



United States Equestrian Federation, Inc.

U.S. Athlete Selection Procedures

2018 Youth Olympic Games - Equestrian Jumping

Buenos Aires, Argentina

1st Edition – 28 February 2017
(Approved by USOC on 27 March 2017)

Purpose of Procedures: The United States will be represented by one athlete in the Equestrian – Jumping discipline at the 2018 Youth Olympic Games. This individual will be a member of a five-person Team representing North America in the continent-based Championship. Each athlete will be assigned a borrowed horse by random draw. This horse will be assigned to the athlete for the duration of the equestrian events at the Games, including the Team Competition and the Individual Competition. This procedure describes the process and policies by which the United States representative to the North American Team at the 2018 Youth Olympic Games will be selected.

1. SELECTION SYSTEM

1.1. Minimum eligibility requirements for an athlete to be considered for nomination as the United States representative to the North American Team at the 2018 Youth Olympic Games (“Games”), 6 October 2018 to 18 October 2018:

- 1.1.1. **Citizenship:** The athlete must be a national of the United States at the time of application, selection and through the conclusion of the Games. The athlete must hold a valid United States passport that will not expire for six months after the conclusion of the Games.
- 1.1.2. **Minimum International Olympic Committee (“IOC”) standards for Participation:** Any competitor in the Youth Olympic Games must be a national of the country of the National Olympic Committee (NOC) which is entering such competitor. For additional information regarding an athlete who is a national of two or more countries, has changed his or her nationality or acquired a new nationality, refer to the Olympic Charter (Rule 41).
- 1.1.3. **Minimum International Federation (“IF”) standards for participation:**
 - 1.1.3.1. The athlete must have been born between 1 January 2000 and 31 December 2003 (2018 FEI ages 15 to 18) in accordance with the Federation Equestre Internationale (“FEI”) Regulations for Equestrian Events at the Youth Olympic Games [1st Edition, Effective for the 2018 Buenos Aires 3rd Youth Olympic Games (ARG)].
 - 1.1.3.2. The athlete must earn a FEI Certificate of Capability in accordance with the FEI Qualification System in the FEI Regulations for Equestrian Events at the Youth Olympic Games [1st Edition, Effective for the 2018 Buenos Aires 3rd Youth Olympic Games (ARG)]. See Section 1.3. for additional detail on the requirements to earn a FEI Certificate of Capability.
 - 1.1.3.3. To be eligible for participation, the athlete must comply with all current FEI Regulations as well as Rules 40 & 41 of the International Olympic Charter and its Bylaws pertaining to the IOC Eligibility Code and Nationality.
 - 1.1.3.4. The athlete must be registered and in good standing with the FEI at the time of application, selection, and until the conclusion of the Games.
 - 1.1.3.5. The athlete must comply with FEI Rules for the equestrian events at the Games.

1.1.4. Other Requirements:

- 1.1.4.1. The athlete must be a member of the United States Equestrian Federation (“USEF”) in good standing at the time of application, selection, and until the conclusion of the Games.
- 1.1.4.2. Application: The athlete must complete and submit an online Application (see Attachment A for the online Application instructions) with the appropriate application fee at www.usequestrian.org.
 - Phase I Application Deadline: Applications submitted no later than 7 May 2018 will be charged a fee of \$70.
 - Phase II Application Deadline: Applications submitted between 8 May 2018 and 4 June 2018 will be charged an application fee of \$300. No applications will be accepted after 4 June 2018.
- 1.1.4.3. The athlete and parent/guardian (if athlete is under 18 years of age at the time of application) must certify by signing the Application (see Attachment A for the online Application instructions) that they have received, read and understood the following documents, and agree to be bound by them:
 - Selection Procedures for the U.S. Athlete Selection Procedures for the 2018 Youth Olympic Games – Equestrian Jumping;
 - USEF Code of Conduct (Attachment B);
 - USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment C);
 - USEF Safe Sport Policies (Attachment D); and
 - USOC Code of Conduct and Grievances Procedures (Attachment E).
- 1.1.4.4. The athlete must be present for the entire duration of the Games (preliminarily 6 October 2018 to 18 October 2018).
- 1.1.4.5. The athlete must participate in the cultural and education program of the Games as requested.
- 1.1.4.6. The athlete must successfully complete all USOC Games Registration requirements by the stated deadline.

1.2. Tryout Events:

- 1.2.1. **Provide the event names, dates and locations of all trials, events and camps to be used as part of the selection process:** Not applicable, see the criteria in Section 1.3.6.1.
- 1.2.2. **Provide event names, dates, locations and description of how athletes qualify for the trials, events and camps listed above in 1.2.1:** Not applicable.

1.3. Comprehensive Description of the Selection Process (include maximum Team size):

- 1.3.1. **1 April 2017 to 31 May 2018 – Period to Obtain an FEI Certificate of Capability:** Athletes must obtain a FEI Certificate of Capability between 1 April

2017 and 31 May 2018 in accordance with the FEI Regulations for Equestrian Events at the Youth Olympic Games [1st Edition, Effective for the 2018 Buenos Aires 3rd Youth Olympic Games (ARG)]. To earn an FEI Certificate of Capability, United States athletes must complete the first round of any of the following competitions, between 1 April 2017 and 31 May 2018, with a score of not more than eight penalties:

- 2017 FEI North American Junior Championship
- CSI1* Grand Prix Competition
- CSI2* Grand Prix Competition

1.3.2. **7 May 2018 – USEF Early Application Deadline**

1.3.3. **4 June 2018 – USEF Late Application Deadline:** Athletes wishing to be considered must complete the USEF online Application by no later than the Late Application Deadline.

1.3.4. **24 April 2018 to 13 June 2018 – USOC Online Games Registration Period:** Applicants, having met the criteria in Section 1.1., must complete USOC Online Games Registration in full. Registration is required for Replacement Athletes in addition to the one selected United States representative to the North American Team.

1.3.5. **1 June 2018 – FEI Certificate of Capability Deadline:** No later than the FEI Certificate of Capability Deadline, the USEF will submit an FEI Certificate of Capability for each of the applicants, provided they have met the criteria in Section 1.1., to the FEI. Athletes who have not earned a Certificate of Capability will not be eligible for participation.

1.3.6. **30 June 2018 – Athlete Selection and FEI Nominated Entry Deadline:**

1.3.6.1. **Athlete Selection:** By no later than the FEI Nominated Entry Deadline, the Selectors will use discretion to evaluate the applicants who have fulfilled all requirements in Section 1.1. in order to recommend one (1) athlete as United States Representative to the North American Team and up to five Replacement Athletes. Athletes named as Replacement Athletes will be ranked at the time of Selection.

The Selectors, in evaluating athletes for selection purposes, will take into account the following criteria:

- **Competition Results:** The athlete's historical results in jumper competitions held between 2014 and 2018 at USEF or FEI sectioned events with emphasis on results in competitions held at 1.30 meters or higher, the competitiveness of the class, the variety of different horses with which the athlete has achieved the results and the ability of the athlete to maximize the potential of a borrowed horse. In addition, the athlete's ability to meet the standards of the Games and ability to compete without incurring jumping and/or time penalties at the highest level of course difficulty, as well as the athlete's record of having done so on a consistent basis, will be taking into account.

- **Athlete Health and Fitness:** The athlete’s health and fitness level, including the athlete’s ability to perform under pressure to his/her highest ability.
 - **Team Representation:** The athlete’s ability to contribute to a positive Team atmosphere and to serve as an ambassador for the United States and equestrian sport at the Games.
- 1.3.6.2. **FEI Nominated Entry Deadline:** By no later than the FEI Nominated Entry Deadline, the USEF appointed Selectors (see Section 2.3.1 below) will recommend the selected United States Representative to the North American Team and the selected Replacement Athletes to the FEI, subject to the approval of the USEF Jumping Sport Committee and USEF Board of Directors, or an Ad Hoc Selection Group approved by the USEF Board of Directors. The Committee listed above will approve and nominate the selected United States Representative to the North American Team to the USOC. The FEI Nominated Entries will be accompanied by completed FEI Certificates of Capability for all Nominated athletes.
- 1.3.7. **10 August 2018 – USOC Sport Entry Deadline:** No later than the USOC Sport Entry Deadline, the USEF will recommend the selected United States Representative to the North American Team to the USOC, subject to the approval of the USEF Jumping Sport Committee and USEF Board of Directors, or an Ad Hoc Selection Group approved by the USEF Board of Directors.
- 1.3.8. **23 August 2018 – FEI Final Entry Deadline:** No later than the FEI Final Entry Deadline, the USOC will submit the selected United States Representative to the North American Team to the FEI and the IOC, subject to the approval of the USEF Jumping Sport Committee and USEF Board of Directors, or an Ad Hoc Selection Group approved by the USEF Board of Directors.
- 1.3.9. **To Be Determined - Last Date for Replacements:** The final deadline for athlete replacement will be four days prior to the first Horse Inspection at the Games, due to travel time.
- 1.3.10. **6 October 2018 to 18 October 2018 – Period of Games:** The Opening Ceremony for the 2018 Youth Olympic Games will occur on 6 October 2018. The Games will conclude with the Closing Ceremony on 18 October 2018.

2. DISCRETIONARY SELECTION

- 2.1. **Rationale for utilizing discretionary selection:** The equestrian events at the Games consist of competition on borrowed horses and therefore an assessment of an athlete’s ability to maximize the performance of a horse he/she has not previously ridden is required.
- 2.2. **Discretionary criteria:** See Section 1.3.6. for the criteria used in discretionary selection.
- 2.3. **Committees/Groups responsible for making discretionary selections:**

- 2.3.1. **Selectors:** Three individuals will be appointed as Selectors to oversee the selection process and make discretionary selection recommendations for approval by the Committees in Section 2.3.2. The Selectors will be appointed by the USEF International Disciplines Council upon the recommendation of the USEF Jumping Sport Committee. The Selectors must be senior, active members in good standing with the USEF, who are experienced and knowledgeable members of the Jumping community (see Attachment F for a list of the Selectors). The Selectors will include a minimum of one Eligible Athlete Representative as defined by the USOC.
- 2.3.2. **Committees:** The recommendations of the Selectors shall be reviewed and approved by the USEF Jumping Sport Committee and the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors (see Attachment F for a list of these Committees, their roles, and their Members).
- 2.3.3. **Conflict of Interest:** Any individual involved in the selection process, including the Selectors and members of the Committees referenced in Section 2.3.3., that has a possible conflict of interest must disclose it. If such conflict exists, the Committee member must recuse him/herself from Committee discussions and voting. Further, the Committee member should not otherwise influence other members of the Committee in the nomination process. However, a Committee member who recused him/herself, but who has relevant and necessary information with respect to athlete performance, for example a national team coach or high performance director, may, if requested by the Committee, provide such information to the Committee so long as such information is provided in a fair and unbiased manner and the Committee member who declared the conflict of interest does not vote toward the final decision.

3. REMOVAL OF ATHLETES

- 3.1. Prior to the acceptance of athlete nomination by the USOC, the USEF has jurisdiction over potential nominees. An athlete who is to be nominated to the Team by the USEF may be removed as a nominee for any of the following reasons, as determined by the USEF:
 - 3.1.1. Voluntary withdrawal: The athlete must submit a written letter to the USEF Executive Director.
 - 3.1.2. Injury or illness as certified by a USEF approved physician (or medical staff): In the event of illness or injury, applicants may be required to submit to a medical examination by physician(s) approved by the USEF at any time during the selection process for the purposes of determining the applicant's ability to compete at the Games. Failure to submit to such examination may result in the removal of the applicant from the selection process upon the recommendation of the USEF approved physicians(s) and with the approval of the USEF Jumping Sport Committee and the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors. Following the examination, should the USEF approved physician(s) find the applicant to be unfit to compete, the

applicant will be removed from the selection process with the approval of the USEF Jumping Sport Committee and the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors.

- 3.1.3. Violation of the USEF Code of Conduct (Attachment B).
 - 3.1.4. Failure to adhere to all WADA, FEI, USEF, and/or USADA anti-doping protocols, policies and procedures including participation in out of competition testing as required by the WADA, FEI, USEF, and/or USADA Rules.
 - 3.1.5. Failure to comply with the USEF Safe Sport Policies (Attachment D).
 - 3.1.6. Exceptional circumstances having a direct bearing upon obtaining the best qualified athlete for the Games, but only with the recommendation of the Selectors.
 - 3.1.7. An athlete who may be removed pursuant to this provision has the right to a hearing per the USEF Bylaws (Article 703), the USEF General Rules (Chapter 6, GR 605) and the USOC Bylaws (Section 9).
- 3.2. Once an athlete nomination is accepted by the USOC, the USOC has jurisdiction over the Team, at which time, in addition to the USEF Code of Conduct, the USOC's Code of Conduct and Grievance Procedures apply. The USOC's Code of Conduct and Grievance Procedures can be found as follows:
- www.teamusa.org/Athlete-Resources/Athlete-Ombudsman/Resources/Code-of-Conduct
- www.teamusa.org/Footer/Legal/Governance-Documents
- 3.3. An athlete may be removed as a nominee to the Team or from the Team for an adjudicated violation of IOC, PASO, IPC, WADA, IF, USADA and/or USOC anti-doping protocol, policies and procedures, as applicable.

4. REPLACEMENT OF ATHLETES

- 4.1. **Describe the selection and approval process for determining replacement athlete(s) should a vacancy occur:** At the time of selection of the United States Representative to the North American Team, up to five athletes, having fulfilled all requirements in Section 1.1., will be selected as Replacement Athletes in ranked order according to Section 1.3.6.1.
 - 4.1.1. **Prior to acceptance of the FEI Nominated Entries:** The USEF has the ability to substitute athletes selected as the United States Representative to the North American Team or as Replacement Athletes for the reasons set forth in Section 3.1. To fill openings for Replacement Athletes, if they occur, the Selectors will evaluate the remaining applicants according to the criteria in Section 1.3.6.1., provided that the applicants have fulfilled all criteria in Selection 1.1., to recommend a replacement, to be ranked below all previously selected Replacement Athletes. Any recommendation of replacement is subject to the approval of the USEF Jumping Sport Committee and USEF Board of Directors, or an Ad Hoc Selection Group approved by the USEF Board of Directors. Any substitution for medical reasons must be documented by a physician(s) who is approved by the USEF or USOC.

- 4.1.2. **After acceptance of the FEI Nominated Entries and prior to acceptance of athlete nominations by the USOC:** The USEF has the ability to replace the selected United States Representative to the North American Team for the reasons set forth in Section 3.1. To fill openings, if they occur, the USEF will replace the selected United States Representative to the North American Team with the highest ranked Replacement Athlete, selected as per Section 1.3.6.1., provided that he/she has been accepted by the FEI in the FEI Nominated Entries. Any recommendation of replacement is subject to the approval of the USEF Jumping Sport Committee and USEF Board of Directors, or an Ad Hoc Selection Group approved by the USEF Board of Directors. Any substitution for medical reasons must be documented by a physician(s) who is approved by the USEF or USOC.
 - 4.1.3. **After acceptance of athlete nomination by the USOC and prior to the FEI Final Entry Deadline:** The USEF has the ability to recommend to the USOC the replacement of the United States Representative to the North American Team, as submitted on the USOC Sport Entry, for the reasons set for in Section 3.2. Should a recommendation of a replacement be required, the USEF will recommend the highest ranked Replacement Athlete, selected as per Section 1.3.6.1., provided that he/she has been accepted by the FEI in the FEI Nominated Entries. Any recommendation of replacement is subject to the approval of the USEF Jumping Sport Committee and USEF Board of Directors, or an Ad Hoc Selection Group approved by the USEF Board of Directors. Any substitution for medical reasons must be documented by a physician(s) who is approved by the USEF or USOC.
 - 4.1.4. **After the FEI Final Entry Deadline and until the Last Date for Replacements:** The USEF has the ability to recommend to the USOC the replacement of the one selected United States Representative to the North American Team, as submitted on the USOC Sport Entry, for the reasons set for in Section 3.2. However, the reason for the replacement must be only because the athlete is unable to participate due to injury or other exceptional circumstances. Should a recommendation of a replacement be required, the USEF will recommend the highest ranked Replacement Athlete, selected as per Section 1.3.6.1., provided that he/she has been accepted by the FEI in the FEI Nominated Entries. Any recommendation of replacement is subject to the approval of the USEF Jumping Sport Committee and USEF Board of Directors, or an Ad Hoc Selection Group approved by the USEF Board of Directors. Any substitution for medical reasons must be documented by a physician(s) who is approved by the USEF or USOC.
 5. **SUPPORTING DOCUMENTS:** The USEF will retain the approved Selection Procedures and all supporting documents, including scouting or evaluation forms, etc., and data from the selection process for six months past the date of the Closing Ceremonies of the Games.
 6. **REQUIRED DOCUMENTS:** The following documents are required to be signed by an athlete as a condition of nomination to the Games and are included as attachments:

 - Application (see Attachment A for online Application instructions)

- USEF Code of Conduct (Attachment B)
- USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment C)
- USOC Code of Conduct and Grievance Procedures (Attachment E)

In addition, athletes will be required to read the USEF Athlete Agreement (Attachment G) and are requested to sign this document.

7. **PUBLICITY/DISTRIBUTION OF PROCEDURES:** The USOC approved Selection Procedures (complete and unaltered) will be posted by the USEF in the following locations:
 - 7.1. **USEF Website:** www.usequestrian.org. These procedures will be posted as soon as possible, but not more than five business days following notice of approval by the USOC.
 - 7.2. **Other:** Not applicable.
8. **DATE OF NOMINATION**
 - 8.1. **FEI:** The FEI Nominated Entries will be submitted to the FEI on or before: midnight CET, 30 June 2018.
 - 8.2. **USOC:** The Nomination of Athletes form, including replacements, will be announced to all athletes and submitted to the USOC on or before: 10 August 2018.
9. **MANDATORY TRAINING AND/OR COMPETITION:** Not applicable.
10. **ANTI-DOPING REQUIREMENTS:** Athletes must adhere to all IOC, IPC, PASO, WADA, IF, USADA and USOC anti-doping protocols, policies and procedures, as applicable. This includes participation in Out-of-Competition Testing as required by the IOC, IPC, PASO, WADA, IF, USADA and USOC Rules, as applicable.
11. **DEVELOPMENT OF SELECTION PROCEDURES**
 - 11.1. The following Committees/Groups (see Attachment F for member names and titles) were responsible for creating these Selection Procedures: USEF Jumping Sport Committee, the USEF International Disciplines Council, and the USEF Board of Directors, or an Ad Hoc Selection Group appointed by the USEF Board of Directors.
 - 11.2. All persons participating in any decision made pursuant to the Selection Procedures including but not limited to the Selectors, the members of the USEF Jumping Sport Committee, the USEF International Disciplines Council, and the USEF Board of Directors, or Ad Hoc Selection Group approved by the USEF Board of Directors, will be required to abide by the USEF Code of Ethics (Attachment H), Conflict of Interest Policy (Attachment I) and USEF Safe Sport Policies (Attachment D) and that they understand them and agree to be bound by them.
12. **USEF BYLAWS AND GRIEVANCE PROCEDURES:** The USEF Bylaws and Grievance Procedures can be found at www.usequestrian.org.
13. **INTERNATIONAL DISCLAIMER:** These procedures are based on IOC and FEI rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in IOC and FEI rules and regulations will be distributed to the affected athletes immediately. The selection criteria are based on the latest information available to

the USEF. However, the selections are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

If any force of nature, or force majeure, should cause the alteration or cancellation of any of the selection events listed in this document, these selection procedures will be revised, pursuant to their resubmission to the USOC.




- 14. ATHLETE OMBUDSMAN:** Athletes who have questions regarding their opportunity to compete that are not answered by the USEF may contact the USOC Athlete Ombudsman, Kacie Wallace, by:

Telephone: (719) 866-5000

Email: kacie.wallace@usoc.org

Website: www.athleteombudsman.org

- 15. NGB SIGNATURES:** I certify that I have read and understand the standards/criteria set by our IF and incorporated those standards/criteria into our Selection Procedures. I further certify that the information provided herein regarding Athlete Selection Procedures represents the method approved by the USEF.

Position	Name	Signature	Date
USEF President	Murray Kessler		11 July 2017
USEF Managing Director, Jumping	Lizzy Chesson		26 July 2017
USOC Athletes Advisory Council Representative ¹	Allison Brock		31 July 2017

Approved by the USEF Jumping Sport Committee: 16 May 2017

Approved by the USOC: 27 March 2017

Approved by the USEF Board of Directors: 11 July 2017

Questions regarding these Selection Procedures should be addressed to:

Lizzy Chesson, USEF Managing Director, Jumping

Mail: PO Box 83, Gladstone, NJ 07934

Phone: (859) 225-7689

Email: lchesson@usef.org

¹ If the USOC AAC Representative has delegated authority to the Alternate AAC Representative to sign the Selection Procedures, attach a letter from the AAC Representative indicating the reason he/she has delegated authority.

Signature by the Athlete Representative constitutes that he/she has read and understands the Selection Procedures. If the Athlete Representative reads and does not agree with the Athlete Selection Procedures being submitted by the NGB, he/she may submit those reasons in writing to his/her Sport Performance Team. If, for some reason, a sport does not have an elected USOC AAC Representative, the NGB must designate an athlete from that sport to review and sign the Selection Procedures.

Referenced Attachments:

- Application Instructions (Attachment A) (www.usequestrian.org)
- USEF Code of Conduct (Attachment B) (www.usequestrian.org)
- USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment C) (www.usequestrian.org)
- USEF Safe Sport Policies (Attachment D) (www.usequestrian.org)
- USOC Code of Conduct and Grievances Procedures (Attachment E)
- List of Selectors and Committee Members (Attachment F) (www.usequestrian.org)
- USEF Athlete Agreement (Attachment G) (www.usequestrian.org)
- USEF Code of Ethics (Attachment H) (www.usequestrian.org)
- Conflict of Interest Policy (Attachment I) (www.usequestrian.org)



**United States Equestrian Federation, Inc.
2018 Youth Olympic Games - Equestrian Jumping**

Application Instructions
(Attachment A)

The U.S. athlete application for Equestrian Jumping at the 2018 Youth Olympic Games is available online at www.usequestrian.org. To apply, log in to the athlete's US Equestrian account at athletes.usef.org. After signing in, click "Online Applications" and scroll to the section for "Open/Upcoming Applications". Change the sport dropdown to Jumping and select **Youth Olympic Games Application (2018)**.

The following attachments are required with the application:

Attachment B – USEF Code of Conduct

Attachment C – USEF Release, Assumption of Risk, Waiver and Indemnification

Attachment D – USEF Safe Sport Policy

If you have any questions regarding the online application process, please contact Lizzy Chesson, USEF Managing Director, Jumping [(859) 225-7689, lchesson@usef.org].

Application Deadlines & Fees

Please note the Application paragraph in the U.S. Athlete Selection Procedures (Section 1.1.4.2), and be aware that the all deadlines and fees will be adhered to **without exception**.

<u>Application</u>	<u>Deadline</u>	<u>Fee</u>
Phase I	7 May 2018	\$70
Phase II	4 June 2018	\$300

No applications will be accepted after 4 June 2018.

Application Confirmation

Upon receipt of the online application with the appropriate fee, athletes will receive an email confirming receipt of the application. If this confirmation is not received, **it is the athlete's obligation** to contact the USEF to determine the application has been received.



**United States Equestrian Federation, Inc.
2018 Youth Olympic Games - Equestrian Jumping**

**Code of Conduct
(Attachment B)**

Note: The USEF Code of Conduct is a required portion of the Online Application.
This document includes example text only.

As a United States Equestrian Federation, Inc. (USEF) competitor and/or horse owner, I acknowledge my obligation to uphold and adhere to the highest standards of horsemanship, sportsmanship, and citizenship whenever I am representing my country either in the United States or abroad, whether as a team member, individual competitor, or horse owner, and whether during actual competition or not.

As a horseman, I will place my horse's welfare above all other considerations and faithfully observe both the USEF and the FEI Codes of Conduct.

As a sportsman, I will know and comply with all pertinent rules of USEF as my National Governing Body (NGB), the FEI as the International Governing Body, and, when applicable, the U.S. and International Olympic Committees, and honor the principles of fair play inherent in them. I will place the interests of the team above my own, and respect the decisions of its duly appointed/elected officials, made in the team's best interest.

As a citizen, I will respect the laws of my own country as well as those of foreign countries in which I or my horse(s) may compete. Knowing that as a USEF competitor and/or horse owner I also serve as an Ambassador for my sport, USEF, and my country. I will endeavor at all times to reflect credit on them through my conduct, dress, and behavior.

I clearly acknowledge my responsibility to ensure that my employees who accompany me whenever I am competing and/or representing my country also abide by this Code of Conduct.

I understand and accept that serious or repeated transgressions of these Codes may be grounds for suspension from a squad or team, or other appropriate disciplinary action. In addition, I agree to abide by selection procedures established by USEF wherever applicable.

Finally, I fully understand that entries will not be made until this application form is signed and completed in full and that this application indicates my intent to compete. Failure to participate may result in disciplinary action on USEF by the FEI. I agree to reimburse all fines imposed by the FEI on USEF for failure to participate in the competitions entered and agree to honor all other penalties including possible and further disciplinary action.

I have read and understand the USEF Code of Conduct. By providing my handwritten or electronic signature below, I acknowledge the aforementioned and agree to be bound by the USEF Code of Conduct and I fully understand and accept my responsibilities as a participant in the Youth Olympic Games. I further understand and agree that an electronic signature is a legal and binding signature.

Athlete Signature

Parent/Guardian Signature (Athlete under 18)



United States Equestrian Federation, Inc.
2018 Youth Olympic Games - Equestrian Jumping
Release, Assumption of Risk, Waiver and Indemnification
(Attachment C)

Note: The Release, Assumption of Risk, Waiver and Indemnification is a required portion of the Online Application. This document includes example text only.

I AGREE, in consideration for my participation as a competitor or owner on behalf of the United States and the United States Equestrian Federation, Inc. (the "Federation") in the 2018 Youth Olympic Games in Buenos Aires ("Games"), to the following:

I AGREE that I choose to participate voluntarily with my horse, as a rider, lessee, owner, or agent. I am fully aware and acknowledge that horse sports involve inherent dangerous risks of accident, loss, and serious bodily injury including but not limited to broken bones, head injuries, trauma, pain, suffering, or death ("Harm").

I AGREE that I have the requisite health, training, coaching, and abilities to safely compete in the Youth Olympic Games and all training and events in preparation for or in connection with the Youth Olympic Games.

I AGREE to release the Federation from all claims for money damages or otherwise for any Harm to me or my horse and for any Harm caused by me or my horse to others, even if the Harm resulted, directly or indirectly, from the negligence of the Federation.

I AGREE to expressly assume all risks of Harm to me, or my horse, including Harm resulting from the negligence of the Federation.

I AGREE to indemnify (that is, to pay any losses, damages, or costs incurred by) the Federation and to hold it harmless with respect to claims for Harm to me, or my horse, and for claims made by others for any Harm caused by me, or my horse at the Youth Olympic Games or any training or event in preparation for or in connection with the Youth Olympic Games.

I AGREE that "the Federation" as used above includes all of its officials, officers, directors, employees, agents, personnel, coaches, Chefs des Equipes, volunteers, and affiliated organizations.

I have read and understand this USEF Release, Assumption of Risk, Waiver and Indemnification. By providing my handwritten or electronic signature below, I acknowledge the aforementioned and agree to be bound by the USEF Release, Assumption of Risk, Waiver and Indemnification and I fully understand and accept my responsibilities as a participant in the Youth Olympic Games. I further understand and agree that an electronic signature is a legal and binding signature.

Athlete Signature

Parent/Guardian Signature (Athlete under 18)



**United States Equestrian Federation, Inc.
2018 Youth Olympic Games - Equestrian Jumping**

**USEF Safe Sport Policy
(Attachment D)**

The intent of the Safe Sport Initiative is to provide information, resources, and a protocol so that all members of the equestrian community have awareness, tools, and a support structure to ensure a safe and positive environment for equestrians to develop their skills. All who participate in equestrian sport must become familiar with the content of the policy and be mindful of its guidelines at all times.

[USEF Safe Sport Website](#)

[USEF Safe Sport Policy](#)

United States Equestrian Federation, Inc.
2018 Youth Olympic Games - Equestrian Jumping
USOC Code of Conduct and Grievance Procedures
(Attachment E)

Note: The USOC Code of Conduct and Grievance Procedures is a required portion of the USOC Online Games Registration Process. The text below is effective September 23, 2016.

I. Introduction

The United States Olympic Committee (“USOC”) supports United States Olympic and Paralympic athletes in achieving sustained competitive excellence and preserving the Olympic ideals, and thereby inspiring Americans. The USOC accomplishes this mission through its commitment to:

- Honesty, integrity, and trustworthiness in all dealings.
- Respect for the rights, differences, and dignity of others.
- Accountability and transparency.
- Stewardship of the Olympic Movement.

The USOC has adopted this Code of Conduct to support these values and with the expectation that the people who work for, or on behalf of, the USOC conduct themselves consistent with the USOC’s mission and these core values.

II. Applicability

This Code of Conduct applies to all employees, volunteers, board members, committee and task force members and member organizations of the USOC.

III. Reporting Obligations

No code of conduct can address every situation, nor can it take the place of good judgment and integrity. The USOC maintains an “open door” for anyone who has questions or concerns. The USOC will support all efforts to comply with this Code of Conduct. If you need advice or assistance concerning the application of any aspect of these standards, consult your supervisor or manager, the Ethics Officer, or the Legal Affairs Division. You are expected to seek advice and clarification promptly when you are uncertain about proper actions or practices.

You should be alert and sensitive to situations that could result in unethical, illegal or improper actions. You have an obligation to report potential or actual violations of this Code of Conduct to your supervisor or manager, the Ethics Hotline, the Ethics Officer, the Legal Affairs Division, or any other designated reporting authority identified by USOC.

If you choose to make your report to the USOC Ethics Hotline, an independent 24-hour telephone service, please be aware that all calls to the Ethics Hotline are confidential and callers may remain anonymous. The Ethics Officer and Chair of the Ethics Committee monitor and address any reports to the Ethics Hotline and other reports they receive. The USOC Ethics Hotline number is 1-877-404-9935.

Under no circumstances will an individual be subject to any disciplinary or retaliatory action for filing, in good faith, a report of a violation or potential violation of the Code of Conduct.

However, filing known false or malicious reports will not be tolerated, and anyone filing such reports will be subject to appropriate disciplinary action.

IV. Legal Compliance

The USOC requires that you follow the Code of Conduct and abide by all applicable USOC policies and procedures; United States federal, state and local law as applicable, including the Ted Stevens Olympic and Amateur Sports Act, codified at 36 U.S.C. §§ 22501 – 22529; and foreign law as applicable. If you ever encounter a conflict of law, such as a conflict between United States and foreign law, or have any questions about the legality of any action, contact the Legal Affairs Division for further information and guidance.

V. Commitment to Integrity

The USOC is committed to honesty and integrity as the cornerstone of our activities. In turn, the USOC expects you to conduct yourself in an ethical and legal manner as a representative of the USOC. This requires that you:

- Respect the rights of all individuals to fair treatment and equal opportunity, free from discrimination or harassment of any type, including, without limitation discrimination on the basis of race, color, religion, sex, sexual orientation, gender identity, age, national origin or otherwise.¹
- Know, understand and comply with all applicable laws, regulations and codes of conduct.
- Ensure that all USOC work and transactions are handled with honesty and recorded accurately.
- Protect information that belongs to the USOC, our workers, volunteers, members and customers.
- Never use USOC assets or information for personal gain.
- Recognize that even the appearance of misconduct or impropriety can be very damaging to the reputation of the USOC and act accordingly.

¹ It is acknowledged that, as to gender and gender identity, this prohibition on discrimination does not extend to decisions on eligibility and classification for the purposes of sport competition; such decisions may well, for example, be based on gender for any particular event.

The USOC recognizes that wagering on Olympic, Paralympic, and other USOC-sanctioned events and on athletes where they are participating in those events, for example sports book bets and fantasy sports programs that have the possibility of valuable winnings (“Olympic Wagering”), is legal and regulated in the State of Nevada and in a number of foreign jurisdictions. At the same time, it is critical to the avoidance of actual and/or apparent conflicts of interest and to the overall integrity of the Olympic Movement that no person involved in the Movement promote, support, or otherwise engage in Olympic Wagering. Thus you must at all times refrain from directly or indirectly:

1. Engaging in Olympic Wagering;
2. Promoting Olympic Wagering;
3. Facilitating or otherwise supporting Olympic Wagering;
4. Knowingly sharing confidential athlete, team, or competition information with a person or entity involved in Olympic Wagering; or
5. Attempting to influence the course or result of any competition in connection with Olympic Wagering

If anyone approaches you about engaging in items 2-5 above, you have an obligation to disclose this, as set out in Section III above.

Additionally, the USOC finds sexual and physical abuse intolerable and in direct conflict with Olympic ideals. The USOC is committed to ensuring that it and its employees, volunteers, board members, committee and task force members, and member organizations promote an environment free from such abuse; and such commitment extends not only to the USOC’s workplace, but also to the creation of safe sporting environments for athletes. To that end, the USOC forbids any form of sexual or physical abuse, whether in the workplace or outside it, and including instances involving athletes. You have a duty to report any alleged sexual or physical abuse occurring in the workplace or at any USOC supported training or sport activity to the Ethics Officer, Ethics Hotline, Human Resources, Legal Affairs Division, or other executive team member.

V. Ethics Program

The USOC has established an independent Ethics Committee and has a designated Ethics Officer who reports jointly to the Chair of the Ethics Committee and the CEO or his/her designee. The Ethics Officer will monitor ethics reports and conduct ethics education at the USOC. The Ethics Officer works closely with the Chair of the Ethics Committee to ensure that the Ethics Committee is involved in ethics issues as appropriate.

VI. Conflicts of Interest

The USOC has instituted a Conflict of Interest Policy that requires that any conflicts of interest, whether actual or apparent, be reported promptly to the Ethics Officer. A copy of the Conflict of Interest Policy may be found on the USOC’s website at <http://www.teamusa.org/Footer/Legal/Governance-Documents.aspx>. You are subject to

the conflict of interest policy, including its mandatory reporting of conflicts. In addition, all USOC employees and Board members are required to complete an annual conflict of interest disclosure and certification.

A conflict of interest arises when you have an interest in, obligation to, or relationship with any business, property, or person that could affect your judgment in fulfilling your responsibilities to the USOC. You are expected to refrain from any activity or investment that constitutes, or might appear to constitute, a conflict of interest. You are also required to disclose to the Ethics Committee any outside activity, relationship or investment in which you are involved or may become involved that is, or has the potential for appearing to be, a conflict of interest. If you require guidance in this area, your supervisor, the Ethics Officer or the USOC Legal Affairs Division should be consulted.

For example, you are not to have a material holding in, or a professional affiliation with, an organization or affiliate with which the USOC does business or with which the USOC competes, except as prescribed by USOC rules and policies and with the knowledge and approval of the Ethics Officer and Chair of the Ethics Committee, who will consult with the full Ethics Committee as appropriate. You may not use your position at the USOC to benefit yourself, your relatives, friends or your private enterprises. A family or other personal relationship with a USOC member, vendor, or competitor also may present a conflict of interest.

You must disclose to the Ethics Officer any outside activity, relationship or investment in which you are involved or may become involved that is, or has the potential for appearing to be, a conflict of interest. The Ethics Officer will consult with the Chair of the Ethics Committee and full Ethics Committee as appropriate. If you have any question about whether something may constitute a conflict, it is suggested that you seek guidance from your supervisor, the Chair of Ethics Committee, Ethics Officer or Legal Affairs Division.

VII. Business with or in Foreign Countries

Business conduct in foreign countries may sometimes differ from the United States, both in terms of common practice and legality. The USOC requires that all business matters be conducted in an ethical and legal manner.

Gifts: The giving of gifts to, and receiving of gifts from, foreign nationals or representatives of foreign governments is governed by a complex set of US and foreign laws. Generally, the giving and receiving of such gifts is very limited or prohibited. Before offering a gift to a foreign national, you must receive the approval of the Ethics Officer. In addition, if an exchange of gifts is both a legal and normal practice, the giving and receiving of such gift(s) will be subject to the USOC's Gift Policy.

Payments: It is a felony under United States laws for the USOC, any of its staff, or anyone acting on its behalf to give, offer, promise or authorize a payment to a foreign official, foreign political party or official thereof, or any candidate for foreign political office, for

the purpose of corruptly obtaining or retaining business for the USOC.

United States law also makes it a felony to pay money or anything of value to a commission agent, sales representative or consultant when there is knowledge or firm belief that the payment will be used to corruptly influence a government official in connection with business the USOC is attempting to obtain or retain. Political contributions will not be made by or on behalf of the USOC in foreign countries.

The USOC will observe the laws of foreign countries in which it operates concerning payments of agents' fees and commissions, provided these laws are not in conflict with United States law. You are not to engage in activities designated to circumvent foreign laws concerning retaining or paying sales representatives and consultants.

Export Control Laws: It is the USOC's policy to comply with the export and reexport control rules and regulations under the Export Administration Regulations (EAR) administered by the United States Department of Commerce and the International Trade in Arms Regulations (ITAR) administered by the United States Department of State. You are not to engage in any export-related transaction on behalf of the USOC which would violate these regulations. USOC executive management have been instructed to ensure that all who are involved in export-related transactions comply with all applicable export control requirements.

VIII. Business Relationships

It is imperative that all USOC, supplier, vendor and other business relationships are managed in a fair, equitable, ethical and legal manner consistent with the Code of Conduct, all applicable law and good business practices. Wherever practical, the USOC provides a competitive opportunity for suppliers and vendors' business, and we enlist their active support in ensuring that we meet customer expectations regarding quality, cost and delivery. Decisions to hire or engage a vendor or supplier should be made on the basis of objective criteria, and not on the basis of personal relationships, friendships or the opportunity for personal gain, financial or otherwise. Prior to entering into a transaction or contract for a purchase or potential purchase that involves an actual or perceived conflict of interest, you should consult with the Ethics Officer.

IX. Personal Use of Organization Resources

It is the responsibility of each of you to protect and preserve the USOC's resources. USOC resources include such things as company time, materials, supplies (including food), equipment (including vehicles), information, electronic mail and computer systems, facilities and other property. These resources are provided to you to fulfill the USOC's mission and work and are to be maintained and used for authorized USOC-related purposes only. The use of USOC resources for personal financial gain is strictly prohibited except where expressly authorized.

You should consult the Employee Handbook for further details. If you have a question about use of any USOC resources, you should contact your supervisor. You should report any improper use of USOC resources to your supervisor or manager, Human Resources, the Ethics Officer or the Ethics Hotline.

The USOC also has a [Gift Policy](#) that applies to giving and receiving any type of entertainment or gifts in connection with your work with USOC or its member organizations. The Gift Policy also covers gifts to U.S. state, and local government employees. You should consult the Gift Policy, and if necessary obtain permission pursuant to the policy, before giving or receiving any entertainment or gifts. A copy of the Gift Policy may be found on the USOC's website at <http://www.teamusa.org/Footer/Legal/Governance-Documents.aspx>.

X. Political Activities and Contributions

USOC and its representatives comply with all federal, state and local laws governing participation in government relations and political activities. Additionally, USOC funds or resources are not contributed to individual political campaigns, political parties, or other organizations that intend to use the funds primarily for political purposes. The USOC also does not permit any political literature, campaign materials or politically orientated information, materials, posters, signs, or buttons to be displayed, circulated or otherwise present on USOC property or in the course of conducting work for the USOC.

There are some very limited exceptions to this policy. At times, the USOC may ask individuals to make personal contact with government officials or to write letters to present the USOC's position on specific issues. Before doing so, you should confirm with the Ethics Officer and Government Relations Division that such contact is authorized and in compliance with this policy, and that you are knowledgeable on the specific issues about which you have been asked to speak.

You may, of course, participate in the political process on your own time and at your own expense, so long as you do not give the impression that you are speaking on behalf of or representing the USOC in such activities. Nor can you be reimbursed by the USOC for any personal contributions for such purposes. If you are seeking public office, you may use vacation time or request leaves of absence to campaign or hold office. The USOC will not make contributions to any political candidate or party.

This policy is required by the Ted Stevens Olympic and Amateur Sports Act, the Internal Revenue Code and other applicable law. You should contact the Government Relations Division or the Legal Affairs Division if you have any questions or concerns regarding these requirements.

XI. USOC Information

You are each responsible for the integrity and accuracy of organization documents,

communications and financial records. All financial information must reflect actual transactions and conform to generally accepted accounting principles. It is a violation of the Code of Conduct to alter or falsify information, including any record or document, to intentionally make a false or exaggerated statement or claim to anyone, or to mislead anyone. Anyone having concerns regarding questionable accounting or auditing matters should report their concerns to the Ethics Hotline, which will refer the matter to the Board of Directors' Audit Committee.

USOC's information assets are valuable to the organization, and it is USOC policy that all USOC representatives must diligently protect this information from loss, theft, inadvertent or unauthorized disclosure or misuse. It is essential that everyone do their part to protect USOC information, whether stored in computers, files or elsewhere. You must not discuss with or disclose to any unauthorized persons inside or outside the USOC any information that is confidential or not publicly available.

USOC business should not be discussed with unauthorized persons. You should be careful about discussing USOC information and activities in the presence of, or within hearing distance of, unauthorized personnel. You should also not seek or accept any information to which you and the USOC are not legitimately entitled, regardless of the source.

Your obligations to maintain the confidentiality and protection of USOC information does not end even if your employment or other relationship with the USOC may end.

XII. Intellectual Property

The USOC protects the ownership of its intellectual property as set forth in the Employee Handbook. In addition, you should contact USOC's Legal Affairs Division regarding any anticipated use of intellectual property that does or may belong to someone else.

ACKNOWLEDGEMENT

I have received and read the document entitled "USOC Code of Conduct." I understand that this Code of Conduct is USOC policy, and I agree to abide by all of its requirements.

Signature

Date

Printed Name

Position



**United States Equestrian Federation, Inc.
2018 Youth Olympic Games - Equestrian Jumping**

**List of Selectors and Committee Members
(Attachment F)**

USEF Board of Directors

Mr. Murray Kessler, President	Mrs. Cindy Mugnier, NBDC Member
Ms. Elizabeth Goth, Vice-President	Mrs. Cynthia Richardson, NBDC Member
Mr. Chester Weber, Secretary/Treasurer	Mrs. Judith Werner, NBDC Member
Mr. Thomas O'Mara, Ind. Board Member	Mr. Philip Richter, AFC Member
Ms. Judith Sloan, Ind. Board Member	Mr. S. Tucker Johnson, USET Foundation
Ms. Lisa Gorretta, IDC Member	Ms. Allison Brock, Elected Athlete
Mr. Pete Kyle, IDC Member	Mr. Chris Kappler, Elected Athlete
Mrs. Beezie Madden, IDC Member	Ms. Anne Kursinski, Elected Athlete
Ms. Diane Pitts, IDC Member	Mrs. Misdee Miller, Elected Athlete
Ms. Mary Babick, NBDC Member	

USEF International Disciplines Council

Mr. Joe Mattingley, Chairman	Mrs. Jan Stevens, Member
Mr. Max Amaya, Member	Mr. Rick Weaver, Member
Mr. Michael Arnold, Member	Mrs. Elisabeth Williams, Member
Mr. Linda Bibbler, Member	Ms. Kristi Wysocki
Mrs. Diane Carney, Member	Mr. Edward Young, Member
Mr. Robert Costello, Member	Ms. Allison Brock, Elected Athlete
Mr. David Distler, Member	Mr. Shane Brown, Elected Athlete
Ms. Lisa Gorretta, Member	Dr. Dale Dedrick, Elected Athlete
Mrs. Hope Hand, Member	Mrs. Beezie Madden, Elected Athlete
Ms. Carol Kozlowski, Member	Dr. Margaret Sleeper, Elected Athlete
Mr. Pete Kyle, Member	Ms. Suzy Stafford, Elected Athlete
Ms. Diane Pitts, Member	Ms. Lynn Symansky, Elected Athlete
Mr. Gary Rockwell, Member	Mr. Murray Kessler, Ex Officio
Ms. Katherine Rynning, Member	

2018 Youth Olympic Games – Equestrian Jumping
Attachment F

USEF Jumping Sport Committee

Mrs. Chrystine Tauber, Chair

Mr. Max Amaya, USEF Appointee

Ms. Lucy Davis, USEF Appointee

Ms. Michele Grubb, USEF Appointee

Mrs. Suzanne Porter, USEF Appointee

Mrs. Katie Prudent, USEF Appointee

Mrs. Mary Babick, Affiliate Appointee

Mr. Jeff Campf, Affiliate Appointee

Mr. David Distler, Affiliate Appointee

Mrs. Mandy Porter, Affiliate Appointee

Mrs. Charlotte Skinner, Affiliate Appointee

Mr. Jimmy Torano, Affiliate Appointee

Mrs. Margie Engle, Eligible Athlete

Mrs. Beezie Madden, Eligible Athlete

Mr. McLain Ward, Eligible Athlete

Mr. Robert Ridland, Non-Voting Advisor

Mrs. Anne Kursinski, Non-Voting Advisor

Mrs. DiAnn Langer, Non-Voting Advisor

The USEF Jumping Sport Committee is populated according to governing charter.

2018 Youth Olympic Games Jumping Selectors

To be confirmed.



**United States Equestrian Federation, Inc.
2018 Youth Olympic Games - Equestrian Jumping**

**USEF Athlete Agreement
(Attachment G)**

This Athlete Agreement ("Agreement") is entered into this _____ day of _____ 2018 by and between the United States Equestrian Federation, Inc. ("USEF"), a New York non-profit corporation designated by the United States Olympic Committee ("USOC") as the National Governing Body for equestrian sport in the United States of America and (the "Athlete"), an individual, and selected to compete in a Designated Competition as defined below (the "Team").

RECITALS

WHEREAS, the vision of USEF is to provide leadership for equestrian sport in the United States of America, promoting the pursuit of excellence from the grass roots to the Olympic Games, on a foundation of fair, safe competition and the welfare of its human and equine athletes, and embracing this vision, to be the best national equestrian federation in the world. In an effort to make this vision a reality, USEF selects and forms teams of world-class equestrian athletes all of whom USEF helps to educate, train, and support to achieve sustained competitive excellence in all levels of equestrian competition in order to help these athletes achieve their highest athletic potential.

WHEREAS, the Athlete is a non-employee USEF member in good standing who possesses extraordinary and unique skill and ability as an equestrian athlete, and whose performance in certain recognized competitions qualifies the Athlete for invitation to, and enjoyment of, the benefits and responsibilities of the Team.

WHEREAS, USEF desires to provide to the Athlete the opportunity to participate in the programs made available to the Athletes by USEF as members of the Team, and the Athlete desires to participate in such programs and therefore agrees to accept the responsibilities of team membership as set forth herein.

WHEREAS, this document is intended by the parties to set forth the rights and responsibilities that accompany membership on the Team.

WHEREAS, an athlete shall never be denied an opportunity to participate in, or to attempt to qualify for selection to participate in any protected competition unless provided an opportunity to be heard by an appropriate governing hearing committee.

Therefore, in consideration of certain benefits that USEF provides to Athlete in connection with his selection as a representative of the United States in the Olympic Games, Pan American Games, World Championships, Nation Cups, Youth Olympic Games, and Paralympic Games ("Designated Competitions"), USEF and Athlete agree as follows:

1. Responsibilities of Athlete

- 1.1 The Athlete shall honor and abide by the Code of Conduct.
- 1.2 The Athlete recognizes that he is a role model for the sport and thereby will uphold the principles of sportsmanship and animal welfare.
- 1.3 The Athlete shall give the horse sport a positive image. Behavior must be appropriate given it will reflect on the sport and the Team.
- 1.4 The Athlete agrees to remain current on any financial obligations to USEF.
- 1.5 The Athlete agrees to support the public relations efforts of USEF. As such, the Athlete agrees to appear and participate in up to four (4) non-commercial USEF promotional events should the Athlete's appearance at such events not compromise the Athlete's training and/or competition schedule as determined jointly by the Athlete and the USEF Team coach and/or Chef d'Equipe. Expenses incurred in connection with the fulfillment of this obligation will be borne by USEF.
- 1.6 The Athlete shall wear and cause to be worn only USEF provided attire, if applicable, or approved attire, if not provided, during Designated Competitions while in the competition ring, schooling area and field of play/competition area except as noted below. Attire includes clothing, saddle pads and blankets. With respect to the Olympic Games and the Pan American Games, Athlete shall wear only attire provided by or approved by the USOC. With respect to World Championships, Nations Cups or USEF funded tours and events, Athlete shall wear only attire provided by or approved by USEF. In the field of play/competition area, the Athlete may choose his or her own personal performance gear as it is deemed specialized equipment that has a material effect on the performance of the Athlete during the actual competition. For purposes of this Agreement, specialized equipment is identified in Exhibit 1 attached hereto. However, while present in the field of play/competition area and during the prize-giving ceremonies names or logos identifying a non-USEF sponsoring manufacturer "manufacturer mark" of the clothing, equipment (not including saddle pads which may not display or have affixed a non-USEF sponsorship manufacture name or logo) and/or vehicles (including but not limited to carriages) may appear only once per item of clothing, equipment and/or vehicle and solely on a surface area not exceeding:
 - (i) Three square centimeters (3 cm²) (maximum one centimeters - 1 cm-high, maximum three centimeter - 3 c m - wide) for clothing and equipment except for the harnesses during Driving Events;
 - (ii) Fifty square centimeters (50 cm²) on each side of vehicles during the Driven Dressage and Obstacle -Cone Driving Phases of Driving Events;
 - (iii) The size of the strap on which the identification of the manufacturer appears, and no longer than ten centimeters (10cm), only once on each

harness during the Driving Events.

2. Marketing Rights

The Athlete understands and agrees that in order to provide benefits to athletes, USEF must raise funds through the sale of corporate sponsorships. In order to further this endeavor:

- 2.1 The Athlete agrees that USEF has category exclusivity with respect to those products and services listed in Exhibit 2 to this Agreement. This list shall be subject to modification from time to time and the Athlete expressly agrees to permit USEF to make such modification. This Agreement does not preclude the Athlete from signing his own sponsorship and/or endorsement agreements but the Athlete agrees not to advertise his affiliation with any products or services, pursuant to any sponsorship or endorsement agreements that the Athlete may have, at any Designated Competition at which the Athlete appears as a member of the Team, without the prior written consent of USEF. The Athlete also agrees not to advertise his affiliation with any products or services, pursuant to any sponsorship or endorsement agreements that the Athlete may have, at any time while wearing any attire that designates or denotes the Athlete as a member of the Team without prior written consent of USEF.
- 2.2 USEF agrees that in its pursuit for corporate sponsorships it will endeavor to secure additional benefits (i.e. discounts on goods or services or VIK) for eligible athletes.
- 2.3 USEF agrees to exert best efforts to promote the USA teams and their riders.
- 2.4 Athlete has the right to use his own individual image from Designated Competitions where he earned an individual medal. This use may be exercised by Athlete for commercial purposes, with the prior written consent of USEF, provided that no conflicts exist between Athlete's commercial purpose and USEF official sponsors.
- 2.5 USEF and Athlete agree that in the interest of the overall betterment of equestrian sport they will each use their own sponsor opportunities to attempt to create a beneficial opportunity for the other party.
- 2.6 The Athlete hereby agrees to be filmed, videotaped, and photographed and to have his image and voice otherwise recorded in any media by the USEF's official photographer(s), film crew(s), and video crew(s) and by any other entity authorized by USEF. Furthermore, the Athlete hereby grants to USEF the irrevocable, fully paid up, worldwide right and license to use, and to authorize third parties to use, in all internet, media, new media, and media yet to be invented, the Athlete's name, likeness, picture, voice, and biographical information for: (1) news and information purposes; (2) promotion of the Team and the specific competitions in which the Athlete competes; (3) promotion of

2018 Youth Olympic Games – Equestrian Jumping
Attachment G

USEF; and (4) to support USEF's educational and philanthropic efforts through the production of educational and training videos, DVDs and other media. In no event may USEF authorize the use of the Athlete's name, picture, likeness, voice, and biographical information for the purpose of trade, including any use in a manner that would imply an endorsement of any company, product or service, without the Athlete's written permission other than pursuant to the group license described more fully below. This provision shall survive termination of this Agreement.

- 2.7 The Athlete specifically grants to USEF the irrevocable, fully paid up, worldwide right and license to use the Athlete's image in any USEF group licensing promotion. The Athlete understands that USEF will exercise this right only in a group basis, i.e. applications involving the use of images of three or more athletes without reference to any individual athlete's name or identity. As such, when exercising this license, USEF shall not imply that any individual athlete endorses any product and/or service. USEF may license this right to a third party.
- 2.8 The Athlete shall have no right to use the name, trademarks or other intellectual property of USEF, or to advertise any affiliation with the same, without the express written permission of USEF. The Athlete understands and agrees that he must obtain the prior written consent of USEF for any individual endorsement program in which the following would occur: the Athlete wears any attire that designates or denotes the Athlete as a past, present or future member of the Team or otherwise openly identifies the Athlete with a USEF Team or any other USEF controlled entity or program. This provision shall survive termination of this Agreement. Provided there are no conflicts with USEF sponsors, USEF and sponsor of Athlete may enter into an arrangement whereby such sponsor obtains access rights to certain names, trademarks or intellectual property owned by USEF.
- 2.9 The Athlete agrees that when appearing live, for any still photo, film or video intended to depict the Athlete as a member of the Team; the Athlete shall wear attire that designates or denotes the Athlete as a member of the Team.
- 2.10 The Athlete shall not remove or conceal any USEF sponsor logos from Team attire.
- 2.11 The Athlete, upon prior written permission from USEF, may add the logo of a private sponsor as long as it conforms to the requirements of the FEI rules. USEF will advise the Athlete as to the placement of the logo.
- 2.12 Except for those rights specifically granted to USEF, the Athlete shall retain sole and exclusive ownership of his individual publicity rights. The Athlete agrees to exercise those rights in such a manner as to not violate IOC, IPC, USOC, FEI, PASO and/or USEF regulations and restrictions and is responsible not to compromise the Athlete's competition eligibility.

- 2.13 USEF agrees that it will consult with the Athlete before reproducing or publishing any depiction of the Athlete. If the Athlete is nonresponsive after seven (7) days USEF will assume the rights are approved.

3. Term and Termination

3.1 Term.

This Agreement shall be effective from _____ through _____.

3.2 Termination.

This Agreement shall be terminable by either party at any time, and for any reason upon thirty (30) days written notice to the other party of the intent to terminate.

This Agreement, and all benefits and services provided hereunder, shall immediately terminate shall the Athlete plead guilty or be adjudicated guilty of a doping offense or if the Athlete pleads guilty to a crime involving the use, possession, or distribution of a controlled substance, whether or not the same is included on the FEI list of banned substances.

Notwithstanding the above paragraphs, USEF may terminate this Agreement at any time for Athlete Misconduct. The term Athlete Misconduct shall refer to conduct that violates the terms of this Agreement, the USEF Code of Conduct, the laws of any jurisdiction in which the Athlete is present, or any conduct which might bring disrepute to, or otherwise harm USEF, FEI, or the Olympic movement.

4. Miscellaneous

- 4.1 Nothing in this Agreement is intended to nor should it be construed to create an employer/employee relationship between USEF and the Athlete.

- 4.2 Preservation of Competition Eligibility. The Athlete shall not violate any provision or rule promulgated by the IOC, IPC, FEI, USOC, PASO or USEF governing eligibility of athletes to compete in Olympic, IPC, FEI, PASO or USEF sanctioned events. The Athlete agrees to maintain his membership in USEF in good standing at all times.

- 4.3 Governing Law. This Agreement shall be construed pursuant to the laws of the State of Kentucky without reference to principles governing choice or conflicts of laws. It is the intent of USEF and the Athlete that this Agreement is construed in accordance with the Ted Stevens Amateur and Olympic Sports Act of 1978, as amended as well as the USOC Bylaws, which are incorporated herein by reference.

2018 Youth Olympic Games – Equestrian Jumping
Attachment G

- 4.4 Superseding Law or Regulation. Should this Agreement or any provision hereof violate any federal, state or local law or regulation, or, as a result of amendment or revision to the Ted Stevens Olympic and Amateur Sports Act or the Olympic Charter, this Agreement or any provision hereof violates such amendments, the parties shall then negotiate in good faith to modify this Agreement to the extent reasonably necessary to bring about compliance with such law, charter, and/or rules and regulations; provided, however, that if such modification would cause this Agreement to fail in its essential purpose or purposes, or the parties are unable to reach agreement after negotiating in good faith, either party may terminate the Agreement.
- 4.5 Headings. The headings in this Agreement are for convenience only and shall not affect in any way the meaning of the provisions to which they refer.
- 4.6 Entire Agreement. This Agreement, together with all exhibits, shall constitute the entire agreement between the parties and shall supersede all prior agreements between the parties relating to the subject matter, written, oral or otherwise.

IN WITNESS WHEREOF, the parties hereto have entered into this Agreement as of the date first written:

UNITED STATES EQUESTRIAN FEDERATION

By: William J. Moroney
Its: Chief Executive Officer

ATHLETE

2018 Youth Olympic Games – Equestrian Jumping
Attachment G

Exhibit 1

Discipline	Performance Gear			
Jumping (includes Eventing Jumping)	Saddle Bridle Breastplates Bits Reins Stirrups	Breeches Boots Gloves Riding Whip Spurs	Protective horse boots Riding shirt with collar Riding jacket	
Dressage (includes Eventing Dressage)	Saddle Bridle Breastplates Bits Reins Stirrups	Breeches Boots Gloves Riding Whip Spurs	Helmet and top hat Riding shirt with stock tie Shadbelly riding jacket	
Eventing /Cross Country	Saddle Bridle Breastplates Bits Reins Stirrups	Breeches Boots Gloves Riding Whip Spurs	Helmet Polo shirt Safety Vest	
Para	Saddle Bridle Protective Horse Boots Breastplates Bits Riding Whip	Riding Jacket Riding Shirt with stock tie Breeches Boots Helmet Gloves	Stirrups Reins Spurs Girth Saddle Pad	
Driving	Harnesses Carriage(s) Bridle Protective Horse Boots	Riding Shirt with collar Polo Shirt Helmet & Top Hat Safety Vest	Breastplates Bits Gloves Reins	Ear Bonnets
Endurance	Saddle Bridle Protective Horse Boots Saddle Pad Breastplates Bits	Riding Shirt with collar Breeches Boots Helmet Gloves Stirrups	Reins Spurs Girth	
Reining	Saddle Bridle Protective Horse Boots Saddle Pad Breastplates Bits	Riding Shirt with collar Boots Jeans with/without Chaps Hat and/or Helmet Gloves Stirrups	Reins Spurs Girth	
Vaulting	Bridle Vaulting Surcingle + Pad Bits	Competition Leotards Lunging Whip Lunge Line	Ear Bonnets	

2018 Youth Olympic Games – Equestrian Jumping
Attachment G

Exhibit 2

Ariat International	Official Footwear and Apparel Partner
Charles Owen	Official Helmet Supplier
Dutta Corporation	Official Shipper of the U.S. Dressage and Eventing Teams
EquiFit	Official Performance Horse Boot and Leg Wear
Hagyard Pharmacy	Official Equine Pharmacy Official Equine Veterinary Service Provider
Hertz	Official Automobile Rental Agency
Hodges Badge Company	Official Ribbon Supplier
Horseware Ireland	Official Blanket of the U.S. High Performance Teams
Land Rover	Official Vehicle
Luitpold Pharmaceuticals – Makers of Adequan	Official Joint Therapy Product
Multi Radiance	Official Laser Therapy
Nelson Manufacturing	Official Feeder Official Waterer Official Horse Stall Plate
Neue Schule	Official Sponsor
Platinum Performance	Official Sports Nutrition Supplement Company
Premier Equestrian	Official Footing Supplier
PulseVet	Official Supplier
Rolex Watch USA	Official Timepiece
Sallee	Official Horse Transportation Company
StableLab	Official Pre-Performance Kit
Stadium Horse Trucks	Official Horsebox
TheraPlate	Official Therapy Plate
Triple Crown Custom	Official Awards Blanket
Triple Crown Nutrition	Official Feed of the U.S. Eventing, Dressage and Show Jumping Teams
U.S. Bank	Official Credit Card Company
W.F. Young – Maker of Absorbine Products	Official Liniment



**United States Equestrian Federation, Inc.
2018 Youth Olympic Games - Equestrian Jumping**

**USEF Code of Ethics Policy
(Attachment H)**

Board of Directors, Committee Members, and Exempt Staff

As the guardians of the sport of equestrian in the United States, and because we operate in the public spotlight, we are expected to conduct our affairs in a manner consistent with the great trust that has been placed in us. This requires our behavior to conform to the highest ethical principles. See Bylaw 343 regarding Conflict and Duality of Interest. For these reasons, the United States Equestrian Federation, Inc. (USEF) requires its volunteers to conduct business with integrity, to maintain a standard of ethical conduct consistent with the regulations of all countries and jurisdictions in which USEF conducts business, and to be guided by the knowledge that we are guardians of equestrian sport and must maintain the values, spirit, and ideals of the sport and its part in the Olympic movement. Furthermore, because the appearance of impropriety can be just as damaging as actual impropriety, conduct which appears to be improper is also unacceptable. (See definitions below.) Accordingly, volunteers are required to comply with the following USEF Code of Ethics when representing or participating in USEF activities, selection procedures, or events:

1. Conduct all dealings with honesty and fairness.
2. Respect the rights of all employees and volunteers to fair treatment and equal opportunity, free from discrimination or harassment of any type.
3. Know, understand and comply with the laws, regulations, and codes of conduct governing the conduct of USEF competitions and business – both domestic and foreign.
4. Ensure that all transactions are handled honestly and recorded accurately.
5. Protect information that belongs to USEF, its donors, sponsors, suppliers, employees and volunteers.
6. Avoid conflicts of interest, both real and perceived.
7. Never use USEF assets or information for personal gain.
8. Recognize that even the appearance of misconduct or impropriety can be very damaging to the reputation of the USEF, and act accordingly.



**United States Equestrian Federation, Inc.
2018 Youth Olympic Games - Equestrian Jumping**

Conflict of Interest Policy

(Attachment I)

Board of Directors, Committee Members, Selectors, and Exempt Level Staff

Part I --- Conflict of Interest, Other Than Related Party Transactions

Pursuant to the United States Equestrian Federation, Inc. "USEF" Bylaw 343.3, this Conflict of Interest Policy (the "Policy") provides a framework of integrity for interactions with or on behalf of the USEF. Please note that Related Party Transactions are addressed separately according to the provisions set forth in Part II of this Policy. Given the unique makeup of the USEF family, more in-depth questions may arise regarding conflicts of interest. For this reason the following guidance is provided:

- USEF defines a conflict of interest as any personal or financial (both direct and indirect) relationship including relationships of family members (see definition below) that could influence or be perceived to influence your objectivity when representing or conducting business for, or on behalf of, USEF or participating in a USEF selection process.
- USEF defines "family" for these purposes as follows: spouse, parent, child or spouse of a child, brother, sister, spouse of a brother or sister, a cohabiting companion, or any other individual with a significant familial or familial-like relationship. Both parent and child shall include step-parent and step-child.
- USEF defines a substantial appearance of a conflict of interest as whenever others may reasonably infer from the circumstances that a conflict exists. Because the appearance of impropriety can be just as damaging to the USEF as actual impropriety, conduct that may reasonably appear to others to be improper must be disclosed so that the appropriate USEF board or committee* may determine whether the situation presents a substantial appearance of a conflict of interest such that a prohibited conflict of interest may be deemed to exist. For these reasons, an individual must recuse himself from participating in the USEF activity giving rise to the substantial appearance of a conflict of interest unless and until it is determined that no conflict exists.
- Each USEF Board member, committee member, selector, and exempt employee shall fulfill his obligation to disclose any conflict by signing this Policy and submitting Exhibits A and B and, if applicable, C. This must be done within 14 days of acceptance of the position or the individual cannot participate in any relevant meetings. Once an individual has signed this Policy, it is incumbent upon him to update the Exhibits as appropriate. This is a continuing obligation upon the individual.

* For purposes of this Policy, the term "committee" includes any group of individuals designated by the President, Board, or Council, or committee established to perform a particular task (e.g., task forces, ad hoc committees).

2018 Youth Olympic Games – Equestrian Jumping
Attachment I

- In accordance with Bylaw 343, this Policy also covers duality of interests and the selection of athletes, horses, coaches, trainers, managers, administrators, veterinarians, officials and others for competitions.

Review of Disclosures

All conflict of interest disclosures and certification statements submitted pursuant to this Part I will be reviewed by the Legal Department. The Legal Department consults with the Audit Committee Chair on all matters disclosed for presentation to the Audit Committee. The Audit Committee reviews the disclosures and determines whether the conflicted individual is precluded from serving in any capacity and whether appropriate measures need to be implemented to ensure integrity and the appearance of integrity.

Conflicts or perceived conflicts that arise as to a particular matter

During an individual's service on the Board, committee, council, or as an employee, if a question arises as to whether a particular situation is indeed a conflict of interest, and if circumstances are such that there is not sufficient time to submit the matter to the Audit Committee, then (i) the interested committee (the committee which is impacted by the potential conflict of interest) will make the determination according to the Procedure set forth below, and (ii) the determination will be reported, in writing, to the Audit Committee for review and ratification. For questions submitted to the interested committee, a majority vote of those present (and not otherwise conflicted) will prevail. The Procedure outlined below will not apply when an employee is involved. For conflicts that arise concerning employees, the matter must be referred to the Audit Committee for a determination.

If personal or financial interests exist with any person or concern with whom the USEF has a business or other relationship or you have a personal or financial relationship with a candidate (horse or human) for a team or individual chosen by a selection procedure or a substantial appearance of a conflict of interest exists (see definition above) and the other relationship or interest could influence or be perceived to influence the objectivity of your decisions, or if a committee determines that a conflict of interest exists, or if a duality of interest exists, you must follow this procedure (except for Related Party Transactions and matters involving employees, which will be referred to the Audit Committee):

Disclosure of Actual or Potential Conflict. The individual with the conflict of interest or potential conflict of interest shall immediately disclose ***all material facts relating to*** the existence of a conflict or potential conflict when the issue for which the conflict exists is brought up at the meeting. This disclosure should include the nature of the conflict and why the individual believes it may be considered to be a conflict.

Provision of Information. The individual with the actual or potential conflict should provide to the board or committee any information relevant to the subject that he might have. In the case of a potential conflict, the board or committee will determine whether a substantial appearance of a conflict of interest exists; if so, the following procedures apply:

- Leave Meeting. The individual with the ***potential*** conflict must then leave the meeting and not participate at all in the deliberations on the matter and any vote that might be taken. If, after the person has left the room, the deliberating body has questions that he could answer, he can be brought back into the meeting for the sole purpose of answering those questions. Once the questions have been resolved, he should vacate again until the deliberations have been completed and the vote has been taken;

- Deliberation and Vote. The remainder of the committee or board should conduct the deliberation on the matter at hand and, if appropriate, proceed to a vote.

At all times, the conflicted person does count for determination of a quorum.

Part II --- Related Party Transactions

USEF is prohibited from entering into any Related Party Transaction unless the transaction is determined by the USEF Board of Directors to be fair, reasonable and in the corporation's best interest at the time of such determination. Further, any director, officer or key employee who has an interest in a related party transaction shall disclose in good faith to the Board, or an authorized committee thereof, and/or the Audit Committee, the material facts concerning such interest. The Board may delegate the review and approval of Related Party Transactions to the Audit Committee, in which case all references to the Board in this Part II of this Policy shall be deemed to refer to the Audit Committee.

Before engaging in a related party transaction, the USEF Board of Directors must:

- (1) Consider alternative transactions to the extent available;
- (2) Approve the transaction by not less than a majority vote of the directors present at the meeting; and
- (3) Contemporaneously document in writing the basis for the decision, including its consideration of any alternative transactions.

A "related party transaction" is defined as "any transaction, agreement or any other arrangement in which a director, officer, related party has a financial interest and in which the corporation or any affiliate of the corporation is a participant."

A "related party" is defined as:

(i) any director, officer or key employee of the corporation or any affiliate of the corporation; (ii) any relative of any director, officer or key employee of the corporation or any affiliate of the corporation; (iii) any entity in which any individual described in clauses (i) and (ii) of this subparagraph has a thirty---five percent or greater ownership or beneficial interest or, in the case of a partnership or professional corporation, a direct or indirect ownership interest in excess of five percent; or (iv) substantial contributors to the corporation (within the current fiscal year or the past five fiscal years).

A "key employee" is "any person who is in a position to exercise substantial influence over the affairs of the [USEF]."

Inappropriate hospitality or gift giving between individuals can also create a conflict of interest. Gifts, cash, travel, hotel accommodations, entertainment or favors are neither to be given nor received except those of nominal value exchanged in the normal course of business. Favors or other assets or gifts may be accepted if they are part of open and generally accepted practices and serve to promote the best interest of USEF and would not embarrass the individual or USEF if publicly disclosed and do not compromise the objectivity and integrity of the recipient or donor.

Part III --- Failure to Disclose and Enforcement

Failure to Disclose

If the Board of Directors, applicable committee, or any other individual has reasonable cause to believe an individual subject to this Policy has failed to disclose an actual or potential conflict of interest, he shall notify the Chief Executive Officer, General Counsel, or any member of the Audit

2018 Youth Olympic Games – Equestrian Jumping
Attachment I

Committee. The Audit Committee will address the report in the same manner that it addresses reported Policy violations outlined below under “Enforcement.”

Enforcement

Any person who violates or condones the violation of this Policy is subject to disciplinary measures, which may include termination of membership; expulsion from the board, council, or committee; or termination of employment. Allegations of violations should be reported to the Chief Executive Officer, General Counsel, or any member of the Audit Committee. The Audit Committee will review the reported violation and initiate an investigation and recommend appropriate action in a confidential report to the Board of Directors.

Any questions concerning this Policy should be addressed to the General Counsel, Sonja S. Keating at the following address:

United States Equestrian Federation, Inc.
Sonja S. Keating, General Counsel
4047 Iron Works Parkway
Lexington, Kentucky 40511
Telephone: (859) 225-2045
Fax: (859) 231-7371

Exhibit A
United States Equestrian Federation, Inc.
Disclosure and Certification Statement

Name:

Position:

Certification:

By signing this form below, I certify that:

1. I have received a copy of the and Code of Ethics and USEF Conflict of Interest Policy;
2. I have read and understand these policies;
3. I agree to comply with these policies;
4. I affirm that I have a continuing obligation to update Exhibit B and, if applicable, C; and
5. I understand that the USEF is charitable and in order to maintain its federal tax exemption it must engage in activities that accomplish one or more of its exempt purposes and not engage in activities and transactions that provide impermissible benefits to individuals or entities.

I am aware that if, because of any relationships, positions, or circumstances concerning me, I may have or appear to have a potential conflict of interest, I am required to list such relationships, positions, or circumstances on the annexed Exhibit B and, if applicable, C as the case may be, and to return the appropriate completed Exhibit(s) with this Disclosure and Affirmation Statement.

Signature

Date

Exhibit B
United States Equestrian Federation, Inc.
Conflict of Interest Disclosure Statement

This Disclosure Statement must be submitted and updated as often as necessary to USEF. Refusal to provide the requested information or providing incomplete or inaccurate information may result in removal from office or Board membership, committee membership or employment.

Note: Please include yourself or any immediate family member, including spouse, partner, parent, or children when answering the following questions.

1. If you or any member of your immediate family is an officer, partner, director, trustee, owner or employee of any person or any entity which does business with USEF, please list the name and address of the entity(ies) and the nature of your relationship with said person or entity(ies), and describe the dealings. If none, please state “none.”

Ex: I am sponsored by Ariat, which also has a sponsorship agreement with the Federation.

2. If you or any member of your immediate family is a Licensed Official of USEF, has a relationship with any Licensed Competition, including but not limited to managing or holding an ownership interest in any Licensed Competition, please list the name and address of the person or entity(ies) the nature of the relationship with said entity(ies), and describe the dealings. If none, please state “none.” Ex: I am a competition manager of the Pony Finals, a USEF Licensed Competition.

3. If you or any member of your immediate family is a sponsor of USEF, any Recognized Affiliate, or any Licensed Competition please list the name and address of the entity(ies), the nature of the relationship with said entity(ies), and describe the dealings. If none, please state “none.”

Ex: My spouse owns a business that sponsors a class at the Pony Finals.

4. If you or any member of your immediate family is a member of a committee or Board of Directors of an equestrian association, please list the name and address of the person, the position of the person within the association. If none, please state “none.”

Ex: I am on the USHJA Executive Board.

5. If you or any member of your immediate family holds an ownership interest in a closely-held company or other business entity, or at least a five (5%) percent ownership interest in any public company which does business with USEF, please list the name and address of the entity(ies), the nature of your relationship with said person or entity(ies) and describe the

2018 Youth Olympic Games – Equestrian Jumping
Attachment I

dealings. If none, please state “none.”

6. If you have any reason to believe that any of the persons or entities with which you or immediate members of your family are affiliated may have business dealings with USEF in the future, please list those entities and the nature of such dealings. If none, please state “none.”

7. If you are or have ever been an athlete on any USEF team, please list the dates and names of the competitions at which you represented USEF. If none, please state “none.”

8. Are you an employee or employer of another USEF Director or do you have any other business dealings or financial dealings with another USEF Director or any company or concern with which that Director is involved?

Yes

No

If you have answered yes to the above, please provide the details as follows.

If you or any member of your immediate family is an officer, partner, trustee, owner or part-or full- time employee (contractual or otherwise) of a Director of USEF or the entity owned or in which said Director has at least a five (5%) percent ownership interest, please list the name and address of the Director, the nature of your relationship with said Director(s) and describe the dealings. If none, please state “none.”

9. Are you an officer, director or employee of any other amateur sports organization that is recognized as a national governing body by the United States Olympic Committee? If not, please state “no.”

10. If you are a Board of Director, are you an Independent Director, as defined in Bylaw 303, Section 3?

Yes

No

If you are not independent, why?

2018 Youth Olympic Games – Equestrian Jumping
Attachment I

11. If there is any relationship or matter not disclosed above which might be perceived to compromise your obligations to USEF under its Conflict of Interest Policy or which may raise questions of a conflict between your duty and loyalty to USEF, your loyalty to any other entity and/or your economic self-interest, please indicate here what that relationship or matter is. If none, please state “none.”

Signature

Print Name

Date

Exhibit C
United States Equestrian Federation, Inc.
Conflict of Interest Disclosure Statement for Selections

Please list all relationships within the selection period with individuals, businesses and/or other entities that may create a conflict of interest or which you believe might create the appearance of a conflict of interest with any applicant horse, owner or rider or members of their families (attach additional sheets as necessary to make the disclosure complete). Please review the Conflict of Interest Statement attached to the Selection Criteria for additional information.

This section only applies to family members, businesses in which you have an interest, sponsorships, and clients.

If you have no such relationships, please indicate “none.”

	Individual/Entity with whom a conflict exists or may exist.	Relationship to the conflicting Individual/Entity and Nature of conflict.
	EXAMPLE: Jane Rider, applicant	EXAMPLE: My wife is her trainer.
1.		
2.		
3.		
4.		
5.		
6.		
7.		

I certify that I have disclosed on this list and any attachments hereto any and all situations that may constitute conflicts of interest or give the appearance of a potential conflict of interest in connection with the selection criteria for the _____ (insert discipline) Selection Process for the _____ (name of competition).

Signature

Print Name

Date