

## **CHAPTER 1 DEFINITIONS**

### **SUBCHAPTER 1-A DEFINITIONS**

- GR101 Adult or Senior (Individual)
- GR102 Age (of Equine)
- GR103 Age (of Individual)
- GR104 Agent
- GR105 Amateur
- GR106 Amateur Classes
- GR107 Clients
- GR108 Coach
- GR109 Commencement and Completion of Classes
- GR110 Comparable Dates
- GR111 Competition Dates
- GR112 Competition Staff
- GR113 Competition Officials
- GR114 Competition Terminology
- GR115 Competition Year
- GR116 Competitor
- GR117 Disqualification
- GR118 Elimination
- GR119 Excused
- GR120 Exhibition (Class or Demonstration)
- GR121 Exhibitor
- GR122 Falls
- GR123 Family
- GR124 Farm/Business
- GR125 Gender
- GR126 Hand
- GR127 Horse
- GR128 Junior
- GR129 Ladies' Classes
- GR130 Lessee
- GR131 License Rights
- GR132 Licensed Competition
- GR133 Licensee
- GR134 Local Classes
- GR135 Longeur
- GR136 Maiden, Novice, and Limit Classes
- GR137 Not in Good Standing
- GR138 Open Classes
- GR139 Opportunity Classes
- GR140 Owned by Him or Her
- GR141 Owner
- GR142 Owner's Classes

GR143 Para-Equestrian

GR144 Pony

GR145 Professional

GR146 Protests, Charges and Grievances

GR147 Shown and Judged

GR148 Trainer

GR149 Veterinarian

GR150 Young Rider

## **SUBCHAPTER 1-B ADOPTION AND AMENDMENT OF FEDERATION RULES**

GR151 Rules

GR152 Rule Change Procedures

GR153 Presidential Modifications

## **CHAPTER 1 DEFINITIONS**

### **SUBCHAPTER 1-A DEFINITIONS**

#### **GR101 Adult or Senior (Individual)**

1. An individual who has reached his 18th birthday as of December 1st of the current competition year.
  - a. For competition purposes, in the Dressage division, competitors shall compete as Adults from the beginning of the calendar year in which they reach the age of 22.
  - b. For the Eventing Division, see EV104.
  - c. For Paso Fino Division, see PF106.1.
  - d. For the Arabian Division, see AR110.
  - e. For the AMHA Medal Classes, see MO165.

#### **GR102 Age (of Equine)**

1. For competition purposes any horse is considered to be one year old on the first day of January following the actual date of foaling.
  - a. Exception: In the Paso Fino Horse Division, a horse's age is determined by the age it is on the first day of January during the twelve month period September 1 through the following August 31.
  - b. Some breed/disciplines may, for purposes of eligibility to compete, use the actual age of the animal (of foaling date).
2. A mature horse is one that is over four years of age.
3. A junior horse is one that is four years of age or younger. Exceptions:
  - a. Junior Breeding or In-Hand classes are open to horses two years old and under. Junior Horse performance classes held in the Arabian, Friesian, National Show Horse, and Western Division(s) are open to horses five years old and under. Senior Breeding or In-Hand classes are open to horses three years old and over.
  - b. Friesian: six and older.
  - c. For Andalusian/Lusitano, see division rules.

#### **GR103 Age (of Individual)**

1. The age of an individual on December 1st will be maintained throughout the entire competition year.
2. Persons born on December 1st will assume the greater age on that date.
3. If a competition is in progress on any November 30th, an individual's age at the start of the competition will be maintained throughout the competition.
4. Exceptions: see GR149, EV104, DC912, DR119.3, RN104 and PF106.1-.4.

#### **GR104 Agent**

Any adult or adults, including but not limited to any groom(s), veterinarian(s), coach(es) or other persons who act on behalf of an exhibitor, owner or lessee of a horse, trainer, rider, driver or handler at or in connection with a Licensed Competition.

#### **GR105 Amateur**

See GR1306 and GR1307.

#### **GR106 Amateur Classes**

1. Every contestant must hold amateur status. See GR1306 and GR1307.
2. Amateur classes may be restricted to riders, drivers or handlers who are no longer eligible to compete as a junior exhibitor. In the Dressage or Western Dressage Division, individuals are only eligible to compete as amateurs from

the beginning of the calendar year in which they reach age 22. See DR119.3.

## **GR107 Clients**

1. As used in GR1304, GR1039.2 and GR1011.9, “client” and “clients” of a judge, steward or technical delegate shall include:
  - a. any person who has received, or who has a member of his or her family who has received, horse training or instruction in riding, driving or showing in hand or in halter from the judge, steward or technical delegate or from said official’s employee, whether or not remuneration has been given or received, and whether or not such training or instruction took place at a Licensed Competition
  - b. any persons who pay horse board (excluding stud fees and broodmare board) to the judge, steward, or technical delegate, or to a member of his or her family and
  - c. any persons entered in a Licensed Competition as rider, driver, handler, exhibitor, owner or lessee, and members of the family of the foregoing, on an entry blank signed in any capacity by the judge, steward or technical delegate or his or her agent, employee or member of his or her family, whether or not remuneration has been given or received.
2. The conducting of clinics or assistance in group activities such as Pony Clubs, unless private instruction is given, will not be considered as instruction, coaching or tutoring.

## **GR108 Coach**

For purposes of these rules, a coach is defined as any adult or adults who receives remuneration for having or sharing the responsibility for instructing, teaching, schooling, or advising a rider, driver, handler or vaulter in equestrian skills. See also GR906.4 and GR908.

## **GR109 Commencement and Completion of Classes**

1. Refer to GR829.
2. In classes where horses compete collectively, a warning is issued and the in-gate must be closed two minutes after the first horse enters the ring.
  - a. Judging must not commence until the gate is closed or at the end of the two-minute call. An official timer must be appointed to enforce this rule.
3. Exception: Dressage or Western Dressage division.
4. For Paso Fino, see PF102.7.
5. In a class where horses compete individually, a class is considered completed when all horses have completed the class routine as designated by the rules.
6. In classes where horses compete collectively, a class is considered completed when the class has been judged in accordance with the rules and the judge(s) submit their cards to the ringmaster or announcer.
7. None of the above applies to the Jumper division. Refer to Chapter JP.

## **GR110 Comparable Dates**

1. For competitions with a start date of December 1, 2008 or later, the following provisions will be in effect:
  - a. For the 2009 competition year and thereafter, comparable dates will be based on competition’s 2006 dates using the Memorial Day Date Rotation Axis. Under the Memorial Day Date Rotation Axis, all USEF competition dates rotate in conjunction with Memorial Day.
  - b. For the 2009 competition year, any competition that did not hold a license for the 2006 competition year, but received a license for the 2007 and/or 2008 competition year, will have priority over new competitions requesting dates. However, competitions with licenses for the 2006 competition year will have priority over any of the above

mentioned competitions.

- c. For purposes of determining mileage between competitions, quarters will be defined as follows: first quarter - December through February; second quarter March through May; third quarter - June through August; fourth quarter - September November. The first day of a competition is the date used to determine the mileage applied to the entire competition.

### **GR111 Competition Dates**

A competition or competition date begins at 12:01 am on the calendar date on which the first class (or Horse Inspection, if applicable) is scheduled and ends at midnight on the calendar date on which the last class is scheduled.

### **GR112 Competition Staff**

Includes and refers to the following persons: Gate Attendants, Ring Clerks, Farriers, Timekeepers, Announcers, Ringmasters and other persons engaged directly by the competition.

### **GR113 Competition Officials**

Includes and refers to the following persons: Directors, Officers, Chairman of the Show Committee, Manager, Secretary, Judges, Stewards, Technical Delegates, Veterinarians, and Course Designers.

### **GR114 Competition Terminology**

1. For the purposes of USEF rules, the following definitions apply to USEF licensed competitions.
  - a. Rated class - any class held on a licensed competition date that counts towards the division or section rating. (See Chapter 3)
  - b. Unrated class - any class held on a licensed competition date that does not count towards the division or section rating, or any class held at an unrated licensed competition. (See GR307.3)
  - c. Recognized class - any class held on a licensed competition date for which there are USEF division rules. (See GR301 or GR902.3)
  - d. Unrecognized class - any class held on a licensed competition date in accordance with the requirements of GR301.1 or GR902.3. (See GR902.2)
  - e. Division - group of rules pertaining to a specific breed or discipline (i.e. Morgan, Jumping)
  - f. Section - group of rules within a specific breed or discipline division that pertains to a type of class (i.e. Working Western Section within the Arabian Division)

### **GR115 Competition Year**

1. For the purposes of these rules, the competition year is defined as starting December 1st and ending November 30th.
  - a. The Paso Fino competition year is defined as starting September 1 and ending August 31.
  - b. A USHJA Hunter or Jumper Zone may define its competition year as starting October 1st and ending September 30th, providing it makes this determination prior to June 1st of the year preceeding the new competition year. If a Zone elects to change its competition year it must keep that change for a minimum of three (3) years.

### **GR116 Competitor**

The horse, rider (handler, driver) or horse/rider combination being judged depending on the judging specifications for a particular competition.

### **GR117 Disqualification**

1. To exclude a competitor or horse, for cause, from participation in a given class, division or competition.
2. Competitors may be disqualified by the Licensed Competition.

3. If a competitor is disqualified following the completion of a class, for the purpose of determining the number of horses which have been entered, shown, and judged, said competitor's performance shall count. If the competitor received an award, the award must be forfeited. A competitor disqualified in this manner may not use this class as a qualifying class for a Championship (see also GR809.2.).
4. Competitors have the right to contest any action taken pursuant to this Rule by filing a protest or grievance pursuant to Chapter 6 of the Rules for hearing and determination by the Hearing Committee.

### **GR118 Elimination**

1. See also GR1305 and specific division rules.
2. To exclude a competitor or horse, for cause, from judging consideration in a class.
3. A competitor who is eliminated is ineligible to receive an award regardless of the number of competitors in the class.
4. If an eliminated competitor completes a class, in accordance with GR116 and GR117, for the purpose of determining the number of horses which have been entered, shown, and judged, said competitor's performance shall count.
5. None of the above applies to the Jumper division. Refer to Chapter JP.

### **GR119 Excused**

1. To have a judge(s) grant permission to, or request that a competitor leave the class.
2. A competitor who is excused is ineligible to receive an award.
3. None of the above applies to the Jumper division. Refer to Chapter JP.

### **GR120 Exhibition (Class or Demonstration)**

1. An Exhibition may be held as a recognized but unrated class or demonstration, as required by division rules. See DR136 for rules pertaining to exhibition classes and demonstrations at Dressage Competitions. See HJ148 for rules pertaining to exhibition classes and demonstrations at Hunter, Jumper or Hunter/Jumper licensed competitions.
2. Exhibition classes must be advertised in the prize list and may be judged and ranked. At any USEF licensed competition, Exhibition classes may not be restricted to Friesians.
  - a. However, results may not be counted for high score awards or championships.
  - b. If published, the rankings report must be clearly separated from competition results and noted as being from an exhibition.
  - c. Judges for breed or Western exhibition classes held at Licensed Competitions are not required to be licensed by USEF.
  - d. Judges for Western Dressage exhibition classes must be licensed as described in WD124.1.
3. Exhibition demonstrations may also be held using horses individually, in groups or in entertainment acts. Such demonstrations cannot be held as a class.
4. Horses used in exhibitions, demonstrations, and retirement ceremonies are subject to the provisions of GR839, but are exempt from the dress and saddlery rules of the competition.

### **GR121 Exhibitor**

1. The owner or lessee of a horse when entered in a class where only the merits of the horse or horse/rider combination are to be considered. In Equitation Classes, Exhibitor refers to the rider.

### **GR122 Falls**

1. A rider is considered to have fallen when he is separated from his horse, that has not fallen, in such a way as to

necessitate remounting or vaulting into the saddle.

2. A horse is considered to have fallen when the shoulder and haunch on the same side have touched the ground or an obstacle and the ground. Exception: see EV142.4, EV150.9 and RN103.5.I.

### **GR123 Family**

For competition purposes the term family includes spouse, parent, step-parent, child, brother, step-child, sister, half brother and sister, aunt, uncle, niece, nephew, grandmother, grandfather, grandchildren, and in-laws of the same relation as stated above.

### **GR124 Farm/Business**

- a. Life Recorded Farm/Business. A Life Recorded Farm/Business is any farm, ranch, syndicate, partnership, corporation, business that attains Life Recording upon a single payment of \$2,500. A Life Recorded Farm/Business shall have all the rights and privileges of membership and be subject to all liabilities and penalties which may be imposed upon Members. They shall be exempt from annual dues.
- b. Annual Recorded Farm/Business. An Annual Recorded Farm/Business is any farm, ranch, syndicate, partnership, corporation, business that attains Annual Recording through annual dues of \$80.

### **GR125 Gender**

Whenever in these rules the words he, him, or his are used, unless the context requires otherwise, they shall include she, her, or hers.

### **GR126 Hand**

1. The height of all animals is stated in hands. A hand is 4".
2. Horses must be over 14.2 hands. Exceptions:
  - a. Registered Arabians, Half or Anglo Arabians, Connemaras, English Pleasure entries, Morgans, Paso Finos, and Welsh Cobs.
  - b. Dressage.
3. Ponies are 14.2 hands and under. Exceptions:
  - a. Dressage;
  - b. Combined Driving, see DC930.

### **GR127 Horse**

1. The term "horse" as used in these rules denotes either a horse or pony.
2. In all levels of all Federation licensed Driving and Endurance Competitions and in the case of any other Federation Rule as it relates to the Driving or Endurance disciplines as the context permits it, the term "horse" shall also include a mule. See DC Annex 9, EN102.1.

Mules are also eligible to compete in dressage classes with the exception of:

1. USEF Championships, USEF qualifying and selection trials, and observation classes,
  2. any other classes designated as qualifying or selection classes for international or international high performance competition, and
  3. championships where such participation is prohibited in the championship selection procedures. See DR119.1.
3. When the term "Horse" or "Pony" is used or intended in prize lists and catalogues of Licensed Competitions where height is one of the qualifications of the class, the word Horse denotes animals over 14.2 hands.
- a. Exceptions: Registered Arabians, Half or Anglo Arabians, Connemaras, English Pleasure entries, Friesian,

Morgans, Paso Finos, Welsh Cobs and Dressage.

- b. In the Dressage Division, a Horse is an animal over 148 cm without shoes, and 149 cm with shoes.
- c. For Combined Driving, see DC930.

### **GR128 Junior**

1. An individual who has not reached his 18th birthday as of December 1st of the current competition year.
2. The age of an individual on December 1st will be maintained throughout the entire competition year.
3. Persons born on December 1st assume the greater age on that date.
4. If a competition is in progress on any November 30th, junior status at the start of the competition will be maintained throughout that competition.
5. Exceptions: Eventing, Dressage, Reining, Arabian and Paso Fino Competitions, see EV104.2, RN104.c, PF106.1-3, DR119.3 and AR110.

### **GR129 Ladies' Classes**

1. Ladies' classes may be restricted to riders, drivers or handlers who are no longer eligible to compete as a junior exhibitor.
2. Stallions are not permitted unless division rules allow their use for ladies.

### **GR130 Lessee**

See GR1108.

### **GR131 License Rights**

Granting of a license by the Federation does not give the Licensee property rights or perpetual rights but constitutes merely revocable licenses which are venue specific and which are governed by the terms and conditions of the license agreement and the rules, policies and procedures of USEF as the same may change from time to time. Approval of all competitions at all times remains a prerogative and property interest of the Federation, and licenses may not be relocated, transferred, sold, assigned, revised, perpetuated, limited, expanded, or otherwise affected without the Federation's advance knowledge and written consent. By applying for and accepting the license agreement, all applicants and their agents, licensees, employees, officers, representatives, and successors in interest are deemed to agree to and be bound by the foregoing, and agree to hold the Federation harmless in all respects.

### **GR132 Licensed Competition**

A competition subject to a license agreement for a fixed term. The parties to the agreement will be USEF and the licensee.

### **GR133 Licensee**

A Licensee is a person or legal entity who has obtained legal permission from the Federation to conduct a licensed competition.

### **GR134 Local Classes**

1. Entry is restricted by management as to a territory of reasonable size and character and which is so described in the prize list and catalogue.
2. Local classes are not considered in reckoning Competition Championships awarded on points nor do they count toward Horse of the Year Awards.
3. Classes restricted to members of a club are considered Local unless membership is open to and easily obtainable by all exhibitors.
4. In classes which restrict entries by state such as Kentucky Bred, the word bred is defined as foaled in the state (not

necessarily mated).

a. For competition purposes bred means foaled.

b. NOTE: American Bred classes are limited to horses foaled within the borders of the United States. These classes are not considered Local.

5. For Dressage Sport Horse Breeding (DSHB), see DR203.12.

### **GR135 Longeur**

For purposes of these rules, a longeur is the individual responsible for the control of the horse within the vaulting arena during a vaulting competition.

### **GR136 Maiden, Novice, and Limit Classes**

1. Maiden, Novice and Limit classes are open to horses which have not won one/ three/six first place ribbons respectively, at Regular Competitions of the Federation or Equestrian Canada in the particular performance division or level in which they are shown. See DR137 for all rules related to Maiden, Novice and Limit classes in Dressage Competitions.
2. The Maiden, Novice or Limit status of both riders and drivers is affected by winnings at Regular and Local Competitions. (Exception: Arabian)
3. Ribbons won in one-horse classes do not count in reckoning the maiden, novice or limit status of either horse and/or rider/driver in any division.
4. The status of Maiden, Novice or Limit entries is as of the closing date of entries for any particular Licensed Competition.
5. A Maiden, Novice or Limit Pair is one which has not won more than the specified number of ribbons as a pair.
6. Winnings in Four-In-Hands, Tandems, Teams, Unicorns and Pairs; and winnings in Combination, Local, Model, Breeding and Futurity classes are not considered in reckoning the status of Maiden, Novice or Limit horses.
7. Ponies or horses which lose their Maiden, Novice, Limit or other classification in any division or section will not regain those classifications when shown in the Hunter Pony division or Junior Hunter division. Ponies or horses shown in the Hunter Pony division or Junior Hunter division which lose their classification will not regain them when shown in any other divisions or sections, unless specific regulations are set forth (e.g. Jumper division).
8. In the Andalusian, Arabian, Friesian, Morgan, Roadster, and Western divisions, ribbons won within one section do not count in the reckoning of Maiden, Novice or Limit status if the animal competes in a different section (e.g., Arabian Park to Arabian Western Pleasure, Saddle Horse English Country Pleasure to Saddle Horse Hunter Country Pleasure, Western Pleasure to Trail, or Morgan English [Park or Pleasure] to Morgan Western or Morgan Harness [Park or Pleasure] and vice versa).
9. In the American Saddlebred division, first place ribbons won in any Under Saddle class count towards Maiden/Novice/Limit status of a horse in any Under Saddle class but do not affect the Maiden/Novice/Limit status for Driving classes. Conversely, status for Driving classes does not affect Under Saddle classes (except Equitation).
10. In the Hackney division, ribbons won within those sections (i.e. Hackney Harness, Hackney Pleasure) do not count in the reckoning of Maiden, Novice, or Limit status if the pony competes in another section of the Hackney division. However, ribbons won in the Roadster Pony section of the Roadster Division will count towards the maiden/ novice/limit status of Hackney Roadster ponies.
11. In all other divisions, ribbons won within one section or division do count in the reckoning of Maiden, Novice, or Limit status if horses and ponies compete in a different section or division.
12. In a change of status from horse to pony, or vice versa, ribbons won in one height classification do not count in reckoning the Maiden, Novice or Limit status of an entry.

## GR137 Not in Good Standing

1. Any person referenced in GR701 who has been expelled or suspended by the Federation.
2. Any licensee suspended pursuant to GR703 or GR707 or who is indebted to the Federation pursuant to GR303.

## GR138 Open Classes

1. An Open class is open to all horses and ponies of any age, size or sex, regardless of previous awards received, in accordance with division rules.
2. There is no qualification for the rider, driver or handler except as specified in division rules for the particular category or level of classes.

## GR139 Opportunity Classes

1. Unrated, grass roots level classes held at breed restricted, multi-breed, Carriage Pleasure Driving, Western competitions, Western Dressage or Hunter and/or Hunter Jumper competitions with no FEI recognized classes. Opportunity Classes cannot be held at FEI discipline competitions (exception: Dressage Competition Levels 1-3, per GR821). At any USEF licensed competition, Opportunity Classes may not be restricted to Friesians.
2. For further information, reference GR821, GR407.1 and GR901.9.

## GR140 Owned by Him or Her

1. For purposes of applying suspension only, the phrase “owned by him or her” with regard to a horse shall include any individual who is one of the following: an owner, a partial owner, a lessor (pursuant to GR703.1c, a lessee may apply for the release from suspension of a leased horse), a lessee, a holder of a partnership interest in a horse, or an owner of shares in a corporation, limited liability company, syndicate or any similar entity which owns or leases a horse either directly or indirectly, in whole or in part, and spouses or domestic partners of such persons. See GR703.1c, GR1301.4a, GR1302.2c, GR1308.2b, and GR913.1.
2. This rule is not applicable in determining ownership for any other purposes in the rules.

## GR141 Owner

See GR1105 and GR1106.

## GR142 Owner's Classes

1. Every competitor must be an amateur and the owner, or an amateur member of the owner's family, unless the prize list states otherwise. Exception: Paso Fino Division.
2. Owners' classes may be restricted to riders, drivers or handlers who are no longer eligible to compete as a junior exhibitor.
3. Combined ownership is not permitted in Owner's or Amateur Owner's classes unless all owners are members of the same family. **Exception: Paso Fino.**
4. **In the Arabian Division, combined ownership is permitted in Owner's or Amateur Owner's classes, per AR110.**
5. Leased horses are not eligible. **BOD 1/20/18 Effective 12/1/18**

## GR143 Para-Equestrian

Para-Equestrian (PE) is a competition, division or section that provides equestrian competition opportunities for eligible individuals with a diagnosed permanent, physical disability as determined by the USEF/FEI Classification, which is based on the FEI Profile System for Para-Equestrian. (See GR1311.)

## GR144 Pony

1. Ponies are animals that do not exceed 14.2 hands.
  - a. Exceptions: for Combined Driving, see DC930;
  - b. In the Dressage Division, a Pony is an animal that does not exceed 148 cm without shoes, and 149 cm with shoes.

## **GR145 Professional**

See GR1306.

## **GR146 Protests, Charges and Grievances**

1. For a description of a protest, see Chapter 6 in general, and specifically GR603.
2. For a description of a charge, see Chapter 6 in general, and specifically GR604.
3. For a description of a grievance, see Chapter 6 in general, and specifically GR605.

## **GR147 Shown and Judged**

1. To be shown and judged in any class in which horses compete together, an animal must perform at all required gaits both ways of the ring in the original workout and must remain in the ring until excused by the judge. Exceptions:
  - a. Roadster Division, see RD104.
  - b. Paso Fino Division, see PF102.5.

## **GR148 Trainer**

1. Any adult, or adults who has the responsibility for the care, training, custody or performance of a horse.
2. Said person must sign the entry blank of any Licensed Competition whether said person be an owner, rider, agent and/or coach as well as trainer.
3. Where a minor exhibitor has no trainer, a parent or guardian must sign and assume responsibility of trainer.
4. The name of the trainer must be designated as such on the entry blank. See also GR404.

## **GR149 Veterinarian**

A graduate of an accredited veterinary school.

## **GR150 Young Rider**

In the International Disciplines individuals are eligible as Young Riders or Young Drivers from the beginning of the calendar year in which they reach the age of 16 until the end of the calendar year in which they reach the age of 21.

## **SUBCHAPTER 1-B ADOPTION AND AMENDMENT OF FEDERATION RULES**

### **GR151 Rules**

1. In the event a division or section rule makes a clear exception to a general rule, or clearly departs from a general rule, the division or section rule shall govern; in all other instances, General Rules Chapters 1-13 shall take precedence.
2. The rules of the Federation take precedence over the rules of any other Association. (Exception: See GR915.2.) All divisions and sections for which rules are provided herein must be conducted accordingly and cannot be held under rules that are not in agreement.
  - a. FEI rules take precedence as to international classes and events over Federation rules at all FEI Sanctioned Competitions.
  - b. Federation rules take precedence as to national classes and events which are not FEI Sanctioned at FEI Sanctioned Competitions.
  - c. In connection with Endurance Riding Events, The Federation shall nationally enforce the prohibition of the gastric ulcer medications ranitidine and omeprazole, in accordance with GR409.1
  - d. At FEI Sanctioned Competitions which include no national classes, a licensee is not required to have a Federation steward or technical delegate. *BOD 11/12/18 Effective 12/1/18*
3. Any question not covered by the rules of the Federation shall be decided by the Directors of the competition at which it arises. Such decisions by a Show Committee or Competition Management may be reviewable by the

Federation. Show Committees and Competition Management are cautioned not to make use of the authority here granted unless completely certain that the Book does not cover the points in question.

4. Standard rule changes become effective December 1st of the year approved, unless otherwise specified, and supersede prior rules. All competitions are governed by the rules in effect on the first day of the competition.
5. Every Licensed Competition and every person participating at the competition including exhibitor, owner, lessee, manager, agent, rider, driver, handler, judge, steward or technical delegate, competition official or employee is subject to the Bylaws and Rules of the Federation and to the local rules of the competition.

## **GR152 Rule Change Procedures**

These rules may be added to, altered or amended at any meeting of the Board of Directors by a majority vote of those present as provided herein below. The USEF Legislative Committee is responsible for review, analysis, and oversight of the Federation's rule change process, subject to review and approval by the Board of Directors. Rule Change Categories: Standard, Clarification, Extraordinary, and FEI.

Federation **Senior Staff**, Federation Committees, Recognized Affiliate Associations (Recognized Associations and International Discipline Associations), **and eligible Individual Federation members** may propose rule changes. **Individual Federation members are eligible so long as the member is a Lifetime member or has held a Federation Senior Active membership for at least five (5) consecutive years. If an Individual Federation member is ineligible to submit a rule change due to these requirements, he may contact the appropriate Recognized Affiliate Association or Federation committee and request that either one consider proposing his desired rule change.**

### **1. Standard Rule Changes.**

- a. Standard rule changes must be submitted to the Federation in writing on the USEF official form within the following deadlines in order to be considered within the current rule change year.
  1. Individual Federation members June 1
  2. Federation Senior Staff June 1
  3. Federation Committees September 1
  4. Affiliated Entities (Bylaw 221) September 1
  5. Recognized Affiliate Associations (Bylaw 222) September 1
- b. The proponent's name must be clearly listed on the official rule change form. When the proponent is other than an individual, **upon request**, such submissions shall be accompanied by minutes or certification signed by the Committee Chair or Recognized Affiliate Association's President that document the review and action taken at a meeting.
- c. The Legislative Committee may reject a proposal for:
  1. failure to comply with the requirements of the official form;
  2. lateness;
  3. incompleteness;
  4. inexact or confusing language;
  5. a proposal that was disapproved the prior year;
  6. attempts to amend a rule or rules in effect for less than a year;
  7. proposing to change a rule that is solely within the Federation's purview
  8. any other specified reason in the Committee's discretion.
- d. In the event the Legislative Committee rejects a proposal, the proponent must be notified in writing. Proponents of a rejected proposal may revise and resubmit a proposal. Any decision to reject a proposal made by the Legislative Committee shall prevail subject to review by the Board of Directors.
- e. The Legislative Committee will designate review of the proposed rule change by any and all Federation

Committees and each assigned entity deemed appropriate. *BOD 1/20/18 Effective 12/1/18*

2. Rule Clarifications:

- a. Contradictory language, missing or incorrect phrasing, and other wording or punctuation errors that cause inconsistent interpretations of the intent of a rule, may be clarified via a Federation Official Rule Clarification form. This form will be submitted to the Rule Change Coordinator, who will obtain comments from relevant Federation Departments and Committees.
- b. The General Counsel, with the approval of the Legislative Committee, may approve clarifications and post them on the USEF website and include them in the next available Federation Rule Book. The General Counsel may immediately correct misspelled words, minor punctuation errors, missing or incorrect references and other similar clarifications deemed minor.

3. Extraordinary Rule Changes.

- a. An Extraordinary rule change is defined as: (i) one that, unless expedited, would create or continue a severe hardship or a gross unfairness to the Federation, its members or their horses, its Licensed Competitions, or its Recognized Affiliate Associations; or (ii) one that is certified by a Recognized Affiliate Association Board or Executive Committee by a formal vote that without passage would disadvantage the membership of the Recognized Affiliate Association. The rule change proposal form MUST contain a statement describing clearly how the proposed change meets the above criteria. The Rule Change Coordinator must then get certification that the proposed extraordinary rule change in fact, does meet the necessary criteria to bypass the normal rule change process. Such certification will come from the Legislative Committee in conjunction with the General Counsel, or at least two USEF Officers.
- b. Once the proposal is certified, and with a required minimum five business days notice, the Board of Directors at any meeting may entertain and take immediate action on such an Extraordinary Rule Change. However, the five-day notice requirement may be waived upon the affirmative vote of a majority of the Board present with the reasons for the waiver stated in their meeting minutes.
- c. Extraordinary Rule Changes shall be effective as specified by the Board and if not specified, shall be effective immediately upon passage.
- d. Following adoption of any Extraordinary Rule Change, prompt written notice must be given via the Federation's web site.

4. FEI Rule Changes. In order to comply in a timely manner with changes in FEI rules which may be published by the FEI outside the Federation's normal rule change schedule, certification is waived and the Board of Directors may consider and act to conform to any FEI rule change as deemed necessary.

## **GR153 Presidential Modifications**

1. Modifications may be made in the application of the Federation Rules under special circumstances (see Bylaw 332.1f). Requests should be submitted to the office of the President and will be forwarded to appropriate committee chairmen for their recommendations before being submitted to the President for consideration.
  - a. Senior Active Members of the Federation may submit a request for Presidential Modification to the Rules with supporting documentation and a non-refundable \$50 processing fee.
  - b. The President has the authority to waive the processing fee.
  - c. When a horse has a chronic condition and has previously been granted at least one annual Presidential Modification to Dressage or Eventing rules related to the condition, an application can be submitted for approval of a special three-year Presidential Modification related to the same condition. The application must be accompanied by sufficient supporting documentation that the condition is unlikely to improve during the three-year period.