

UNITED STATES EQUESTRIAN FEDERATION, INC.
ATHLETE SELECTION PROCEDURES
2019 Pan American Games
JUMPING
Lima, Peru
USOC Review Date: January 23, 2019

I. SELECTION SYSTEM

A. Minimum eligibility requirements for an athlete to be considered for selection to the Team:

- 1. Nationality/Passport Requirements:** The athlete must be a national of the United States and in compliance with the FEI General Regulations, 23rd Edition, Article 119 (see www.inside.fei.org) at the time of application, selection, and through the conclusion of the 2019 Pan American Games Jumping Competition (“the Event”). Athletes must hold a valid U.S. Passport that does not expire until after January 26, 2020.
- 2. Minimum International Olympic Committee (IOC), International Paralympic Committee (IPC) and/or Pan American Sport Organization (PASO) (PAG and PPAG only) standards for participation:** Any competitor in the Olympic, Paralympic, Pan American or Parapan American Games must be a national of the country of the National Olympic Committee (NOC) or National Paralympic Committee (NPC) which is entering such competitor. For additional information regarding an athlete who is a national of two or more countries, has changed his or her nationality or acquired a new nationality, refer to the IOC Charter (Rule 41), or the IPC Handbook (Section 2, Chapter 3.1) or the PASO statutes (Article XXII, Sections 4 and 5).
- 3. Minimum International Federation (IF) standards for participation:**
 - a.** All athletes and horses wishing to compete on the 2019 Pan United States American Games Jumping Team (“Team”) must earn a FEI Certificate of Capability in accordance with the Athlete Eligibility requirements outlined in the Jumping Qualification Criteria for 2019 Pan American Games. The Certificate of Capability criteria can be found on the FEI website [here](#).
 - b.** The athlete must have been born on or before 31 December 2001 (eighteen (18) years of age in the year of the Pan American Games). (See FEI Rules for Jumping Championships and Games [26th Edition, Effective 1 January 2018] Annex I Article 6.2).
 - c.** A horse must be born on or before 31 December 2011 (eight (8) years of age), horses of any origin (the age being counted from 1 January of the year of birth). (See FEI Rules for Jumping Championships and Games [26th Edition, Effective 1 January 2018] Annex I Article 6.1).
 - d.** The athlete and horse must be registered with the FEI.
 - e.** The athlete and horse must comply with FEI Rules for the Event.
- 4. Other Requirements:**
 - a.** The athlete must be an active member of the United States Equestrian Federation (“USEF”).
 - b.** The horse must be recorded with USEF pursuant to USEF GR1102.
 - c.** Application – Athletes wishing to be considered for the Team must complete and submit an online USEF Application (Attachment A) with the appropriate application fee at www.usef.org. USEF applications and fees submitted online on or before **February 25, 2019 at 11:59pm EST** will be charged \$70 per Application. USEF applications and fees submitted online after February 25, 2019 and received by USEF on or before March 11, 2019 will be charged an application fee of \$300 per Application. **NO APPLICATIONS WILL BE ACCEPTED AFTER MARCH 11, 2019 at 11:59 EST.**
 - d.** Horse Loan Agreement: Owners of horses named to the Squad (See I.C.1) must sign the USEF Horse Loan Agreement (Attachment B). A horse owner(s)’ failure to sign the USEF Horse Loan Agreement may result in the removal of the horse and/or athlete from the Squad.
 - e.** The athlete shall certify by electronically signing the USEF Application that he has received, read, understand and assumes responsibility for meeting all requirements of the Selection Procedures. The

athlete and horse owner(s) must certify, by signing the USEF Application (Attachment A), that they have received and read the Selection Procedures for the Team, the USEF Code of Conduct (Attachment C), the USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment D), the U.S. Center for Safesport's Code, the USEF Safe Sport Policy (Attachment E), USOC Code of Conduct and Grievances Procedures (Attachment F), and USOC online registration and that they understand those documents, and that they agree to be bound by them.

- f. Athletes must have successfully completed all Games Registration requirements by stated deadline, unless the athlete requests for an extension of the deadline due to an extraordinary circumstance. Any extension must be approved by the USOC.

B. Tryout Events:

1. **Provide the event names, dates and location of all trials, events and camps to be used as part of the selection process:** See I.C.1 – this information was communicated to potential Event applicants and published on the USEF's website on November 2, 2018 (Attachment G).
2. **Provide event names, dates, locations and description of how athletes qualify for any "preliminary or qualifying" events or procedures that are prerequisites to attend any of the trials, events or camps listed above in B. 1 (if any):** See I.C.1 – this information was communicated to potential Event applicants and published on the USEF's website on November 2, 2018 (Attachment G).

C. Provided below is the comprehensive, step-by-step description of the method that explains how athletes will go through the selection process to become team nominees:

1. **Selection for the Squad – June 2019:** On or about June 12, 2019, based upon the procedures set forth herein, the Chef d'Equipe, in consultation with the Advisors, will recommend five (5) athletes and their horse(s)* to be named to the Squad, provided that the athlete and horse(s) have met the application requirements set forth in Section I.A above. Such list is subject to the recommendation of the USEF Jumping Committee or its designees, and subject to the approval the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

*There is no limit on the number of horses per athlete named to the Squad or as Substitutes to the Squad (see Section I.C.2); however, all horses are subject to approval by the Chef d'Equipe. In evaluating horses to be named to the Squad or as Substitutes to the Squad, the Chef d'Equipe will base his evaluations on the factors outlined in Section I.C.1.b. It is possible for an athlete to request to be considered with an additional horse(s) after the application deadline. Athletes wishing to be considered with additional horses must indicate this request in writing to Lizzy Chesson, USEF Managing Director, Jumping Programs by no later than 11:59pm EST June 7, 2019. Exception: See Section I.C.5.

The athletes recommended to the Squad will be selected according to the following procedure:

- a. **June 7, 2019 Rolex/USEF Show Jumping Ranking List:** A minimum of three (3) athletes and their horse(s) from the (5) five highest ranked applicant athletes on the June 7, 2019 Rolex/USEF Show Jumping Ranking List (see www.usef.org) will be recommended to the Squad by the USEF Chef d'Equipe to the USEFJ Jumping Sport Committee and USEF Board of Directors or their designees, provided that the athlete and horse(s) have met the application requirements in Section I.A.

The Chef d'Equipe and Advisors, in evaluating athletes and horses for these (3) positions, will take into account their ranking on the Rolex/USEF Show Jumping Ranking List as well as the following criteria (in no priority order):

1. Current ability of the athlete and horse to meet the standards of the Event.
2. Overall performance of the athlete and horse with emphasis on:
 - a) 2018/2019 Nations Cup and Grand Prix Show Jumping competitions;

- b) The ability to compete without incurring jumping and/or time penalties at the highest level of course difficulty, as well as a record of having done so on a consistent basis;
 - c) Previous international experience, including the demonstrated ability of the horse and/or athlete to succeed in either FEI or High Performance Level Grand Prix Show Jumping competitions within the past 2-3 years;
 - d) The ability to contribute to a medal winning team; and/or win an individual medal at the Event;
 - e) A record of successfully jumping over a variety of types of international level courses, and in particular over courses that included a water jump and tested for carefulness, scope, and rideability; and
 - f) The ability to jump international level courses within the Time Allowed.
- 3. The fitness and soundness of the horse.
 - 4. The fitness of the athlete to maximize the performance of the horse.

For further explanation of terms used above, see page 14 “Definitions”.

- b. Discretionary Selection: The Chef d’Equipe, in consultation with the Advisors, will use discretion to recommend the other two (2) athletes and their horse(s) to the Squad provided that the athlete and horse(s) have met the application requirements in Section I.A.

The Chef d’Equipe and Advisors, in evaluating athletes and horses for discretionary selection purposes, will take into account the following criteria (in no priority order):

- 1. Current ability of the athlete and horse to meet the standards of the Event.
- 2. Overall performance of the athlete and horse with emphasis on:
 - a) 2018/2019 Nations Cup and Grand Prix Show Jumping competitions;
 - b) The ability to compete without incurring jumping and/or time penalties at the highest level of course difficulty, as well as a record of having done so on a consistent basis;
 - c) Previous international experience, including the demonstrated ability of the horse and/or athlete to succeed in either FEI or High Performance Level Grand Prix Show Jumping competitions within the past 2-3 years;
 - d) The ability to contribute to a medal winning team; and/or win an individual medal at the Event;
 - e) A record of successfully jumping over a variety of types of international level courses, and in particular over courses that included a water jump and tested for carefulness, scope, and rideability; and
 - f) The ability to jump international level courses within the Time Allowed.
- 3. The fitness and soundness of the horse.
- 4. The fitness of the athlete to maximize the performance of the horse.

For further explanation of terms used above, see page 14 “Definitions”.

Squad Order: The athletes named to the Squad will NOT be ranked at the time they are named to the Squad. The list will be approved and listed in alphabetical order using the name of the athlete.

Communication with Chef d’Equipe and Team Veterinarian: Athletes named to the Squad must be in direct communication with the Chef d’Equipe with regard to the preparation, fitness, and competition schedule of themselves and their horse(s).

In addition, all horses named to the Squad may undergo a baseline exam with the Team Veterinarian and/or a member of the Veterinary Panel at any time between selection and departure for the Event. The Team

Veterinarian and the athlete's veterinarian must be in communication regarding the soundness and preparation of the Squad horse(s).

Failure to adhere to the agreed upon plans and/or directives of the Chef d'Equipe and/or Team Veterinarian may result in the removal of the athlete and horse(s) from the selection process. (See Section III).

2. **Selection of Substitutes for the Squad:** On or about June 12, 2019, the Chef d'Equipe in consultation with the Advisors will recommend a pool of athletes and their horse(s)* (see Note 1) as Substitutes to the Squad. The Substitutes to the Squad are subject to the recommendation of the USEF Jumping Sport Committee or its designees and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors.

The Substitutes to the Squad will be recommended as follows:

- a. June 7, 2019 Rolex/USEF Show Jumping Ranking List: A minimum of three (3) athletes and their horse(s) from the (5) highest five ranked applicant athletes on the June 7, 2019 Rolex/USEF Show Jumping Ranking List (see www.usef.org) who have not already been named to the Squad may be recommended as Substitutes to the Squad by the USEF Chef d'Equipe to the USEF Jumping Sport Committee and USEF Board of Directors or their designees, provided that the athlete and horse(s) have met the application requirements in Section I.A.

The Chef d'Equipe and Advisors, in evaluating athletes and horses for these positions, will take into account their ranking on the Rolex/USEF Show Jumping Ranking List as well as the criteria outlined in Section I.C1.a.

- b. Discretionary Selection: The Chef d'Equipe, in consultation with the Advisors, will use discretion to recommend the other two (2) athletes and their horse(s) as Substitutes to the Squad provided that the athlete and horse(s) have met the application requirements in Section I.A.

The Chef d'Equipe and Advisors, in evaluating athletes and horses for discretionary selection purposes, will take into account the criteria outlined in Section I.C.1.b.

The athletes named as Substitutes to the Squad will NOT be ranked at the time they are named as Substitutes to the Squad. The list will be approved and listed in alphabetical order using the name of the athlete.

3. **June 17, 2019: USOC Final Entries**— After selection of the Squad, on or about June 12, 2019, but by no later than the closing date for the USOC Final Entries for the Event, June 17, 2019, the Chef d'Equipe, in consultation with the Advisors, will evaluate the athletes and horses selected for the Squad and will recommend four (4) athletes and four (4) horses to be named to the "Team" and up to one (1) reserve athlete and/or horse to be named as the "Traveling Reserve" subject to the recommendation of the USEF Jumping Sport Committee or its designees, and subject to the approval the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

The Chef d'Equipe and Advisors, when evaluating athletes and horses for the Team and Traveling Reserve will take into account the criteria outlined in Section I.C.1.b., and the willingness of the athlete to work within the confines of the Pan American Games / USOC Accreditation allocations and restrictions. Such list will be submitted to the USOC as the USOC Final Entries by no later than June 17, 2019 and to the FEI as the FEI Definite Entries (TBD).

4. **June 26, 2019: FEI Nominated Entries** —By no later than the closing date for the FEI Nominated Entries for the Event, June 26, 2019, the Chef d'Equipe, in consultation with the Advisors, will evaluate the athletes and horses named as Substitutes to the Squad and recommend, along with the athletes and horses named to

the USOC Final Entries, up to the maximum number of athletes and horses permitted according to the FEI Rules [ten (10) athletes and ten (10) horses] be named to the FEI Nominated Entries for the Event. This recommendation will be subject to the recommendation of the USEF Jumping Sport Committee or its designees, and subject to the approval the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors.

The Chef d'Equipe and Advisors, when evaluating athletes and horses will take into account the criteria outlined in Section I.C.1.b. Such list will be submitted to the FEI as the FEI Nominated Entries by no later than midnight CET, June 26, 2019.

5. **Additions to the FEI Nominated Entries:** Prior to submission of the FEI Definite Entries (TBD), the Chef d'Equipe, in consultation with the Advisors, may use discretion to recommend that up to one (1) additional horse may be named to the FEI Nominated Entries with one of the athletes originally named to the FEI Nominated Entries on June 26, 2019. This recommendation is subject to the recommendation of the USEF Jumping Sport Committee or its designees and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors. The Chef d'Equipe and Advisors, when evaluating such horses will take into account the criteria outlined in Section Section I.C.1.b. Horses in consideration need to meet the application requirements in Section I.A.

In addition, prior to submission of the FEI Definite Entries (TBD), the Chef d'Equipe, in consultation with the Advisors, may use discretion to recommend that one (1) athlete/horse combination may be named to the FEI Nominated Entries who was not originally named to the FEI Nominated Entries on or about June 26, 2019. This recommendation is subject to the approval of the USEF Jumping Sport Committee or its designees and the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors. The Chef d'Equipe and Advisors, when evaluating such combinations will take into account the criteria outlined in Section Section I.C.1.b. Athletes and horses in consideration need to meet the application requirements in Section I.A.

6. **Last Date for Replacements** — The Last Date for Replacements is the last date at which an athlete or horse can be replaced for the Squad selected to travel to Lima. The date will be based on when the U.S. horses fly to Lima, where they fly from, the number of athlete/horses taking part in final preparation prior to departing to the Event and the availability of space on Official Lima Horse Flights departing at a later date and the practicality of travelling a horse at a later date. The date will be decided by the USEF Director of Sport in consultation with Dutta Corporation (TBC), the Show Jumping Managing Director, Chef d'Equipe and Team Veterinarian. The date will be published to all athletes selected to the FEI Nominated Entries List as soon as it can be decided upon. To clarify, horses will only be allowed to travel to Lima on the Official Horse Flights as organized by Dutta Corporation (TBC).
7. **Declaration of Starters** – The declaration of starters will be done in accordance with the FEI Jumping Championships and Games [26th Edition, Effective 1 January 2018] Annex I Article 5.1. The Team and Traveling Reserve will be the same as originally approved at the time of the USOC Final Entries unless a Replacement is made.

D. Provided below are the names of all committees/groups who oversee the selection process, including the name(s) and role(s) of their members: The USEF Show Jumping Chef d'Equipe, USEF Show Jumping Advisors, and USEF Jumping Sport Committee or its designees will oversee the selection process, which will be reviewed and approved by USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors (Attachment H for list of USEF committees, their roles, and list of members).

E. Other Considerations:

1. **Equine Drug Testing:** All applicant horses are subject to drug testing both in competition and out of competition.

In-Competition. All horses entered to compete in any FEI or USEF competition are subject to drug testing at any time from when the competition begins until the competition ends or beginning when the horse arrives for the competition until the competition ends, whichever beginning occurs first. Blood and/or urine samples may be collected and tested for substances prohibited or forbidden under the subject competition rules. National competitions may invoke GR409 whereby samples will be tested for FEI prohibited substances, including metabolites and markers.

Positive Finding. At any time during the selection period that the presence of a prohibited or forbidden substance, or a metabolite or marker, is detected in the sample collected in-competition, the results, including the level detected for controlled medications, will be reported to the USEF Chief Executive Officer and General Counsel, who will notify the Director of Sport or the Discipline Director for dissemination of information as appropriate. In such instance, the positive finding may result in the elimination of the horse and/or athlete from the Selection Process. Before elimination occurs, the owner and athlete will be afforded a hearing by a panel (“Selection Hearing Panel”) comprised at least one member of the JumpingSport Committee along with at least two members of the Hearing Committee, one of which must be an Eligible Athlete. The Chairman of the USEF Veterinarian Committee, or his designee, may serve Ex Officio and a member of the Veterinarian Panel selected for the Event may serve Ex Officio.

Out-of-Competition. Blood and/or urine samples may be collected out-of-competition at any time throughout the selection period, which is defined as commencing on March 11, 2019 following notification to the applicant at least 24 hours prior to collection of samples. Samples collected from horses out-of-competition will be tested for the presence of substances prohibited by the FEI, including metabolites and markers. Failure to make the horse available for collection of samples may result in the elimination of the horse and/or athlete from the Selection Process. Before elimination occurs, the owner and athlete will be afforded a hearing by the Selection Hearing Panel.

Positive Finding. At any time during the selection period that the presence of a prohibited or forbidden substance, or a metabolite or marker, in the sample, the results, including the level detected for controlled medications, will be reported to the USEF Chief Executive Officer and General Counsel, who will notify the Director of Sport or Discipline Director for dissemination of information as appropriate. In such instance, the positive finding may result in the elimination of the horse and/or athlete from the Selection Process unless the Veterinarian Panel appointed for selection of the Event certifies that the substance detected has been approved or is legitimate and appropriate under the circumstances. Before elimination occurs, the owner and athlete will be afforded a hearing by the Selection Hearing Panel.

This provision does not abrogate the enforcement of applicable equine anti-doping rules at Federation licensed competitions, including competitions where GR409 is invoked whereby samples will be tested for FEI prohibited substances, including metabolites and markers.

Also see the section on Veterinarian Treatment, a violation of which may result in elimination under this provision. Before elimination occurs in either instance, the owner and athlete will be afforded a hearing by the Selection Hearing Panel.

USEF GR409.2 Equine Drugs and Medications, Prohibited Substance Provisions

No horse and/or pony competing in a Breed or Discipline designated as (or part of) a No Prohibited Substance Group is to be shown in any class at a competition licensed by the Federation if it has been administered in any manner or otherwise contained in its tissues, body fluids or excreta a prohibited substance as defined in the FEI Equine Anti-Doping and Controlled Medication Regulations, which can be found at www.fei.org.

2. **Soundness and Fitness:** Horses that are candidates for selection may be examined at any time during the selection process through the conclusion of the Event by the Team Veterinarian or a member of the Veterinary Panel. Horses found to be unsound and/or unfit by the Team Veterinarian or a member of the Veterinary Panel may be eliminated from the Selection Process but only with the recommendation of the Chef d'Equipe, USEF Jumping Sport Committee or its designees, and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors.

All horses named to the Squad may undergo a baseline exam with the Team Veterinarian and/or a member of the Veterinary Panel at any time between selection and departure for the Event. The Team Veterinarian and the athlete's veterinarian must be in communication regarding the soundness and preparation of the Squad horse(s).

3. **Team Veterinarian Request:** Applicants, **at their own expense**, shall make available, upon the request of the Team Veterinarian, 24 months' worth of veterinary records of horses under consideration for selection. In addition, applicants, **at their own expense**, shall be responsible for supplying any follow-up diagnostic information requested by the Team Veterinarian for horses under consideration for selection. Applicants, **at their own expense**, shall complete any treatment prescribed by the Team Veterinarian, after consultation with the horse's treating veterinarian if such a consultation is requested by the athlete, for horses under consideration for selection. Failure to comply with any reasonable request of the Team Veterinarian may result in the removal of the horse and/or athlete from the selection process by the Chef d'Equipe upon the recommendation of the Team Veterinarian and the USEF Jumping Sport Committee or its designees, and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.
4. **Veterinary Treatment:** Once a horse(s) is named to the Squad, FEI Nominated Entries or USOC Final Entries all selected athletes will be required to obtain written permission from the Team Veterinarian prior to their selected horses being treated by any person other than the Team Veterinarian. In addition, all veterinary treatments must be recorded and made available to the Team Veterinarian if requested

Failure to adhere to this policy and/or the directives of the Team Veterinarian may result in the removal of horse and/or athlete from the selection process by the Chef d'Equipe upon the recommendation of the Team Veterinarian the USEF Jumping Sport Committee or its designees, and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

- f. **Medical Request:** In the event of illness or injury, applicants may be required to submit to a medical examination by physician(s) approved by the USEF at any time during the selection process for the purposes of determining the applicant's ability to compete at the Event. Failure to submit to such examination may result in the removal of the applicant from the selection process by the Chef d'Equipe upon the recommendation of the USEF approved physicians(s) and the USEF Jumping Sport Committee or its designees, and subject to the approval of the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors. Following the examination, should the USEF approved physician(s) find the applicant to be unfit to compete, the applicant will be removed from the selection process upon the recommendation of the USEF Jumping Sport Committee or its designees, and subject to the approval of the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

II. DISCRETIONARY SELECTION

A. Rationale for utilizing discretionary selection: Discretionary selection is used to field the best team possible for the Event.

B. Discretionary criteria and explain how it will be used:

See Section I.C.

C. Discretionary Selection Committee:

1. **Names of the committee(s) that will be responsible for discretionary selection:**
 - USEF Show Jumping Chef d'Equipe
 - USEF Show Jumping Team Veterinarian/Veterinary Panel (if applicable)
 - USEF Jumping Sport Committee or its designees
 - USEF Board of Directors or Ad Hoc Selection Group
2. **Complete list of the members of the committee(s), their titles and their NGB role that qualifies them to serve in this capacity:** See Attachment H for list of USEF committees, their roles, and list of members.

Advisors: Three (3) individuals (the "Advisors") will be appointed by the USEF International Discipline Council upon the recommendation of the USEF Jumping Sport Committee or its designees. The Advisors are subject to the USEF Code of Conduct (Attachment C) and Code of Ethics and Conflict of Interest policies of the USEF (Attachment I), the U.S. Center for SafeSport's Code, and the USEF Safe Sport Policy. The Advisors are senior active members in good standing of USEF, who are also members of the Show Jumping community and who are knowledgeable and experienced in Show Jumping at the international level, and include a minimum of one Show Jumping Eligible Athlete Representative as defined by the USOC (See Definitions on page 14) but who is not an applicant for the Team (the "Eligible Athlete Representative Advisor").

The Advisors will serve in an advisory capacity to the Chef d'Equipe and the Chef d'Equipe will make recommendations to the USEF Jumping Sport Committee based on his consultation with the Advisors, the Team Veterinarian and when appropriate, the Veterinary Panel.

A minimum of two (2) Replacement Advisors may also be named. A Replacement Advisor will take the place of an Advisor who has a conflict of interest or a substantial appearance of a conflict of interest. There will be a minimum of 20% Eligible Athlete Representation on the panel of the Advisors.

Veterinary Panel: A list of veterinarians approved by USEF.

Ad Hoc Selection Group: The Ad Hoc Selection Group will be approved by the Board of Directors.

3. **Specify the process that will be used to identify and handle any potential conflicts of interest involving a member of the committee.**

Any member of the selection committee that has a possible conflict of interest must disclose it. If such conflict exists, the selection committee member must recuse him/herself from committee discussions and voting. Further, the committee member should not otherwise influence other members of the committee in the nomination process. However, a committee member who recused him/herself, but who has relevant and necessary information with respect to athlete performance, for example a national team coach or high performance director, may, if requested by the selection committee, provide such information to the committee so long as such information is provided in a fair and unbiased manner and the committee member who declared the conflict of interest does not vote toward the final decision.

III. REMOVAL OF ATHLETES

A. Prior to the acceptance of nomination by the USOC, USEF has jurisdiction over potential nominees:

An athlete may be removed from the Squad and/or the FEI Nominated Entries for any of the following reasons, as determined by USEF:

- Voluntary withdrawal. An athlete must submit a written letter to the USEF CEO.
- Injury or illness as certified by a USEF approved physician (or medical staff). If an athlete refuses verification of their illness or injury by a USEF approved physician (or medical staff), their injury will be assumed to be disabling and they may be removed.
- Violation of the USEF's Code of Conduct (Attachment C).

- Failure of the horse owner to sign the Horse Loan Agreement (Attachment B).
- Injury or illness of the horse as determined by the Team Veterinarian and/or Veterinary Panel. If an athlete and/or horse owner refuses to have the horse examined by the Team Veterinarian and/or Veterinary Panel, the injury or illness will be assumed to be disabling and the horse may be removed.
- Exceptional circumstances having a direct bearing upon obtaining the best qualified team for the Event, but only in consultation of the Chef d'Equipe.
- Detection of a prohibited substance in the horse.
- Failure to adhere to all WADA, FEI, USEF, and/or USADA anti-doping protocols, policies and procedures including participation in the out-of-competition testing as required by the WADA, FEI, and/or USADA Rules.
- Failure to comply with a request of the Team Veterinarian and/or member of the Veterinary Panel.
- Failure to adhere to the agreed upon plans and/or directives of the Chef d'Equipe.
- Failure to comply with the USEF Safe Sport Policy (Attachment E).

In these procedures, the removal of an athlete is closely related to the process by which they will be substituted/replaced. Therefore, the reasons for which an athlete may be removed are thoroughly addressed in Section IV. SUBSTITUTION/REPLACEMENT OF ATHLETE AND/OR HORSE.

An athlete removed from the Squad and/or FEI Nominated Entries pursuant to this provision has the right to a hearing per the USEF's Bylaws, Article 703 and USEF General Rules, Chapter 6, GR 605 or USOC Bylaws, Section 9.

B. After acceptance of nomination by the USOC:

Once an athlete nomination is accepted by the USOC, the USOC has jurisdiction over the Team, at which time, in addition to any applicable USEF Code of Conduct, the USOC's Code of Conduct and Grievance Procedures apply. The USOC's Code of Conduct and Grievance Procedures can be found at:
<https://www.teamusa.org/Athlete-Resources/Athlete-Ombudsman>

An athlete may be removed from the USOC Final Entries, Squad, and/or Team at any time for any of the following reasons, as determined by the USEF and in accordance with these procedures:

- Voluntary withdrawal. An athlete must submit a written letter to the USEF CEO.
- Violation of WADA, FEI, USEF, and/or USADA anti-doping protocol, policies and procedures. In such instances, the adjudication process will be managed through the United States Anti-Doping Agency.
- Injury or illness as certified by a USEF and/or USOC approved physician (or medical staff).
- Injury or illness of the horse as determined by a majority of the Veterinary Panel and/or Team Veterinarian.
- Violation of the USEF Code of Conduct (Attachment C).
- Violation of the USOC Code of Conduct and Grievance Procedures (Attachment F).
- Failure to comply with the USEF Safe Sport Policy (Attachment E).

C. An athlete may be removed from the FEI Nominated Entries, USOC Final Entries, Squad, or Team, at any time for violation of WADA, FEI, USEF, and/or USADA anti-doping protocol, policies and procedures.

IV. SUBSTITUTION/REPLACEMENT OF ATHLETES AND HORSES

A. Following is the process by which the substitution/replacement pool of athletes and horses will be identified: The list of athletes and horses on the Substitutes to the Squad and then the FEI Nominated Entries will comprise the pool of substitute/replacement athletes and horses.

B. Substitution/Replacement athletes and horses will be selected, should a vacancy occur, according to the following method:

- 1. Prior to acceptance of the USOC Final Entries:** Once the Squad for the Event has been named and prior to the submission of the USOC Final Entries (June 17, 2019), the USEF has the ability to substitute athletes and/or horses on the Squad for the reasons set forth in Section III. A.

To fill openings, if they occur, the Chef d'Equipe, in consultation with the Advisors, will evaluate the athletes and horses named to the Squad as well as Substitutes to the Squad and may recommend that a substitution be made. The Chef d'Equipe and Advisors when evaluating athletes and horses will take into account the criteria outlined in Section I.C.1.b.

Any substitution other than voluntary withdrawal are upon the recommendation of the Chef d'Equipe and the USEF Jumping Sport Committee or its designees and subject to the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors.

Any substitution for veterinary reasons other than voluntary withdrawal is at the recommendation of the Team Veterinarian and when appropriate the Veterinary Panel.

Any substitution for medical reasons other than voluntary withdrawal must be documented by a physician(s) who is approved by the USEF.

- 2. After acceptance of the USOC Final Entries and until the Last Date for Replacements (See Section I.C.6):** After acceptance of the USOC Final Entries and until the Last Date of Replacements, the USEF has the ability to recommend to the USOC to replace athletes and/or horses on the USOC Final Entries for reasons set forth in Section III.B. During this time period, horses on the Squad may be replaced with other horses on the Squad or on the FEI Nominated Entries and athletes on the Squad may only be replaced with athletes on the FEI Nominated Entries. Replacements may only be made by recommendation of the Chef d'Equipe and accordance with the FEI Jumping Championships and Games [26th Edition, Effective 1 January 2018] Annex I Article 4.2 and/or for reasons set forth in Section III.B and subject to approval by the U.S. Equestrian Chef de Mission and the USOC.

To fill openings, if they occur, the Chef d'Equipe, in consultation with the Advisors will evaluate the athletes and horses named to the Squad and the FEI Nominated Entries and may recommend that a replacement be made. The Chef d'Equipe and Advisors when evaluating athletes and horses will take into account the criteria outlined in Section I.C.1.b, and the willingness of the athlete to work within the confines of the Pan American Games / USOC Accreditation allocations and restrictions.

Any replacement for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must also have the approval of the Team Veterinarian and when appropriate the Veterinary Panel.

Any replacement for medical reasons must be documented by a physician(s) who is approved by the USEF or USOC.

- 3. After the Last Date for Replacements (see Section I.C.5) and until one (1) hour before the First Horse Inspection:** After Last Date for Replacements and until one (1) hour before the First Horse Inspection, USEF has the ability to recommend to the USOC to replace athletes and/or horses on the USOC Final Entries for reasons set forth in Section III.B. During this time period, Team members may only be replaced with the Traveling Reserve. However, the reason for the replacement must only be because either, the athlete is unfit to compete due to injury or illness or there is a strong veterinary case for replacement or the performance of the Team member has dropped off to such an extent that for the success of the Team a replacement is vital. The latter situation will almost certainly occur as a result of a veterinary problem which it may or may not be possible to define given the time/resources available at the time. Replacements may only be made by recommendation of the Chef d'Equipe, with approval of the Show Jumping Team Leader and U.S. Equestrian Chef de Mission, and in accordance with the FEI

Jumping Championships and Games [26th Edition, Effective 1 January 2018] Annex I Article 4.2 or for reasons set forth in Section III.B and subject to approval by the USOC Chef de Mission.

Any replacement for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must also have the approval of the Team Veterinarian. Any replacement for medical reasons must be documented by a physician(s) physically present at the Event approved by the USOC.

4. After the Declaration of Starters and up to one (1) hour before the start of the First Competition:

After the Declaration of Starters and up to one (1) hour before the start of the First Competition, USEF has the ability to recommend to the USOC to replace athletes and/or horses on the Team for reasons set forth in Section III. B. During this time period, Team members may only be replaced with the Traveling Reserve. However, the reason for the replacement must only be because either, the athlete is unfit to compete due to injury or illness or there is a strong veterinary case for replacement or the performance of the Team member has dropped off to such an extent that for the success of the Team a replacement is vital. The latter situation will almost certainly occur as a result of a veterinary problem which it may or may not be possible to define given the time/resources available at the time. Replacements may only be made by recommendation of the Chef d'Equipe, with approval of the Show Jumping Team Leader and U.S. Equestrian Chef de Mission, and in accordance with the FEI Jumping Championships and Games [26th Edition, Effective 1 January 2018] Annex I Article 5.2 or for reasons set forth in Section III.B and subject to approval by the USOC Chef de Mission.

Any replacement for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must also have the approval of the Team Veterinarian. Any replacement for medical reasons must be documented by a physician(s) physically present at the Event approved by the USOC.

C. Group or committee that will be responsible for making athlete substitution/replacement determinations:

- 1. Group or committee who determines the substitution/replacement pool:** USEF Show Jumping Chef d'Equipe, USEF Show Jumping Advisors, USEF Show Jumping Team Veterinarian, USEF Show Jumping Veterinary Panel, USEF Jumping Sport Committee or its designees, and the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors (Attachment H).
- 2. Group or committee who determines a substitution/replacement to the Team:**
 - a. Prior to acceptance of USOC Final Entries:** USEF Show Jumping Chef d'Equipe, USEF Show Jumping Advisors, USEF Show Jumping Team Veterinarian and/or when appropriate Veterinary Panel, USEF Jumping Sport Committee or its designees, and the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.
 - b. After the acceptance of the USOC Final Entries and until the Last Date for Replacements:** By the USOC upon recommendation of the USEF Chef de Mission, Show Jumping Team Leader, Show Jumping Chef d'Equipe, and Team Veterinarian and/or when appropriate Veterinary Panel.
 - c. After the Last Date for Replacements and until one (1) hour before the First Horse Inspection:** By the USOC upon recommendation of the USEF Chef de Mission, Show Jumping Team Leader, Chef d'Equipe and Team Veterinarian.
 - d. After the First Horse Inspection and up to one (1) hour before the start of the First Competition:** See IV.C.2.c. above.

V. SUPPORTING DOCUMENTS - USEF will retain all approved Selection Procedures and all supporting documents, including evaluation forms and data from the selection process for six months past the date of the Closing Ceremonies of the Event.

VI. REQUIRED DOCUMENTS - The following documents are required to be properly executed by applicants and horse owners as a condition of nomination for the Event and are included as attachments:

- Application (Attachment A)
- Horse Loan Agreement (Attachment B)

- USEF Code of Conduct (Attachment C)
- USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment D)
- USOC Code of Conduct and Grievance Procedures (Attachment F)

Applicants and horse owners will be required to certify that they have received and read a copy of the USEF Code of Conduct and that they understand the document.

In addition, all persons participating in any decision made pursuant to the Selection Procedures including but not limited to the Advisors, Team Veterinarian, members of the Veterinary Panel, Physicians, members of the USEF Jumping Sport Committee or its designees, International Discipline Council, and the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors will be required to abide by the USEF Code of Ethics, Conflict of Interest Policy (Attachment I) and USEF Safe Sport Policy (Attachment E) and that they understand them and agree to be bound by them.

VII. ADDITIONAL DOCUMENTS - Applicants will be required to read the USEF Athlete Agreement (Attachment J) and are requested to sign this document.

VIII. PUBLICITY/DISTRIBUTION OF PROCEDURES - The USEF approved Selection Procedures (complete and unaltered) will be posted/published by USEF in the following locations and will include the USEF approval date when known:

- USEF website: www.usef.org - These procedures will be posted as soon as possible, but not more than 5 business days following notice of approval by the USEF.
- Other: The Selection Procedures and Application Packet will also be available on the USEF's website www.usef.org and by mail upon request.

IX. DATE OF NOMINATION - The FEI Nominated Entries will be submitted to the FEI no later than midnight CET June 26, 2019. The USOC Final Entries will be submitted to the USOC no later than 5:00pm MTN June 17, 2019.

X. MANDATORY TRAINING AND/OR COMPETITION – Notice of location, schedule and duration of final preparation will be communicated to the Squad Athletes on or about June 12, 2019.

XI. ANTI-DOPING REQUIREMENTS - The athlete agrees to submit to drug testing by the FEI, WADA, and/or USADA or their designees at any time and understands that the use of methods or substances prohibited by the applicable anti-doping rules subject him to penalties including, but not limited to, disqualification and suspension. If it is determined that an athlete may have committed a doping violation, he agrees to submit to the results management authority and processes of the applicable organization, including arbitration under the USADA Protocol, or to the results management authority of the FEI or WADA, if applicable.

XII. DEVELOPMENT OF SELECTION PROCEDURES - The following committees/groups were responsible for creating these Selection Procedures: USEF Jumping Sport Committee or its designees, USEF International Discipline Council and the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

XIII. USEF BYLAWS AND GRIEVANCE PROCEDURES - The USEF Bylaws and Grievance Procedures can be found on the USEF's website www.usef.org.

XIV. INTERNATIONAL DISCLAIMER - These procedures are based on IOC, IPC, PASO as applicable, and/or FEI rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in IOC, IPC, PASO as applicable, and/or FEI rules and regulations will be distributed to the affected athletes immediately. The selection criteria are based on the latest information available to the USEF. However, the selections are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

If any force of nature, or force majeure, should cause the alteration or cancellation of any of the selection events listed in this document, these selection procedures will be revised, pursuant to their resubmission to the USOC.



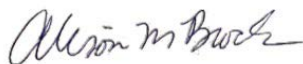
XV. ATHLETE OMBUDSMAN - Athletes who have questions regarding their opportunity to compete that are not answered by USEF may contact the USOC Athlete Ombudsman, Kacie Wallace by:

- Telephone at (719) 866-5000
- Toll-free telephone at (888) ATHLETE (1-888-284-5383)
- E-mail at kacie.wallace@usoc.org
- www.athleteombudsman.org

XVI. FUNDING DISCLAIMER - Selection to the Team does not guarantee that funds will be available to send a team.

XVII. DISCLAIMER - See Attachment D – USEF Release, Assumption of Risk, Waiver and Indemnification.

XVIII. NGB SIGNATURES - I certify that I have read, understand and incorporated our IF standards/criteria into our Selection Procedures and that the information provided herein regarding these Selection Procedures represents the method approved by the United States Equestrian Federation.

Position	Print Name	Signature	Date
USEF President	Murray Kessler		2.4.2019
USEF Jumping Managing Director	Lizzy Chesson		2.4.2019
USOC Athletes' Advisory Council Representative*	Allison Brock		2.4.2019

*If USOC AAC Representative has delegated authority to the Alternate AAC Representative to sign the Selection Procedures, attach a letter from the AAC Representative indicating the reason he/she has delegated authority.

* Signature by the AAC Representative constitutes that he/she has read and understands the Selection Procedures and certifies that the Selection Procedures submitted represent the method approved by the NGB/PSO. If the AAC Representative reads and does not agree with the Athlete Selection Procedures being submitted by the NGB/PSO, he/she may submit those reasons in writing to his/her Sport Performance Team representative.

Any questions regarding these Selection Procedures should be addressed to Lizzy Chesson, USEF Managing Director, Show Jumping Programs, United States Equestrian Federation, PO Box 83, Gladstone, NJ 07934, Phone (859) 225-7689, email: lcchesson@usef.org.

Approved by the USEF Jumping Sport Committee or its designees on: 1.15.19

Approved by the International Discipline Council on: 1.29.19

Approved by the USOC on: 2.1.19

Approved by the USEF Board of Directors on: 1.30.19

DEFINITIONS

Consistently – The ability of the horse and athlete to produce low-scoring rounds over a high percentage of the time and in a variety of conditions and venues.

Carefulness – The ability of the horse and athlete to consistently avoid jumping penalties over a wide variety of obstacles, in particular those constructed to fall down easily.

Scope – The ability of the horse and athlete to successfully negotiate high and wide oxers from both long and short distances.

Rideability – The ability of the horse and athlete to negotiate a variety of complicated distance problems on course, as would typically be encountered at the highest international level.

International Experience – A competitive record over the past 2 or 3 years indicating that the horse and/or athlete have successfully met the challenges of FEI international events, while demonstrating an ability to perform under pressure on a consistent basis.

Succeed in FEI or International Level Grand Prix Show Jumping Competitions – The ability of the athlete/horse combination to consistently produce low scoring rounds in CSIO's, CSI's and International Level USEF Competitions.

Show Jumping Eligible Athlete Representative – An athlete who meets the following criteria as defined by the USEF in Part IV-Athletes, Bylaw 401 of its Bylaws and the USOC in Section 8.8.2 of its Bylaws as eligible for membership on a “designated committee” if he/she has:

i.) Within the past ten (10) years, represented the United States in the Olympic or Pan American Games, or an Operation Gold event, or a World Championship recognized by the FEI for which a competitive selection process was administered by the USEF:

and/or

ii). Within the past twenty-four (24) months, demonstrated that they are actively engaged in amateur athletic competition by finishing in the top half of the NGB's national championship or a team selection competition for the events specified in paragraph (i) above.

Substitute– Substituting an athlete and/or horse prior to submission of the USOC Final Entries.

Replacement – Replacing an athlete and/or horse after submission of the USOC Final Entries.