panel at any time between selection and departure for the Event. The Team Veterinarian and the athlete's veterinarian must be in communication regarding the soundness and preparation of the Squad horse(s).

Failure to adhere to the agreed upon plans and/or directives of the Chef d'Equipe and/or Team Veterinarian may result in the removal of the athlete and horse(s) from the selection process. (See Section 3).

- 1.3.4 **Selection of Substitutes for the Squad** – On or about August 16, 2023, the Chef d'Equipe in consultation with the Advisors will recommend a pool of athletes and their horse(s)* (see Note 1) as Substitutes to the Squad. The Substitutes to the Squad are subject to the recommendation of the USEF Jumping Sport Committee or its designees, and subject to the approval the USEF Board of Directors, Executive Committee, or an Ad Hoc Selection Group approved by the Board of Directors.

The Substitutes to the Squad will be recommended as follows:

- 1.3.5 August 11, 2023 Rolex/USEF Show Jumping Ranking List: From the August 11, 2023 Rolex/USEF Show Jumping Ranking List www.usef.org, the Chef d'Equipe may recommend a minimum of three (3) athletes and their horse(s) from the next (5) highest five ranked applicant athletes as Substitutes to the Squad to the USEF Jumping Sport Committee or its designees, and subject to the approval the USEF Board of Directors, Executive Committee, or an Ad Hoc Selection Group approved by the Board of Directors, provided that the athlete and horse(s) have met the application requirements in Section 1.1.

The Chef d'Equipe, in consultation with the Advisors, in evaluating athletes and horses for these positions, will take into account their ranking on the Rolex/USEF Show Jumping Ranking List as well as the criteria outlined in Section 1.3.2.

- 1.3.6 Discretionary Selection: The Chef d'Equipe, in consultation with the Advisors, will use discretion to recommend the other two (2) athletes and their horse(s) as Substitutes to the Squad provided that the athlete and horse(s) have met the application requirements in Section 1.1.

The Chef d'Equipe, in consultation with the Advisors, in evaluating athletes and horses for discretionary selection purposes, will take into account the criteria outlined in Section 1.3.2.

Substitutes Order: The athletes named as Substitutes to the Squad will NOT be ranked at the time they are named as Substitutes to the Squad. The list will be approved and listed in alphabetical order using the name of the athlete.

- 1.3.7 **TBD, 2023: FEI Nominated Entries** –By no later than the closing date for the FEI Nominated Entries for the Event, TBD, 2023, the Chef d'Equipe, in consultation with the Advisors, will evaluate the athletes and horses named as Substitutes to the Squad and recommend, along with the athletes and horses named to the Squad, up to the maximum number of athletes and horses permitted according to the FEI Rules [ten (10) athletes and (20) horses] be named to the FEI Nominated Entries for the Event. This recommendation will be subject to the recommendation of the USEF Jumping Sport Committee or its designees, and subject to the approval the USEF Board of Directors, Executive Committee, or an Ad Hoc Selection Group approved by the Board of Directors.

The Chef d'Equipe, in consultation with the Advisors, when evaluating athletes and horses will take into account the criteria outlined in Section 1.3.2. Such list will be submitted to the FEI as the FEI Nominated Entries by no later than TBD, 2023.

- 1.3.8 **Additions to the FEI Nominated Entries** - Prior to submission of the FEI Definite Entries (TBD), the Chef d'Equipe, in consultation with the Advisors, may use discretion to recommend that up to one (1) additional horse be named to the FEI Nominated Entries with one of the athletes originally named to the FEI Nominated Entries on TBD. This recommendation is subject to the recommendation of the USEF Jumping Sport Committee or its designees, and subject to the approval the USEF Board of

Directors, Executive Committee, or an Ad Hoc Selection Group approved by the Board of Directors. The Chef d'Equipe and Advisors, when evaluating such horses will take into account the criteria outlined in Section 1.3.2. Horses in consideration must meet the application requirements in Section 1.1.

In addition, prior to submission of the FEI Definite Entries (TBD), the Chef d'Equipe, in consultation with the Advisors, may use discretion to recommend that one (1) athlete/horse combination may be named to the FEI Nominated Entries who was not originally named to the FEI Nominated Entries on or about TBD. This recommendation is subject to the approval of the USEF Jumping Sport Committee or its designees, and subject to the approval the USEF Board of Directors, Executive Committee, or an Ad Hoc Selection Group approved by the Board of Directors. The Chef d'Equipe and Advisors, when evaluating such combinations will take into account the criteria outlined in Section Section 1.3.2. Athletes and horses in consideration must meet the application requirements in Section 1.1.

- 1.3.9 **September 26, 2023: USOPC Final Entries**— After selection of the Squad, on or about August 16, 2023, but by no later than the closing date for the USOPC Final Entries for the Event, September 26, 2023, the Chef d'Equipe, in consultation with the Advisors, will evaluate the athletes and horses selected for the Squad and will recommend four (4) athletes and four (4) horses to be named to the “Team” and up to one (1) reserve athlete and/or horse to be named as the “Traveling Reserve” subject to the recommendation of the USEF Jumping Sport Committee or its designees, and subject to the approval the USEF Board of Directors, Executive Committee, or an Ad Hoc Selection Group approved by the Board of Directors.

The Chef d'Equipe, in consultation with the Advisors, when evaluating athletes and horses for the Team and Traveling Reserve will take into account the criteria outlined in Section 1.3.2, and the willingness of the athlete to work within the confines of the Pan American Games / USOPC Accreditation allocations and restrictions. Such list will be submitted to the USOPC as the USOPC Final Entries by no later than September 26, 2023 and to the FEI as the FEI Definite Entries (TBD).

- 1.3.10 **Last Date for Replacements** — The Last Date for Replacements is the last date at which an athlete or horse can be replaced for the Team and/or Traveling Reserve selected to travel to Santiago. The date will be based on when the U.S. horses fly to Santiago, where they fly from, the number of athlete/horses taking part in final preparation prior to departing to the Event and the availability of space on Official Santiago Horse Flights departing at a later date and the practicality of travelling a horse at a later date. The date will be decided by the USEF Director of Sport in consultation with Dutta Corporation (TBC), the Show Jumping Managing Director, Chef d'Equipe and Team Veterinarian. The date will be published to all athletes selected to the FEI Nominated Entries List as soon as it can be decided upon. To clarify, horses will only be allowed to travel to Santiago on the Official Horse Flights as organized by Dutta Corporation.
- 1.3.11 **Declaration of Starters** – The declaration of starters will be done in accordance with the FEI Jumping Championships and Games FEI Rules for Jumping Championships and Games [27th edition, 1 January 2022, updates effective 1 January 2023] Chapter II Article 304. The Team and Traveling Reserve will be the same as originally approved at the time of the USOPC Final Entries unless a Replacement is made.

2. **DISCRETIONARY SELECTION**

- 2.1 Rationale for utilizing discretionary selection: Discretionary selection is used to field the best team possible for the Event.
- 2.2 Discretionary criteria and how it will be used: See Section 1.3 as well as below.

- 2.2.1 Soundness and Fitness** - Horses that are candidates for selection may be examined at any time during the selection process through the conclusion of the Event by the Team Veterinarian or a member of the Veterinary Panel. Horses found to be unsound and/or unfit by the Team Veterinarian or a member of the Veterinary Panel may be eliminated from the Selection Process but only with the recommendation of the Chef d'Equipe, USEF Jumping Sport Committee or its designees, and subject to the approval the USEF Board of Directors, Executive Committee, or an Ad Hoc Selection Group approved by the Board of Directors.

All horses named to the Squad may undergo a baseline exam with the Team Veterinarian and/or a member of the Veterinary Panel at any time between selection and departure for the Event. The Team Veterinarian and the athlete's veterinarian must be in communication regarding the soundness and preparation of the Squad horse(s).

- 2.2.2 Team Veterinarian Request** – Applicants, at their own expense, shall make available, upon the request of the Team Veterinarian, 24 months' worth of complete veterinary records of horses considered for selection. In addition, applicants, at their own expense, shall be responsible for supplying any follow-up diagnostic information requested by the Team Veterinarian for horses under consideration for selection. Applicants, at their own expense, shall complete any treatment prescribed by the Team Veterinarian, after consultation with the horse's treating veterinarian if such a consultation is requested by the athlete, for horses under consideration for selection. Failure to comply with any reasonable request of the Team Veterinarian may result in the removal of the athlete/horse combination from the selection process by the Chef d'Equipe and Advisors upon the recommendation of the Team Veterinarian the USEF Jumping Sport Committee or its designees, and subject to the approval of the USEF Board of Directors, Executive Committee, or an Ad Hoc approved by the Board of Directors.

- 2.2.3 Veterinary Treatment** - Once the athletes are named to the Squad, FEI Nominated Entries or USOPC Final Entries, athletes will be required to obtain written permission from the Team Veterinarian prior to their selected horses being treated by any person other than the Team Veterinarian. In addition, all veterinary treatments must be recorded and made available to the Team Veterinarian if requested.

Failure to adhere to this policy and/or the directives of the Team Veterinarian may result in the removal of the athlete/horse combination from the selection process by the Chef d'Equipe upon the recommendation of the Team Veterinarian and USEF Jumping Sport Committee Members or its designess, and subject to the approval of the USEF Board of Directors, Executive Committee, or an Ad Hoc approved by the Board of Directors.

- 2.2.4 Medical Request** - In the event of illness or injury, applicants may be required to submit to a medical examination by a physician(s) approved by the USEF CEO at any time during the selection process for the purposes of determining the applicant's ability to compete at the Event. Failure to submit to such examination may result in the removal of the applicant from the selection process by the Chef d'Equipe and Advisors upon the recommendation of the USEF approved physician(s) and USEF Jumping Sport Committee or its designees, and approval of the USEF Board of Directors, Executive Committee, or an Ad Hoc approved by the Board of Directors. Following the examination, should the USEF approved physician(s) find the applicant to be unfit to compete, the applicant may be removed from the selection process pending the review of the USEF Jumping Sport Committee or its designees and subject to the approval of the USEF Board of Directors, Executive Committee, or an Ad Hoc approved by the Board of Directors.

- 2.3** Names of the committee(s) that will be responsible for making discretionary selections, along with a complete list of the members' titles (See Attachment H for the complete list).

USEF Jumping Chef d'Equipe
USEF Jumping Advisors
USEF Jumping Team Veterinarian

USEF Jumping Veterinary Panel
USEF Jumping Sport Committee
USEF Board of Directors, Executive Committee, or Ad Hoc

2.3.1 Process that will be used to identify and handle any potential conflicts of interest involving a member of the committee:

All individuals involved in selection must comply with the USEF Conflict of Interest and Gifts & Entertainment Policy, to include completing and submitting a disclosure form for review by the USEF Legal Department and USEF Audit Committee prior to convening the committee.

Any individual involved in selection who has an actual, possible, or perceived conflict of interest must disclose it to the USEF Legal Department and USEF Audit Committee prior to the start of the selection process and/or recuse oneself from the review process and/or voting. A conflict of interest exists when a personal, family, financial, professional, club, team or business interest of the individual poses a direct or indirect relationship, connection, or affiliation, past or present, with an Athlete or Horse in contention for the applicable team selection that could compromise the individual's ability to participate in the selection process in an unbiased manner. If a conflict exists, the USEF Legal Department and Audit Committee shall review the conflict and determine the individual's level of involvement (e.g., whether that individual must recuse themselves from participating in discussions and/or voting). For example, if it is determined that an individual must recuse themselves from voting, the Audit Committee may still determine that an individual who has relevant and necessary information with respect to athlete performance, for example a team coach or high performance director, may, if requested by the remaining individuals involved in selection, provide such information to the committee so long as such information is provided in a fair and unbiased manner and so long as the individual with the conflict of interest does not participate in the deliberation or vote toward the final decision. The individual must not otherwise influence other individuals in the selection process.

Additionally, any person (including any potentially impacted Athlete, owner, or coach of a potentially impacted Athlete or Horse) with a good faith belief that an individual has a conflict of interest may report the alleged conflict of interest to USEF General Counsel and/or Audit Committee. Reports may be made anonymously. No individual shall retaliate in any way against a person who, in good faith, reports an alleged conflict of interest.

Any recused individual shall be replaced in accordance with the USEF selection appointment process.

3. REMOVAL OF ATHLETES

3.1. **Prior to the acceptance of the nomination by the USOPC, USEF has jurisdiction over potential nominees:**

An Athlete or Horse may be removed for any of the following reasons, as determined by the USEF:

- 3.1.1. Voluntary withdrawal. Athlete must submit a written letter to the USEF CEO.
- 3.1.2. Injury or illness as certified by a physician (or medical staff) approved by the USEF. If an Athlete refuses verification of their illness or injury by a physician (or medical staff) approved by the USEF, their injury will be assumed to be disabling and he/she may be removed.
- 3.1.3. Violation of the [USEF Code of Conduct](#)
- 3.1.4. Violation of the [U.S. Center for SafeSport Code](#) or [USEF Safe Sport Policy](#).
- 3.1.5. Failure of the horse owner to sign the Horse Participation Agreement.
- 3.1.6. Injury or illness as certified by the Team Veterinarian and/or Veterinary Panel. If an Athlete or owner refuses to have the Horse examined by the veterinarian approved by USEF, the injury or illness will be assumed to be disabling and they may be removed.
- 3.1.7. Failure to adhere to the agreed upon plans, guidance and/or directives of the Chef d'Equipe.
- 3.1.8. Detection of a prohibited substance in the horse (see below).

- 3.1.9. Failure to adhere to all World Anti-Doping Agency (“WADA”), FEI, USEF, and/or U.S. Anti-Doping Agency (“USADA”) anti-doping protocols, policies or procedures regarding Athletes and Horses, including participation in required Out-of-Competition testing.
- 3.1.10. Non-compliance with the eligibility requirements listed in Section 1.1. of these selection procedures.
- 3.1.11. Failure to comply with a request of the Team Veterinarian and/or member of the Veterinary Panel.
- 3.1.12. Exceptional circumstances having a direct bearing upon obtaining the best qualified team for the Event, in consultation with the Chef d’Equipe and Advisors.
- 3.1.13. Failure to comply with requests regarding Logistical information and deadlines.
- 3.1.14. Behavior of the athlete and people associated with the athlete (including, without limitation, accredited owners, coaches and grooms) that may have an impact on creating a positive team environment that will affect (either positively or negatively) the ability to win a team medal.

An Athlete who is removed from the Team pursuant to this provision has the right to a hearing per the USEF’s Bylaws, Part VII, and the USOPC Bylaws, Section 9.

3.2. **After acceptance of nomination by the USOPC:**

Once Athlete entries have been submitted to the Local Organizing Committee by the USOPC, the USOPC has jurisdiction over the Team, at which time, in addition to any applicable USEF Code of Conduct, the USOPC’s Code of Conduct and Grievance Procedures apply. The USOPC’s Code of Conduct is included in the Games Forms made available prior to the respective Games; the USOPC’s Grievance Procedures can be found at: www.teamusa.org/Footer/Legal/Governance-Documents

An athlete may be removed from the Team at any time for the following reasons:

- 3.2.1. Voluntary withdrawal. An athlete must submit a written to the USEF CEO
 - 3.2.2. Injury or illness as certified by a USEF approved physician (or medical staff).
 - 3.2.3. Injury or illness of the horse as determined by the Team Veterinarian.
 - 3.2.4. Violation of the USEF Code of Conduct.
 - 3.2.5. Violation of the USOPC Code of Conduct and Grievance Procedures.
- 3.3. An athlete may be removed as a nominee to the Team or from the Team for an adjudicated violation of IOC, IPC, Panam Sports, APC, WADA, IF, USADA and/or USOPC anti-doping protocol, policies, and procedures, as well as the U.S. Center for SafeSport Code, the USOPC Athlete Safety Policy and USEF Safe Sport Policy, as applicable.

4. REPLACEMENT OF ATHLETES AND HORSES

- 4.1. Describe the selection and approval process for determining replacement Athlete(s) and Horses(s) should a vacancy occur:

- 4.1.1. **Prior to the acceptance of the USOPC Final Entries:** Once the Squad for the Event has been named and prior to the submission of the USOPC Final Entries, the USEF has the ability to substitute athletes and horses on the Squad for reasons set forth in Section 3.1.

To fill openings, if they occur, the Chef d’Equipe, in consultation with the Advisors and Team Veterinarian, will evaluate the athletes and horses named to the Squad, or as Substitutes to the Squad and/or named to the FEI Nominated Entries and may recommend that a substitution be made. These recommendations are upon the recommendation of the USEF Jumping Sport Committee or its designees, and subject to the approval of the Board of Directors, Executive Committee, or an Ad Hoc approved by the Board of Directors, if available within a practical timeframe. The Chef d’Equipe and Advisors when evaluating athletes and horses will base their evaluations on the factors outlined in Section 1.3.2.

Any substitution other than voluntary withdrawal must be upon the recommendation of the Chef d'Equipe, in consultation with the Advisors, and the USEF Jumping Sport Committee or its designees, and subject to the approval of the Board of Directors, Executive Committee, or an Ad Hoc approved by the Board of Directors.

Any substitutions for veterinary reasons must have the approval of the Team Veterinarian and when appropriate the Veterinary Panel. Any substitutions for medical reasons other than voluntary withdrawal must be documented by a physician(s) who is approved by the USEF or USOPC.

- 4.1.2. **After submission of Entries by Name to the Local Organizing Committee, including any applicable group or committee and until the Last Date for Replacements (See Definitions on page 13):** After acceptance of the USOPC Final Entries and until the Last Date for Replacements, USEF has the ability to recommend to the USOPC to replace athletes and/or horses on the USOPC Final Entries for reasons set forth in Section 3.2. During this time period, athlete/horse combinations on the Squad may be replaced with an athlete/horse combination or a horse on Squad or on the FEI Nominated Entries. Replacements may only be made by recommendation of the Chef d'Equipe, and in accordance with the FEI Rules and/or for reasons set forth in Section 3.2 and subject to approval by the USEF Chef de Mission.

To fill openings, if they occur, the Chef d'Equipe, in consultation with the Advisors and Team Veterinarian will evaluate the athletes and horses named to the Squad and the FEI Nominated Entries and may recommend that a replacement be made. The Chef d'Equipe and Advisors when evaluating athletes and horses will base their evaluations on the factors outlined in Section 1.3.2.

Any substitution for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must also have the approval of the Team Veterinarian. Any substitution for medical reasons must be documented by a physician(s) who is approved by the USEF or USOPC.

- 4.1.3 **After the Last Date for Replacements and until one (1) hour before the First Horse Inspection:** After the Last Date for Replacements and until one (1) hour before the First Horse Inspection, USEF has the ability to recommend to the USOPC to replace athletes and/or horses on the USOPC Final Entries for reasons set forth in Section 3.2. During this time period, Team members may only be replaced with the Traveling Reserve. However, the reason for the replacement must only be because either, the athlete is unfit to compete due to injury or illness or there is a strong veterinary case for replacement, or the performance of the Team member has dropped off to such an extent that for the success of the Team a replacement is vital. The latter situation will almost certainly occur as a result of a veterinary problem which it may or may not be possible to define given the time/resources available at the time. Replacements may only be made by recommendation of the Chef d'Equipe, with approval of the Show Jumping Team Leader and U.S. Equestrian Chef de Mission, and in accordance with the FEI Rules for Jumping Championships and Games [27th edition, 1 January 2022, updates effective 1 January 2023] Chapter II Article 319 or for reasons set forth in Section 3.2.

Any replacement for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must also have the approval of the Team Veterinarian. Any replacement for medical reasons must be documented by a physician(s) who is approved by the USEF or USOPC.

- 4.1.4. **After the Declaration of Starters and up to one (1) hour before the start of the First Competition:** After the Declaration of Starters and until one (1) hour before the First Competition, USEF has the ability to recommend to the USOPC to replace athletes and/or horses on the Team for reasons set forth in Section 3.2. During this time period, Team members may only be replaced with

the Traveling Reserve. However, the reason for the replacement must only be because either, the athlete is unfit to compete due to injury or illness or there is a strong veterinary case for replacement or the performance of the Team member has dropped off to such an extent that for the success of the Team a replacement is vital. The latter situation will almost certainly occur as a result of a veterinary problem which it may or may not be possible to define given the time/resources available at the time. Replacements may only be made by recommendation of the Chef d'Equipe, with approval of the Show Jumping Team Leader and U.S. Equestrian Chef de Mission, and in accordance with the FEI Rules for Jumping Championships and Games [27th edition, 1 January 2022, updates effective 1 January 2023] Chapter II Article 320 or for reasons set forth in Section 3.2.

Any replacement for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must also have the approval of the Team Veterinarian. Any replacement for medical reasons must be documented by a physician(s) who is approved by the USEF or USOPC.

5. **SUPPORTING DOCUMENTS:** USEF will retain all approved Selection Procedures and all supporting documents, including scouting or evaluation forms, etc., and data from the selection process for six months past the date of the Closing Ceremonies of the Event.
6. **REQUIRED DOCUMENTS:** In addition to the USOPC Code of Conduct, the following documents are required to be signed by an Athlete as a condition of nomination to the Pan American Games Team:
 - Application
 - USEF Code of Conduct
 - USEF Release, Assumption of Risk, Waiver, and Indemnification Agreement
7. **PUBLICITY/DISTRIBUTION OF PROCEDURES:** The USOPC approved Selection Procedures (complete and unaltered) will be posted/published by the USEF in the following public location(s):
 - 7.1. USEF Web site: www.usef.org
These procedures will be posted as soon as possible, but not more than five business days following notice of approval by the USOPC.
 - 7.2. Other: These procedures will also be available by mail or email upon request.
8. **DATE OF NOMINATION:** Nomination of Athletes, including replacements, will be announced to all Athletes and submitted to the USOPC on or before: September 26, 2023.
9. **MANDATORY TRAINING AND/OR COMPETITION:** Notice of location, schedule and duration of final preparation will be communicated to Squad Athletes on or about September 1, 2023.
10. **ANTI-DOPING REQUIREMENTS:**
 - A. **Human Anti-Doping:** Athletes must adhere to all IOC, IPC, Panam Sports, APC, WADA, FEI, USADA and USOPC anti-doping protocols, policies, and procedures, as applicable. This includes participation in Out-of-Competition Testing as required by the IOC, IPC, Panam Sports, APC, WADA, FEI, USADA and USOPC rules, as applicable.
 - B. **Equine Anti-Doping:** All Horses are subject to drug testing both in competition and out of competition.
 1. **In-Competition.** All Horses entered to compete in any FEI or USEF Event are subject to drug testing at any time from when the Event begins until the Event ends or beginning when the Horse arrives for the Event until the Event ends, whichever occurs first. Blood and/or urine samples may be collected and tested for substances prohibited or forbidden under the subject Event rules. National Events may invoke GR409 whereby samples will be tested for FEI prohibited substances, including metabolites and markers.
 - a. **Positive Finding.** At any time during the observation and selection period that the presence of a prohibited or forbidden substance, or a metabolite or marker, is detected in the sample collected in-Event, the results, including the level detected for controlled medications, will be reported to the USEF Chief Executive Officer and General Counsel.

- b. They will notify the Director of Sport Programs or the Discipline Director for dissemination of information as appropriate. In such instances, the positive finding may result in the elimination of the Horse and/or Athlete from the Selection Process.
 - c. Before elimination occurs, the Owner and Athlete will be afforded a hearing by a panel ("Selection Hearing Panel") comprised of at least one disinterested member of the applicable discipline Sport Committee along with at least two disinterested members of the Hearing Committee, one of which must be an Athlete Representative.
 - d. The Chairman of the USEF Veterinary Committee, or his designee, may serve Ex Officio and a member of the Veterinary Panel selected for the Championship may serve Ex Officio.
 2. **Out-of-Competition.** Blood and/or urine samples may be collected out-of-competition at any time throughout the selection period, which is defined as commencing on June 17, 2023 following notification to the Athlete at least 24 hours prior to collection of samples. Samples collected from Horses out-of-competition will be tested for the presence of substances prohibited by the FEI, including metabolites and markers. Failure to make the Horse available for collection of samples may result in the elimination of the Horse and/or Athlete from the Selection Process. Before elimination occurs, the Owner and Athlete will be afforded a hearing by the Selection Hearing Panel.
 - a. **Positive Finding.** At any time during the selection period that the presence of a prohibited or forbidden substance, or a metabolite marker, in the sample, the results, including the level detected for controlled medications, will be reported to the USEF Chief Executive Officer and General Counsel, who will notify the Director of Sport Programs or the Discipline Director for dissemination of information as appropriate.
 - b. In such instances, the positive finding may result in the elimination of the Horse and/or Athlete from the Selection Process unless the Veterinary Panel appointed for the Selection of the Event certifies that the substance detected has been approved or is legitimate and appropriate under the circumstances. Before elimination occurs, the Owner and Athlete will be afforded a hearing by the Selection Hearing Panel.
 3. These provisions do not abrogate the enforcement of applicable Equine anti-doping rules at Federation licensed Events, including Events where GR409 is invoked whereby samples will be tested for FEI prohibited substances, including metabolites and markers.
 4. USEF GR409.2 Equine Drugs and Medications, Prohibited Substance Provisions: No Horse and/or pony competing in a Breed or Discipline designated as (or part of) a No Prohibited Substance Group is to be shown in any class at an Event licensed by the Federation if it has been administered in any manner or otherwise contained in its tissues, body fluids or excreta a prohibited substance as defined in the FEI Horse Anti-Doping and Controlled Medication Regulations, which can be found at www.fei.org.
11. **DEVELOPMENT OF SELECTION PROCEDURES:** The following committees/groups were responsible for creating these Selection Procedures: USEF Jumping Sport Committee and the USEF Board of Directors or Executive Committee. See Attachment H for list of USEF Committees, their roles, and list of members.
12. **USEF BYLAWS AND GRIEVANCE PROCEDURES:** The USEF Bylaws can be found [here](#). Contact information for the USOPC Athlete Ombuds can be found below in Section 16.
13. **NON-DISCRIMINATION POLICY**

USEF does not discriminate on the basis of race, color, religion (creed), sex, gender identify, gender expression, age, national origin (ancestry), disability, marital status, sexual orientation, or military status, in any of its activities or operations. Everyone involved in the development of selection procedures and selection process must abide by the Non-Discrimination Policy.
14. **FUNDING DISCLAIMER**

Selection to the team does not guarantee that funds will be available for the expenses related to the Event.

15. INTERNATIONAL DISCLAIMER:

These procedures are based on IOC, IPC, Panam Sports, APC, as applicable, and/or FEI rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in IOC, IPC, Panam Sports, APC, as applicable, and/or FEI rules and regulations will be distributed to the affected athletes immediately. The selection criteria are based on the latest information available to USEF. However, the selections are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

If any force of nature, or force majeure, should cause the alteration or cancellation of any of the selection events listed in this document, these selection procedures may require revisions, pursuant to their resubmission to the USOPC.

16. ATHLETE OMBUDS OFFICE

The Athlete Ombuds Office provides cost-free, independent, and confidential advice regarding Athlete rights, grievance procedures and any other related guidance pertaining to selection procedures and can assist in mediating disputes between athletes and USEF.

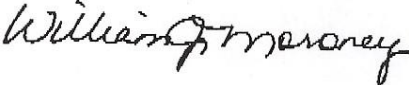

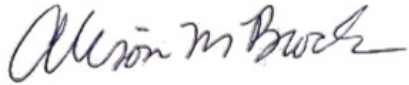
To contact the Athlete Ombuds Office:

PHONE: (719) 866-5000

EMAIL: ombudsman@usathlete.org

WEBSITE: www.usathlete.org

17. USEF SIGNATURES: I certify that I have read and understand the standards/criteria set by the FEI and incorporated those standards/criteria into our Selection Procedures. I further certify that the information provided herein regarding Athlete Selection Procedures represents the method approved by USEF.

Position	Print Name	Signature	Date
USEF CEO	William J. Moroney		May 17, 2023
USEF Jumping Managing Director	Lizzy Chesson		May 17, 2023
USOPC Athletes' Advisory Council Representative*	Allison Brock		May 17, 2023

* If the USOPC AAC Representative has delegated authority to the Alternate AAC Representative to sign the Selection Procedures, attach a letter from the AAC Representative indicating the reason they have delegated authority.

* Signature by the Athlete Representative constitutes that she has read and understands the Selection Procedures. If the Athlete Representative reads and does not agree with the Athlete Selection Procedures being submitted by USEF, she may submit those reasons in writing to her USOPC Sport Performance Team.

* If, for some reason, a sport does not have an elected USOPC AAC Representative, the USEF must designate an athlete from that sport to review and sign the Selection Procedures.

Any questions regarding these Selection Procedures should be addressed to Lizzy Chesson, USEF Managing Director of Jumping, United States Equestrian Federation, 1040 Potterville Road, Gladstone, NJ 07934, Phone (908) 977-3007, Email: lchesson@usef.org.

DEFINITIONS

Event – is defined as the Jumping Competitions for the 2023 Santiago Pan American Games.

Squad – is comprised of 5 athletes and their horse(s).

Team – is comprised of 4 athletes and 4 horses from the Squad that will travel and compete at the Pan American Games;

Traveling Reserve – is comprised of the remaining athlete and/or horse from the Squad, who will travel to the Pan American Games as a Replacement athlete and/or horse.

Consistently – The ability of the horse and athlete to produce low-scoring rounds over a high percentage of the time and in a variety of conditions and venues.

Carefulness – The ability of the horse and athlete to consistently avoid jumping penalties over a wide variety of obstacles, in particular those constructed to fall down easily.

Scope – The ability of the horse and athlete to successfully negotiate high and wide oxers from both long and short distances.

Rideability – The ability of the horse and athlete to negotiate a variety of complicated distance problems on course, as would typically be encountered at the highest international level.

International Experience – A competitive record over the past 2 or 3 years indicating that the horse and/or athlete have successfully met the challenges of FEI international events, while demonstrating an ability to perform under pressure on a consistent basis.

Succeed in FEI Jumping Competitions – The ability of the athlete/horse combination to consistently produce low scoring rounds in CSIO's and CSI's Competitions.

Substitute – Substituting an athlete and/or horse prior to submission of the USOC Final Entries.

Replacement – Replacing an athlete and/or horse after submission of the USOC Final Entries.

Advisors – Three (3) individuals (the “Advisors”) will be appointed by the USEF CEO upon the recommendation of the USEF Jumping Sport Committee. The Advisors are subject to the USEF Code of Conduct and Code of Ethics and Conflict of Interest and Gifts & Entertainment Policy (Attachment I) and USEF Safe Sport Policy and U.S. Center for SafeSport Code. The Advisors are Senior Active Members in good standing of USEF, who are also members of the Show Jumping community and who are knowledgeable and experienced in Show Jumping at the international level.

The Advisors will serve in an advisory capacity to the Chef d'Equipe and the Chef d'Equipe will make recommendations to the USEF Jumping Sport Committee or its designees based on his consultation with the Advisors, the Team Veterinarian and when appropriate, the Veterinary Panel.

A minimum of two (2) Replacement Advisors may also be named. A Replacement Advisor will take the place of an Advisor who has a conflict of interest or a substantial appearance of a conflict of interest. There will be a minimum of 33.3% Eligible Athlete Representation on the panel of Advisors.