

## CHAPTER 9 COMPETITION PRIZE LISTS AND ENTRIES

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**COMPETITION PRIZE LISTS AND ENTRIES**

## CHAPTER 9 COMPETITION PRIZE LISTS AND ENTRIES

### SUBCHAPTER 9-A PRIZE LISTS.

#### GR901 Requirements.

See GR1212 for procedures regarding submitting prize lists to the Federation office. The prize list of every Licensed Competition must contain the following:

1. Federation Page. This must be printed in its entirety and placed in a conspicuous position in the prize list of each Licensed Competition in typeface large enough to be easily legible. If a Licensed Competition prints a catalogue, the Federation page must be included and the competition is urged to instruct its announcer to invite the attention of spectators to this page at each session.

2. Classification of competition and ratings of divisions or sections on the Federation Page.

3. Entry blank, which must contain the rule to be signed by each exhibitor, rider, driver, handler, coach and trainer(s), or his/her agent(s). (See GR908).

4. Names of the officiating judges with the division(s) in which they will adjudicate and the names of the Federation stewards or technical delegates, provided they have accepted to serve. (See GR706.1.j) *Both division and sections to be adjudicated must be listed for breed division judges, provided they have accepted to serve. (See GR 706.1.j)*

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5. List of competition officials. (See GR112).

6. The name of the Licensee (see GR112 and GR304.2) and the name of the Chief Executive Officer or the person with the largest ownership interest.

7. The name of the Hunter and Jumper and/or Trail course designer or responsible person. Exception: Arabian, Saddlebred, Morgan and Andalusian/Lusitano divisions.

8. The name of the veterinarian and, if on call, the phone number where he can be reached during the competition. If not known, the prize list must state where the information will be posted during the competition.

9. The following statement must be published in BOLD TYPE for all Regular Competitions; Eventing Competitions at the Preliminary Level or above, Combined Driving Competitions at the Advanced Level, Dressage Competitions, Endurance Rides and Vaulting Competitions: (See GR828.4 of the Federation rules).

Life, senior active and junior active members shall be eligible to participate in all classes at Regular Competitions, Eventing Competitions at the Preliminary Level or above and Combined Driving Competitions at the Advanced Level, Dressage, Reining and Vaulting Competitions and Endurance Rides provided the respective breed/discipline dues or breed/discipline category fee has been paid. A nonmember may participate as a handler, rider, driver, owner, lessee, agent, coach or trainer at Regular Competitions, Eventing Competitions, Dressage Competitions, Reining Competitions and Combined Driving Competitions upon payment of a \$20 nonmember registration fee and a \$5 breed/discipline category fee for each breed/discipline. Participants in the following classes are exempted from the Requirements of this rule: 1) leadline; 2) exhibitions; 3) games and races; 4) classes for 4-H members; 5) walk trot and academy classes (academy classes are classes limited to horses used regularly in a lesson program); 6) USDF introductory level tests, pas de deux and quadrille classes; 7) NRHA Endorsed Reining Competitions. 8) Opportunity classes, 9) citizens of other nations who have proof, in English, of current membership in good standing of their own National Federation, 10) USEA beginner novice division; and 11) assistant handlers in Dressage Sport Horse Breeding classes.

10. Complete class description and judging specifications for all classes offered that are not included in this Rule Book must either be included in the Prize List or referred in the Prize List to a conspicuous place on the competition's web site.

11. Statement as to entry fees, prizes offered in each class and registration fees pursuant to GR206 which the Federation is assessing certain participants who are non-members of the Federation. If a licensed competition's prize list does not state whether or not the competition will refund entry fees in the event of cancellation of classes due to severe weather or other emergency, refunds of entry fees must be made upon written request by the exhibitor within 30 days of the cancellation. See GR832.

12. Statement as to Federation fee (see GR208.1).

13. Statement as to when and how prize money will be paid.
14. Statement concerning post entries.
15. A tentative schedule of classes, by sessions.
16. Statement as to which of the Federation Medal Classes, Federation Equitation Classes and USEF/USDF Qualifying Classes are to be offered.
17. Statement concerning a particular system of judging to be used. In the Saddlebred Horse Division, the particular three-judge system to be used must be specified.
18. The exact date and location of competition.
19. A map and/or directions to the competition grounds.
20. For Local Competitions, the designation Local Competition must be on the cover.
21. Stabling.
  - a. Statement as to type of stabling and whether stall doors will be provided.
  - b. The prize list for competitions offering "A" rated Hunter sections must state that stabling will be offered and the cost must be included on the entry blank.
22. Statement as to method for establishing a jumping order for jumper classes.
23. Statement as to method for breaking ties for other than first place in jumper classes.
24. The prize list for competitions offering A rated sections and/or Jumper sections of \$10,000 or more, must be printed and available to exhibitors at least 21 days prior to the closing date of entries. For competitions offering a Jumper Class of \$25,000 or more, the prize list must be printed and available to exhibitors at least 30 days prior to the closing date of entries. Upon request, a copy of the competition's prize list must be provided by mail at no cost to the exhibitor.
25. All competitions must state the type of footing available in warm-up areas and competition arenas. The dimensions of the competition arenas must also be stated.
26. Competitions offering Dressage classes must state the type of footing available in Dressage warm-up arenas and Dressage competition arenas.
27. If Jumper sections are offered which are not covered within the definitions contained in Chapter JP, full specifications (including eligibility requirements) must be contained in the prize list.
28. If Championships are offered in the Jumper Division, the prize list must state the method of determining those Championships (see JP110). If the Jumper Division offers Stake Classes, or other classes for which horses must qualify during the competition, the means of determining qualification must be stated in the prize list.
29. The following rule must be published in the prize list (see GR1301.7): Minors who do not have a valid driver's license which allows them to operate a motorized vehicle in the state in which they reside will not be permitted to operate a motorized vehicle of any kind including, but not limited to, golf carts, motorcycles, scooters, or farm utility vehicles on the competition grounds of licensed competitions. Minors who have a valid temporary license may operate the above described motorized vehicles as long as they are accompanied by an adult with a valid driver's license. Violations of this rule will be cause for sanctions against the parent(s), guardian(s) and/or trainer(s) who are responsible for the child committing the offense. Penalties may include exclusion of the child, parent(s), guardian(s), and/or trainer(s) from the competition grounds for the remainder of the competition and charges being filed against any of the above individuals in accordance with Chapter 6. Wheelchairs and other mobility assistance devices for individuals with disabilities are exempt from this rule.
30. If local laws are more restrictive than the requirements of GR801 relating to protective headgear, the Licensee is required to publish the more restrictive local law (which shall control) in the prize list.
31. At competitions where the official veterinarian is on call, the prize list must include the time period when the veterinarian will be available to conduct measurements. If the veterinarian is required to measure at any time other than as stated in the prize list, the owner is responsible for paying veterinarian fees.

**GR902 Class Specifications.**

1. All classes offered at Licensed Competitions for which specifications appear in the Rule Book must be governed by current specifications, to the end that uniformity will prevail in the competition and in the adjudication. To cover any omission it is recommended that the prize list contain the following statement in a prominent position: "EVERY CLASS OFFERED HEREIN WHICH IS COVERED BY THE RULES AND SPECIFICATIONS OF

THE CURRENT FEDERATION RULE BOOK WILL BE CONDUCTED AND JUDGED IN ACCORDANCE THEREWITH.”

2. A Licensed Competition is not limited to classes listed in the Rule Book. If a special class is offered which is not included in the Rule Book, the prize list or competition web site must furnish detailed specifications.

3. All classes (rated or unrated) to be held on a Federation licensed date are governed by all applicable Federation rules. No unrecognized classes can be held on any Federation licensed date, except

- a. Horse Trials at Eventing Competitions below the Preliminary Level,
- b. Eventing Tests at all levels
- c. Combined Driving below the Advanced Level
- d. Draft Horses classes
- e. Miniature Horse classes
- f. Non-affiliated National Breed or discipline association classes
- g. Vaulting levels/classes below A-Team, Gold, Silver and Pas de Deux
- h. Academy classes
- i. Qualifying classes for Youth Reining classes or Reining classes at NRHA endorsed competitions
- j. Exhibitions for which there are no breed or division rules
- k. These above named classes/levels can be held as unrecognized only provided a separate entry blank is used and the prize list and/or Omnibus clearly states that the classes are not recognized by the Federation. See GR305.
- l. Exception: FEI rules take precedence as to international classes and events over Federation rules at all FEI Sanctioned Competitions. Federation rules take precedence as to national classes and events which are not FEI Sanctioned at FEI Sanctioned Competitions. In connection with Endurance Riding Events, The Federation shall nationally enforce the prohibition of the gastric ulcer medications ranitidine and meprazole, in accordance with GR410. See GR305.

#### **GR903 Preliminary Correction Service.**

A draft of a prize list may be sent to the Federation for advance corrections and suggestions, provided two copies are submitted and at least two weeks are allowed to make a thorough analysis. There is no fee for this service for competitions in their first two years of membership; but thereafter a fee of \$10 for each competition day or \$50 maximum will be charged. The fee must accompany the two drafts.

#### **GR904 Changes in Prize List.**

If the prize list must be changed after it has been distributed to potential exhibitors the following procedures must be followed. Notification of *specific* changes to a prize list *must be made to the Federation and exhibitors* via email or mail as well as being posted on a competition's website. This will serve as notification for the requirements of this rule. In the cases where electronic communication is not available, then notification must be made as specified under this rule.

1. If errors are discovered *or changes made* up to 10 days prior to the closing of entries, notify potential exhibitors in writing *of the specific changes*.

2. If errors are discovered *or changes made* after that date, notify exhibitors on receipt of entries, and in writing when they arrive at the competition *of the specific changes*.

*BOD 1/13/08 Effective immediately*

3. If classes are omitted or premiums decreased, notify only affected exhibitors 5 days prior to the competition in writing, by e-mail or by wire, thereafter these classes cannot be reinstated. In this instance, entry fee refunds must be given in all cases.

4. If classes are added or premiums increased, unless required under division rules, notify potential exhibitors in writing, by e-mail or by wire at least 5 days prior to the competition. Post entries must be accepted in such classes.

5. If the change in (3) or (4) adds a new division or section or alters a division's or section's rating, permission therefore must be obtained from the Federation at least 30 days prior to adoption.

6. Once a prize list offering hunter sections has been printed and distributed, hunter sections may not be added.

*7. Competitions are allowed to add additional classes from the following divisions as competition management deems necessary: Andalusian/Lusitano, Connemara, Friesian, Hackney, Morgan, Roadster, Saddle Seat Equitation, and Saddlebred. If classes are added less than 5 days prior to the competition (See GR904.4), competition management must advise exhibitors of the additions upon check in, post notices in the competition office, and make the appropriate announcements during the competition. BOD 1/13/08 Effective 4/1/08*

**GR905 Entry fees.**

An established entry fee figure must be clearly stated in the prize list for all Hunter classes. Once that figure has been printed it may not be changed regardless of the number of entries shown.

**SUBCHAPTER 9-B ENTRY BLANKS.**

**GR906 Requirements.**

1. The entry blank of each Licensed Competition must contain the name, age (if nine years or over, the term aged may be used), sex, color and in case of all horses four years old or over, the height of each horse entered in any class. This information is not required for Four-in-Hand, Team, Collection, Championship and other classes in which the conditions state that the entry need not be named.
2. For disciplines recognized by the FEI at non-breed-restricted events, the entry blank of each Recognized competition must contain the citizenship of the riders, drivers and vaulters.
3. The entry blank must contain a space for an emergency contact phone number.
4. The entry blank or prize list of each licensed competition must contain the following statement ("Federation Entry Agreement"), printed as below; failure of a Licensed competition to print this rule on every entry blank or prize list and to require that the entry blank be signed constitutes a violation of the rules and the competition is liable to penalty under GR706. If the Federation Entry Agreement is printed only in the prize list, the entry blank must contain the following abbreviated statement in lieu of the entire rule:

**Federation Entry Agreement**

I have read the United States Equestrian Federation, Inc. (the "Federation") Entry Agreement (GR906.4) as printed in the Prize List for this Competition and agree to all of its provisions. I understand and agree that by entering this Competition, I am subject to Federation Rules, the Prize List, and local rules of the competition. I agree to waive the right to the use of my photos at the competition, and agree that any actions against the Federation must be brought in New York State.

The complete statement is as follows:

**FEDERATION ENTRY AGREEMENT**

By entering a Federation-licensed Competition and signing this entry blank as the Owner, Lessee, Trainer, Manager, Agent, Coach, Driver, Rider, Handler, Vaultor or Longeur and on behalf of myself and my principals, representatives, employees and agents, I agree that I am subject to the Bylaws and Rules of The United States Equestrian Federation, Inc. (the "Federation") and the local rules of the competition.

I agree to be bound by the Bylaws and Rules of the Federation and of the competition. I will accept as final the decision of the Hearing Committee on any question arising under the Rules, and agree to release and hold harmless the competition, the Federation, their officials, directors and employees for any action taken under the Rules.

I represent that I am eligible to enter and/or participate under the rules, and every horse I am entering is eligible as entered.

I also agree that as a condition of and in consideration of acceptance of entry, the Federation and/or the competition may use or assign photographs, videos, audios, cablecasts, or other likenesses of me and my horse taken during the course of the competition for the promotion, coverage or benefit of the competition, sport, or the Federation. Those likenesses shall not be used to advertise a product and they may not be used in such a way as to jeopardize amateur status. I hereby expressly and irrevocably waive and release any rights in connection with such use, including any claim to compensation, invasion of privacy, right of publicity, or to misappropriation.

The construction and application of Federation rules are governed by the laws of the State of New York, and any action instituted against the Federation must be filed in New York State. See GR908.4.

## **SUBCHAPTER 9-C            SUBMISSION, ACCEPTANCE, AND REFUSAL OF ENTRIES.**

### **GR907    Requirements.**

1. In Breeding classes (except Dressage/Sport Horse Breeding), provided a stud book exists for the horse in question, each horse must be registered in the recognized stud book of the breed and its registered number, sire and dam must be given on the entry blank. Horses competing in Dressage Sport Horse Breeding (DSHB) classes are not required to be registered with any stud book, but if a horse is registered with any breed, the name of the breed, sire, dam, dam sire and breeder must be given on the entry blank. For unregistered horses competing in DSHB classes, the above information is strongly recommended, if available.
2. Horses over two years of age must be named and the same name must be listed in all classes except those that permit nominations after the close of entries. If a horse has been recorded it must be entered under its original recorded name unless the name has been officially changed under the provisions of GR1101. It must also be entered under the name of the owner or lessee of record, or of the registered exhibitor name, which must appear in the catalogue. When entered by an agent, the owner's name or lessee's name must also be given.
3. Misrepresentation of a horse's identity, name, height, age, eligibility for the class, registered or recorded number or other information on an entry blank for the class in question results in the exhibitor's forfeiture of any ribbon, trophy, cash prize or other award won by such misrepresented or substituted animal. **For Dressage Competitions, any documentation such as negative EIA certification that is required for entry to a competition must list the same horse name and description as is listed on the entry blank and on the USEF horse identification or recording documents.** The exhibitor is liable for further penalty as described in GR703. *BOD 1/15/07 Effective 12/1/07*
4. Misrepresentation of the identity of the trainer responsible for the training, custody or performance of a horse by any person shall constitute a violation of the rules (see also GR146).

### **GR908    Agreement.**

1. Every entry at a Licensed Competition constitutes an agreement that the person making it, owner, lessee, trainer, manager, agent, coach, driver, rider, handler, vaulter, longeur, and the horse are subject to the Bylaws and the rules of the Federation and the local rules of the competition. Exhibitors are cautioned to abide by restrictions concerning exhibiting horses before judges as provided for in GR1304.
2. Every exhibitor, rider, driver, handler, vaulter, longeur, coach and trainer or his/her agent(s) must sign an entry blank. In the case of a rider, driver, handler, vaulter or longeur under the age of 18, his/her parent or guardian, or if not available, the trainer, must sign an entry blank on the minor's behalf. If any of the above persons fails to do so, his/her first entrance into the ring as an exhibitor, rider, driver, handler, vaulter or longeur shall be construed as his/her acceptance of the rules of the competition involved and of the Federation and shall ipso facto render him/her subject to said rules. Upon the failure of an owner, trainer, rider, driver, handler, vaulter, longeur, coach or agent(s), or parent or guardian or trainer of a minor rider, driver, handler, vaulter or longeur, to sign an entry blank as required, and upon confirmation of any such violation(s) by the steward or secretary of the competition, such person(s) shall be subject to an automatic fine of \$250 imposed for each such violation.
3. Violation of the rules in connection with entries may be cause for disqualification of the exhibitor, rider, driver, handler, vaulter, longeur, coach and trainer by the Directors of the Licensed Competition (see GR1218.4) and for report to the Federation.
4. The Federation, incorporated in 2003, is a New York Not-For-Profit corporation, which maintains offices in the State of New York. Pursuant to Chapter 6, the construction and application of Federation rules are subject to the laws of the State of New York. It is expressly agreed by and between the Federation and its members and any other persons in any way participating or in any way seeking to participate in a Licensed Competition or

otherwise utilizing or seeking to utilize the privileges or services of the Federation, that any lawsuit (except for an arbitration pursuant to Bylaw 705 of the Federation) brought against the Federation by or on behalf of any such member (whether or not still a member at the time such suit is brought), or by or on behalf of any such person, shall be commenced and adjudicated only in the United States District Court for the Southern District of New York or in the Supreme Court of the State of New York, County of New York, to the exclusion of the courts of any other jurisdiction or venue.

5. The entry blank of each Licensed competition must contain the following USEF RELEASE as printed below, in an easily visible location, separated from any other language on the entry blank, and appearing immediately above or next to the required signatures as specified in GR908.2. No other material may come between this RELEASE and the required signatures.

6. All USEF fees appearing on the entry blank must be grouped together in one section of the page, preferably in a box separating them from other fees.

**Federation Release, Assumption of Risk, Waiver and Indemnification**

This document waives important legal rights. Read it carefully before signing.

I AGREE in consideration for my participation in this Competition [insert name] to the following:

I AGREE that I choose to participate voluntarily in the Competition with my horse, as a rider, driver, handler, vaulter, longeur, lessee, owner, agent, coach, trainer, or as parent or guardian of a junior exhibitor. I am fully aware and acknowledge that horse sports and the Competition involve inherent dangerous risks of accident, loss, and serious bodily injury including broken bones, head injuries, trauma, pain, suffering, or death. ("Harm").

I AGREE to release the Federation and the Competition from all claims for money damages or otherwise for any Harm to me or my horse and for any Harm caused by me or my horse to others, even if the Harm resulted, directly or indirectly, from the negligence of the Federation or the Competition.

I AGREE to expressly assume all risks of Harm to me or my horse, including Harm resulting from the negligence of the Federation or the Competition.

I AGREE to indemnify (that is, to pay any losses, damages, or costs incurred by) the Federation and the Competition and to hold them harmless with respect to claims for Harm to me or my horse, and for claims made by others for any Harm caused by me or my horse at the Competition.

I have read the Federation Rules about protective equipment, including GR801 and EV113, and I understand that I am entitled to wear protective equipment without penalty, and I acknowledge that the Federation strongly encourages me to do so while WARNING that no protective equipment can guard against all injuries.

If I am a parent or guardian of a junior exhibitor, I consent to the child's participation and AGREE to all of the above provisions and AGREE to assume all of the obligations of this Release on the child's behalf.

I AGREE that "the Federation" and "Competition" as used above includes all of their officials, officers, directors, employees, agents, personnel, volunteers and affiliated organizations.

I represent that I have the requisite training, coaching and abilities to safely compete in this competition.

I AGREE that if I am injured at this competition, the medical personnel treating my injuries may provide information on my injury and treatment to the Federation on the official USEF accident/injury report form.

BY SIGNING BELOW, I AGREE to be bound by all applicable Federation Rules and all terms and provisions of this entry blank.

SEE PRO FORMA AT END OF THIS RULE

**GR909 General.**

1. Entries must be made in writing and signed by the (1) exhibitor (2) the rider, driver, handler, vaulter or longeur, (3) the trainer, and (4) the coach, if applicable, or by the agent(s) of such person(s) and must be accompanied by funds to cover entry fees, stall fees and Federation fee (see GR208.1). In the case of a rider, driver, handler, vaulter or longeur under 18, his/her parent or guardian, or if not available, the trainer, must sign an entry blank on the minor's behalf. Or: by transmitting the required entry data to a designated collection

agent via the internet, accompanied by a valid credit card payment to cover entry fees, stall fees, Federation fee (see GR208.1) and applicable processing fees, and including the name(s) of the (1) exhibitor, (2) rider, driver, handler, vaulter or longeur, (3) the trainer, and (4) the coach, if applicable. The secretary of the competition will accept such an entry as complete (see 1.1 below).

- a. In the case of on-line entries, no competition number will be issued until the Competition Secretary has received on an entry form, the signatures of the (1) exhibitor, (2) the rider, driver, handler, vaulter or longeur, (3) the trainer, and (4) the coach, if applicable, or of the agent(s) of such person(s). In the case of a rider, driver, handler, vaulter or longeur under 18, his/her parent or guardian, or if not available, the trainer must sign an entry blank on the minor's behalf.
  - b. Submission of on-line entry accompanied by a valid credit card payment shall be construed to be acceptance by the person(s) named in the entry of the provisions of GR906-GR908 and GR911-GR914.
2. In the event that a catalogue contains a statement as to an entry not in accordance with the exhibitor's original entry blank, it is the duty of the Show Committee to take all steps necessary, including public announcement, to correct the error, giving precedence to the exhibitor's entry blank and not the catalogue in error, as authority.
  3. In the Dressage Division and for open dressage classes at Regular and Local Competitions, all entries received by the closing date of entries shall be acknowledged by the competition secretary. (Note: This would include DSHB, but not breed-restricted dressage classes.)

#### **GR910 Post Entries.**

1. Post entries are any entries made after the advertised closing date.
2. Post entries should not be encouraged in classes listed in the prize list since this practice often results in unfair competition, confusion during the competition, inability to collect the proper fees, extreme difficulty in recording winnings of horses not listed in the catalogue and disruption of the time schedule. Furthermore, the exhibitor and his horses are worthy of having their names in the program. In the interest of good sport, a Show Committee is strongly urged to require that entries be made in advance and printed in the catalogue. This does not apply, however, to classes in which the prize list states that post entries will be accepted, such as pairs of saddle horses, hunt teams, etc.
3. When a Licensed Competition does not accept post entries it must be stated in the prize list and no exceptions can be made.
4. When a Licensed Competition does accept post entries it must be stated in the prize list and post entries can only be accepted prior to the starting of the class and upon signature of exhibitor or his agent and trainer.

#### **GR911 Substitutions.**

1. Substitution of a horse may not be made after the announced date of the closing of entries, except in classes where more than one horse represents an entry (i.e., Pairs, Teams, Tandems). In such cases substitution of one horse may be made provided a veterinarian's certificate of disability is submitted. (Exception: Friesian) (Local Competitions exempt).
2. If a horse is sold or injured after the closing of entries, Competition Management may allow an exhibitor to post enter another horse in the same class but the new entry must be given another number.
3. Substitution of a rider or driver or longeur may be made during a class only under the following conditions:
  - a. in case of injury to or illness of the original rider or driver or longeur;
  - b. in a Combination class unless the prize list states that the same rider or driver is required for the entire class;
  - c. in a Reining division when one rider may show several horses in the individual workout. See RN101.5.
4. Substitution of rider is not allowed in Equitation classes.

#### **GR912 Cancellation and Withdrawal of Entries.**

1. A Licensed Competition may adopt its own policy covering the refunding of fees to an exhibitor who cancels his entries after the official closing date and prior to the competition's

beginning. If a Licensed Competition does not specify its refund policy in the prize list, refunds are required to be made for entries cancelled before the competition begins, upon written request by the exhibitor within 30 days of the competition.

2. A Licensed Competition can set the penalty governing an exhibitor who is permitted to cancel his entries or withdraw from the competition. Such penalty applies only at the competition in question. See GR1305.

3. Competition management must refund any entry fees, (stabling and processing fees exempted) paid in advance by an exhibitor for any horse(s) which is subsequently named to an official US team, participation on which will prevent him/her from competing in that competition.

4. After the competition starts, if a rider is unable to compete due to illness or injury, class fees will be refunded upon presentation of a doctor's certificate unless otherwise stated in prize list. Stall fees and office fees need not be refunded.

#### **GR913 Unpaid Entries.**

1. Any Competition Licensed or endorsed by the Federation which accepts entries without the payment of the required fees, does so at its own risk and the Federation will not be responsible for the collection of fees. However, if a person makes payment for fees which is not negotiable, the Secretary of the Licensed Competition, after first contacting the individual at least once via certified mail, may report the name and address of the person in writing to the Federation within 90 days of notification of denied payment, giving the names of the horses and the names and complete addresses of the owners for which the non-negotiable funds were to cover, a copy of the entry blank, a copy of the check or receipt with credit card information and signature, and a copy of the certified mail showing the competition's attempt to notify the individual of the non-negotiable payment. The amount of all fees for each horse must be itemized. On receipt of such notice, the Federation will notify said person, of his or her indebtedness to the competition as well as the imposition of a processing fee in the amount of \$50 payable to the Federation. If the person fails to make settlement with the competition and/or the Federation within two weeks of the notice from the Federation, he or she will be fined the additional sum of \$750 payable to the Federation and he or she and any horses owned by him or her and any horses and/or persons for which the non-negotiable sums have been paid will automatically be barred from taking any part whatsoever in any competition Licensed or endorsed by the Federation until settlement is made both of said indebtedness owing to the competition and of said \$750 fine owing to the Federation. Publication of said suspension will appear in *EQUESTRIAN*.

2. If the person disputes that the amounts in question are owing or unpaid, he or she may request a hearing of these issues before the Hearing Committee provided a written statement specifying the grounds for a hearing, accompanied by a fee of \$100 (which will be refunded if the dispute is settled in favor of said person) is received at the Federation's office within said two-week period.

3. In the event a person is reported three or more times for making unnegotiable payment for entry fees, etc., to any competition Licensed or endorsed by the Federation, he is, after a hearing, subject to further disciplinary action.

#### **GR914 Refusal of Entries.**

1. In addition to entries of persons suspended or expelled from the Federation, a Licensed Competition may refuse any entry of an exhibitor or the participation of any agent, trainer, rider, driver or handler who has shown an objectionable attitude or behavior at a Licensed Competition or towards its management, which management is able to substantiate, or previous unsportsmanlike behavior at a Licensed Competition which management is able to substantiate.

2. A competition licensed by the Federation and an affiliate association may refuse the entries of horses and riders in Maiden, Novice, Limit and Green classes if they are ineligible under the rules of the Affiliate Association, except for:

- a. A class open to horses in a specified area (Examples: State Championship, New England Championship, and Kentucky-bred horses).
- b. A class where the winnings form part of a total score in a competition for a trophy,
- c. Classes in which the identical award may also be competed for at another Licensed Competition.
- d. Classes counting for USEF Horse of the Year Awards.

**GR915 Limiting Entries.**

1. Management can limit the number of horses entered by an owner and the number of horses ridden by a rider.
2. Entries in USEF/USDF Dressage Championship classes are limited to competitors who have met the qualifications, membership, horse recording and registration requirements, and deadlines outlined in DR127 and published by USDF. Competitors who are properly qualified, whose completed entries are received by the Closing Date for a particular Regional Championship and who have complied with all Championship rules may not be denied entry into the Regional Championship class(es) for which they have qualified. Only one class per division and/or level may be held to determine USEF/USDF Regional Champions.
3. Any competition that sets restrictive criteria for accepting entries and/or offers classes or events which are part of a league, series or other ranking or award system must do so by meeting the applicable requirements described below:
  - a. Based strictly on a First-Come, First-Served basis: Under this method the prize list must be distributed at least two weeks prior to close of entries to any person requesting a prize list as well as at least three times the number of potential entrants as there will be entries accepted; if the prize list restricts entries to mailed entries it must specify that entries may only be received by mail postmarked on or after a specified date which must be at least two weeks subsequent to the issuance of the prize list; if entries are to be made by other means (e.g. by hand, by fax) that must be clearly specified in the prize list together with the earliest date for receipt which must be at least two weeks subsequent to the issuance of the prize list; competition management must maintain and make available for examination accurate records regarding proof of receipt of entries (e.g. postmarks, fax transmittal records, hand delivery receipts); the prize list must further specify that entries will be accepted based solely on the priority of receipt of entries, and that ties regarding entries received at any time on the same day will be broken by lot on a date, time and location specified in the prize list with the right of any potential entrant to be present in person or by representative at the drawing of lots.
  - b. Qualification based only on winnings: (i.e., ribbons and/or prize money or dressage scores during a specified time period, of at least eight (8) consecutive weeks' duration, established by Competition Management and published in the prize list or otherwise prior to the end of the specified period.
    - (1) Hunter sections restricting entries based on winnings must use the Federation National Horse of the Year points as a means of qualification for the specified time period or, alternatively, must use the greatest number of Federation National Horse of the Year points won at a specified number of competitions within a specified time period. Only points awarded in the particular section to count except in Amateur Owner, Adult Amateur and Junior Hunter sections where points from both the younger and older sections shall be counted. If the Horse of the Year list has been exhausted and positions are still available, an alternate system may be used provided it has been published in the prize list or otherwise prior to the end of any specified time period. Whichever method is used, no other restrictive criteria can be imposed. The method chosen may be clearly stated. Management can allow the previous year's Champion and Reserve Champion or Champion to enter the section he or she was Champion or Reserve Champion in the previous year without qualifying. Management may allow the horse or pony that is leading for the respective Federation Zone Award in its Zone to enter that section.
    - (2) Jumper sections restricting entries based on winnings must use prize money won in the horse's respective section (i.e., Amateur Owner, Junior, Amateur Owner/Junior Jumper, and Open Jumper) during the specified time period; however for Jumper sections for which a Federation endorsed Computer Ranking List has been established, this list (as of a date indicated in the prize list) may be utilized instead of prize money won. In Jumper competitions, management may also allow the previous year's competition winner to enter without further qualifying. Any Jumper competition wishing to set restrictive criteria for accepting entries other than as specified above must make written application to the Federation Jumper Committee, at least 120 days prior to the event detailing the criteria being

requested. The Jumper Committee will issue a recommendation for consideration by the Executive Committee.

c. By Executive Committee Approval: Any other competition wishing to set restrictive criteria for accepting entries other than as specified above must make written application to the Executive Committee, accompanied by a nonrefundable fee of \$50, at least 120 days prior to the event detailing the criteria being requested. The Federation office may refer the application to the appropriate discipline or other committee for its recommendation regarding the application prior to the application and any recommendation being considered by the Executive Committee. The Executive Committee will consider such recommendations and may approve any such applications in its discretion and may condition any such approval in its discretion, and must require, if approved, that there be publication in the prize list or otherwise of such restrictive criteria sufficiently in advance of the closing date of entries, where appropriate, for all interested to have a fair opportunity to enter. The foregoing provisions do not apply to national championships, qualifying events for national championships, and any other events with selection criteria approved by the Executive Committee.

4. Leagues, Series, and Finals:

a. Definitions:

(1) "Finals" means any championship, trophy or other award final, league final or other final class or final event with entries based upon the outcomes of earlier contests.

(2) "System" means classes or events which are part of a league, series, ranking/tracking lists or championship, final trophy or other award system, whether or not generated or tracked by a computer program or otherwise.

b. If qualifiers and/or finals of a league, series or other ranking system are held at recognized competitions, the system used to rank the exhibitors who qualify in the league or series must be approved initially by the Executive Committee at least 120 days prior to the beginning of the league or series. This is a one-time requirement, and further Executive Committee approval is not required provided the system of qualifying does not change. The Executive Committee may approve of any such application in its discretion and may condition such approval in its discretion. Furthermore, all of the following requirements must also be met:

(1) The league or series must be an affiliate of the Federation.

(2) All the qualifying classes must be held at licensed competitions or at competitions recognized by a State, Region, or Local Association that is an affiliate of the Federation.

(3) The league or series must award the qualifying classes to licensed competitions on an equal basis. If a licensed competition meets the requirements to hold a qualifying class and wishes to do so, it must be given the opportunity.

(4) The organization or individuals financially responsible for the system must be Federation affiliate organizations and/or members and must agree to be bound by and comply with all applicable Federation rules in the conduct of the system and its application in the league or series.

(5) If there is an award category for classes in the league or series and the above requirements are met, HOTY points will be awarded for the qualifying classes and for the finals held at licensed competitions.

(6) If the finals of a league, series or other ranking system are not held at a licensed competition, HOTY points for the finals will not be awarded.

**GR916 General Conditions.**

Except as permitted by the FEI for FEI recognized classes, all entries at a Licensed Competition must be on the same basis. A competition cannot give free or reduced entries, free or reduced transportation or other expenses to one exhibitor unless the same privileges are extended to all exhibitors.

**United States Equestrian Federation, Inc. Entry Agreement**

I have read the United States Equestrian Federation, Inc. (the "Federation") Entry Agreement (GR1502.4) as printed in the Prize List for this Competition and agree to all of its provisions. I understand and agree that by entering this Competition, I am subject to Federation Rules, the Prize List, and local rules of the competition. I agree to waive the right to the use of my photos at the competition, and agree that any actions against the Federation must be brought in New York State.

**Federation Release. Assumption of Risk, Waiver and Indemnification**

**This document waives important legal rights. Read it carefully before signing.**

I AGREE in consideration for my participation in this Competition [insert name] to the following:

I AGREE that I choose to participate voluntarily in the Competition with my horse, as a rider, driver, handler, vaulter, longeur, lessee, owner, agent, coach, trainer, or as parent or guardian of a junior exhibitor. I am fully aware and acknowledge that horse sports and the Competition involve inherent dangerous risks of accident, loss, and serious bodily injury including broken bones, head injuries, trauma, pain, suffering, or death ("Harm").

I AGREE to release the Federation and the Competition from all claims for money damages or otherwise for any Harm to me or my horse and for any Harm caused by me or my horse to others, even if the Harm resulted, directly or indirectly, from the negligence of the Federation or the Competition.

I AGREE to expressly assume all risks of Harm to me or my horse, including Harm resulting from the negligence of the Federation or the Competition.

I AGREE to indemnify (that is, to pay any losses, damages, or costs incurred by) the Federation and the Competition and to hold them harmless with respect to claims for Harm to me or my horse, and for claims made by others for any Harm caused by me or my horse at the Competition.

I have read the Federation Rules about protective equipment, including GR801 and EV113, and I understand that I am entitled to wear protective equipment without penalty, and I acknowledge that the Federation strongly encourages me to do so while WARNING that no protective equipment can guard against all injuries.

If I am a parent or guardian of a junior exhibitor, I consent to the child's participation and AGREE to all of the above provisions and AGREE to assume all of the obligations of this Release on the child's behalf.

I AGREE that the "Federation" and "Competition" as used above includes all of their officials, officers, directors, employees, agents, personnel, volunteers and affiliated organizations.

I represent that I have the requisite training, coaching and abilities to safely compete in this competition.

I AGREE that if I am injured at this competition, the medical personnel treating my injuries may provide information on my injury and treatment to the Federation on the official USEF accident/injury report form.

BY SIGNING BELOW, I AGREE to be bound by all applicable Federation Rules and all terms and provisions of this entry blank.

<b>Rider/Driver/Handler/ Vaulter/Longeur (mandatory)</b>	<b>Owner/Agent (mandatory)</b>	<b>Trainer (mandatory)</b>	<b>Coach (if applicable)</b>
Signature: _____	Signature: _____	Signature: _____	Signature: _____
Print Name: _____	Print Name: _____	Print Name: _____	Print Name: _____

Parent/Guardian Signature: (Required if Rider/Driver/Handler/Vaulter/Longeur is a minor) \_\_\_\_\_  
Print Parent/Guardian Name: \_\_\_\_\_ Emergency Contact Phone No. \_\_\_\_\_

Is Rider/Driver/Vaulter a U.S. Citizen: \_\_\_ Yes \_\_\_ No

**COMPETITION PRIZE LISTS AND ENTRIES**