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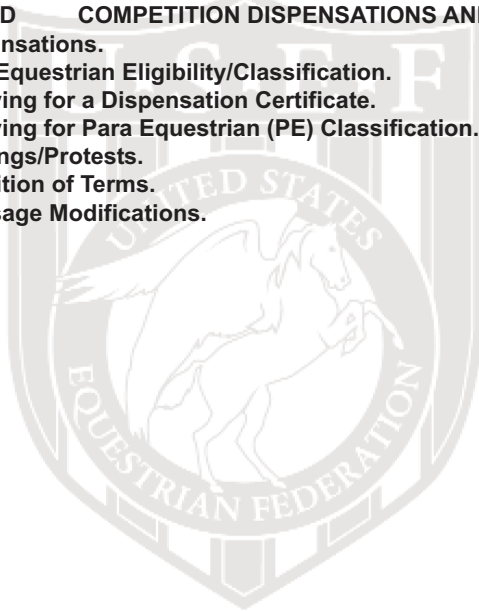
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CHAPTER 13 COMPETITION PARTICIPANTS AND ASSOCIATED INDIVIDUALS

SUBCHAPTER 13-A RESPONSIBILITIES.

GR1301 General.

1. Knowledge of and compliance with the rules of the Federation.
2. Obtain Federation membership, pay the appropriate Breed/Discipline dues for the division entered, or pay a per-competition non-member fee and respective Breed/Discipline fee if competing at Federation member competitions. (See GR202 and GR205-GR207.)
3. Obtain Federation Amateur Certification and measurement cards where required. (See GR1307, GR501, and HP126.)
4. Any member or non-member who fails to pay sums owing to the Federation or who makes payment for fees to the Federation which is not negotiable will be notified by the Federation of his or her indebtedness and warned that unless settlement is made within two weeks he or she will automatically be fined the sum of \$250 to be paid to the Federation; and further, that he or she and any horses owned by him or her and any horses and/or persons for which payment has not been made or for which the non-negotiable sums have been paid will automatically be barred from taking any part whatsoever in Licensed Competitions until payment or settlement is made of the total indebtedness to the Federation. All Licensed Competitions will be notified through EQUESTRIAN and the Federation's web site. See definitions, GR130.
 - a. If any individual affected by GR1301.4a disputes that the amounts in question are owed or unpaid, he or she may request a *review* of these issues *by* the Hearing Committee provided his or her written statement specifying the grounds for *the review* is received at the Federation's office within said two week period accompanied by a fee of \$100., which will be refunded if the dispute is settled in favor of said person.
EC 10/20/08 Effective immediately
 - b. In the event a person makes non-negotiable payment for fees to the Federation on three or more occasions, he or she is, after a hearing, subject to further disciplinary action. In addition, any future payments made to the Federation, must be submitted in the form of a certified check, cashier's check, money order, or valid credit card.
5. Every person participating in any competition licensed by the Federation is subject to the Federation Bylaws and Rules including the provisions of Chapters 6 and 7 and is responsible under the rules for their own acts and failures to act and for the acts and failures to act of their agent or agents whether or not they or their agent or agents have signed an entry blank.
6. Dogs are not permitted to be loose on competition grounds and must be on a leash or otherwise contained. Individuals must not lead dogs on a leash while mounted. Dog owners failing to comply with this rule may be subject to penalty under Chapters 6 and 7, as well as issuance of warning cards.
7. Minors who do not have a valid driver's license which allows them to operate a motorized vehicle in the state in which they reside will not be permitted to operate a motorized vehicle of any kind, including, but not limited to, golf carts, motorcycles, scooters, or farm utility vehicles, on the competition grounds of licensed competitions. Minors who have a valid temporary license may operate the above described motorized vehicles as long as they are accompanied by an adult with a valid driver's license. Violations of this rule will be cause for sanctions against the parent(s), guardian(s) and/or trainer(s) who are responsible for the child committing the offense. Penalties may include exclusion of the child, parent(s), guardian(s), and/or trainer(s) from the competition grounds for the remainder of the competition and charges being filed against any of the above individuals in accordance with Chapter 6. Wheelchairs and other mobility assistance devices for individuals with disabilities are exempt from this rule.

GR1302 Duties.

1. Every exhibitor, rider, driver, handler and trainer or his/her agent(s) must sign an entry blank (see GR404 and GR908.2). In the case of a rider, driver or handler under 18, his/her parent or guardian, or if not available, the trainer, must sign an entry blank on the minor's behalf.

2. Every exhibitor, rider, driver, handler, and trainer or his agents must provide on the competition entry blank, all information required under Federation rules. Exhibitors are responsible for their own errors and those of their agents in the preparation of entry blanks.

a. The following credentials must be made available to Competition Management and the Federation steward/technical delegate. Competitions may, at their discretion, confirm any of the below electronically with the Federation Office:

(1) Original or copies of Federation membership cards, Exemption: Applications completed at the competition. The member will retain the pink copy of the membership application form which, when properly signed by Competition Secretary and Steward/TD, is valid for 45 days from the date signed or until the membership card is received from the Federation.

(2) Copies of Federation Measurement cards;

(3) Federation Amateur Certification;

(4) Federation horse recordings for USEF/USDF qualifying classes for dressage championships and USEF/USDF Dressage Championships (see DR127.2).

(5) Registration papers showing proof of ownership or a copy of the registration papers with a Certificate of Eligibility to Show issued by the American Saddlebred Registry for horses entered in classes restricted to American Saddlebreds must be submitted either with entry form at the time of making entry or submitted to the show office before show numbers will be released (or an affidavit completed). If a copy is sent with the entry form, the copy of registration papers will be returned when exhibitors pick up their numbers, if requested by the exhibitor.

(6) Original or copies of United States Hunter Jumper Association, Inc., membership card, if applicable.

b. Exhibitors are urged to submit photostatic copy of all required credentials with their entry blanks. (Exception: measurement cards, see Rules GR502.1 and HP126.1).

c. If the above information cannot be verified, (with the exception of Federation measurement cards) the exhibitor or his or her agent must sign a statement giving his name, address, the fact that he is in possession of the proper credentials (with the exception of Federation measurement cards). A \$5 fee will be charged for the filing of a membership affidavit in connection with the failure to present any of the above credentials (with the exception of Federation measurement cards). That fee, along with the affidavit will be submitted to the Federation office with the results of the competition. Exhibitors are responsible for their own errors and those of their agents in the preparation of membership affidavits. (In the case of a rider, driver or handler under 18, whoever signs on his/her behalf will be responsible.)

d. If a person files a false affidavit, the Federation will levy a fine of \$200 against the person for whom the false affidavit was filed (except in the case of a rider, driver or handler under 18, then the person who signed on behalf of that individual will be fined). Upon issuance of a charge or protest pursuant to Chapter 6, such person may in addition be subject to further disciplinary action in accordance with Chapters 6, and 7. If the foregoing \$200 fine is not paid within 30 days of issuance of the Federation's notice of fine, said person and any horses owned by him or her will be automatically suspended until the fine is paid. If the person disputes that said fine is not properly owed, he/she may request review by the Hearing Committee provided a written statement specifying the grounds for the review is received at the Federation office within 30 days of issuance of the Federation's notice of fine. The request must be accompanied by a deposit of \$100 made by a Federation member or the parent of a junior exhibitor member or \$200 if made by a non-member (check payable to the Federation); said deposit will be refunded in the event the review is resolved in favor of the member/non-member.

GR1303 General.

Exhibitors' attention is directed to Chapter 3 which includes rules pertaining to conduct at Licensed Competitions.

GR1304 Regulations Governing Showing Under Judges, Stewards and Technical Delegates. See also GR107 for definition of Client.

Refer solely to GR1304.16 for rules pertaining to showing under judges in the Reining Division. Refer to GR1304.22 for rules pertaining to competing under Organizing

Committees, Officials: Stewards, Ground Jurors, Veterinary Judges and Technical Delegates in the Endurance Division. See also GR1035 for restrictions on Judges.

1. An exhibitor, *coach* or trainer may not serve as a judge, steward or technical delegate at any competition in which he/she exhibits, whether or not the classes are conducted under Federation rules. Except Jumpers per GR1304.18 and .19. See also GR1035.1. **Exception: Hunter/Jumper competitions with a special competition rating held in conjunction with a USEF licensed competition (see GR301.2). A licensed judge may officiate at the special competition, provided he/she is not or does not have a client participating in the special competition.** *BOD 1/15/07 Effective 12/1/07 BOD 1/13/08 Effective 4/1/08*

2. No member of a judge's family, nor any cohabitant, companion, domestic partner, housemate, or member of a judge's household nor any of the judge's clients, employers or employees or employers of a member of the judge's family may compete as *trainer, coach, exhibitor, rider, driver, handler, owner, lessor* or lessee in any division, or Dressage class (Arabian, Morgan and Hunter divisions in any competition) unless the relationship is terminated 30 days (Morgan Division 90 days) prior to the competition. Except Hunters and Jumpers per GR1304.18 and .19. For purposes of this rule included as employers are any individuals, corporations, partnerships, foundations, trusts or non-profit organizations and shareholders owning five or more percent of the stock of any corporation which employs the judge or a member of the judge's family, and any officers, directors and partners of any corporation or partnership and officers, directors or trustees of any trust or foundation or non-profit organization which employs the judge or a member of the judge's family. The hiring of a judge to officiate at Licensed Competitions shall not constitute employment for purposes of this rule. *BOD 1/13/08 Effective 4/1/08*

3. No judge's trainer nor any of the judge's trainer's clients may compete as *trainer, coach, exhibitor, rider, driver, handler, owner, lessor* or lessee in any Division or Dressage class (Arabian, Morgan and Hunter divisions in any competition) unless the relationship is terminated 30 days (Morgan Division 90 days) prior to the competition. *BOD 1/13/08 Effective 4/1/08*

4. No horses trained by a member of the judge's family may compete in any division or Dressage or Jumper class unless the client/trainer relationship is terminated 30 days (Morgan Division 90 days) prior to the competition. Stud fees, *retiree board* and broodmare board excluded. Exception: Saddlebred and Hackney classes where requirements that nominations or qualifying be accomplished in advance of the competition (i.e. Futurities, Sweepstakes, Classics, etc.) and the Roadster USTA Classic class, a judge may be substituted in that class for the officially appointed judge who has a conflict. Such substitution shall not affect the restrictions referred to in GR1304.2-.4 on the substituted judge. *BOD 1/13/08 Effective 4/1/08*

5. No horse that has been sold (Saddlebred division or leased) by a judge or by his/her employer within a period of three months (Paso Fino and Hunter/Jumper 30 days) prior to the competition may be shown before that judge. *BOD 1/15/07 Effective 12/1/07*

6. No horse that has been trained by a judge within the period of 30 days (Morgan, Saddlebred, Hackney and Roadster Divisions, 90 days) prior to the competition may be shown before that judge.

7. No one may show before a judge who has received or has contracted to receive any remuneration for the sale, purchase or lease of any horse to or from, or for the account of the exhibitor within a period of 30 days (Welsh Division 90 days) prior to the competition unless the sale or purchase has been made and fully consummated at public auction. **Exception: In hunter and jumper competitions, restrictions on competing are to apply to the specific horse that has been sold, purchased or leased, the purchaser or lessee and all horses owned or leased by said owner/lessee.** *BOD 1/15/07 Effective 12/1/07*

8. No one may show before a judge who boards, shows or trains any horse under the exhibitor's ownership or lease, within a period of 30 days (Morgan Division 90 days) prior to the competition. Stud fees, *retiree board* and broodmare board excluded. *BOD 1/13/08 Effective 4/1/08*

9. No one may show before a judge who has remunerated the exhibitor for the board or training of any horse for competition purposes within a period of 30 days (Morgan Division 90 day) prior to the competition. Stud fees, *retiree board* and broodmare board excluded. *BOD 1/13/08 Effective 4/1/08*

10. No one may show before a judge from whom he has leased a horse unless the lease terminated 90 days (Paso Fino **and Hunter/Jumper** 30 days) prior to the competition.

BOD 1/15/07 Effective 12/1/07

11. No rider may compete in an Equitation class before a judge with whom his or her parent, guardian or instructor has had any financial transaction in connection with the sale, lease, board or training of a horse within 30 days (Morgan Division 90 days) of the competition unless the sale or purchase has been made at public auction.

12. No rider may compete in an Equitation or Dressage class before a judge by whom he has been instructed, coached or tutored with or without pay within 30 days (Morgan Division 90 days) of the day of the competition. The conducting of clinics or assistance in group activities such as Pony Clubs, unless private instruction is given, will not be considered as instruction, coaching or tutoring.

13. No one shall approach a judge with regard to a decision unless he first obtains permission from the Show Committee, steward or technical delegate who shall arrange an appointment with the judge at a proper time and place. No exhibitor has the right to inspect the judge's cards without the judge's permission.

14. No member of a steward or technical delegate's family, nor any cohabitant, companion, domestic partner, housemate, or member of a steward or technical delegate's household, nor any of the steward or technical delegate's clients may take part as a **trainer, coach, lessor, lessee**, exhibitor, rider, driver, handler or vaulter at a competition where the steward or technical delegate is officiating. Eventing Technical Delegates and Stewards may not officiate unless the client relationship is terminated 30 days prior to the competition.

BOD 1/13/08 Effective 4/1/08

15. If a horse is presented to a judge by a person the judge knows is ineligible to compete under these rules, the judge may advise the ring steward that he/she believes the entry to be ineligible and request that the entry be excused, or the judge may proceed to judge the entry and file a charge under Chapter 6, alleging a violation of this Rule. If a judge has any doubt as to the eligibility of any entry, he/she should judge the entry and file a charge alleging the violation.

16. In the Reining Horse division, a horse may not be shown under a judge if that judge has been owner, trainer or agent of that horse within the previous 90 days, or if said horse is ridden by a member of his/her family or by an employee of said judge. If such a horse is entered in a competition, its entry fee shall be refunded and it is not to be exhibited. A judge may not show to another judge whom he/she has judged or judged with within five days nor may he/she judge another judge under whom he/she has shown or judged within five days. Volunteer NRHA judges utilized for equipment inspection are excluded from the five day requirement.

17. Competition Restrictions on Judges:

a. A judge may not be an owner of any interest in a horse (including but not limited to syndicate and partnership shares) (except Jumpers per GR1304.18 and .19).

b. **A judge may not be a *trainer, coach*, exhibitor, rider, driver, halter handler, steward, technical delegate, *lessor, lessee* or manager at any Federation Licensed Competition at which he/she is officiating, including unrated classes. Exceptions: A judge may compete as a rider in Jumper classes offering \$25,000 or more in which he does not officiate; in the Eventing division and in the Dressage division, except for Dressage Sport Horse Breeding classes, horses may be shown Hors de Concours in classes where the owner is not officiating. (See GR1035.1) **Exception: Hunter/Jumper competitions with a special competition rating held in conjunction with a USEF licensed competition (see GR301.2). A licensed judge may officiate at the special competition, provided he/she is not or does not have a client participating in the special competition.****

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18. No member of a jumper judge's family nor a horse in which the jumper judge has an interest may compete in a class in which that jumper judge is officiating. Notwithstanding the foregoing, such family member or such horse may compete in the Hunter or Equitation divisions or in other jumper classes at the same competition. See GR1035.

19. A member of the family of a Hunter or Hunter Seat Equitation Judge or a horse in which that Hunter or Hunter Seat Equitation Judge has an interest may compete in jumper classes at the same competition. See GR1035.

20. For Federation National Hunter, Hunter Pony, Hunter Seat Equitation and Jumper Championships and Finals, no horse or rider that has been trained by a judge or a judge's employee(s) and/or agents within the period of 60 days prior to the competition and 30 days after the competition may show before that judge.

21. A steward or technical delegate cannot own or operate any business (i.e. tack shop, braiding business, etc.) at the same competition where he/she is officiating.

22. In the Endurance Division, the other subdivisions in this Rule are applicable unless they conflict or create an ambiguity when read in conjunction with this subdivision. In that case and at all times involving competing within the Endurance Division, the following rule applies:

a. A Veterinary Judge may not examine horses in competition in which he/she has an ownership interest, are owned by his/her nuclear family members or his/her spouse or children, are owned by his/her cohabitants or significant others or other persons within his/her household, or are owned by a client from whom he/she received 10% or more of his/her gross income or income benefit in the current or prior year or for whom he/she performed work on this horse in the past 30 days;

b. A Technical Delegate, Ground Juror or Steward may not involve him/herself in objections, complaints or other formal disputes involving horses in which he/she has an ownership interest or which are owned under any of the other examples listed above for Veterinary Judges;

c. Extended or nuclear family or household cohabitants or significant others or horses owned by him/her or members of the event Organizing Committee will be allowed to compete in said event, but such relationships should be disclosed in posted announcements at the event or orally at the pre-ride briefing; and,

d. These exceptions do not inhibit or prevent a competitor or other authorized person from asserting such conflict of interest or other issues relating to bias for scrutiny and consideration at the event. However, any such complaint or objection must be raised pursuant to applicable rules or regulations for the event and in a timely manner or they are deemed waived. This Rule is intended to set parameters to allow a Veterinary Judge, Steward, Ground Juror and Technical Delegate to perform his/her duties at rides and should be construed in all cases to provide that flexibility.

23. The provisions of sections .2-.22 of this rule notwithstanding, an Eventing Judge or Combined Driving Judge may officiate on the Ground Jury of an Eventing or Combined Driving competition when a competitor(s) or horse(s) listed in those sections is/are entered, under the following restrictions

a. The Judge must notify the Technical Delegate and the Organizer of the conflict prior to the start of competition. If the conflict is discovered after the start of competition the competitor(s) or horse(s) must either withdraw from competition or participate Hors de Concours (H.C.).

b. The Judge may not judge the Dressage test of the affected competitor(s) or horse(s).

c. If an Inquiry or Protest is lodged from the Section in which the affected competitor(s) or horse(s) is/are competing, the Judge must excuse himself from the process and the Technical Delegate will assume the role of the Ground Jury.

d. There are no restrictions on a Judge if a competitor(s) or horse(s) listed in GR1304.2-.22 participates in the Competition H.C. *BOD 1/15/07 Effective 12/1/07*

GR1305 Elimination & Withdrawal from Classes & Competition

(See also GR117).

1. No exhibitor may withdraw horses from a Licensed Competition after it has commenced, or remove them from the competition grounds, without the permission of the competition secretary.

2. If an exhibitor voluntarily removes a horse from the ring without the permission of the judge, the Show Committee will disqualify the exhibitor and all his entries from all future classes at that competition and all prizes and entry fees for the entire competition will be forfeited.

3. Any horse leaving the ring without the exhibitor's volition is deprived of an award in that class. See also division rules for other causes of elimination.

GR1306 Amateur Status.

1. Regardless of one's equestrian skills and/or accomplishments, a person is an amateur for all competitions conducted under Federation rules who after his/her 18th birthday, as defined in GR101, has not engaged in any of the following activities which would make him/her a professional. Exception: In the Dressage Division, individuals are only eligible to compete as amateurs from the beginning of the calendar year in which they reach age 22. See DR119.3. In the Reining Division, amateur status will be determined per Reining Division Non Pro Conditions; see amateur status RN105. (For professionals wishing to be re-classified as amateurs, see GR1308.2.a): *EC 11/17/08 Effective immediately*

a. Accepts remuneration for riding, driving, showing, training, schooling or conducting clinics or seminars. *BOD 1/13/08 Effective 4/1/08*

b. Accepts remuneration for giving *riding or driving lessons, lessons in showmanship*, instructions in equitation or horse training. (Persons acting as counselors at summer camps, who are not hired in the exclusive capacity of riding instructors are excluded and persons giving instruction and training to the handicapped).

BOD 1/13/08 Effective 4/1/08

c. Accepts remuneration for employment in other capacity (e.g., secretary, bookkeeper, veterinarian, groom, farrier) and gives instruction, rides, drives, shows, trains or schools horses, other than horses actually owned or leased by him/her, when his/her employer or a member of the family of said employer or a corporation which a member of his/her family controls, owns, boards or trains said horses. *BOD 1/13/08 Effective 4/1/08*

d. Accepts remuneration for the use of his or her name, photograph or other form of personal association as a horseman in connection with any advertisement or article to be sold.

e. Accepts prize money in equitation or showmanship classes. Prize money may be accepted by amateur riders in Dressage.

f. Rides, drives or shows, any horse for which he/she or a member of his/her family or a corporation which a member of his/her family controls, receives remuneration for boarding, training, riding, driving or showing. *(A family member of a trainer may not absolve themselves of this rule by entering into a lease or any other agreement for a horse owned by a client of the trainer). BOD 1/13/08 Effective 4/1/08*

g. Gives instruction to any person or rides, drives or shows any horse, for which activity another person in his/her family or corporation which a member of his/her family controls will receive remuneration for the activity. *(A family member of a trainer may not absolve themselves of this rule by entering into a lease or any other agreement for a horse owned by a client of the trainer). BOD 1/13/08 Effective 4/1/08*

h. Accepts remuneration, as defined in GR1306.2.d, for selling horses/ponies, acts as a paid agent in the sale of horses/ponies or takes horses/ponies on consignment for the purpose of sale or training other than those owned wholly or in part by him/her or by a member of his/her family or farm/ranch/syndicate/partnership/corporation which he/she or a member of his/her family controls.

i. Advertising professional services such as training or giving lessons by way of business cards, print ads, or internet. BOD 1/13/08 Effective 4/1/08

j. For Amateurs in Jumper Sections, see JP117.

k. For Amateurs in Eventing sections, see EV Appendix 3 - Participation in Horse Trials.

2. The following activities do not affect the amateur status of a person who is otherwise qualified:

a. The writing of books or articles pertaining to horses.

b. Accepting remuneration for officiating as a judge, steward, technical delegate, course designer, announcer or participating as a TV commentator, or accepting bona fide remuneration for services as a veterinarian, groom, farrier, tack shop operator or breeder, or for accepting bona fide remuneration for boarding services.

c. Accepting reimbursement for expenses without profit.

d. Accepting a token of appreciation, other than money, for riding, driving or showing in halter/in hand. (Note: Horse board, prize money, partial support or objects of more than \$300 are considered remuneration, not small tokens of appreciation). (Also note:

accepting any amount of money, whether more or less than \$300, is considered remuneration.) Prize money won by an amateur-owner rider/driver/handler in any class (other than equitation or showmanship) is not considered remuneration.

e. Having the occupation of veterinarian, groom, farrier or owning a tack shop or breeding or boarding stable in itself, does not affect the amateur status of a person who is otherwise qualified.

f. Any person who is serving an internship for college credit through his/her respective, accredited college program, and who has never held professional status, can accept reimbursement for expenses without profit.

GR1307 Amateur Certification.

1. Every person who has reached his/her 18th birthday and competes in classes for amateurs under Federation rules must possess current amateur certification issued by the Federation. This certification must be available for inspection or the competitor must have lodged with the competition secretary, at least one hour prior to such class, an application for such certification provided by the Federation. Forms may be obtained from the Federation. Certification will be issued only on receipt of the application properly signed and is revocable at any time for cause. Any person who has not reached his/her 18th birthday is an amateur and does not require amateur certification.

2. An amateur continues to be such until he/she has received a change in status from the Federation. Any amateur who wishes to be re-classified on the grounds that he/she has engaged or is planning on engaging in activities which would prevent him/her from continuing to remain an amateur must notify the Federation in writing.

3. There is no fee for amateur certification for Senior Active or Life Members. An annual fee of \$30 will be charged for an amateur card or amateur certification for an individual who is not a member of The Federation or Equine Canada. Such certification will expire on November 30th.

4. If a person violates or does not comply with the above, he/she will not be eligible to compete in amateur classes and will not be entitled to an award in such classes and will be deemed guilty of a violation within the meaning of Chapter 7 in the event he/she does compete. *EC 10/20/08 Effective immediately*

5. In the event a person is found to be a professional as a result of a protest or charge made in connection with a competition, all awards won by such person in amateur classes at such competition and subsequent competitions shall be forfeited and returned to the competition and the person shall be subject to further disciplinary action. The holding of an amateur card does not preclude the question of amateur standing being raised by a protest or charge.

6. Any person whose application for amateur status or its renewal has been denied by the Federation may request a hearing by the Hearing Committee or by such individual or committee as it may designate to review said decision. The request must be in writing and mailed to the Hearing Committee within ten (10) days from receipt of the decision sought to be reviewed and accompanied by \$150.

a. The hearing shall be after ten days notice to all parties concerned. The notice shall contain a brief statement of the facts reporting the position of the Federation and shall specify the time and place at which the hearing is to be held. The person requesting said hearing may attend and bring witnesses, sworn statements or other evidence on his or her behalf. Upon the written request of a representative of the Federation or of the person requesting the hearing, there shall be furnished before said hearing any evidence to be introduced, the names of witnesses and the substance of their testimony.

b. The decision of the Hearing Committee or the person or committee designated to preside at said hearing shall be final.

c. Protests or charges brought in connection with a person's amateur status shall be handled in accordance with the provisions of Chapter 6.

7. The trainer may be subject to disciplinary action if an exhibitor who shows as an amateur is protested, and that protest is sustained by the Hearing Committee, and it is determined that the trainer had knowledge of their professional activities. (See GR1306 and GR1308.)

GR1308 Professional Status.

1. A person who engages in the activities described in GR1306 is considered a professional for all competitions conducted under Federation rules.
2. A professional continues to be such until he/she has received amateur status by a vote of the Hearing Committee. Any professional who wishes to be re-classified as an amateur on the grounds that he/she *has not engaged in the activities which made him/her a professional within the last 12 months* must notify the Federation in writing.
 - a. Such person shall submit to the Hearing Committee an amateur reclassification request which is supported by:
 - (1) a notarized letter signed by him or her outlining the horse related activities *(using specific dates)* which made said person a professional and *outlining the activities performed within the 12 month period (or longer) since professional activities have ceased,*
 - (2) two or more notarized letters from *any Senior active* Federation members *stating the relationship with the applicant and* outlining the applicant's activities for the one year period preceding such written notification advising and testifying that the applicant has not engaged in any activities which would make him/her a professional as outlined in GR1306 during that time period,
 - (3) *A processing fee of \$50,*
 - (4) *a signed amateur certification located on USEF Membership application.*

The burden of proof of proving amateur status is on the applicant. The *Hearing Committee* may call for and/or consider any and all further evidence and facts which it deems pertinent. The decision of the Hearing Committee on the *reclassification* request shall be final. *BOD 1/13/08 Effective 4/1/08*

- b. Any changes of status from professional to amateur, or vice versa, shall be published in EQUESTRIAN.
3. Any person who under these rules is a professional and knowingly and falsely represents himself/herself to be an amateur by declaring or maintaining current amateur certification issued by the Federation, and any person who violates any of the provisions of this rule will be subject to disciplinary action under Chapter 7.
4. An exhibitor who engages a person to ride, drive or show in halter in any amateur class and then remunerates such person above and beyond the extent to which such amateur is entitled as provided above in GR1306.2.d. will be subject to disciplinary action under Chapter 7.
5. Please contact the Federation office for information regarding International Professional Licenses.

SUBCHAPTER 13-C MEMBERSHIP AND DOCUMENT REQUIREMENTS

GR1309 Membership and Document Requirements.

1. To be eligible to participate as a rider, driver, owner, handler, vaulter, longeur, lessee, agent, coach or trainer at Regular Competitions, Eventing Competitions at the Preliminary Level or above, Combined Driving Competitions at the Advanced Level, Dressage, Endurance and Vaulting Competitions, persons must be Members of the Federation as provided in GR202 or if not a member, must pay a registration fee as provided in GR207 (for exceptions see GR901.9). Exception: Applications for Federation Individual Membership and Horse Recordings submitted at Licensed Competitions:
 - a. For Dressage Competitions, reference Bylaw 223, Section 1:
 - (1) Applications are considered effective on the date the application and dues are received by the Competition Secretary provided the application is signed and dated by the Competition Secretary and the Competition DTD on that same day.
 - (2) Applications completed online at the competition are effective the date the application is submitted.
 - b. For all Competitions other than Dressage Competitions, reference Bylaw 221, Section 1:
 - (1) Applications are considered effective, for points and eligibility to compete only, on the start date of said Competition provided the application and dues are received by the Competition Secretary and the application is signed and dated by the

- Competition Secretary and the Competition Steward/TD during the period of the Competition.
- (2) Applications completed online at the competition are effective, for points and eligibility to compete only, on the start date of the Competition.
- c. Lessees are considered owners in connection with this membership requirement. When an entry is under multiple ownership, only one owner need be a Member or pay a registration fee. When an entry is under a minor's ownership and training, the parent who must sign will be exempt from the Federation non-member fee.
2. Memberships completed at the competition must be forwarded to the Federation office within ten (10) days of the close of the competition as part of the full competition results, which are defined in GR1214. The member's copy of the application is valid for 45 days or until the membership card is received from The Federation.
- a. The following credentials must be available to Competition Management and the Federation steward/technical delegate. Competitions may, at their discretion, confirm any of the below electronically with the Federation office:
- (1) Amateur Certification.
 - (2) Copies of Junior Hunter and pony measurement cards.
 - (3) Federation membership cards or a copy thereof, Exception: Applications completed at the competition. The member will retain the pink copy of the membership application form which, when properly signed by the Competition Secretary and Steward/TD, is valid for 45 days from the date signed.
 - (4) Federation horse recordings for USEF/USDF qualifying classes for dressage championships (see DR127.2), and USEF/USDF Dressage Championships (see DR127.2).
 - (5) A copy of the registration papers showing proof of ownership or a copy of the registration papers with a Certificate of Eligibility to Show issued by the American Saddlebred Registry for horses entered in classes restricted to American Saddlebreds.
 - (6) A copy of the registration papers showing proof of ownership or copy of the registration papers issued by the American Hackney Horse Society and/or the Canadian Hackney Society for ponies and horses entered in classes restricted to Hackney Horses and Hackney Ponies.
 - (7) Non-U.S. citizens (as defined by GR901.9) and Foreign Competitors (as defined by GR828.4) must provide proof, in English, of current membership in good standing in their respective National Federation, or hold current membership in good standing with USEF.
 - (8) For all horses competing in Young Jumper classes a copy of the registration papers issued by a Breed Registry (or other means of identification issued by the Federation providing proof of age and identity) must be checked by the horse show office.
 - (9) Originals or copies of United States Hunter Jumper Association, Inc., membership card, if applicable.
 - (10) For all horses entered in a Roadster Division, a copy of registration papers showing proof of ownership issued by the United States Trotting Association Canadian Trotting Association (exception: horses that have competed in any Roadster Division class at a USEF licensed event prior to December 1, 2003). For Roadster horses being shown under a name differing from their registration papers, a notarized certificate issued by the American Road Horse and Pony Association.
- b. If the above information cannot be verified, (with the exception of Federation measurement cards) the exhibitor, or his or her agent, must sign a statement giving his name, address, the fact that he is in possession of the proper credentials.
- (1) A \$5 fee will be charged for the filing of a membership affidavit in connection with the failure to present any of the above credentials (with the exception of Federation measurement cards). That fee along with the affidavit will be submitted to the Federation office with the results of the competition. Exhibitors are responsible for their own errors and those of their agents in the preparation of membership affidavits. (In the case of a rider, driver or handler under 18, whoever signs on his/her behalf will be responsible).

(2) If a person files a false affidavit, the Federation will levy a fine of \$200 against the person for whom the false affidavit was filed (except in the case of a rider, driver or handler under 18, then the person who signed on behalf of that individual will be fined). Upon issuance of a charge or protest pursuant to Rule VI, such person may in addition be subject to further disciplinary action in accordance with Chapters 6 and 7. If the foregoing \$200 fine is not paid within 30 days of issuance of the Federation's notice of fine, said person and any horses owned by him or her will be automatically suspended until the fine is paid.

(3) If the person disputes that said fine is not properly owed, he/she may request a review by the Hearing Committee provided a written statement specifying the grounds for the review is received at the Federation's office within 30 days of issuance of the Federation's notice of fine. The request must be accompanied by a deposit of \$100 made by a Federation member or the parent of a junior exhibitor member or \$200 if made by a non-member (check payable to the Federation); said deposit will be refunded in the event the review is resolved in favor of the member/non-member.

3. Participation of non-US citizens in non-breed-restricted national competitions in the US.
 - a. Non-US citizens who are not current members of USEF as provided in GR202 must either provide proof, in English, of current membership in good standing in their own National Federation, or must pay a registration fee as provided for in GR204 (for exceptions see GR901.9.) A USEF Senior member must still pay Breed/Discipline category fees and dues.
 - b. In any discipline, owners and trainers who are non-US citizens and who have a current letter of permission/good standing from their own federation, are exempt from Federation membership requirements per Art. III, Sect. 3, as well as Breed/Discipline dues and fees per GR206 and GR207. Under this rule, non-US citizens must provide proof to competition management of current permission/good standing from their own federation in order to be exempted from Federation membership requirements.
 - c. Foreign Competitors who participate in Federation amateur classes, in any discipline, must be in possession of a Federation Amateur Card. However, non U.S. citizens are not required to become Federation Members if they provide proof, in English, of membership in good standing in their own National Federation.

4. U.S. Competitors competing in their own country in an FEI-sanctioned event, not requiring an FEI Passport, must present a valid USA National Passport or a valid FEI Passport. Exception: Endurance Minor Events. BOD 1/15/07 Effective 12/1/07

SUBCHAPTER 13-D COMPETITION DISPENSATIONS AND MODIFICATIONS

GR1310 Dispensations.

1. Dispensation Certificate. Any individual with a diagnosed permanent physical disability *wishing to compete in a Federation licensed competition with a compensatory aid and/or adaptive equipment must obtain* a Dispensation Certificate from the Adaptive Sports Committee. Upon the Committee's approval, a Dispensation Certificate will be issued by the Federation. The Dispensation Certificate will list all compensatory aids and adaptive equipment allowed the individual while competing. Other compensatory aids or special equipment not specifically listed on the Dispensation Certificate are not allowed. A copy of the Dispensation Certificate must be included with the individual's entry. The competition manager or secretary *shall* include a copy attached to all applicable scoring sheets for the judge's reference. For instructions on how to apply for the Dispensation Certificate, please refer to GR1312. Also see GR1315 for definitions of terms used in this section.

EC 2/19/08 Effective immediately

2. Presidential Dispensation. In circumstances that fall outside of the dispensation program, a Presidential Modification may be considered. Please refer to GR152 and Bylaw 332.1.e.

GR1311 Para Equestrian Eligibility/Classification.

In order for an individual to compete in USEF licensed Para Equestrian Competitions, he/she must have a diagnosed, permanent physical disability as determined by the USEF Para Equestrian Classification System. The individual will be Para Equestrian eligible (PE eligible), possess a USEF classification card for up to National level competition (USEF

PE), or possess and FEI PE Card for Qualifying and International level competition. See GR141 for the definition of Para Equestrian and GR1310 for additional definitions).

GR1312 Applying for a Dispensation Certificate.

1. Applications for a Dispensation Certificate *can be* obtained from the Federation (via the USEF website or the USEF office) and are reviewed on a continuing basis throughout the year by the *Adaptive Sports Committee. Only applications submitted along with supporting medical documentation will be considered.* The Adaptive Sports Committee may request additional supporting evidence from the individual regarding *his/her* medical status or regarding the aid/equipment *which he/she* requests dispensation for. The Adaptive Sports Committee will render an opinion (approval of all or some of the aids requested or denial of the dispensation) and the individual will be notified by the USEF in a timely manner.
 - a. The Dispensation Certificate will be issued annually upon re-application, and remains in effect until the end of the competition year or until he/she receives a change in status from the Federation, whichever is earlier. Any individual who wishes to make changes to his/her *Dispensation Certificate* must notify the Federation in writing *and obtain written approval.* Certification is revocable at any time for cause.
 - b. Applications should be submitted in order to allow 30 days for the *Adaptive Sports Committee* to render a decision regarding the dispensation status.
EC 2/19/08 Effective immediately
 - c. There is no fee for a Dispensation Certificate.
 - d. The individual must be a member in good standing with the USEF.

GR1313 Applying for Para Equestrian (PE) Classification.

1. The individual will request classification from the USEF. Applications may be found on the USEF website or at the USEF office. Once the USEF office receives the application, they will contact the USEF classification coordinator.
 - a. Until such time that the classification is scheduled, the individual will be considered Para Equestrian Eligible (PE Eligible) as determined by the USEF classification coordinator.
 - b. PE Eligible individuals may participate in competition using the self-classification system for local and regional competitions.
2. The USEF classification coordinator will assist in scheduling a classification with one of the USEF Classifiers. There is no fee for the classification, though if the individual requests a classification to be scheduled at their convenience, all expenses for the classifier will be paid for by the individual.
3. The classification will result in a Profile and a Grade based on the FEI Profile system (see GR824). The Profile will remain with the individual for as long as their physical disability remains constant with no need for re-classification. If the individual has a fluctuating medical condition or if his/her function changes, the profile may change with future re-classifications. The Grade will be based on the specific discipline. (see definitions, GR824)
4. Upon classification, the USEF will issue a USEF Classification Card. This card will outline the individual's profile and grade, indicate any compensating aids/adaptive equipment that may be used in USEF PE competition and the expiration date of the classification.
5. For USEF licensed PE competitions, the individual will send in a copy of their Card with their competition entry. The individual will also be responsible for carrying their card throughout the show for review by the TD or Steward as necessary.
6. When change in status occurs (i.e. change of compensating aids/adaptive equipment), written request for a change will be submitted to the USEF office.
7. The USEF Classifier will determine the renewal period for classification. For those with disabilities with no expected change in functional status, the classification will have no expiration. For those with fluctuating conditions or conditions expected to change, either by deterioration or improvement there will be indicated on the card an expiration and expected time for re-classification. It is the individual's responsibility to apply for re-classification through the USEF at least 45 days and at most 90 days prior to the expiration date. It is also the individual's responsibility to apply for re-classification if a major change in status (i.e. resulting from a surgery or therapy which causes significant functional improvement) occurs.

8. Upon receipt of an FEI PE Card for Qualifying and International competition, the FEI card will take the place of a USEF PE Classification Card and may be used for USEF licensed PE competitions.

9. Classification status can be challenged by a USEF PE competition official, competitor or trainer with the potential for re-classification occurring at that event.

GR1314 Hearings/Protests.

1. Any individual whose application for a Dispensation Certificate or a Para Equestrian Classification has been denied may request a hearing by the Hearing Committee or by such individual or committee as it may designate to review said decision. The request must be in writing and mailed to the Hearing Committee within ten (10) days from receipt of the decision sought to be reviewed.

a. The hearing shall be after ten (10) days notice to all parties concerned. The notice shall contain a brief statement of the facts reporting the position of the Federation and shall specify the time and place at which the hearing is to be held. The person requesting said hearing may attend and bring witnesses, sworn statements or other evidence on his or her behalf. Upon the written request of a representative of the Federation or of the person requesting the hearing, there shall be furnished before said hearing any evidence to be introduced, the names of witnesses and the substance of their testimony.

b. The decision of the Hearing Committee or the person or committee designated to preside at said hearing shall be final.

c. Protests or charges brought in connection with an individual's Dispensation Certificate status or Para Equestrian Classification status shall be handled in accordance with the provisions of Chapter 6.

GR1315 Definition of Terms.

1. Diagnosed permanent physical disability - An individual with a medical condition resulting in functional limitations affecting their ability to participate in equestrian sport and diagnosed by a medical doctor. The condition is not reasonably expected to improve and may, in fact, be one that worsens over time. The condition should be easily objectively measurable in scope, either by physical examination by a medical professional or with medical testing. An example may include a limb amputation, paralysis, weakness due to a neuromuscular condition or hearing or vision loss.

2. Compensating aid and/or adaptive equipment - Allowance for an alteration in performance, an alteration of dress or alternate piece of equipment which allows the individual with a disability to perform the requirements of the competition. The aid or equipment assists in equalizing the functional ability of the individual and should not give the individual an undue advantage. The aid/equipment must be deemed safe for the competitor and the horse and is subject to review by the TD and/or judge at each event.

Examples:

a. Saluting with the nod of the head only when taking a hand off of the reins would be unsafe.

b. Use of paddock boots and smooth leather half chaps rather than tall boots if wearing tall boots is unsafe for a rider with leg dysfunction.

c. Allowance to not wear gloves for the individual with abnormal sensation in the hands.

d. Use of 1 or 2 whips to cue the horse for an individual with impaired use of his/her legs.

e. Use of a golf cart to survey a course prior to driving for the individual who is unable to walk.

f. Use of quick release equipment for carriage driving.

For additional examples, please see USEF booklet entitled, Guidelines for USEF Dispensation and USEF Classification Systems found on the USEF website.

3. Dispensation

a. Dispensation Program - The Federation encourages competition amongst all individuals, including those with a diagnosed permanent physical disability. For those individuals wishing to compete in a Federation licensed competition and *who* require compensating aids and/or adaptive equipment to do so, a *Dispensation Certificate* may be granted. The purpose of the dispensation is to aid those with limited function by

- allowing the use of aids/equipment which will result in more equal function. These aids/equipment should not give the individual with a disability an advantage over his/her competitors. *EC 2/19/08 Effective immediately*
- b. Adaptive Sports Committee: An interdisciplinary group representing the equestrian disciplines, the medical field, competitors, and the USEF who is charged with the review and/or approval of dispensation applications. (Bylaw 503.3)
4. Para Equestrian (PE)
- a. USEF Para Equestrian (PE) Classification System - The USEF has chosen to adopt the FEI Profile System for classification for individuals with disabilities. This system provides a means to assess an individual's functional abilities and impairments to determine which Grade they will compete in amongst individuals with similar levels of function. There is a minimal level of impairment required in order to qualify for the FEI Profile System. In the system, an individual with a permanent measurable physical disability is assessed by a USEF or FEI approved classifier. As a result of the assessment, the classifier will determine eligibility and then assign the individual a Profile. The Profiles are grouped into Grades based on the discipline in which the individual will be competing. For example, an individual who has minor impairment of the Left arm and leg after a stroke would likely be given a Profile 15. If they compete in dressage, they would compete in Grade III. If they choose to compete in Carriage Driving, they would compete as a Grade II.
- b. FEI Profile System - Amongst individuals with disabilities, there are many different types of impairments. To provide meaningful competition for these individuals it is necessary that those of similar levels of impairment compete together. The "Profile System" fulfils this criterion. It is a System of tests administered by trained and certified Physical Therapists or Physicians. It is based on the classification systems used in other sports for individuals with disabilities and has been tested for reliability and validity in its application in equestrian sport.
5. Profile - an individual is given one of 42 profiles based on their level of function as assessed by a USEF or FEI Classifier. The Profiles are versatile but tight, easy to use and understand. The locomotor Profiles are not disability (diagnosis) specific, but are based on the ability of the functioning part(s) of the body. The Profile is considered permanent except in the case of a diagnosis that has a reasonable expectation of change, such as with progressive Multiple Sclerosis.
6. Grade - the grouping of profiles within a discipline. In Dressage, there are 5 grades (Ia, Ib, II, III, and IV). In Carriage Driving there are 2 Grades (I and II). A Grade is made up of several profiles, grouping Para Equestrians of similar level of function. The lower number Grades (i.e. Grade I) is made up of Para Equestrians with a more significant level of impairment, whereas the higher number Grades (i.e. IV) identify those Para Equestrians with a lesser impairment. The Grade determines which tests a rider rides and against whom the Para Equestrian will be competing. In National and International competition, medals/placement is awarded in each Grade.
7. Para Equestrian (PE) Eligible - an individual with a permanent measurable physical disability who has entered into the classification process but has not yet been classified by a USEF or FEI Classifier. The USEF Classification Coordinator will determine PE Eligible status. This individual may compete in Para Equestrian classes at the local or regional level, but may not medal.
8. USEF Para Equestrian (USEF PE) - a classified Para Equestrian carrying a USEF Classification card indicating their Profile, Grade, and compensating aids/adaptive equipment. These individuals may compete up to the National level of USEF licensed PE competitions.
9. FEI Para Equestrian (FEI PE) - a Para Equestrian carrying an FEI Classification Card indicating their Profile, Grade and compensating aids/adaptive equipment. This individual may compete Nationally and in Qualifying trials. To achieve this classification, the individual will need a classification by two FEI Classifiers, at least one from outside of their home country. There is a fee to the FEI for application and renewal for the FEI PE Classification Card. Individuals must request renewals through the National Federation.
10. USEF Classifier - a Physical Therapist or Physician who has been trained and certified by the USEF to classify individuals using the FEI Profile System. A USEF Classifier may classify Para Equestrians up through a National level competition.

11. FEI Classifier - a Physical Therapist or Physician who has been trained and certified by the FEI to classify Para Equestrians using the FEI Profile System. An FEI classifier may classify Para Equestrians through to the International level (i.e. Paralympics and World Games). FEI Classifiers are designated as 'I' (International) or 'O' (Paralympic and World Games).

12. USEF Classification Coordinator - the lead USEF Classifier responsible for assisting individuals through the classification process and establishing PE Eligible status.

13. Para Equestrian (PE) Self-classification - When it is difficult due to logistics to complete a classification by a USEF or FEI Classifier, an individual may choose to classify themselves based on the USEF Classification System. Using the stick figures and definitions for trainers, a profile will be determined. (see Guidelines for USEF Dispensation and USEF Classification Systems) This will in turn determine a Grade depending on the individual's particular discipline. The self-classification is a means to begin competition based on the individual's self evaluation of their level of function. This will be allowed for local or regional USEF licensed competition only. At this status, an individual may compete at a Grade lower than their functional status determines. Once National competition is attempted, an official classification must be completed.

14. Classification Card - The card issued to a Para Equestrian indicating the Para Equestrian's Profile, Grade, Compensating Aids/Adaptive Equipment and expiration of the classification. USEF issues the USEF Classification Card and the FEI issues the FEI PE Classification Card.

GR1316 Dressage Modifications.

In the Dressage Division, competitors will not be allowed to compete with modifications unless a copy of their Federation Presidential Modification letter is provided to the competition secretary by the beginning of the competition.

CHAPTERS AL TO WS

WHICH DESCRIBE THE CLASSES FOR ALL DIVISIONS, TOGETHER WITH DEFINITIONS AS TO APPOINTMENTS. Entries in various classes are judged on some of the following qualifications:

Appointments (equipment)	Handiness (promptness)	Soundness
Breed Character	Manners	Speed
Brilliance	Performance	Substance (strength)
Color	Presence (style)	Suitability
Conformation (build)	Quality (finesse)	Type

The particular qualifications for each class are hereinafter set forth and in each class the order of precedence indicates how the emphasis is to be placed in adjudication.

Class specifications included in division rules are mandatory.

Any class described herein may be confined to exhibitors within a desired area by prefixing "LOCAL" to the class title and clearly describing the area involved (e.g., "Open to horses owned and stabled within...miles of the competition grounds" or "Owned by residents of the Town of ...").

Entry fees, trophies or cash awards must always be indicated. If classes not included herein are offered, extreme care should be exercised in the proper wording of the specifications.

Table of Yards, Feet and Meters

1 inch	=	0.025 m	1.00 m	=	3 ft.	3 ins.
1 foot	=	0.30 m	1.10 m	=	3 ft.	7 ins.
2 ft.	=	0.61 m	1.20 m	=	3 ft.	11 ins.
1 yard	=	0.91 m	1.30 m	=	4 ft.	3 ins.
4 ft.	=	1.22 m	1.40 m	=	4 ft.	7 ins.
4 ft. 3 ins.	=	1.30 m	1.50 m	=	4 ft.	11 ins.
4 ft. 6 ins.	=	1.37 m	1.60 m	=	5 ft.	3 ins.
4 ft. 9 ins.	=	1.45 m	1.70 m	=	5 ft.	7 ins.
5 ft.	=	1.52 m	1.80 m	=	5 ft.	11 ins.
5 ft. 3 ins.	=	1.60 m	1.90 m	=	6 ft.	3 ins.
5 ft. 6 ins.	=	1.68 m	2.00 m	=	6 ft.	7 ins.
6 ft.	=	1.83 m	3.00 m	=	9 ft.	10 ins.
6 ft. 6 ins.	=	1.91 m	4.00 m	=	13 ft.	1 ins.
7 ft.	=	2.13 m	5.00 m	=	16 ft.	5 ins.
8 ft.	=	2.44 m	6.00 m	=	19 ft.	8 ins.
9 ft.	=	2.74 m	7.00 m	=	22 ft.	11 ins.
1 ft.	=	3.05 m	8.00 m	=	26 ft.	3 ins.
15 ft.	=	4.57 m	9.00 m	=	29 ft.	6 ins.
20 ft.	=	6.10 m	10.00 m	=	32 ft.	10 ins.
10 yds.	=	9.14 m	25.00 m	=	82 ft.	
25 yds.	=	22.86 m	100.00 m	=	328 ft.	
50 yds.	=	45.72 m	1000.00 m	=	3281 ft.	
10 yds.	=	91.44 m				
1000 yds.	=	914.40 m				

Conversion

Yards to Meters, multiply by .9144

Meters to Yards, divide by .9144

Feet to Meters, multiply by .3048

Meters to Feet, divide by .3048

