

**UNITED STATES EQUESTRIAN FEDERATION, INC.**  
**SELECTION PROCEDURES**  
**2010 World Equestrian Games**  
**DRESSAGE**  
**Lexington, Kentucky 2010**

**I. SELECTION SYSTEM**

**A. Minimum eligibility requirements for an athlete to be considered for nomination to the Team:**

1. **Citizenship** - The athlete must be a U.S. citizen and in compliance with FEI Article 119 (General Regulations, which can be found on the FEI's website, [www.fei.org](http://www.fei.org)) at the time of application, selection, and through the conclusion of the 2010 World Equestrian Games ("the Event").
  
2. **Minimum International Federation (IF) Requirements:**
  - a. All riders and horses wishing to compete on the 2010 World Equestrian Games Dressage Team (the "Team") must have earned an FEI Certificate of Capability in accordance with Article 422.18 of the FEI Rules for Dressage Events [23<sup>rd</sup> Edition, Effective 1<sup>st</sup> January 2009] . The rules and a list of qualifying events can be found on the FEI website [www.fei.org](http://www.fei.org). All horse/rider combinations must have earned a FEI Certificate of Capability by August 15, 2010 by attaining (as a combination) a result of at least 64% attributed by any two different FEI Official International Judges (5\*), of a nationality other than that of the rider, in a Grand Prix at two different CDI3\*/4\*/5\*/CDI-W or CDIO events during the qualification period which began January 1, 2009.
  - b. The rider must have been born during or before January 1, 1994 as per Article 422.1 of the FEI Rules for Dressage [23<sup>rd</sup> Edition, Effective 1<sup>st</sup> January 2009]. "International senior dressage events are open to athletes from the year in which they reach their 16<sup>th</sup> birthday."
  - c. A horse must be eight (8) years old or more and the horse must have height at the withers exceeding 149 cm including shoes as per FEI Rules for Dressage Events, 23<sup>rd</sup> Edition, Article 422.3 [23<sup>rd</sup> Edition, Effective 1<sup>st</sup> January 2009].
  - d. The rider and horse must be registered and in good standing with the FEI.
  
3. **Other Requirements:**
  - a. The rider must be a current USEF member in good standing;
  - b. The horse must be recorded with USEF pursuant to USEF GR1102 and in good standing under USEF rules;
  - c. Application - Riders wishing to be considered for the Team must complete an application (Attachment A) and return it with the appropriate application fee to the United States Equestrian Federation, Inc. (USEF), Attention: Jenny Van Wieren, USEF Assistant Director of Dressage, 4047 Iron Works Parkway, Lexington, KY 40511. Applications and fees postmarked, hand-delivered, faxed, or submitted online on or prior to May 31, 2010 will be charged \$50 per application. Applications and fees postmarked, hand-delivered, faxed, or submitted online after May 31, 2010 and received by USEF on or before June 15, 2010 will be charged an application fee of \$300 per application. NO APPLICATIONS WILL BE ACCEPTED AFTER June 15, 2010. IF AN APPLICATION IS RECEIVED WITHOUT THE APPLICATION FEE, IT WILL BE ACCEPTED IF THE APPLICABLE FEE IS RECEIVED BY June 30, 2010.
  - d. Owners of horses named to the Short List, as described in B below, must sign the USEF Horse Loan Agreement (Attachment D). A horse owner(s)' failure to sign the USEF Horse Loan Agreement may result in the removal of the horse/rider combination from the Short List.

- e. The rider and horse owner(s) must certify, by signing the USEF 2010 World Equestrian Games Dressage Competition Application (Attachment A), that they have received and read the Selection Procedures for the 2010 World Equestrian Games Dressage Team, the USEF Code of Conduct (Attachment B), and the USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment C), and that they understand those documents, and that they agree to be bound by them.

**B. Brief summary outlining how an athlete is selected as a nominee to the Team.**

The Short List/Nominated Entry will include a list of at least four horse/rider combinations and up to the maximum number of horses and riders able to be nominated under FEI rules for the Event (the "Short List").

The maximum Team size for the Event is four (4) horse/rider combinations.

The Short List/Nominated Entry will be named as follows:

1. **Positions One, Two and Three** - The first, second and third positions on the Short List/Nominated Entry will be filled by the first, second and third ranked horse/rider combinations from the Selection Trial (See Section D). If a rider competes in the Selection Trial on more than one horse and ranks in the first three placings of the Selection Trial with both horses, the rider will be named to the Short List/Nominated Entry in one position on both horses.
2. **Position Four** - The fourth position on the Short List/Nominated Entry Team will be filled either by the fourth ranked horse/rider combination from the Selection Trial as outlined in Section D or by a horse/rider combination named by the USEF Dressage Selectors ("Selectors" - see Definitions on page 19).

The Selectors may name a horse/rider combination to the fourth position that has not participated in or completed the entire Selection Trial in accordance with the criteria below if the combination has contributed to a team medal or has finished in one of the top six positions as an individual at a World Championship or Olympic Games within the past four years (this excludes horse/rider combinations which were drop scores or alternates at a team competition):

- A) The Applicant must submit a request in writing to USEF High Performance Dressage Director to be considered for this clause prior to the completion of the Grand Prix Freestyle in Round Two of the Selection Trial;
  - B) The Applicant horse/rider combination is qualified for an invitation to the Selection Trial in accordance with Section C "Tryout Events";
  - C) Applicant horses must submit to a veterinary inspection by the Team Veterinarian who will be accompanied by at least one of the Selectors. This veterinary inspection must be completed within four weeks prior to the start of the Selection Trial and horse/rider combinations that are to be considered under this clause must make themselves available for the veterinary inspection according to the schedule of the veterinarian and selector(s).
3. The remaining ranked horse/rider combinations from the Selection Trial as outlined in Section D, up to the maximum number of riders and horses able to be Nominated under FEI rules for the Event will be named on the Short List/Nominated Entry for the Event.

**C. Tryout Events:**

1. Provided below are the event names, dates and location of all trials, events and/or camps to be used as part of the selection process.

- a. Designated USEF 2010 World Equestrian Games Selection Trial Qualifying Competitions (“Qualifying Competitions”) that occur between July 1, 2009 and July 15, 2010 (See Attachment E and Attachment F).
  - b. European Qualifying Competitions that occur between July 1, 2009 and July 15, 2010 (See Attachment F).
  - c. Selection Trial – Round One August 6-8 and Round Two August 13-15, 2010 in Gladstone, NJ (dates and location subject to change but will be confirmed no later than March 31, 2010 and posted on the USEF website, [www.usef.org](http://www.usef.org)).
2. Horse/rider combinations must qualify for the Selection Trial by completing a minimum of two designated qualifying CDI competitions (see details below; Also see Attachment E) in the U.S. and/or outside the US. Horse/rider combinations must complete the FEI Grand Prix test (current edition) and the FEI Grand Prix Special test (current edition) in combination in at least one qualifying competition. Horse/rider combinations must also complete the FEI Grand Prix test and the FEI Grand Prix Freestyle test (current edition) in combination in at least one qualifying competitions. The scores from all FEI Grand Prix tests completed at qualifying competitions, regardless of whether the FEI Grand Prix Special or the FEI Grand Prix Freestyle tests were completed at a given competition, will be used in calculating the overall average scores for the purposes of qualifying for an invitation to the Selection Trial.

In the event that a horse/rider combination has been eliminated from the FEI Grand Prix test at any designated qualifying competition (see details below; Also see Attachment E) in the U.S. and/or outside the U.S., for purposes of ranking no score will be shown for that competition.

Drop Score: The lowest Grand Prix score will automatically be dropped from the final average if the horse/rider combination has completed more than two qualifying competitions. The drop score is only permissible if a horse/rider combination has completed the Grand Prix test and the Grand Prix Special test in combination at one qualifying competition and has completed the Grand Prix test and the Grand Prix Freestyle test in combination at another qualifying competition.

If riders do not want the scores from a Qualifying Competition to count towards their final average, they must submit their notification in writing to the USEF High Performance Dressage Director no later than forty-eight hours prior to the start of the competition. The start of the CDI competition is the veterinary inspection. This notification must include the name of the horse(s) on which the rider will be competing at the Qualifying Competition. If the USEF High Performance Dressage Director has not received the notification forty-eight hours prior to the start of the competition, the score will count towards the final average. It is the responsibility of the rider to confirm with the USEF High Performance Dressage Director that his/her notification has been received.

If a rider properly notifies USEF, his/her scores are not to count in accordance with the procedures above then none of the scores earned at the exempt Qualifying Competition in the FEI Grand Prix test, the FEI Grand Prix Special test and/or the FEI Grand Prix Freestyle test will count for purposes of qualifying to compete at the Selection Trial. Notwithstanding the score earned at the exempt Qualifying Competition in the FEI Grand Prix test may be used for the purpose of fulfilling the FEI’s minimum standards for participating in the Event.

U.S. Qualifying Competitions:

A series of competitions throughout the U.S. have been designated by the USEF Dressage High Performance Committee as Qualifying Competitions (See Attachment E). These Qualifying Competitions occur between July 1, 2009 and July 15, 2010 (See Attachment F).

**Foreign Qualifying Competitions:**

Horse/rider combinations may qualify for the Selection Trial by competing in Foreign CDI competitions between July 1, 2009 and July 15, 2010 (See Attachment F). If riders do not want the scores from a Foreign Qualifying CDI Competition to count towards their final average, they must submit their notification in writing to the USEF High Performance Dressage Director no later than forty-eight hours prior to the start of the competition. For CDI competitions, the start of the competition is the veterinary inspection. This notification must include the name of the horse(s) on which the rider will compete at the Qualifying Competition. If the USEF High Performance Dressage Director has not received the notification forty-eight hours prior to the start of the competition, the score will count towards their final average. It is the responsibility of the riders to confirm with the USEF High Performance Dressage Director that their notification has been received.

If a rider properly notifies USEF, his/her scores are not to count in accordance with the procedures above then none of the scores earned at the exempt Qualifying Competition in the FEI Grand Prix test, the FEI Grand Prix Special test and/or the FEI Grand Prix Freestyle test will count for purposes in qualifying to compete at the Selection Trial. Notwithstanding the score earned at the exempt Qualifying Competition in the FEI Grand Prix test may be used for the purpose of fulfilling the FEI's minimum standards for participating in the Event.

If a rider plans to compete in Foreign CDI competitions for the purpose of qualification for the Selection Trial, he/she must adhere to the USEF Criteria to Compete in Foreign Competitions (Attachment G). Horse/rider combinations eligible to compete in foreign competitions, i.e. on the USEF Dressage High Performance Long Lists<sup>1</sup>, and any rider/horse combination not on the USEF Dressage High Performance Long Lists that have been granted a waiver by the USEF Dressage Credentials Committee to compete at foreign competitions based on the rider/horse combination's ability to be competitive at the foreign competition, will be eligible to participate in foreign competitions. USEF Dressage High Performance Long Lists are posted on the USEF website, [www.usef.org](http://www.usef.org).

**Combination of U.S. and Foreign Qualifying Competitions:**

Horse/rider combinations may qualify for the Selection Trial by participating in a combination of U.S. Qualifying Competitions (See Attachment E) and Foreign CDI competitions. All Qualifying Competitions must be completed between July 1, 2009 and July 15, 2010.

**D. Selection Trial:**

1. **Invitation to the Selection Trial**

Applicant horse/rider combinations will be ranked according to the final average of their FEI Grand Prix test scores from qualifying competitions as described in I.C.2. above. Horse/rider combinations' test scores from U.S. and European qualifying competitions will be considered equal for purposes of ranking horse/rider combinations' final averages.

Initially the fifteen (15) highest ranked horse/rider combinations who have achieved a minimum average score of 63% earned in the FEI Grand Prix tests from qualifying competitions and who have earned a

<sup>1</sup> USEF Dressage High Performance Long Lists are the lists established by the USEF Dressage High Performance Committee of horse/rider combinations whose outstanding competitive record in 2008 and/or 2009 indicates that they are the strongest candidates for future teams and therefore eligible for USEF High Performance Training Programs and other USEF recognition.

Certificate of Capability from the FEI will be invited to compete in the Selection Trial. No rider may qualify more than two (2) horses for the Selection Trial. In addition, if a rider(s) qualifies two (2) horses in the top fifteen (15) ranked horse/rider combinations, the next highest ranked horse/rider combination that has achieved a minimum average score of 63% earned in the FEI Grand Prix tests from qualifying competitions and has earned a Certificate of Capability from the FEI will be invited to compete, allowing for up to a maximum of fifteen (15) riders. In the event of ties, there will be more than fifteen (15) riders invited to compete in the Selection Trial.

In the event that one or more of the horse/rider combinations (including all ties) invited to the Selection Trial declines to compete or are unable to compete in the Selection Trial, an invitation will be extended to the horse/rider combination that has the next highest rank final average, providing they have achieved a minimum average score of 63% and have earned a Certificate of Capability from the FEI, unless the invited number, due to ties, exceeds fifteen (15) riders, in which case additional riders will only be invited if the total number of invited riders is less than fifteen (15) as a result of withdrawals.

In addition to the fifteen (15) horse/rider combinations who have received an invitation to compete at the Selection Trial through fulfillment of the criteria listed above (where there may be more than fifteen horse/rider combinations due to ties), that invitations may be extended to horse/rider combinations that:

- a. Meet all of the requirements outlined in Section I.A.3 of these Selection Procedures; and
- b. Have achieved an overall average score of 75.0% or higher from the judging panel in the FEI Grand Prix test (current edition) at a CDI competition in the U.S. and/or Europe between January 1, 2009 and July 15, 2010.

Please note that an invitation to the Selection Trial is not a guarantee that a rider/horse combination will be named to the Short List/Nominated Entry or the Dressage Team for the Event.

## 2. Selection Trial

The Selection Trial will be held in two rounds over two weekends. It is anticipated that Round One of the Selection Trial will be held August 6-8 and Round Two will be held August 13-15, 2010 in Gladstone, NJ. However, these dates and location may be subject to change but will be confirmed not later than March 31, 2010 and posted on the USEF website, [www.usef.org](http://www.usef.org). All horse/rider combinations invited to the Selection Trial must be on the competition grounds of the Selection Trial one hour prior to the veterinary inspection. All horses must remain on competition grounds from arrival on competition grounds until the completion of Round Two of the selection trial. Exception: Removal of any horse will only be permitted for emergency veterinarian care or observation with the approval of the Team Vet (in absence of the Team Vet a member of the Vet Panel). All USEF High Performance, Championship and Dressage Rules will be enforced while horses are on competition grounds.

Horse/rider combinations must compete in both Rounds of the Selection Trial except as explained below. Horse/rider combinations will be required to complete the FEI Grand Prix test and the FEI Grand Prix Special test at the First Round and the FEI Grand Prix test and the FEI Grand Prix Freestyle test at the Second Round. The horse/rider combinations will be ranked based on the scores earned at both Rounds of the Selection Trial which will be weighed as follows:

- The combined scores from both FEI Grand Prix tests at Each Round of the Selection Trial will comprise 60% of the total score (30% each)
- The score from the FEI Grand Prix Special test at the First Round of the Selection Trial will comprise 25% of the total score

- The score from the FEI Grand Prix Freestyle at the Second Round of the Selection Trial will comprise 15% of the total score.

If there is a tie in any of the tests/classes (FEI Grand Prix, FEI Grand Prix Special and/or FEI Grand Prix Freestyle) at either Round, the tie will remain unbroken.

Participation in the prize-giving ceremony of placed athlete/horse combinations is compulsory. Failure to do so will result in the loss of the score from that class. Exception to this can only be granted by the President of the Ground Jury or the Judge at C for that particular class.

If there is a tie in the final ranking of the Selection Trial, the tie will be broken based upon the combined average of the scores earned by horse/rider combinations in the FEI Grand Prix tests of the First and Second Rounds. If a tie remains thereafter it will be broken based on the scores earned by horse/rider combinations in the FEI Grand Prix Special test of the First Round of the Selection Trial. If a tie still remains thereafter it will be broken based upon the combined average of the FEI Grand Prix test scores awarded by the President of the Ground Jury (as named by the Organizer) to horse/rider combinations in the First and Second Rounds. If a tie still remains thereafter it will be broken based on the majority vote of the Selectors.

The two Rounds of the Selection Trial will be conducted in accordance with the USEF Dressage High Performance and Championship rules valid at the time of the Selection Trial.

A horse/rider combination that is not able to complete one or more of the tests at either or both of the Rounds at the Selection Trial due to a non-chronic illness or injury to the horse or rider, documented by a veterinarian or physician respectively approved by the USEF Dressage High Performance Committee, and approved by the USEF High Performance Working Group and the USEF Executive Committee, may be included in the final rankings from the Selection Trial with the approval of the Selectors under the following conditions:

- The horse/rider combination must have completed both the FEI Grand Prix and FEI Grand Prix Special tests at the First Round or must have completed both the FEI Grand Prix and FEI Grand Prix Freestyle tests at the Second Round or must have completed the FEI Grand Prix test in both Rounds in order to be included in the final rankings from the Selection Trial.
- Where a horse/rider combination has not completed the FEI Grand Prix test at one of the two Rounds, the score for the missed FEI Grand Prix test will be substituted with the combined average of all scores from the FEI Grand Prix test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from the FEI Grand Prix test was dropped for ranking purposes, if applicable, and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.
- Where a horse/rider combination has not completed the FEI Grand Prix Special test at the First Round, the score for the missed FEI Grand Prix Special test will be substituted with the combined average of all scores from the FEI Grand Prix Special test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from the FEI Grand Prix test was dropped for ranking purposes, if applicable, and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.

- Where a horse/rider combination has not completed the FEI Grand Prix Freestyle test at the Second Round, the score for the missed FEI Grand Prix Freestyle test will be substituted with the combined average of all scores from the FEI Grand Prix Freestyle test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from the FEI Grand Prix test was dropped for ranking purposes, if applicable, and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.

If a horse/rider combination is eliminated from a test at one of the two Rounds of the Selection Trial for any reason, the combination may be allowed to continue to compete in the selection trial and may still be considered for selection with the approval of the Selectors after consultation with the Team Vet, Veterinary Panel, President of the Ground Jury (as named by the Organizer) and the Technical Advisor under the following conditions:

- The horse/rider combination must have competed in both the FEI Grand Prix and FEI Grand Prix Special tests at the First Round or must have competed in both the FEI Grand Prix and FEI Grand Prix Freestyle tests at the Second Round in order to be considered in the final rankings from the Selection Trial.
- Where a horse/rider combination has not competed in the FEI Grand Prix test at one of the Rounds, the score for the missed FEI Grand Prix test will be substituted with the combined average of all scores from the FEI Grand Prix test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from the FEI Grand Prix test was dropped for ranking purposes, if applicable, and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.
- Where a horse/rider combination has not competed in the FEI Grand Prix Special test at the First Round, the score for the missed FEI Grand Prix Special test will be substituted with the combined average of all scores from the FEI Grand Prix Special test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from the FEI Grand Prix test was dropped for ranking purposes, if applicable, and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.
- Where a horse/rider combination has not competed in the FEI Grand Prix Freestyle test at the Second Round, the score for the missed FEI Grand Prix Freestyle test will be substituted with the combined average of all scores from the FEI Grand Prix Freestyle test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from the FEI Grand Prix test was dropped for ranking purposes, if applicable, and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.

3. Selection Trial Ground Jury:

The Ground Jury will consist of a total of five FEI judges with a rating of "O" (Official), retired "O" and/or "I" (International) who will officiate over the dressage classes of the Selection Trial. The Ground Jury will consist of four or five U.S. FEI judges and may include up to one foreign FEI judge. Three FEI judges will sit along the short side of the dressage arena at H, C and M, one FEI judge will sit at B, and one FEI judge will sit at E. The President of the Ground Jury will be named by the Organizer.

4. Soundness:

All horses will be examined for soundness at the start of the First and Second Rounds of the Selection Trial by a panel of three veterinarians recommended by the USEF Dressage High Performance Committee, and approved by the USEF High Performance Working Group and the USEF Executive Committee and must include at least two members of the Ground Jury. Horses found to be unsound by a majority of the Veterinary Panel in consultation with the present members of the Ground Jury at either the First or Second Round of the Selection Trial may result in the elimination of the horse/rider combination from the selection process by the Selectors. Horses will either “pass” or “fail” these examinations upon majority vote of the Veterinary Panel. In the case of a “failing vote,” riders will have the option of re-presentation, if a horse’s soundness is questioned, a decision to eliminate such a horse from the Selection Trial will only be taken following a majority vote of the Veterinary Panel.

**E. Provided below is a comprehensive, step-by-step description of the method that explains how athletes will go through the selection process to become team nominees.**

1. **August 16, 2010: Short List/Nominated Entry**— After the Selection Trial (August 15, 2010) but no later than the closing date for the FEI Nominated Entries, August 16, 2010, the USEF Executive Committee or its designees, acting upon the Selectors’ certification that the Selection Procedures have been followed and their recommendations to the USEF Dressage High Performance Committee, will nominate the maximum number of horse/rider combinations permitted according to the FEI rules, based upon the procedures as set forth herein.

**Positions One, Two and Three** - The first, second and third positions on the Short List/Nominated Entry will be filled by the first, second and third ranked horse/rider combinations from the Selection Trial including any horse/rider combinations as outlined in Section II. If a rider competes in the Selection Trial on more than one horse and ranks in the first three placings of the Selection Trial with both horses, the rider will be named to the Short List/Nominated Entry in one position on both horses. The determination regarding on which horse the rider will be named on the FEI Definite Entry will be made based on the recommendation of the Technical Advisor considering all qualifying scores and the scores earned at final Selection Trial and the recommendation will be considered for approval by the Selectors, the USEF High Performance Working Group, and the USEF Executive Committee or its designees. Horse/rider combinations being placed in the first, second and third positions on the Short List/Nominated Entry may not be replaced, except for medical or veterinary reasons, or for breach of the USEF Code of Conduct, or for failure to sign the USEF Horse Loan Agreement (Attachment D), but only with the approval of the Technical Advisor and with the majority vote of the Selectors. Any substitutions for medical or veterinary reasons must also be documented by a physician(s) or veterinarian(s) respectively approved by the USEF High Performance Working Group and the USEF Executive Committee or Subcommittee thereof.

**Position Four** - The fourth position on the Short List/Nominated Entry will be filled either by the fourth ranked horse/rider combination from the Selection Trial as outlined in Section D or by a horse/rider combination named by the USEF Dressage Selectors (“Selectors” - see Definitions on page 19). The Selectors may name a horse/rider combination to the fourth position that has not participated in or completed the entire Selection Trial in accordance with the criteria below if the combination has contributed to a team medal or has finished in one of the top six positions as an individual at a World Championship or Olympic Games within the past four years (this excludes horse/rider combinations which were drop scores or alternates at a team competition):

- A) The Applicant must submit a request in writing to USEF High Performance Dressage Director to be considered for this clause prior to the completion of the Grand Prix Freestyle in Round Two of Selection Trial;
- B) The Applicant horse/rider combination is qualified for an invitation to the Selection Trial in accordance with Section C "Tryout Events";
- C) Applicant horse must submit to a veterinary inspection by the Team Veterinarian who will be accompanied by at least one of the Selectors. This veterinary inspection must be completed within four weeks prior to the start of the Selection Trial and horse/rider combinations that are to be considered under this clause must make themselves available for the veterinary inspection according to the schedule of the veterinarian and selector(s).

The remaining ranked horse/rider combinations from the Selection Trial as outlined in Section D.2, up to the maximum number of riders and horses permitted under FEI rules for the Event, will be named on the Short List/Nominated Entry for the Event.

**Substitutes** - Once the Short List/Nominated Entry for the Event has been announced and prior to August 16, 2010, Short List/Nominated Entry horse/rider combinations may be substituted with the substitute horse/rider combinations in order of their ranking from the Selection Trial as outlined in Section D, for medical or veterinary reasons, or for breach of the USEF Code of Conduct (Attachment B), or for failure to sign the USEF Horse Loan Agreement (Attachment D), but only with the approval of the Technical Advisor and with the majority vote of the Selectors. Any substitutions for medical or veterinary reasons must also be documented by a physician(s) or veterinarian(s) respectively approved by the USEF High Performance Working Group and the USEF Executive Committee.

After the Nominated Entry has been submitted (not later than August 16, 2010) and prior to the submission of the Definite Entry (not later than September 22, 2010) Short Listed horse/rider combinations may only be replaced with other Short Listed horse/rider combinations in order of their ranking with the exception of the horse rider combination in the fourth ranked position. If the horse/rider combination in the fourth position must be replaced for any reason including voluntary withdrawal, removal by the selectors or as a result of that combination moving up in the ranking due to the withdrawal or removal of horse/rider combination in positions one, two or three, the position may be filled by the horse/rider combination following that combination in the ranking or by a horse/rider combination recommended by the Selectors based on the discretionary criteria outlined in Section II. B.

2. **September 22, 2010: FEI Definite Entries** – After submission of the Nominated Entries but no later than the closing date for the FEI Definite Entries, September 22, 2010, the USEF Executive Committee or its designees, acting upon the Selectors' certification that the Selection Procedures have been followed and their recommendations to the USEF Dressage High Performance Committee, will nominate the maximum number of horse/rider combinations permitted according to the FEI rules (four rider/four horses), based upon the procedures and ranking as set forth in Section E.1.

The USEF Executive Committee or its designees, acting upon the Selectors' certification that the Selection Procedures have been followed and their recommendation to the USEF Dressage High Performance Committee, will also nominate the remaining horse/rider combinations as substitute athletes in order of their ranking from the Selection Trial including any horse/rider combinations outlined in Section D.

3. **After the Definite Entry and up to the Veterinary Inspection** - After the Team has been submitted (the Definite Entry), horse/rider combinations may only be replaced with substitute horse/rider combinations according to Article 423 and/or the following criteria. Once the Definite Entry for the Event has been submitted (September 22, 2010) and prior the substitution date printed in the Event

Schedule for Dressage (no later than the Event horse inspection) horse/rider combinations may be substituted with the substitute horse/rider combinations for medical or veterinary reasons, or for breach of the USEF Code of Conduct (Attachment B), or for failure to sign the USEF Horse Loan Agreement (Attachment D), but only with the approval of the Technical Advisor and with the majority vote of the Selectors and approved by the Organizing Committee. Any substitutions for medical or veterinary reasons must also be documented by a physician(s) or veterinarian(s) respectively approved by the USEF High Performance Working Group and the USEF Executive Committee. *"Following receipt of definite entries, substitution of horse(s) and athlete(s) from the Nominated list, may be made with the agreement of the Organising Committee (OC). The OC must print in the schedule the latest date for substitution of horse(s) and athlete(s), which may never be later than the day of the veterinary inspection."* (Art. 423)

4. **Declaration of Starters** - In accordance with FEI Article 424 *"The declaration of starters should be done no later than two hours before the draw. The exact time of the draw should be published in the schedule. 2. In the event of an accident or illness of a athlete and/or horse declared a starter, this athlete and/or horse may, up to two (2) hours before the start of the competition and on production of a certificate from the doctor and/or the FEI Veterinary Delegate, after approval of the Ground Jury, be replaced by another athlete and/or another horse formally entered and/or qualified. The athlete or horse withdrawn may not then start either as a member of a team or as an individual. The replacement athlete shall start first in the competition and the rest of the starting times shall be adjusted accordingly for the other athletes. For CDIOs and the final Individual Grand Prix Freestyle competition where 4 athletes have qualified within the first 15 for one NF and only 3 athletes may participate, in cases of certified illness of one of the qualified athletes/horses, the 4th athlete will replace one of the qualified athletes from the same NF."* (Art. 424)

**E. Provided below are the names of all committees/groups who oversee the selection process, including the name(s) and role(s) of their members.**

The USEF Dressage Selectors (see Definitions on page 19) and USEF Dressage High Performance Committee will oversee the selection process, which process shall be reviewed and approved by the USEF High Performance Working Group and USEF Executive Committee or its designees. (See Attachment H).

**F. Other Considerations:**

1. **Equine Drug Testing** – All applicant horses are subject to drug testing. Blood and/or urine samples may be collected at any time throughout the selection period, which is defined as commencing on January 1, 2010. Samples will be collected from horses placed in the first six positions at the conclusion of the Selection Trial and samples will be collected from horses ranked in the first six positions in the Selection Ranking and these samples will be tested for the presence of all substances forbidden and/or controlled by the FEI. In the event a prohibited substance is detected, its presence will be reported to James Wolf, USEF Executive Director, Sport Programs and the Selectors. In the event a substance is detected for which the FEI has established quantitative restrictions, the amount of the substance will be quantified and reported to James Wolf, USEF Executive Director, Sport Programs and the Selectors. Any horse whose sample contains a prohibited substance or a restricted substance in a concentration greater than the applicable FEI limits for any FEI prohibited and/or quantified substance may result in the elimination of that horse/rider combination from the selection process by the Selectors upon the recommendation of the Veterinary Panel (see Attachment I) and with the approval of the USEF Dressage High Performance Committee, the USEF High Performance Working Group, and the USEF Executive Committee or its designees. This provision does not abrogate the enforcement of applicable doping rules at FEI and/or USEF competitions.

2. **Soundness** - Horses that are candidates for selection for the Event may be examined at any time during the selection process for soundness by the Team Veterinarian upon the recommendation of the Selectors, or USEF Dressage High Performance Committee and USEF High Performance Working Group. Horses found to be unsound by the Team Veterinarian may result in the elimination of the horse/rider combination from the selection process, but only with the approval of the USEF Dressage High Performance Committee, the USEF High Performance Working Group, and the USEF Executive Committee or its designees.

All horses will be examined for soundness at the start of the First and Second Round of the Selection Trial by a panel of three veterinarians recommended by the USEF Dressage High Performance Committee, and approved by the USEF High Performance Working Group and the USEF Executive Committee or its designees and must include at least two members of the Ground Jury. Horses found to be unsound by a majority of the Veterinary Panel in consultation with the present members of the Ground Jury at either the First or Second Round of the Selection Trial may result in the elimination of the horse/rider combination from the selection process by the Selectors. Horses will either "pass" or "fail" these examinations upon majority vote of the Veterinary Panel. In the case of a "failing vote," riders will have the option of re-presentation, if a horse's soundness is questioned, a decision to eliminate such a horse from the Selection Trial will only be taken following a majority vote of the Veterinary Panel.

3. **Team Veterinarian Request** - Applicants, *at their own expense*, shall make available, upon the request of the Team Veterinarian, the veterinary records of horses being considered for selection. In addition, applicants, *at their own expense*, will be responsible for supplying any follow-up diagnostic information requested by the Team Veterinarian for horses being considered for selection. Applicants will also be responsible for completing any treatment prescribed by the Team Veterinarian, **after consultation with the horse's regular veterinarian if such a consultation is requested by the rider**, for horses being considered for selection. Failure to comply with any request of the Team Veterinarian may result in the removal of the horse/rider combination from the selection process by the Selectors upon the recommendation of the Team Veterinarian and with the approval of the USEF Dressage High Performance Committee and USEF Executive Committee or its designees.
4. **Veterinary Treatment** - Once the Short List-Nominated Entry/Team is announced, no later than the Nominated Entry Deadline as published in the Event Schedule, and prior to the departure of the horses for the World Equestrian Games venue for the purpose of competing in the Event, riders on the Short List-Nominated Entry/Team will be required to obtain written permission from the Team Veterinarian prior to Short Listed horses being treated by any person other than the Team Veterinarian. This permission must be obtained by using an official USEF Dressage Veterinary Treatment Request Form (Attachment J).

Failure to adhere to this policy may result in the removal of the rider/horse combination from the selection process by the Selectors upon the recommendation of the Team Veterinarian and with the approval of the USEF Dressage High Performance Committee, USEF High Performance Working Group, and the USEF Executive Committee or its designees. Failure to adhere to the directives of the Team Veterinarian as detailed on the USEF Dressage Veterinary Treatment Request Form may result in the removal of the rider/horse combination from the selection process by the Selectors upon the recommendation of the Team Veterinarian and with the approval of the USEF Dressage High Performance Committee, the USEF High Performance Working Group, and the USEF Executive Committee or its designees.

5. **Medical Request** - In the event of illness or injury, Applicants may be required to submit to a medical examination by a physician(s) approved by the USEF Executive Committee at any time during the

selection process for the purposes of determining the Applicant's ability to compete at the event that is the subject of the selection. Failure to submit to such examination may result in the removal of the applicant from the selection process by the Selectors upon the recommendation of the USEF approved physician(s) and with the approval of the USEF Dressage High Performance Committee and the USEF Executive Committee or its designees. Following the examination, should the USEF approved physician(s) find the applicant to be unfit to compete the applicant will be removed from the selection process with the approval of the USEF Dressage High Performance Committee, USEF High Performance Working Group and the USEF Executive Committee or its designees.

## **II. DISCRETIONARY SELECTION (if applicable)**

**A. Rationale for utilizing discretionary selection:** The option of discretionary selection is used to field the best team possible in the Event.

**B. Discretionary criteria and how it will be used: Position Four** - The fourth position on the Short List/Nominated Entry Team will be filled either by the fourth ranked horse/rider combination from the final Selection Trial including any horse/rider combinations outlined in Section II or by a horse/rider combination named by the USEF Dressage Selectors ("Selectors" - see Definitions on page 19). The Selectors may name a horse/rider combination to the fourth position that has not completed the Selection Trial but only in accordance with the criteria below and the combination has contributed to a team medal or has finished in one of the top six positions as an individual at a World Championship or Olympic Games within the past four years (this excludes horse/rider combinations which were drop scores or alternates at a team competition):

- A) The Applicant must submit a request in writing to USEF High Performance Dressage Director to be considered for this clause prior to the completion of the Grand Prix Freestyle in Round Two of Selection Trial;
- B) The Applicant horse/rider combination is qualified for an invitation to the Selection Trial in accordance with Section C "Tryout Events";
- C) Applicant horses must submit to a veterinary inspection by the Team Veterinarian who will be accompanied by at least one of the Selectors. This veterinary inspection must be completed within four weeks prior to the start of the Selection Trial and horse/rider combinations that are to be considered under this clause must make themselves available for the veterinary inspection according to the schedule of the veterinarian and selector(s).

A horse/rider combination that is not able to complete one or more of the tests at either or both of the Rounds at the Selection Trial due to a non-chronic illness or injury to the horse or rider, documented by a veterinarian or physician approved by the USEF Dressage High Performance Committee, and approved by the USEF High Performance Working Group and the USEF Executive Committee, may be included in the final rankings from the Selection Trial with the approval of the Selectors under the following conditions:

- The horse/rider combination must have completed both the FEI Grand Prix and FEI Grand Prix Special tests at the First Round or must have completed both the FEI Grand Prix and FEI Grand Prix Freestyle tests at the Second Round or must have completed the FEI Grand Prix test in both Rounds in order to be included in the final rankings from the final Selection Trial.
- Where a horse/rider combination has not completed the FEI Grand Prix test at one of the two Rounds, the score for the missed FEI Grand Prix test will be substituted with the combined average of all scores from the FEI Grand Prix test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from

the FEI Grand Prix test was dropped for ranking purposes, if applicable, and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.

- Where a horse/rider combination has not completed the FEI Grand Prix Special test at the First Round, the score for the missed FEI Grand Prix Special test will be substituted with the combined average of all scores from the FEI Grand Prix Special test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from the FEI Grand Prix test was dropped for ranking purposes, if applicable, and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.
- Where a horse/rider combination has not completed the FEI Grand Prix Freestyle test at the Second Round, the score for the missed FEI Grand Prix Freestyle test will be substituted with the combined average of all scores from the FEI Grand Prix Freestyle test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from the FEI Grand Prix test was dropped for ranking purposes, if applicable, and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.

If a horse/rider combination is eliminated from a test at one of the two Rounds of the Selection Trial for any reason, the combination may be allowed to continue to compete in the Selection Trial and may still be considered for selection with the approval of the Selectors in consultation with the Team Vet, Veterinary Panel, President of the Ground Jury (as named by the Organizer) and the Technical Advisor under the following conditions:

- The horse/rider combination must have competed in both the FEI Grand Prix and FEI Grand Prix Special tests at the First Round or must have competed in both the FEI Grand Prix and FEI Grand Prix Freestyle tests at the Second Round in order to be considered in the final rankings from the Selection Trial.
- Where a horse/rider combination has not competed in the FEI Grand Prix test at one of the Rounds, the score for the missed FEI Grand Prix test will be substituted with the combined average of all scores from the FEI Grand Prix test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from the FEI Grand Prix test was dropped for ranking purposes, if applicable, and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.
- Where a horse/rider combination has not competed in the FEI Grand Prix Special test at the First Round, the score for the missed FEI Grand Prix Special test will be substituted with the combined average of all scores from the FEI Grand Prix Special test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from the FEI Grand Prix test was dropped for ranking purposes, if applicable, and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.
- Where a horse/rider combination has not competed in the FEI Grand Prix Freestyle test at the Second Round, the score for the missed FEI Grand Prix Freestyle test will be substituted with the combined average of all scores from the FEI Grand Prix Freestyle test earned at all USEF 2010 World Equestrian Games Qualifying Competitions, including those qualifying competitions where the score from the FEI Grand Prix test was dropped for ranking purposes, if applicable,

and excluding scores from those qualifying competitions declared by the rider not to count per Section I.C.2.

### **C. Discretionary Selection Committee**

- 1. Provide the name of the committee(s) that will be responsible for discretionary selection.**

USEF High Performance Dressage Selectors (see Definitions on page 19)  
USEF High Performance Working Group  
USEF Executive Committee

- 2. Provide a complete list of the members of the committee(s), their titles and their NGB role that qualifies them to serve in this capacity.**

See Attachment F for list of USEF committees, their roles, and list of members. The USEF HP Dressage Selectors (“Selectors”) will consist of the un-conflicted members of the USEF HP Dressage Committee (Attachment H) who are subject to the USEF Code of Conduct (Attachment B) and the USEF Code of Ethics and Conflict of Interest Policy (Attachment L). There will be a minimum of 20% Dressage Eligible Athlete representation on the panel of Selectors. A Dressage Eligible Athlete Representative may not be an applicant for the 2010 World Equestrian Games Dressage Team.

The USEF HP Dressage Eligible Athlete Committee (Attachment H) will recommend to the USEF HP Dressage Committee, and the USEF HP Working Group, with approval from the USEF Executive Committee or its designees, up to five “Replacement Selectors” who are members of the dressage community, knowledgeable and experienced in dressage at the international level and are Senior Active Members in good standing with USEF. Replacement Selectors will be used if there are fewer than three un-conflicted members of the USEF HP Dressage Committee to serve as Selectors. At least three of the individuals recommended by the USEF HP Dressage Eligible Athlete Committee to serve as Selectors will be Dressage Eligible Athletes as defined by the United States Olympic Committee.

### **III. REMOVAL OF RIDERS**

A. A Rider who is nominated to the Short List/Nominated Entries or Team may be removed from the Short List/Nominated Entries or Team for any of the following reasons, as determined by USEF and in accordance with these procedures:

- Voluntary withdrawal. Rider must submit a written letter to the USEF CEO.
- Injury or illness as certified by a USEF approved physician (or medical staff). If a rider refuses verification of their illness or injury by a USEF approved physician (or medical staff), their injury will be assumed to be disabling and they may be removed.
- Violation of the USEF’s Code of Conduct (Attachment B).
- Failure of an owner to sign the Horse Loan Agreement (Attachment D).
- Injury or illness of the horse as determined by the Team Veterinarian. If an athlete and/or horse owner refuses to have the horse examined by the Team Veterinarian, the injury or illness will be assumed to be disabling and the horse may be removed.
- Exceptional circumstances having a direct bearing upon obtaining the best qualified team for the 2010 World Equestrian Games, but only with the approval of the Technical Advisor and with the unanimous approval of the Selectors.
- Detection of a prohibited substance in the horse.

- Failure to adhere to all WADA, FEI, USEF and USADA anti-doping protocols, policies and procedures including participation in out of competition testing as required by WADA, FEI, USEF and USADA Rules.
- Failure to comply with any request of the Team Veterinarian and/or any member of the Veterinary Panel.
- Failure to submit a written request not to attend the mandatory outing(s) prior to the start of the mandatory outing, if possible, but no later than the conclusion of the mandatory outing, to the Selectors.

In these procedures the removal of a rider is closely related to the process by which they will be substituted. Therefore, the reasons for which an athlete may be removed are thoroughly addressed in Section IV.  
**SUBSTITUTION OF HORSE/RIDER COMBINATIONS.**

A rider removed from the Short List/Nominating Entries or Team pursuant to this provision has the right to a hearing per USEF's Bylaws, Article 703 and USEF General Rules, Chapter 6, GR 605 or USOC Bylaws, Section 9.

**B. After the submission of the Definite Entry to the FEI**

A rider may be removed from the Definite Entry or Team at any time for the following reasons:

- Violation of WADA, FEI, and USADA anti-doping protocol, policies and procedures. In such instances, the adjudication process will be managed through the United States Anti-Doping Agency.
- Injury or illness as certified by a USEF approved physician (or medical staff)
- Injury or illness of the horse as determined by a majority of the veterinary panel.
- Violation of the USEF Code of Conduct (Attachment B).

**IV. SUBSTITUTION OF HORSE/RIDER COMBINATIONS**

**A. Following is the process by which the substitute pool of athletes will be identified:** The list of riders on the Short List/Nominated Entry will comprise the pool of substitute riders.

**B. Substitute horse/rider combinations will be selected, should a vacancy occur, according to the following method**

1. **Prior to acceptance of nominations by FEI:** Once the Short List/Nominated Entry for the Event has been announced and prior to August 16, 2010, Short List/Nominated Entry horse/rider combinations may be substituted with the substitute horse/rider combinations in order of their ranking from the Selection Trial as outlined in Section II, for medical or veterinary reasons, or for breach of the USEF Code of Conduct (Attachment B), or for failure to sign the USEF Horse Loan Agreement (Attachment D), but only with the approval of the Technical Advisor and with the majority vote of the Selectors. Any substitutions for medical or veterinary reasons must also be documented by a physician(s) or veterinarian(s) approved by the USEF High Performance Working Group and the USEF Executive Committee.
2. **After acceptance of nominations by FEI:** After the Nominated Entry has been submitted (not later than August 16, 2010) and prior to the submission of the Definite Entry (not later than September 22, 2010) Short Listed horse/rider combinations may only be replaced with other Short Listed horse/rider combinations in order of their ranking with the exception of the horse/rider combination in the fourth ranked position. If the horse/rider combination in the fourth position must be replaced for any reason including voluntary withdrawal, removal by the selectors or as a result of that combination moving up in the ranking due to the withdrawal or removal of horse/rider combination in positions one, two or three,

the position may be filled by the horse/rider combination following that combination in the ranking or by a horse/rider combination recommended by the selectors based on the discretionary criteria outlined in Section I. B. 2.

3. **After the Definite Entry and up to the Veterinary Inspection:** After the Team has been submitted (the Definite Entry), horse/rider combinations may only be replaced with substitute horse/rider combinations according to Article 423 of the FEI Rules for Dressage Events [23<sup>rd</sup> Edition, Effective 1<sup>st</sup> January 2009] and/or the following criteria. Once the Definite Entry for the Event has been submitted (September 22, 2010) and prior the substitution date printed in the Event Schedule for Dressage (no later than the veterinary inspection for the Event) horse/rider combinations may be substituted with the substitute horse/rider combinations for medical or veterinary reasons, or for breach of the USEF Code of Conduct (Attachment B), or for failure to sign the USEF Horse Loan Agreement (Attachment D), but only with the approval of the Technical Advisor and with the majority vote of the Selectors and approved by the Organizing Committee. Any substitutions for medical or veterinary reasons must also be documented by a physician(s) or veterinarian(s) approved by the USEF High Performance Working Group and the USEF Executive Committee. *“Following receipt of definite entries, substitution of horse(s) and athlete(s) from the Nominated list, may be made with the agreement of the Organising Committee (OC). The OC must print in the schedule the latest date for substitution of horse(s) and athlete(s), which may never be later than the day of the veterinary inspection.” (Art. 423)*

#### 4. Declaration of Starters

In accordance with Article 424 of the FEI Rules for Dressage Events [23<sup>rd</sup> Edition, Effective 1<sup>st</sup> January 2009] *“The declaration of starters should be done no later than two hours before the draw. The exact time of the draw should be published in the schedule. 2. In the event of an accident or illness of a athlete and/or horse declared a starter, this athlete and/or horse may, up to two (2) hours before the start of the competition and on production of a certificate from the doctor and/or the FEI Veterinary Delegate, after approval of the Ground Jury, be replaced by another athlete and/or another horse formally entered and/or qualified. The athlete or horse withdrawn may not then start either as a member of a team or as an individual. The replacement athlete shall start first in the competition and the rest of the starting times shall be adjusted accordingly for the other athletes. For CDI Os and the final Individual Grand Prix Freestyle competition where 4 athletes have qualified within the first 15 for one NF and only 3 athletes may participate, in cases of certified illness of one of the qualified athletes/horses, the 4th athlete will replace one of the qualified athletes from the same NF.” (Art. 424)*

#### C. Group or committee that will be responsible for making athlete replacement determinations:

1. **Group or committee who determines the replacement pool:** USEF Dressage Selectors, USEF Dressage High Performance Committee, USEF High Performance Working Group, USEF Executive Committee or its designees.
2. **Group or committee who determines a replacement to the team:**
  - a. **prior to acceptance of nominations by the FEI:**  
USEF Dressage Selectors, USEF Dressage High Performance Committee, USEF High Performance Working Group, USEF Executive Committee or its designees.
  - b. **after acceptance of nominations by the FEI:**  
See IV. B. 2. a. above.
  - c. **during the Games:**  
Members of the groups and committees listed in IV. B. 2. a. above who are physically present.

**V. SUPPORTING DOCUMENTS** – USEF will retain all supporting documents, including evaluation forms and data from the selection process for six months past the date of the Closing Ceremonies of the 2010 World Equestrian Games.

**VI. REQUIRED DOCUMENTS:** The following documents are required to be properly executed as a condition of nomination for the Event and are included as attachments:

- Application (Attachment A)
- USEF Code of Conduct (Attachment B)
- USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment C)
- Horse Loan Agreement (Attachment D)

Applicants and horse owners will be required to certify that they have received and read a copy of the Application, USEF Code of Conduct, USEF Release, Assumption of Risk, Waiver and Indemnification, and Horse Loan Agreement and that they understand the document.

In addition, all persons participating in any decision made pursuant to the Selection Procedures including but not limited to Selectors, members of the Veterinary Panel, Physicians, members of the Dressage High Performance Committee, High Performance Working Group, and the USEF Executive Committee or its designees will be required to abide by the USEF Code of Ethics and Conflict of Interest Policies (Attachment L).

Reference is made to the attached USEF Code of Ethics and Conflict of Interest Policies for a full and accurate statement of the requirements of that policy. In particular, however, no individual may participate in a particular phase of the selection process if, after full disclosure, the individual is found by the applicable committee to have a disqualifying conflict of interest in the particular phase of the selection process in question per the attached USEF Code of Ethics and Conflict of Interest Policy.

**VII. ADDITIONAL DOCUMENTS:** Applicants will be required to read the USEF Athlete Agreement (Attachment K) and are requested to sign this document.

**VIII. PUBLICITY/DISTRIBUTION OF PROCEDURES** – The USEF Executive Committee approved Selection Procedures (competes and unaltered) will be posted/published by USEF in the following locations:

- A. USEF Website - [www.usef.org](http://www.usef.org)  
These procedures will be posted as soon as possible, but not more than 5 business days following notice of approval by the USEF Executive Committee.
- B. USEF Official Publication – “Equestrian” magazine
- C. Other: The Procedure and Application Packet will also be available by mail upon request

**IX. DATE OF NOMINATION** – The Nomination of Athletes form, including replacements will be submitted to the FEI no later than August 16, 2010.

**X. MANDATORY TRAINING AND/OR COMPETITION**

Location, schedule and duration of mandatory training and/or competition:

Immediately following the conclusion of the Selection Trial and up until the date of departure for the Dressage Team from the Selection Trial to the Event venue, all horses named to the FEI Nominated Entry may be required

to remain at a designated training facility, which will be identified prior to May 1, 2010. Beginning August 25, 2010 all riders named to the FEI Nominated Entry shall make themselves available upon request by USEF or the USEF Dressage Technical Advisor to be at a designated training facility, which will be identified prior to May 1, 2010. Horse/rider combinations named to the FEI Nominated Entry will be required to demonstrate their continued preparation, soundness, and ability by participating in mandatory training sessions at the designated training facility. These mandatory training sessions will be in addition to any other training sessions a horse/rider combination has organized with trainers other than the USEF Dressage Technical Advisor.

Once the Dressage Team and replacements for the Event have been nominated, those horse/rider combinations will come under the control of the Technical Advisor. Once named to the Short List/Nominated Entry/Team a rider must have the written approval of the Technical Advisor to work with a coach or trainer other than the Technical Advisor.

A Short Listed horse/rider combination that is not able to attend the mandatory training sessions because of veterinary, medical, or family emergencies or for logistical reasons may request special consideration for selection by submitting a written request prior to the mandatory training sessions to the Selectors who will approve or disapprove the request based on the circumstances, facts, and, in the case of illness or injury to horse or rider, documentation by a member of the Veterinary Panel or physician(s) approved by the USEF Executive Committee or its designees.

**XI. ANTI-DOPING REQUIREMENTS** – Riders must adhere to all IOC, WADA, FEI, USEF, USADA protocols, policies and procedures. This includes participation in out of competition testing as required by IOC, WADA, FEI, USEF and USADA.

**XII. DEVELOPMENT OF SELECTION PROCEDURES** – The following committees/groups were responsible for creating these Selection Procedures: USEF Dressage Eligible Athletes Committee, USEF Dressage High Performance Committee, USEF High Performance Working Group, USEF Executive Committee. See Attachment H for list of USEF committees, their roles, and list of members.

**XIII. USEF BYLAWS AND GRIEVANCE PROCEDURES** – The USEF Bylaws and Grievance Procedures can be found on the USEF's website [www.usef.org](http://www.usef.org).

**XIV. INTERNATIONAL DISCLAIMER** – These procedures are based on USEF and/or FEI rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in USEF and/or FEI rules and regulations will be distributed to the affected athletes immediately. The selection criteria are based on the latest information available to USEF. However, the selection procedures are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

**XV. ATHLETE OMBUDSMAN** – Athletes who have questions regarding their opportunity to compete that are not answered by USEF may contact the USOC Athlete Ombudsman: John W. Ruger by:

- Toll free telephone at (888) ATHLETE
- E-mail at [john.ruger@usoc.org](mailto:john.ruger@usoc.org)
- [www.888athlete.org](http://www.888athlete.org)

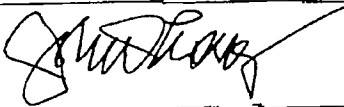

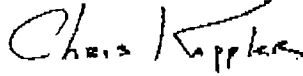
**XVI. FUNDING DISCLAIMER** - Selection to the 2010 World Equestrian Games Dressage Team does not guarantee that funds will be available to send a team.

**XVII. DISCLAIMER** – Please see Attachment C – USEF Release, Assumption of Risk, Waiver and Indemnification.

**XVII. DISCLAIMER** – Please see Attachment C – USEF Release, Assumption of Risk, Waiver and Indemnification.

Any questions regarding these Selection Procedures should be addressed to the USEF High Performance Dressage Director, United States Equestrian Federation, 4047 Iron Works Parkway, Lexington, KY 40511.

**XVIII. NGB SIGNATURES:** I certify that I have read, understand and incorporated our IF standards/criteria into our Selection Procedures and that the information provided herein regarding these Selection Procedures represents the method approved by the United States Equestrian Federation.

Position	Print Name	Signature	Date
USEF President or Executive Director	John Long		1/25/10
Technical Advisor	Anne Gribbons		1/25/10
USOC Athletes' Advisory Council Representative*	Chris Kappler		1/25/10

#### **DEFINITIONS**

**USEF HIGH PERFORMANCE (HP) DRESSAGE SELECTORS** - The USEF HP Dressage Selectors ("Selectors") will consist of the un-conflicted members of the USEF HP Dressage Committee (Attachment H) who are subject to the USEF Code of Conduct (Attachment B) and the USEF Code of Ethics and Conflict of Interest Policy (Attachment L). There will be a minimum of 20% Dressage Eligible Athlete representation on the panel of Selectors. A Dressage Eligible Athlete Representative may not be an applicant for the 2010 World Equestrian Games Dressage Team.

The USEF HP Dressage Eligible Athlete Committee (Attachment H) will recommend to the USEF HP Dressage Committee, and the USEF HP Working Group, with approval from the USEF Executive Committee or its designees, up to five "Replacement Selectors" who are members of the dressage community, knowledgeable and experienced in dressage at the international level and are Senior Active Members in good standing with USEF. Replacement Selectors will be used if there are fewer than three un-conflicted members of the USEF HP Dressage Committee to serve as Selectors. At least three of the individuals recommended by the USEF HP Dressage Eligible Athlete Committee to serve as Selectors will be Dressage Eligible Athletes as defined by the United States Olympic Committee.

**DRESSAGE ELIGIBLE ATHLETE REPRESENTATIVE** – An athlete will be considered a Dressage Eligible Athlete Representative if they meet the following criteria as defined by the USEF in Part IV-Athletes, Bylaw 401 of its Bylaws and the United States Olympic Committee in Article XVII.17.7, B.3 of its Bylaws as eligible for membership on a "designated committee":

and/or

- ii) within the past twenty-four (24) months, demonstrated that they are actively engaged in amateur athletic competition by finishing in the top half of the NGB's national championship or a team selection competition for the events specified in paragraph (i) above.

**SUBSTITUTE** – replacing a horse/rider combination after the FEI Definite Entries have been submitted.

**Approved by the USEF Dressage High Performance Committee on *December 8, 2009***

**Approved by the USEF High Performance Working Group on *January 15, 2010***

**Approved by the USEF Executive Committee on *January 14, 2010***



UNITED STATES EQUESTRIAN FEDERATION, INC.  
2010 WORLD EQUESTRIAN GAMES DRESSAGE APPLICATION  
(Attachment A)

**RIDER**

Name: \_\_\_\_\_  
*First* \_\_\_\_\_ *Last* \_\_\_\_\_ *USEF #* \_\_\_\_\_

Address: \_\_\_\_\_  
*Street Address* \_\_\_\_\_ *City/State/ZIP* \_\_\_\_\_

Cell Phone: ( ) \_\_\_\_\_ Fax: ( ) \_\_\_\_\_

Email Address: \_\_\_\_\_ Is email a reliable way to reach you?  Yes  No

**HORSES** (A separate application must be received for each horse/ rider combination)

Horse 1/  
USEF# \_\_\_\_\_ Horse  
Owner Name \_\_\_\_\_

Horse  
FEI # \_\_\_\_\_ Horse  
Owner Name \_\_\_\_\_

Horse  
Passport # \_\_\_\_\_

Horse  
Blanket  
Size: \_\_\_\_\_

**BINDING AGREEMENT** (The rider and one owner per horse are required to sign the following Binding Agreement. Use additional forms if needed.)

I have read the USEF Athlete Selection Procedure for the 2010 World Equestrian Games Dressage Team, the USEF Code of Conduct and the USEF Release, Assumption of Risk, Waiver and Indemnification. I understand these documents and agree to be bound by them.

\_\_\_\_\_  
Print Rider Name

\_\_\_\_\_  
Rider Signature/Date

\_\_\_\_\_  
Print Owner 1 Name

\_\_\_\_\_  
Owner 1 Signature/Date

\_\_\_\_\_  
Print Owner 2 Name

\_\_\_\_\_  
Owner 2 Signature/Date

Return to Jenny Van Wieren, USEF, 4047 Ironworks Parkway Lexington, KY 40511  
By Monday, May 31, 2010 to avoid late fee.  
Applications and fees postmarked, hand-delivered, faxed,  
or submitted online after May 31, 2010 and received by USEF on or before  
June 15, 2010 will be charged an application fee of \$300 per application  
**FINAL DEADLINE – Tuesday, June 15, 2010**  
**FAX: (859)231-6662**



UNITED STATES EQUESTRIAN FEDERATION, INC.  
CODE OF CONDUCT  
Attachment B

As a United States Equestrian Federation, Inc. (USEF) competitor and/or horse owner, I acknowledge my obligation to uphold and adhere to the highest standards of horsemanship, sportsmanship, and citizenship whenever I am representing my country whether as a team member, individual competitor, or horse owner, and whether during actual competition or not.

As a horseman, I will place my horse's welfare above all other considerations and faithfully observe both the USEF and the FEI Codes of Conduct.

As a sportsman, I will know and comply with all pertinent rules of USEF as my National Governing Body (NGB), the FEI as the International Governing Body, and, when applicable, the U.S. and International Olympic Committees, and honor the principles of fair play inherent in them. I will place the interests of the team above my own, and respect the decisions of its duly appointed/elected officials, made in the team's best interest.

As a citizen, I will respect the laws of my own country as well as those of foreign countries in which I or my horse(s) may compete. Knowing that as a USEF competitor and/or horse owner I also serve as an Ambassador for my sport, USEF, and my country. I will endeavor at all times to reflect credit on them through my conduct, dress, and behavior.

I clearly acknowledge my responsibility to ensure that my employees who accompany me whenever I am competing and/or representing my country also abide by this Code of Conduct.

I understand and accept that serious or repeated transgressions of these Codes may be grounds for suspension from a squad or team, or other appropriate disciplinary action. In addition, I agree to abide by selection procedures established by USEF wherever applicable.

Finally, I fully understand that entries will not be made until this application form is signed and completed in full and that this application indicates my intent to compete. Failure to participate may result in disciplinary action on USEF by the FEI (FEI Statutes 002.1, GR121.12, 165). I agree to reimburse all fines imposed by the FEI on USEF for failure to participate in the competitions entered and agree to honor all other penalties including possible and further disciplinary action.

\_\_\_\_\_  
Rider/Driver (print name)

\_\_\_\_\_  
Rider/Driver signature

\_\_\_\_\_  
USEF #

\_\_\_\_\_  
Date

\_\_\_\_\_  
Parent/Guardian (print name)

\_\_\_\_\_  
Parent or Guardian signature  
(if Rider/Driver is under 18)

\_\_\_\_\_  
USEF #

\_\_\_\_\_  
Date

\_\_\_\_\_  
Owner (print name)

\_\_\_\_\_  
Owner signature

\_\_\_\_\_  
USEF #

\_\_\_\_\_  
Date



UNITED STATES EQUESTRIAN FEDERATION, INC.  
RELEASE, ASSUMPTION OF RISK, WAIVER AND INDEMNIFICATION  
(Attachment C)

*This document waives important legal rights. Read it carefully before signing.*

I AGREE, in consideration for my participation as a competitor, and therefore a representative of the United States and the United States Equestrian Federation, Inc. (the National Federation), to the following:

I AGREE that I choose to participate voluntarily with my horse, as a rider, driver, handler, vaulter, longeur, lessee, owner, agent, or as parent or guardian of an exhibitor under 18 years of age. I am fully aware and acknowledge that horse sports involve inherent dangerous risks of accident, loss, and serious bodily injury including broken bones, head injuries, trauma, pain, suffering, or death ("Harm").

I AGREE to release the Federation from all claims for money damages or otherwise for any Harm to me or my horse and for any Harm caused by me or my horse to others, even if the Harm resulted, directly or indirectly, from the negligence of the Federation.

I AGREE to expressly assume all risks of Harm to me, or my horse, including Harm resulting from the negligence of the Federation.

I AGREE to indemnify (that is, to pay any losses, damages, or costs incurred by) the Federation and to hold it harmless with respect to claims for Harm to me, or my horse, and for claims made by others for any Harm caused by me, or my horse at the Competition

I AGREE that "the Federation" as used above includes all of its officials, officers, directors, employees, agents, personnel, volunteers and affiliated organizations.

I FURTHER AGREE THAT ALL DECISIONS REFERENCED IN THE SELECTION PROCEDURE FOR THE 2010 WORLD EQUESTRIAN GAMES EVENTING TEAM SHALL BE FINAL AS MADE BY THE REFERENCED INDIVIDUALS. BY SIGNING THE APPLICATION FOR THE 2010 WORLD EQUESTRIAN GAMES EVENTING TEAM, APPLICANTS, AND OWNERS, AGREE TO ABIDE BY ALL DECISIONS MADE IN ACCORDANCE WITH THE SELECTION PROCEDURE BY THE CHEF D'EQUIPE, SELECTORS, VETERINARY PANEL, PHYSICIANS, THE USEF EVENTING HIGH PERFORMANCE COMMITTEE, THE USEF HIGH PERFORMANCE WORKING GROUP, AND THE USEF EXECUTIVE COMMITTEE OR SUBCOMMITTEES THEREOF, AND AGREE TO HOLD THEM HARMLESS IN THIS REGARD. NOTHING HEREIN SHALL LIMIT AN APPLICANT'S RIGHTS UNDER THE TED STEVENS OLYMPIC AND AMATEUR SPORTS ACT AND THE USOC BYLAWS.

I represent that I have the requisite training, coaching and abilities to safely compete in this competition. BY SIGNING BELOW, I AGREE to be bound by all of the above terms, by all applicable Federation Bylaws and General Rules, FEI rules and USOC Bylaws.

Rider/Driver/Handler/Vaulter/Longeur

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

Owner/Agent

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

Parent/Guardian (Required if Rider/Driver/Handler/Vaulter/Longeur is under eighteen years of age.)

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_



**UNITED STATES EQUESTRIAN FEDERATION, INC.**  
**HORSE LOAN AGREEMENT**  
**(Attachment D)**

This agreement sets forth our mutual understandings regarding the loan of the horse(s) \_\_\_\_\_ to the United States Equestrian Federation, Inc. (USEF) for the 2010 World Equestrian Games. The loan period shall commence on the Nominated Entry date (Aug. 16, 2010) established by the Organizing Committee for the purpose of competing in the 2010 World Equestrian Games Dressage Competition until the conclusion of the 2010 World Equestrian Games Dressage competition.

It is understood that the horse owner(s) must certify, by signing the USEF application for the above competition, that they have received and read the Selection Procedures for the above competition, and the USEF Code of Conduct; that they understand these documents; and that they agree to be bound by them.

It is further understood that as required in the FEI General and Veterinary Regulations, the USEF's duly appointed Chef d'Equipe has final responsibility for general management, schooling, declaration and scratching of entries, and the observance of veterinary administration for all Team horses.

The USEF and the horse owner(s) understand that under all normal circumstances the horse(s) will be ridden/driven exclusively by \_\_\_\_\_. Notwithstanding the foregoing, the Chef d'Equipe reserves the right to change the rider/driver/vaulter, if, in his/her opinion, the best interests of the USEF will be served by doing so, providing the change is not in conflict with FEI rules. Before making the change, the Chef d'Equipe will notify both the rider/driver/vaulter and owner(s) of the horse, or the owner(s)' representative.

The USEF does not carry insurance on any horses placed in its custody and cannot be responsible for any accident, lameness, illness, or injury that could happen during stabling, training, transportation or competition. You may, of course, maintain your own insurance coverage.

By signing this agreement the horse owner(s) give their full permission to the USEF and its agents to administer medication to their horse(s) in the interest of the horse(s) welfare and well being during the loan period.

All financial and logistical information for the above competition will be outlined in the Rider/Driver/Vaulter Memorandum which will be circulated to riders/drivers/vaulters and the horse owners prior to the above competition. The USEF assumes no responsibility for salaries or other benefits.

By signing this agreement the horse owner(s) certifies that they have read, understand and agree to the attached prize money policy for the above competition, if applicable.

If the opportunity arises for you to sell your horse(s) during the loan period, you agree that the transfer of ownership will not take place until after the expiration of the loan period, unless both the USEF and the new owner(s) consent in writing to the transfer of ownership during the subject to this loan agreement. Furthermore, horse(s) will not be shown for sale during the competition that is the subject of this loan agreement.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Owner(s)

\_\_\_\_\_  
Name of Owner(s)

\_\_\_\_\_  
Mailing Address



## ATTACHMENT E

(Updated April 12, 2010)

Please be advised the following CDIs have been named as dressage qualifying competitions for the 2010 Collecting Gaits Farm/USEF National Grand Prix Championship/Selection Trial.

### 2009

Centerline Events @ Hits on the Hudson CDI-3*/Y/J, Saugerties, NY	August 14-16, 2009
NEDA Fall Festival CDI-W/Y/J, Saugerties, NY	September 9-13, 2009
Dressage at Devon CDI-W/Y/J, Devon, PA	September 22-27, 2009

### 2010

Gold Coast Opener CDI-W/Y, West Palm Beach, FL	January 22-24, 2010
ESP Wellington Dressage CDI-5, Wellington, FL	February 4-7, 2010
Dressage Getaway CDI-3*/Y/J, Indio, CA	February 11-14, 2010
Wellington Classic Spring Challenge CDI-W/Y West Palm Beach, FL	February 12-14, 2010
Mid-Winter Dressage Fair CDI-3*/Y/J, Burbank, CA	February 26-28, 2010
Palm Beach Dressage Derby CDI-W/Y, Loxahatchee, FL	March 4-7, 2010
The Dressage Affaire CDI-3*/Y/J, Del Mar, CA	March 12-14, 2010
WEF Dressage Classic CDI-3*, Wellington, FL	March 18-21, 2010
Festival of the Horse CDI-3*/Y/J, Burbank, CA	March 31-April 3, 2010
Golden State Dressage Festival CDI-3*/Y/J, Rancho Murieta, CA	April 8-11, 2010
Kentucky Cup (WEG Test Event) CDI-3*, Lexington, KY	April 20-22, 2010
Del Mar National CDI-3*/Y/J, Del Mar, CA	April 30-May 1, 2010
Dressage at Lamplight CDI-3*/Y/J, Wayne, IL	May 21-23, 2010
<b>*ESDCTA Memorial Weekend CDI-3*/Y/J, Allentown, NJ</b>	<b>May 27-30, 2010</b>
<del>Capital Dressage Classic CDI-W/Y/J, Raleigh, NC</del> CANCELLED	<del>June 4-6, 2010</del>

\*Updated April 12, 2010



**UNITED STATES EQUESTRIAN FEDERATION**  
THE NATIONAL GOVERNING BODY OF EQUESTRIAN SPORT

**ATTACHMENT F**

TO: Dressage Competitors

FROM: Gil Merrick – Assistant Executive Director, Sport Programs

DATE: June 1, 2009

RE: Qualifying Competitions for the 2010 USEF National Grand Prix Championship and Selection Trials for the 2010 Alltech/FEI World Equestrian Games Dressage Team

Members of the USEF Dressage Eligible Athletes Committee and USEF Dressage High Performance Committee have drafted the Selection Procedures intended to be used for the 2010 USEF National Grand Prix Championship which is proposed to serve as the final Selection Trial for the 2010 Alltech/FEI World Equestrian Games dressage team. It is intended that these Selection Procedures will be reviewed for approval by the USEF High Performance Working Group and USEF Executive Committee in July 2009, after which they would be published on the USEF website.

This memo serves to advise all dressage competitors that the proposed qualifying period would begin on July 1, 2009 and finish on July 15, 2010. Riders would be required to earn qualifying scores at CDIs and CDI-Ws. *Scores from all CDIs and CDI-Ws, both within and outside of the USA, would automatically count* unless the rider notified Gil Merrick, Assistant Executive Director of Sport Programs, no later than 48 hours prior to the veterinary inspection for that event that they *did not* want the score to be counted. The proposed Selection Procedures require that riders earn a minimum of two (2) qualifying scores in the Grand Prix, one ridden in combination with the Grand Prix Special and one ridden in combination with the Grand Prix Freestyle. Riders who earned more than the required two (2) qualifying scores may be able to drop the lowest Grand Prix score earned at any of the three (3) or more CDIs or CDI-Ws that count towards qualification. USEF would automatically track scores so there would be no need for riders to submit competition scores if they intended to qualify for the Championship.

The fifteen highest ranked riders at the end of the qualifying period (including all ties) would be invited to the Championship and it is proposed that riders would qualify no more than two (2) horses for the Championship. Rankings would be based on the average of Grand Prix scores earned at qualifying competitions. If a rider qualified two horses in the top fifteen (15) ranked rider/horse combinations, the next rider/horse combination would be invited to attend up to a maximum of fifteen (15) riders.

This preliminary information is being provided to assist you with your competition planning. Please remember that this information is based on draft Selection Procedures that have been proposed by the USEF Dressage Eligible Athletes Committee and USEF Dressage High Performance Committee and require finalization and approval by the USEF High Performance Working Group and USEF Executive Committee and are therefore subject to change.



## Attachment G

### United States Equestrian Federation, Inc. The National Governing Body for Equestrian Sport Criteria to Compete in Foreign CDIs, CDIOs, CDI-Ws

1. Both the horse and rider must be in good standing with United States Equestrian Federation, Inc. (USEF) as of the date of the foreign competition.
2. The rider must be at least 16 years of age, as determined by current FEI rules, a U.S. citizen, and a current Senior or Junior member of USEF.
3. The horse must be at least six years old to compete up to the Intermediate II level and at least seven years old to compete at the Grand Prix level, possess a valid FEI Passport, and be life recorded with USEF.
4. For CDI(W)s the rider and horse must be currently listed on one of the USEF Dressage Long Lists. Riders based in the US or overseas, who are not on the current USEF Long List or whose horse(s) are not on the current USEF Long List, may be exempt from having to obtain a waiver if their scores from CDIs or CDNs within the last six months of the start date of the competition are equivalent to or higher than scores needed to be on the USEF Long List. Scores for the Small Tour must average 64% or higher. In order to request an exemption, riders must send USEF Athlete Services their scores as well as the names of the judges who officiated at each of the competitions so the Dressage Credentials Committee can determine if applicant meets the level of competition for which he or she is applying and should thereby receive an exemption.

Applications to compete abroad should be submitted to USEF Athlete Services (fax) (908) 719-7588, P.O. Box 83 Gladstone, NJ 07931, at least 58 days prior to the start date of the competition with the \$75.00 application fee. After that date, USEF may not be able to secure an invitation. In any case, the application MUST be received no later than 2 working days prior to the Nominated Entry date for that competition. Under extraordinary circumstances, USEF Dressage Credentials Committee may grant waivers to the above criteria, but only in unusual circumstances. If you or your horse do not meet the established criteria, you must complete the above and submit a letter to USEF Athletes Services explaining the extraordinary circumstances under which a waiver should be granted. Please include recent competition records. The waiver application fee is \$200.00

#### CDIO COMPETITIONS

Applications to compete at a foreign CDIO should be received at least 58 days prior to the start day of the competition. All such applications will be subject to approval by the **High Performance Dressage Committee**.

#### GUIDELINES

Unproven combinations that apply for European CDIs and require a waiver must have competed as a combination in at least 2 CDNs in Europe with 2 or more judges officiating and have received scores averaging a minimum of 64%. These CDNs must have taken place within 6 months of the start date of the competition in question.

- Grant riders may receive priority over Long Listed riders for foreign CDIs when general invitations are issued to USEF and may receive approval to compete in at least 2 CDIs depending upon the circumstances.
- Riders on the "USEF Dressage Long List for Training" (Long List) are not required to obtain a waiver to compete in foreign CDIs. However the average scores over the last 12 month period of riders currently listed on the Grand Prix B Long List who wish to compete in foreign CDIs will be reviewed by the Credentials Committee on a quarterly basis. *For competition purposes only*, should the average score drop below 64% on the "Twelve Month Grand Prix Ranking List" **the combination will be removed from the Long List**. Once the combination achieves an average score from the past 12 month period of 64% or over (as reflected on the "Twelve Month Grand Prix Ranking List" at any given quarterly review of the list), the combination will be reposted on the Long List



UNITED STATES EQUESTRIAN FEDERATION, INC.  
(Attachment H)

**Executive Committee**

*David O'Connor, President	*Karen L. O'Connor, Elected Athlete
Chrystine Tauber, Secretary	Jane Clark, USET Foundation
Keith Bartz, Treasurer	*Chris Kappler, AAC Rep
Dr. Armand Leone, Jr., VP, High Performance	Dr. C. Mike Tomlinson, At Large
Judith F. Werner, VP, Administration and Finance	Bill Moroney, At Large
Janine Malone, VP, FEI Affiliates	Howard Simpson, At Large
Bill Hughes, VP National Affiliates	
Alan Balch, USAE Trust	
Carol Lavell, Elected Athlete	

*The Executive Committee is populated according to Bylaw 322 of the United States Equestrian Federation, Inc.*

**High Performance Working Group**

Dr. Armand Leone, Jr, Chair	S. Tucker Johnson
A. Kent Allen, DVM	*Chris Kappler
Linda Bibbler	Pete Kyle
*Carol Lavell	Joseph Mattingley
*Hope Hand	*Phillip Dutton
Robert Costello	George Williams
Anne Kursinski	

*The High Performance Working Group is populated according to Bylaw 311, Section 2(3).*

**Dressage High Performance Committee**

\* George Williams, Chair  
Mary Anne McPhail, Co-Chair  
Janet Foy  
Charlotte Bredahl-Baker  
\* Susan Blinks  
Kathleen Connelly  
\*Michael Barisone  
Lendon Gray  
\* Carol Lavell  
Jessica Ranshousen  
Vacancy: Member TBD

**Dressage Eligible Athletes Committee**

\* Susan Blinks, Chair  
\* Susan Dutta  
\* George Williams  
\* Leslie Morse  
\* Jan Ebeling  
\* Christine Traurig  
\* Heather Blitz  
\* Carol Lavell  
\* Guenter Seidel  
\* Arlene Page  
\* Michael Barisone

*Dressage High Performance Committee is populated according to Bylaw 504, Section 2. The Dressage Eligible Athletes Committee is populated according to Bylaw 504, Section 3.*

Eligible Athletes indicated by \*



ATTACHMENT I

(Updated March 15, 2010)

**Veterinary Panel for USEF Selection Trial for the US Dressage Team for the  
2010 Alltech FEI World Equestrian Games**

Brendan Furlong

Midge Leitch

Duncan Peters



**ATTACHMENT J**

**REQUEST FOR DRUG ADMINISTRATION  
USEF SELECTION FOR DRESSAGE COMPETITIONS**

AUTHORIZATION FROM THE TEAM VETERINARIAN MUST BE OBTAINED BEFORE TREATMENT IS GIVEN.

DATE: \_\_\_\_\_

PERSON RESPONSIBLE: \_\_\_\_\_

HORSE: \_\_\_\_\_

TREATMENT REQUESTED: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

RATIONALE FOR TREATMENT (Reason for request): \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

TREATING VETERINARIAN: \_\_\_\_\_ DATE: \_\_\_\_\_

TEAM VET: \_\_\_\_\_ DATE: \_\_\_\_\_

PERSON RESPONSIBLE: This document accurately describes therapy given to my horse on \_\_\_\_\_ (date) at \_\_\_\_\_ (time of day). I UNDERSTAND THAT MY HORSE MAY BE DRUG TESTED BY THE USEF AT ANY TIME DURING THE TRAINING SESSION AND /OR VETERINARY EVALUATIONS.

\_\_\_\_\_ SIGNATURE AND DATE

PLEASE KEEP A COPY OF THIS DOCUMENT FOR YOUR RECORDS AND PERSONALLY GIVE THE ORIGINAL TO MS. JENNY VAN WIEREN OR MAIL WITHIN 24 HOURS TO JENNY VAN WIEREN AT THE USEF, 4047 IRONWORKS PARKWAY LEXINGTON, KY 40511.

## Attachment K

### ATHLETE AGREEMENT

This Athlete Agreement ("Agreement") is entered into this \_\_\_\_ day of \_\_\_\_\_, 2010 by and between the United States Equestrian Federation, Inc. ("USEF"), a New York non-profit corporation designated by the United States Olympic Committee ("USOC") as the National Governing Body for equestrian sport in the United States of America and \_\_\_\_\_ (the "Athlete"), an individual, selected to compete in a Designated Competition as defined below (the "Team").

#### RECITALS

WHEREAS, the vision of USEF is to provide leadership for equestrian sport in the United States of America, promoting the pursuit of excellence from the grass roots to the Olympic Games, on a foundation of fair, safe competition and the welfare of its human and equine athletes, and embracing this vision, to be the best national equestrian federation in the world. In an effort to make this vision a reality, USEF selects and forms teams of world-class equestrian athletes all of whom USEF helps to educate, train, and support to achieve sustained competitive excellence in all levels of equestrian competition in order to help these athletes achieve their highest athletic potential.

WHEREAS, the Athlete is a non-employee USEF member in good standing who possesses extraordinary and unique skill and ability as an equestrian athlete, and whose performance in certain recognized competitions qualifies the Athlete for invitation to, and enjoyment of, the benefits and responsibilities of the Team.

WHEREAS, USEF desires to provide to the Athlete the opportunity to participate in the programs made available to the Athletes by USEF as members of the Team, and the Athlete desires to participate in such programs and therefore agrees to accept the responsibilities of team membership as set forth herein.

WHEREAS, this document is intended by the parties to set forth the rights and responsibilities that accompany membership on the Team.

WHEREAS, an athlete shall never be denied an opportunity to participate in, or to attempt to qualify for selection to participate in any protected competition unless provided an opportunity to be heard by an appropriate governing hearing committee.

Therefore, in consideration of certain benefits that USEF provides to Athlete in connection with his selection as a representative of the United States in the Olympic Games, Pan American Games, World Championships, Nation Cups, and Paralympic Games ("Designated Competitions"), USEF and Athlete agree as follows:

#### 1. Responsibilities of Athlete

- 1.1 The Athlete shall honor and abide by the Code of Conduct.
- 1.2 The Athlete recognizes that he is a role model for the sport and thereby will uphold the principles of sportsmanship and animal welfare.
- 1.3 The Athlete shall give the horse sport a positive image. Behavior must be appropriate given it will reflect on the sport and the Team.
- 1.4 The Athlete agrees to remain current on any financial obligations to USEF.
- 1.5 The Athlete agrees to support the public relations efforts of USEF. As such, the Athlete agrees to appear and participate in up to four (4) non-commercial USEF promotional events should the Athlete's appearance at such events not compromise the Athlete's training and/or competition schedule as determined jointly by the Athlete and the USEF Team coach and/or Chef d' Equipe. Expenses incurred in connection with the fulfillment of this obligation will be borne by USEF.
- 1.6 The Athlete shall wear and cause to be worn only USEF provided attire, if applicable, or approved attire, if not provided, during Designated Competitions while in the competition ring, schooling area and field of play. Attire includes clothing, saddle pads and blankets. With respect to the Olympic Games and the Pan American Games, Athlete shall wear only attire provided by or approved by the USOC. With respect to World Championships, Athlete shall wear only attire provided by or approved by USEF.

## 2. Marketing Rights

The Athlete understands and agrees that in order to provide benefits to athletes, USEF must raise funds through the sale of corporate sponsorships. In order to further this endeavor:

- 2.1 The Athlete agrees that USEF has category exclusivity with respect to those products and services listed in Exhibit 1 to this Agreement. This list shall be subject to modification from time to time and the Athlete expressly agrees to permit USEF to make such modification. This Agreement does not preclude the Athlete from signing his own sponsorship and/or endorsement agreements but the Athlete agrees not to advertise his affiliation with any products or services, pursuant to any sponsorship or endorsement agreements that the Athlete may have, at any Designated Competition at which the Athlete appears as a member of the Team, without the prior written consent of USEF. The Athlete also agrees not to advertise his affiliation with any products or services, pursuant to any sponsorship or endorsement agreements that the

Athlete may have, at any time while wearing any attire that designates or denotes the Athlete as a member of the Team without prior written consent of USEF.

2.2 USEF agrees that in its pursuit for corporate sponsorships it will endeavor to secure additional benefits (i.e. discounts on goods or services or VIK) for eligible athletes.

2.3 USEF agrees to exert best efforts to promote the USA teams and their riders.

2.4 Athlete has the right to use his own individual image from Designated Competitions where he earned an individual medal. This use may be exercised by Athlete for commercial purposes provided that no conflicts exist between Athlete's commercial purpose and USEF official sponsors.

2.5 USEF and Athlete agree that in the interest of the overall betterment of equestrian sport they will each use their own sponsor opportunities to attempt to create a beneficial opportunity for the other party.

2.6 The Athlete hereby agrees to be filmed, videotaped, and photographed and to have his image and voice otherwise recorded in any media by the USEF's official photographer(s), file crew(s), and video crew(s) and by any other entity authorized by USEF. Furthermore, the Athlete hereby grants to USEF the irrevocable, fully paid up, worldwide right and license to use, and to authorize third parties to use, in all internet, media, new media, and media yet to be invented, the Athlete's name, likeness, picture, voice, and biographical information for: (1) news and information purposes; (2) promotion of the Team and the specific competitions in which the Athlete competes; (3) promotion of USEF; and (4) to support USEF's educational and philanthropic efforts through the production of educational and training videos, DVDs and other media. In no event may USEF authorize the use of the Athlete's name, picture, likeness, voice, and biographical information for the purpose of trade, including any use in a manner that would imply an endorsement of any company, product or service, without the Athlete's written permission other than pursuant to the group license described more fully below. This provision shall survive termination of this Agreement.

2.7 The Athlete specifically grants to USEF the irrevocable, fully paid up, worldwide right and license to use the Athlete's image in any USEF group licensing promotion. The Athlete understands that USEF will exercise this right only in a group basis, i.e. applications involving the use of images of three or more athletes without reference to any individual athletes name or identity. As such, when exercising this license, USEF

shall not imply that any individual athlete endorses any product and/or service. USEF may license this right to a third party.

2.8 The Athlete shall have no right to use the name, trademarks or other intellectual property of USEF, nor to advertise any affiliation with the same, without the express written permission of USEF. The Athlete understands and agrees that he must obtain the prior written consent of USEF for any individual endorsement program in which the following would occur: the Athlete wears any attire that designates or denotes the Athlete as a past, present or future member of the Team or otherwise openly identifies the Athlete with a USEF Team or any other USEF controlled entity or program. This provision shall survive termination of this Agreement. Provided there are no conflicts with USEF sponsors, USEF and sponsor of Athlete may enter into an arrangement whereby such sponsor obtains access rights to certain names, trademarks or intellectual property owned by USEF.

2.9 The Athlete agrees that when appearing live, for any still photo, film or video intended to depict the Athlete as a member of the Team, the Athlete shall wear attire that designates or denotes the Athlete as a member of the Team.

2.10 The Athlete shall not remove or conceal any USEF sponsor logos from Team attire.

2.11 The Athlete, upon prior written permission from USEF, may add the logo of a private sponsor as long as it conforms to the requirements of the FEI rules. USEF will advise the Athlete as to the placement of the logo.

2.12 Except for those rights specifically granted to USEF, the Athlete shall retain sole and exclusive ownership of his individual publicity rights. The Athlete agrees to exercise those rights in such a manner as to not violate IOC, IPC, USOC, FEI, PASO and/or USEF regulations and restrictions and responsible compromise the Athlete's competition eligibility.

2.13 USEF agrees that it will consult with the Athlete before reproducing or publishing any depiction of the Athlete.

### 3. Term and Termination

#### 3.1 Term.

This Agreement shall be effective from January 15, 2010 through November 30, 2010.

### 3.2 Termination.

This Agreement shall be terminable by either party at any time, and for any reason upon thirty (30) days written notice to the other party of the intent to terminate.

This Agreement, and all benefits and services provided hereunder, shall immediately terminate shall the Athlete plead guilty or be adjudicated guilty of a doping offense or if the Athlete pleads guilty to a crime involving the use, possession, or distribution of a controlled substance, whether or not the same is included on the FEI list of banned substances.

Notwithstanding the above paragraphs, USEF may terminate this Agreement at any time for Athlete Misconduct. The term Athlete Misconduct shall refer to conduct that violates the terms of this Agreement, the USEF Code of Conduct, the laws of any jurisdiction in which the Athlete is present, or any conduct which might bring disrepute to, or otherwise harm USEF, FEI, or the Olympic movement.

### 4. Miscellaneous

4.1 Nothing in this Agreement is intended to nor should it be construed to create an employer/employee relationship between USEF and the Athlete.

4.2 Preservation of Competition Eligibility. The Athlete shall not violate any provision or rule promulgated by the IOC, IPC, FEI, USOC, PASO or USEF governing eligibility of athletes to compete in Olympic, IPC, FEI, PASO or USEF sanctioned events. The Athlete agrees to maintain his membership in USEF in good standing at all times.

4.3 Governing Law. This Agreement shall be construed pursuant to the laws of the State of Kentucky without reference to principles governing choice or conflicts of laws. It is the intent of USEF and the Athlete that this Agreement be construed in accordance with the Ted Stevens Amateur and Olympic Sports Act of 1978, as amended as well as the USOC Bylaws, which are incorporated herein by reference.

4.4 Superceding Law or Regulation. Should this Agreement or any provision hereof violate any federal, state or local law or regulation, or, as a result of amendment or revision to the Ted Stevens Olympic and Amateur Sports Act or the Olympic Charter, this Agreement or any provision hereof violates such amendments, the parties shall then negotiate in good faith to modify this Agreement to the extent reasonably necessary to bring about compliance with such law, charter, and/or rules and regulations; provided, however, that if such modification would cause this Agreement to fail in its essential purpose or

purposes, or the parties are unable to reach agreement after negotiating in good faith, either party may terminate the Agreement.

4.5 Headings. The headings in this Agreement are for convenience only and shall not affect in any way the meaning of the provisions to which they refer.

4.6 Entire Agreement. This Agreement, together with all exhibits, shall constitute the entire agreement between the parties and shall supercede all prior agreements between the parties relating to the subject matter, written, oral or otherwise.

IN WITNESS WHEREOF, the parties hereto have entered into this Agreement as of the date first written:

UNITED STATES EQUESTRIAN FEDERATION

By: \_\_\_\_\_  
John Long

Its: Chief Executive Officer

ATHLETE

\_\_\_\_\_

